

AREA-MINIMIZING CONES IN THE HEISENBERG GROUP \mathbf{H}

Sebastiano Nicolussi Golo and Manuel Ritoré

University of Jyväskylä, Department of Mathematics and Statistics
P. O. Box 35 (MaD), 40014 University of Jyväskylä, Finland; sebastiano2.72@gmail.com
Universidad de Granada, Departamento de Geometría y Topología & Research Unit MNat
E-18071 Granada, Spain; ritoré@ugr.es

Abstract. We present a characterization of minimal cones of class C^2 and C^1 in the first Heisenberg group \mathbf{H} , with an additional set of examples of minimal cones that are not of class C^1 .

1. Introduction

The interest towards Geometric Measure Theory in the Heisenberg group grew drastically in the last decades, see for instance [9, 6, 2, 12] and the references therein. Despite many deep results, fundamental questions still remain open, the main difficulty being that sets of finite perimeter may not be rectifiable sets in the Riemannian sense.

In the effort to understand minimal surfaces in the first Heisenberg group, we are presenting a characterization of minimal cones of class C^2 and C^1 . Furthermore, we also provide a set of examples of minimal cones that are not of class C^1 . By *cone* we mean a set that is invariant under the anisotropic dilations of the Heisenberg group centered at the origin.

Complete minimal surfaces of class C^2 have been classified in [11]. We provide a self-contained classification of minimal cones of class C^2 , as it is a simple exercise in our case. Minimal surfaces of class C^1 have been studied in [2, 4, 8, 7]. Tentatives to study minimal surfaces with regularity lower than C^1 can be found in [10, 14, 17, 18].

The construction of minimal cones is the following, see Section 3 for details. Given proper disjoint open subarcs I, J of the unit circle $\mathbf{S}^1 \subset \mathbf{R}^2$, let L be the bisectrix of I . Then consider the family of planar curves made of (see Figure 1):

1. rays emanating from 0 and intersecting \overline{J} ;
2. the half-line L together with half-lines starting from L parallel to the two boundary lines of $0\#I$ (the cone in \mathbf{R}^2 with vertex 0 over I).

All these curves in \mathbf{R}^2 lift to horizontal curves in \mathbf{H} , whose union forms a surface $C(I; J) \subset \mathbf{H}$ with non-empty boundary in general. The lifted curves (except L) are the characteristic curves of $C(I; J)$. Of particular interest is the conical surface $C(I) := C(I; \mathbf{S}^1 \setminus I)$.

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Similarly, we can construct a surface $C(\mathcal{I})$ from a (possibly infinite, but however countable) family \mathcal{I} of disjoint open arcs of \mathbf{S}^1 . Roughly the surface $C(\mathcal{I})$ is built applying the above construction to any connected component I of \mathcal{I} and to any connected component J of $\mathbf{S}^1 \setminus \bigcup_{I \in \mathcal{I}} \bar{I}$, see Section 3 and Figure 3. These are minimal cones with different degrees of regularity.

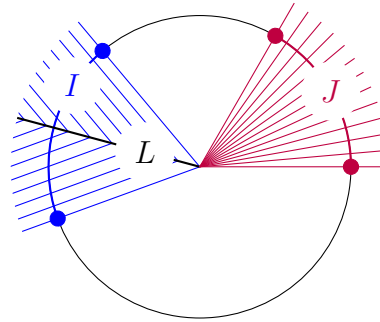


Figure 1. The configuration of lines in \mathbf{R}^2 for given arcs I, J .

Our main results in this paper are the following.

Theorem A. *Let \mathcal{I} be a family of disjoint open arcs of \mathbf{S}^1 .*

1. *The surface $C(\mathcal{I})$ is a minimal cone.*
2. *The surface $C(\mathcal{I})$ is of class C^1 if and only if \mathcal{I} is finite and the closure of $\bigcup_{I \in \mathcal{I}} I$ is \mathbf{S}^1 .*

Theorem A is proven in Propositions 3.3 and 3.4. With these examples at hand, we provide a classification of minimal cones of class C^1 . The classification is based on the study of the singular set of minimal surfaces, that is, the set of points where the tangent plane is horizontal, see [2]. See Section 4.1 for the proof.

Theorem B. *If $S \subset \mathbf{H}$ is a minimal cone of class C^1 , then one of the following possibilities holds:*

1. *S is a vertical plane, or*
2. *S is the horizontal plane $\{t = 0\}$, or*
3. *$S = C(I_1, \dots, I_k)$ for some disjoint non-empty open arcs I_1, \dots, I_k in \mathbf{S}^1 with $\mathbf{S}^1 = \bigcup_{j=1}^k \bar{I}_j$ and $k \geq 2$.*

These cases can be distinguished by their singular set: empty in the first case, a single point in the second case, and a finite family of horizontal half-lines starting from the vertex in the third case.

Not all C^1 minimal cones are of class C^2 . In the third class, the only minimal cones of class C^2 are among those with $k = 2$.

Theorem C. *If $S \subset \mathbf{H}$ is a minimal cone of class C^2 , then S is a vertical plane, or the horizontal plane $\{t = 0\}$, or rotations about the t -axis of the graph of the function $t = -xy$.*

Theorem C follows from Theorem 5.1 of [16], where it is proven that the unique entire C^2 area-stationary graphs over the plane H in \mathbf{H} are Euclidean planes and vertical rotations of graphs of the form $t = xy + (ay + b)$, where a and b are real constants. In case the surface is a cone then $a = b = 0$.

Plan of the paper. The preliminary Section 2 introduces the main definitions and properties of the Heisenberg group that we need. The construction of minimal

cones that we sketched above is presented in detail in Section 3. Finally, we prove our main results in Section 4.

2. Preliminaries

2.1. The Heisenberg group. We identify the first *Heisenberg group* \mathbf{H} with \mathbf{R}^3 with coordinates (x, y, t) where we set the group operation

$$(x, y, t) * (x', y', t') = (x + x', y + y', t + t' + (x'y - xy')).$$

The neutral element is $(0, 0, 0)$ and the inverse of (x, y, t) is $(-x, -y, -t)$. The *dilation* of factor $\lambda > 0$ centered at 0 is the Lie group automorphism $\delta_\lambda(x, y, t) = (\lambda x, \lambda y, \lambda^2 t)$. We choose the frame of left-invariant vector fields generated by ∂_x, ∂_y and ∂_t at 0

$$X = \partial_x + y \partial_t, \quad Y = \partial_y - x \partial_t, \quad T = \partial_t.$$

Notice that $[X, Y] = -2T$. These vector fields form a basis for the Lie algebra \mathfrak{h} of \mathbf{H} , which is stratified with first layer $\mathcal{H} = \text{span}\{X, Y\}$, the *horizontal plane*, and second layer $[\mathcal{H}, \mathcal{H}] = \text{span}\{T\}$.

With an abuse of notation, we denote by $C^k(\Omega; \mathcal{H})$ (and $C_c^k(\Omega; \mathcal{H})$) the space of sections of class C^k (with compact support in Ω) of the left-invariant vector bundle generated by \mathcal{H} . These sections are vector fields on \mathbf{R}^3 .

One can easily see that, if $V = v_1 X + v_2 Y$ with v_1 and v_2 smooth functions, then the standard divergence in \mathbf{R}^3 applied to V is

$$\text{div}(V) = Xv_1 + Yv_2.$$

If we consider the left-invariant Riemannian metric g on \mathbf{H} making X, Y, T and orthonormal basis, $\text{div}(V)$ is also the divergence with respect to the Riemannian metric g . This is expected since the Haar measure of \mathbf{H} coincides with the Lebesgue measure of \mathbf{R}^3 .

The left-invariant vector bundle generated by \mathcal{H} is the kernel of the contact form

$$\omega = dt - y dx + x dy.$$

Lipschitz curves in \mathbf{R}^2 can be lifted to \mathbf{H} in the following way.

Lemma 2.1. *Let $\gamma: [0, 1] \rightarrow \mathbf{R}^2$, $\gamma(s) = (x(s), y(s))$, be a Lipschitz curve with $\gamma(0) = 0$. Define $t: [0, 1] \rightarrow \mathbf{R}$ by*

$$t(s) = \int_0^s (y dx - x dy)[\gamma'(u)] du = \int_0^s (y(u)x'(u) - x(u)y'(u)) du.$$

Then the curve $s \mapsto (x(s), y(s), t(s))$ is the only horizontal Lipschitz curve in \mathbf{H} starting from $(0, 0, 0)$ and projecting to γ . Moreover, if $A(\gamma, s) = \{v\gamma(u): u \in [0, s], v \in [0, 1]\}$ (with the orientation given by γ), then

$$t(s) = -2 \int_{A(\gamma, s)} dx \wedge dy,$$

which is called the balayage area spanned by γ .

Proof. Notice that a Lipschitz curve $\eta: s \mapsto (x(s), y(s), t(s))$ is horizontal if and only if $\omega|_{\eta(s)}[\eta'(s)] = 0$ for almost all s , that is, $t' = yx' - xy'$. Integrating, we get the statement. □

2.2. Sub-Riemannian perimeter. Given a measurable set $E \subset \mathbf{H}$ and an open set $\Omega \subset \mathbf{H}$, the *perimeter* of E in Ω is defined as

$$P(E; \Omega) := \sup \left\{ \int_E \operatorname{div}(V) \, d\mathcal{L}^3 : V \in C_c^1(\Omega; \mathcal{H}), |V| \leq 1 \right\},$$

where \mathcal{L}^3 is the Lebesgue measure in \mathbf{R}^3 that is, in our chosen coordinate system, a Haar measure of \mathbf{H} .

A measurable set $E \subset \mathbf{H}$ has *locally finite perimeter* if for every bounded open set $\Omega \subset \mathbf{H}$ we have $P(E; \Omega) < \infty$. It turns out (see [6]) that for a locally finite perimeter, the distributional gradient of the characteristic function $\mathbb{1}_E$ is a vector valued Radon measure, that is, there is a positive Radon measure $|\partial E|$ and a unit horizontal vector field $\nu_E: \mathbf{H} \rightarrow H$ such that $\nabla \mathbb{1}_E = \nu_E |\partial E|$. The measure $|\partial E|$, and thus $\nabla \mathbb{1}_E$, is supported on the so-called *reduced boundary* $\partial^* E \subset \partial E$.

Proposition 2.2. [6] *Let $E \subset \mathbf{H}$ be a set with locally finite perimeter and $V \in C^\infty(\mathbf{H}; H)$ a smooth horizontal vector field, then*

$$(2.1) \quad \int_E \operatorname{div}(V) \, d\mathcal{L}^3 = - \int_{\partial^* E} \langle V, \nu_E \rangle \, d|\partial E|.$$

As a corollary, we can easily prove the following formula.

Corollary 2.3. *Let $V \in C^1(\Omega; \mathcal{H})$, $\phi \in C^1(\mathbf{H})$ and $E \subset \mathbf{H}$ a set with locally finite perimeter. Then*

$$(2.2) \quad \int_E \langle \nabla \phi, V \rangle \, d\mathcal{L}^3 = - \int_{\partial^* E} \phi \langle V, \nu_E \rangle \, d|\partial E| - \int_E \phi \operatorname{div}(V) \, d\mathcal{L}^3.$$

Proof. First, by group convolution, the relation (2.1) remains true for V of class C^1 . Second, notice that $\operatorname{div}(\phi V) = \langle \nabla \phi, V \rangle + \phi \operatorname{div}(V)$. Therefore, on the one hand,

$$\int_E \langle \nabla \phi, V \rangle \, d\mathcal{L}^3 = \int_E \operatorname{div}(\phi V) - \int_E \phi \operatorname{div}(V) \, d\mathcal{L}^3,$$

on the other hand,

$$\int_E \operatorname{div}(\phi V) = - \int_{\partial^* E} \phi \langle V, \nu_E \rangle \, d|\partial E|.$$

by (2.1). Putting these two identities together, we get (2.2). □

We are interested in perimeter minimizers. A measurable set $E \subset \mathbf{H}$ is a *perimeter minimizer* in an open set $\Omega \subset \mathbf{H}$ if, for every $F \subset \mathbf{H}$ of locally finite perimeter with $E \Delta F \Subset \Omega$, we have

$$P(E; \Omega) \leq P(F; \Omega).$$

A set is a *local perimeter minimizer* if it is a perimeter minimizer in every bounded open set. A surface S in \mathbf{H} is an *area-minimizing surface*, or just a *minimal surface*, if it coincides with the reduced boundary of a perimeter minimizer. The following proposition yields a method via calibrations to prove that a given set is perimeter minimizer.

Proposition 2.4. [13, Theorem 2.1] *Let $E \subset \mathbf{H}$ be a measurable set, $\Omega \subset \mathbf{H}$ an open set and $v: \Omega \rightarrow H$ a Borel map. Assume that*

- (i) E has locally finite perimeter in Ω ;
- (ii) $v = \nu_E |\partial E|$ -almost everywhere in Ω ;
- (iii) there exists an open set $\tilde{\Omega} \subset \Omega$ such that $|\partial E|(\Omega \setminus \tilde{\Omega}) = 0$ and v is continuous on $\tilde{\Omega}$;

(iv) $\operatorname{div}(v) = 0$ in distributional sense in Ω .

Then E is a perimeter minimizer in Ω .

The vector field v above is called a *calibration* for ∂^*E . In applications of Proposition 2.4, we will give the calibration v by putting together smooth vector fields in different domains. The following proposition gives a way to check that the resulting vector field has zero distributional divergence. Notice that condition (2.3) below is automatically satisfied if v is continuous.

Proposition 2.5. *Let $\{\Omega_j\}_j$ be a family of open disjoint sets with locally finite perimeter in \mathbf{H} such that $\{\bar{\Omega}_j\}_j$ is a locally finite cover of \mathbf{H} with $\mathcal{L}^3(\mathbf{H} \setminus \bigcup \Omega_j) = 0$. For each j , let $V_j \in C^1(\bar{\Omega}_j; \mathcal{H})$ be a horizontal vector field of class C^1 on $\bar{\Omega}_j$ (extensible to a C^1 horizontal vector field on a neighborhood of $\bar{\Omega}_j$). The distributional divergence of $V := \sum_j V_j \mathbb{1}_{\Omega_j}$ is zero if and only if $\operatorname{div}(V_j|_{\Omega_j}) = 0$ for every j and*

$$(2.3) \quad \sum_j \langle V_j(p), \nu_{\Omega_j}(p) \rangle = 0 \quad \text{for } \sum_j |\partial\Omega_j|\text{-a.e. } p \in \mathbf{H},$$

where we set $\nu_{\Omega_j}(p) = 0$ if $p \notin \partial^*\Omega_j$, so that the first series is a finite sum for every p .

Proof. Let $\phi \in C_c^\infty(\mathbf{H})$. Using (2.2), we have

$$(2.4) \quad \begin{aligned} \int_{\mathbf{H}} \langle \nabla\phi, V \rangle d\mathcal{L}^3 &= \sum_j \int_{\Omega_j} \langle \nabla\phi, V_j \rangle d\mathcal{L}^3 \\ &= - \sum_j \left(\int_{\partial^*\Omega_j} \phi \langle V_j, \nu_{\Omega_j} \rangle d|\partial\Omega_j| + \int_{\Omega_j} \phi \operatorname{div}(V_j) d\mathcal{L}^3 \right). \end{aligned}$$

On the one hand, if $\operatorname{div}(V_j|_{\Omega_j}) = 0$ for every j and (2.3) holds, then the integral in (2.4) is zero for every $\phi \in C_c^\infty(\mathbf{H})$. On the other hand, suppose the expression in (2.4) is zero for every $\phi \in C_c^\infty(\mathbf{H})$. Then, we have in particular $\int_{\Omega_j} \phi \operatorname{div}(V_j) d\mathcal{L}^3 = 0$ for every $\phi \in C_c^\infty(\Omega_j)$ and every j ; hence, $\operatorname{div}(V_j) \equiv 0$ for every j . What remains in (2.4) is then (2.3). \square

Finally, the following stability of perimeter minimizers is well known.

Proposition 2.6. *Let $\{E_k\}_{k \in \mathbf{N}}$ be a sequence of local perimeter minimizers and E a set of locally finite perimeter such that $\mathbb{1}_{E_k}$ converge locally in L^1 to $\mathbb{1}_E$. Then E is also local perimeter minimizer.*

2.3. Regularity of C^1 area-minimizing surfaces in \mathbf{H} . Given a C^1 surface S , the set $S_0 \subset S$ is composed of the points p where T_pS is horizontal. It is referred to as the *singular set* of S . Points in $S \setminus S_0$ are called *regular points*. A *horizontal line segment* is the image in \mathbf{H} of an interval in \mathbf{R} through a curve of the form $s \mapsto p \exp(sv)$, for some $p \in \mathbf{H}$ and $v \in H$.

Proposition 2.7. [2, 7, 8] *If S is a minimal C^1 surface, then $S \setminus S_0$ is ruled by horizontal line segments whose endpoints lie in S_0 . If S is a t -graph then at most one endpoint lies in S_0 .*

Given a function $u: A \rightarrow \mathbf{R}$ defined on a domain $A \subset \mathbf{R}^2$, its *t -graph* is the surface $\{(x, y, u(x, y)) : (x, y) \in A\}$. We always consider a t -graph as boundary of the subgraph $E := \{(x, y, t) : t \leq u(x, y), (x, y) \in A\}$. The following lemma characterizes minimal t -graphs of continuous functions.

Lemma 2.8. *The t -graph S of a continuous function $u: \mathbf{R}^2 \rightarrow \mathbf{R}$ is a minimal surface if and only if the unit normal of S , extended to \mathbf{H} as a Borel vector field independent of t , has zero distributional divergence.*

3. Construction of minimal cones

Consider a finite family $\mathcal{I} = \{I_1, \dots, I_k\}$ of disjoint open arcs in the unit circle \mathbf{S}^1 , and let J_1, \dots, J_r be the open connected arcs in $\mathbf{S}^1 \setminus \bigcup_{i=1}^k \overline{I_i}$. This set could be empty if $\mathbf{S}^1 = \bigcup_{i=1}^k \overline{I_i}$. Let $2\alpha_i$ be the length (opening angle) of I_i and L_i be the bisectrix of the arc I_i .

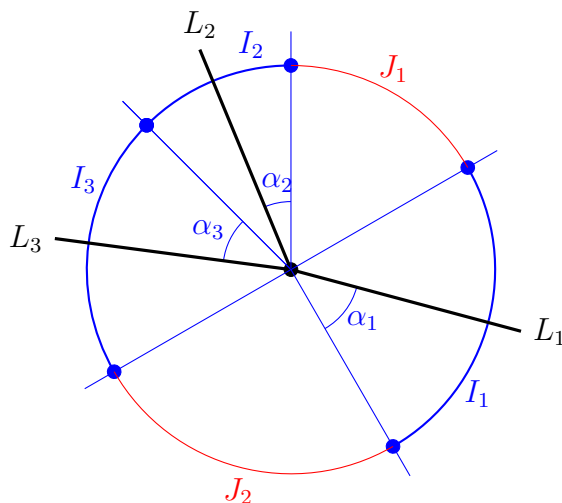


Figure 2. An initial configuration with three open arcs I_1, I_2, I_3 .

The conical sector $0 \# \overline{J_i}$ in \mathbf{R}^2 (the cone of vertex 0 over $\overline{J_i}$) is filled with half-lines leaving the origin. The conical sectors $0 \# \overline{I_i}$ are filled with pairs of half-lines making angle α_i with the half-line L_i . This way, every point of \mathbf{R}^2 can be joined to some L_i or 0 by a unique shortest path that follows these lines. We lift these paths to \mathbf{H} as in Lemma 2.1. So, we first lift as horizontal curves the half-lines L_1, \dots, L_k and those in the sectors $0 \# \overline{J_i}, i = 1, \dots, r$, which remain in the plane $\{t = 0\}$. Then, for $i = 1, \dots, k$, we lift the half-lines making angle α_i with L_i to horizontal half-lines starting from the corresponding lifted line L_i .

We obtain a surface, which we call $C(\mathcal{I})$, that is the t -graph of a function $u: \mathbf{R}^2 \rightarrow \mathbf{R}$. The following lemma gives an explicit formula in a specific case. Notice that, up to a rotation of \mathbf{R}^2 , the restriction of u to $0 \# I_i$ is equal to the function u_{α_i} on $0 \# I$ described below.

Lemma 3.1. [15] *Let $\alpha \in (0, \pi)$ and define the open arc $I = \{(\cos(\theta), \sin(\theta)) : |\theta| < \alpha\} \subset \mathbf{S}^1$. Then $C(I)$ is the t -graph of the function*

$$(3.1) \quad u_\alpha(x, y) = \begin{cases} y(|y| \cot \alpha - x) & \text{if } (x, y) \in 0 \# I, \\ 0 & \text{otherwise.} \end{cases}$$

The function u_α is continuous, but not C^1 , and has derivatives

$$\partial_x u_\alpha(x, y) = \begin{cases} -y & \text{if } (x, y) \in 0 \# I, \\ 0 & \text{if } (x, y) \in \mathbf{R}^2 \setminus \overline{0 \# I}; \end{cases}$$

$$\partial_y u_\alpha(x, y) = \begin{cases} 2|y| \cot \alpha - x & \text{if } (x, y) \in 0\#I, \\ 0 & \text{if } (x, y) \in \mathbf{R}^2 \setminus \overline{0\#I}. \end{cases}$$

Proof. The value of the function $u_\alpha: \mathbf{R}^2 \rightarrow \mathbf{R}$ at a point (x, y) is the balayage area of the curve from $(0, 0)$ to (x, y) that follows the half-lines singled out in the above construction. So, if $(x, y) \notin 0\#I$, then $u_\alpha(x, y) = 0$. If $(x, y) \in 0\#I$ and $y \geq 0$, then there are $x_0 \geq 0$ and $s \geq 0$ such that

$$\begin{cases} x = x_0 + s \cos(\alpha), \\ y = s \sin(\alpha), \end{cases}$$

that is

$$\begin{cases} x_0 = x - y \cot(\alpha), \\ s = \frac{y}{\sin(\alpha)}. \end{cases}$$

So define $u_\alpha(x, y)$ as the Balayage area of the curve from $(0, 0)$ to (x, y) that follows the x -axis until $(x_0, 0)$ and then follows the line parallel to $(\cos \alpha, \sin \alpha)$, that is,

$$u_\alpha(x, y) = -2 \frac{x_0 s \sin(\alpha)}{2} = y(y \cot \alpha - x).$$

Similarly, if $(x, y) \in 0\#I$ and $y \leq 0$, one finds that $u_\alpha(x, y) = y(-y \cot \alpha - x)$ and so (3.1) is proven. \square

Proposition 3.2. *Let $\mathcal{I} = \{I_1, \dots, I_k\}$ be a finite set of disjoint open arcs in \mathbf{S}^1 and $C(\mathcal{I})$ the associated surface. Then*

1. $C(\mathcal{I})$ is a conical continuous t -graph with vertex at 0;
2. $C(\mathcal{I})$ is a $C^{1,1}$ surface outside the lines $0\#\partial J_i$, with singular set $\bigcup_{i=1}^k L_i$. It is not C^2 at points of the singular set unless $\alpha = \pi/2$.
3. $C(\mathcal{I})$ is area-minimizing.
4. The horizontal unit normal of $C(\mathcal{I})$ is continuous.

Proof. The surface $C(\mathcal{I})$ is the graph of version of the function (3.1) in each sector $0\#J_k$, up to a pre-composition with a rotation of the plane. Therefore, the first two statements are clear.

We prove that $C(\mathcal{I})$ is area-minimizing by presenting a calibration and thus applying Proposition 2.4. Figure 4 helps the understanding. Let v be the horizontal vector field that is invariant along t and that is equal to the upward unit normal to $C(\mathcal{I})$ outside the half-lines $\bigcup_{i=1}^k L_i$ and $\bigcup_{i=1}^k 0\#\partial I_j$. We claim that the distributional divergence of v is zero.

In fact, the unit normal of $C(\mathcal{I})$ is the upward unit horizontal vector that is orthogonal to the horizontal characteristic lines we lifted. Above the sectors $0\#J_j$ is simply $(yX - xY)/(x^2 + y^2)^{1/2}$, which is actually the calibration of the plane $\{t = 0\}$; in particular, inside the interior of such regions, it is smooth and with zero divergence. Above the other sectors, v has constant coefficients in the basis (X, Y) above the regions between the half-lines L_j and the boundaries $\#\partial I_j$, where it has thus zero divergence.

Finally, one easily sees that v satisfies (2.3) above the half-lines $\#\partial I_j$ and the lines L_j . We conclude that $\text{div}(v) = 0$ by Proposition 2.5. \square

Proposition 3.3. *Given a finite set of disjoint open arcs I_1, \dots, I_k in \mathbf{S}^1 , the associated surface $C(\mathcal{I})$ is of class C^1 if and only if $\mathbf{S}^1 = \bigcup_{j=1}^k \bar{I}_j$.*

Proof. Let $u: \mathbf{R}^2 \rightarrow \mathbf{R}$ be the function whose t -graph is $C(\mathcal{I})$. In each sector $0\#I_j$, the function u is a version of u_{α_j} as in (3.1), up to a rotation of the plane.

Since $\partial_x u_\alpha$ is not continuous along the half-lines $0\#\partial I$, then we conclude that, if $C(\mathcal{I})$ is of class C^1 , then $\mathbf{S}^1 = \bigcup_{j=1}^k \bar{I}_j$.

Next, notice that the derivative of u_α along the vector $(\cos \alpha, \sin \alpha)$ (or the vector $(\cos(\alpha), -\sin(\alpha))$) is continuous in the half-plane $\{y > 0\}$ (in the half-plane $\{y < 0\}$, respectively), and zero along the half-line $0\#(\cos \alpha, \sin \alpha)$ (or $0\#(\cos \alpha, -\sin \alpha)$, respectively).

So, if $0\#\bar{I}_1$ and $0\#\bar{I}_2$ share a half-line $0\#\hat{v}$, where $|\hat{v}| = 1$, then the derivative of u along \hat{v} is continuous across $0\#\hat{v}$.

What remains to be checked is the continuity across $0\#\hat{v}$ of the derivative of u along the orthogonal direction to \hat{v} . Going back to u_α , a computation shows that

$$(-\sin(\alpha)\partial_x u_\alpha + \cos(\alpha)\partial_y u_\alpha)|_{(s \cos \alpha, s \sin \alpha)} = s$$

and

$$(\sin(\alpha)\partial_x u_\alpha + \cos(\alpha)\partial_y u_\alpha)|_{(s \cos \alpha, -s \sin \alpha)} = s,$$

where the derivatives are the continuous limit from inside $0\#I$. Therefore, the derivatives of u along the orthogonal direction to \hat{v} are continuous across $0\#\hat{v}$. □

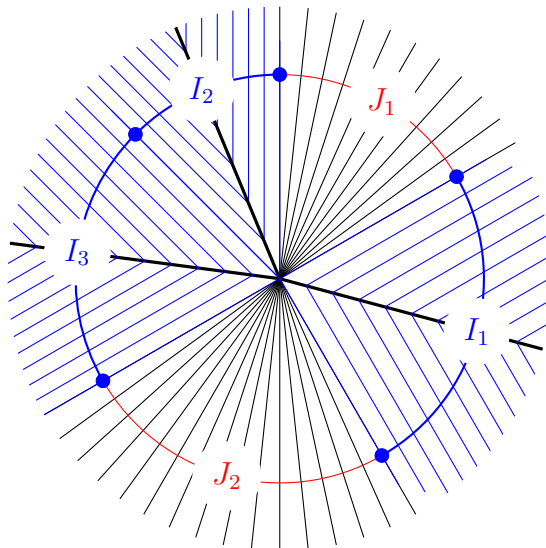


Figure 3. The complete configuration.

In the special case of two disjoint open intervals I_1, I_2 such that $\mathbf{S}^1 = \bar{I}_1 \cup \bar{I}_2$, the singular line is a horizontal straight line L whose complement is foliated by two families of parallel lines making a constant angle with L . This is merely $C^{1,1}$ except in the case $\alpha = \pi/2$ when we get the cone $t \leq -xy$ with C^∞ boundary.

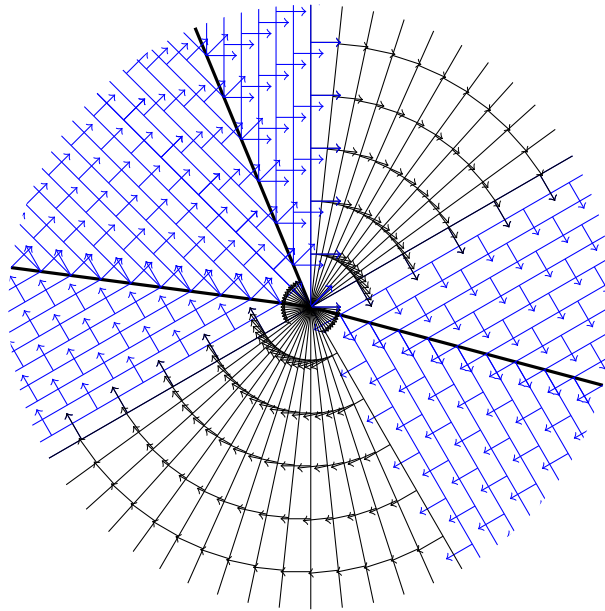


Figure 4. The calibration of $C(\mathcal{I})$.

Via approximation, we can consider also the above cones constructed using infinitely many arcs. More precisely, let \mathcal{I} be a family of disjoint open arcs of \mathbf{S}^1 , possibly countable. For each $I \in \mathcal{I}$, let u_I be the function whose t -graph is $C(I)$. Define

$$(3.2) \quad u_{\mathcal{I}} = \sum_{I \in \mathcal{I}} u_I,$$

where the sum is well defined, because for every $v \in \mathbf{R}^2$ there is at most one $I \in \mathcal{I}$ with $u_I(v) \neq 0$.

Proposition 3.4. *Given a family \mathcal{I} of disjoint open arcs of \mathbf{S}^1 , the function $u_{\mathcal{I}}$ is continuous and its t -graph $C(\mathcal{I})$ is a minimal cone. Moreover, if \mathcal{I} is infinite, then $C(\mathcal{I})$ is not a C^1 surface.*

Proof. From (3.1), one easily sees that $|u_{\alpha}(v)| \leq |v|^2 \tan(\alpha)$. We deduce that the sum in (3.2) converges uniformly on compact sets. So, $u_{\mathcal{I}}$ is continuous and its t -graph is a cone. By Proposition 2.6, $C(\mathcal{I})$ is a minimal surface.

Finally, if \mathcal{I} is infinite, then there are $\hat{v} \in \mathbf{S}^1$ and a sequence $\{I_k\}_k \subset \mathcal{I}$ so that $\text{dist}(\hat{v}, I_k) \rightarrow 0$ and the amplitude of I_k also goes to zero. Now, if we consider the function u_{α} in (3.1), we see that its y -derivative is

$$\partial_y u_{\alpha}(x, y) = \begin{cases} 2|y| \cot \alpha - x & \text{if } (x, y) \in 0\#I, \\ 0 & \text{if } (x, y) \in \mathbf{R}^2 \setminus \overline{0\#I}. \end{cases}$$

In particular, if $(x, y) \in 0\#I$ is close enough to $(1, \tan(\alpha))$, then $\partial_y u_{\alpha}(x, y)$ is arbitrary close to 1, while $\partial_y u_{\alpha}(1, 0) = -1$. We conclude that for every k there are points in $0\#I_k$ where some derivative of $u_{\mathcal{I}}$ oscillates between 1 and -1 , so $\nabla u_{\mathcal{I}}$ is not continuous at \hat{v} . Since $\nabla u_{\mathcal{I}}$ remains bounded, $C(\mathcal{I})$ is not a C^1 surface. \square

4. Classification results

4.1. Characterization of C^1 minimal cones. This section is devoted to the proof of our main classification result in the C^1 case, Theorem B.

Lemma 4.1. *A conical C^1 surface $S \subset \mathbf{H}$ without singular points is a vertical plane.*

Proof. For any $p = (p_1, p_2, p_3)$ in S out of the vertical axis V we consider the curve $\gamma(s) = (sp_1, sp_2, s^2p_3)$, contained in S and whose tangent vector at $s = 0$ is the horizontal vector $\gamma'(0) = p_1X_0 + p_2Y_0 \neq 0$. Since 0 is not a singular point, $S \setminus V$ must be contained in the vertical plane $p_2x - p_1y = 0$ and so is a vertical plane. \square

Lemma 4.2. *Let $S \subset \mathbf{H}$ be a conical C^1 surface, and let $p \in S_0 \setminus \{0\}$. Then 0 and p belong to a horizontal half-line contained in S_0 .*

Proof. We let $p = (p_1, p_2, p_3)$ and consider the curve $\gamma(s) := (sp_1, sp_2, s^2p_3)$, is contained in S . We trivially have $\gamma'(s) = p_1X_{\gamma(s)} + p_2Y_{\gamma(s)} + 2sp_3T_{\gamma(s)}$. Since $\gamma(1) = p$ and p is a singular point, the vector $\gamma'(1)$ is horizontal and so $p_3 = 0$. This implies that $\gamma(s)$ is a parameterization of a horizontal half-line starting from 0 . Since dilations preserve the horizontal distribution, $\gamma(s) \in S_0$ for all $s \geq 0$. \square

In the following we denote by H the plane $t = 0$.

Lemma 4.3. *Let $S \subset \mathbf{H}$ be a conical C^1 minimal t -graph. If $p \in S \setminus H$, then p is a regular point and there are a singular point $q \in S \cap H$ and a horizontal half-line L starting from q and containing p .*

Proof. Let $p = (x, y, t) \in S$ with $t \neq 0$. We know that the point p is regular by Lemma 4.2. By Proposition 2.7, there are $\hat{v} \in H$, with $|\hat{v}| = 1$, and $s_0 < 0$ (possibly $s_0 = -\infty$) such that $\gamma((s_0, +\infty)) \subset S$, where $\gamma(s) = p * \exp(s\hat{v})$, and s_0 is minimal with this property. Here $\exp(s\hat{v})$ is the horizontal straight line through the origin with tangent vector \hat{v} . We have two cases.

First, if $s_0 = -\infty$, then there is $s_1 \in \mathbf{R}$ such that $\gamma(s_1) \in H \cap S$. Indeed, if this were not the case, the horizontal line $\gamma(\mathbf{R})$ would meet the t -axis in a non-zero point contradicting the hypotheses that S is a t -graph and $0 \in S$. Now, notice that $\gamma'(s_1) = \hat{v}$ is not parallel to $\frac{d}{d\lambda}|_{\lambda=1}\delta_\lambda\gamma(s_1)$, but these two vectors are both horizontal and tangent to S . Therefore $\gamma(s_1)$ is a singular point of S and thus, the lemma is proven if we take $L = \gamma([s_1, +\infty))$ if $s_0 > s_1$ or $L = \gamma((-\infty, s_1])$ if $s_0 < s_1$.

Second, if $s_0 > -\infty$, then $\gamma(s_0)$ is a singular point and thus it belongs to H . The lemma is proven if we take $L = \gamma([s_0, +\infty))$. \square

Lemma 4.4. *Let $S \subset \mathbf{H}$ be a C^1 minimal surface invariant by dilations centered at 0 . If $S_0 = \{0\}$, then S is the horizontal plane $\{t = 0\}$.*

Proof. Let $p_0 = (x_0, y_0, t_0) \in S$ and suppose that $t_0 \neq 0$. Since p_0 is a regular point but no horizontal line passing through p_0 contains 0 , then, by Proposition 2.7, there is $\hat{v} \in H$ such that the entire line $s \mapsto p_0 * \exp(s\hat{v})$ is contained in S . Since S is a cone, for every $s \in \mathbf{R}$ and $\lambda > 0$, we have

$$\delta_\lambda(p_0 * \exp(s\hat{v}/\lambda)) = (\lambda x_0, \lambda y_0, \lambda^2 t_0) * \exp(s\hat{v}) \in S.$$

Next, we claim that, if $t_0 \neq 0$, then 0 is not the only singular point. Indeed, without loss of generality, we assume $\hat{v} = X$. Then the function $\phi(\lambda, s) := \delta_\lambda(p_0 \exp(s\hat{v}/\lambda)) = (\lambda x_0 + s, \lambda y_0, \lambda^2 t_0 + \lambda s y_0)$ parametrizes a part of S . Notice that $\omega|_{\phi(s, \lambda)}[\partial_\lambda \phi(\lambda, s)] = 2(\lambda t_0 + s y_0)$ and $\omega|_{\phi(\lambda, s)}[\partial_s \phi(\lambda, s)] = 0$. Therefore, $\phi\{(\lambda, s) : \lambda t_0 + s y_0 = 0\} \subset S_0$ and thus, if $t_0 \neq 0$, then $S_0 \neq \{0\}$.

However, the fact that 0 is not the only singular point is in contradiction with the assumption $S_0 = \{0\}$. Therefore, $t_0 = 0$ and so $S \subset H$. Since S is a cone, $S = H$. \square

Proof of Theorem B. In case S has no singular points, Lemma 4.1 implies that S is a vertical plane. If S has only 0 as a singular point, then $S = H$ by Lemma 4.4.

Finally let us assume that S_0 contains at least two points and that S is invariant by dilations centered at 0. Then 0 is a singular point, and Lemma 4.2 implies that S_0 is a union of horizontal half-lines leaving the origin.

Since 0 is a singular point, S can be represented near 0 as the t -graph of a C^1 function and thus, since S is a cone, the whole S is the t -graph of a function $u: \mathbf{R}^2 \rightarrow \mathbf{R}$.

If L is one of the singular half-lines leaving the origin and $p \in L \setminus \{0\}$, then there is a neighborhood U of p such that $U \cap S_0 = U \cap L$, because $T_p S = pH \neq H$ and $S_0 \subset H$. Therefore, these singular half-lines cannot accumulate and so we have a finite number of them L_1, \dots, L_k , with $k \geq 1$ (see also Theorem C(b) in [2]).

If $p \in S \setminus H$, then p is a regular point and, by Lemma 4.3, there is a half-line $L \subset S$ starting from a singular point $q \in S \cap H$, say $q \in L_j$. Then $\bigcup_{\lambda > 0} \delta_\lambda L$ describes S on one side of L_j . In other words, there is an arc I_j^1 so that L_j is on the boundary of $0\#I_j^1$, so that u is a version of the function $u_\alpha|_{\{y \geq 0\}}$ or $u_\alpha|_{\{y \leq 0\}}$ in (3.1) on $0\#I_j^1$.

We conclude that for every $j \in \{1, \dots, k\}$ there are two arcs I_j^1 and I_j^2 , possibly empty, such that $S \setminus H$ is the graph of u above $\bigcup_j (0\#I_j^1 \cup 0\#I_j^2)$.

Notice that u does not have other singular points on $0\#\overline{I_j^1}$ other than L_j . Therefore, if $i \neq j$, then $L_j \cap (0\#I_j^1 \cup 0\#I_j^2) = \emptyset$. It follows that, in fact, $0\#I_j^1$ and $0\#I_j^2$ are never empty. Indeed, on each side of every L_j there are regular points, as we noticed above. However, they cannot belong to a sector of a singular half-line other than L_j .

Finally, the unit normal to S is constant over each $0\#I_j^1 \cup 0\#I_j^2$. By Lemma 2.8, it must have zero distributional divergence, while, by Proposition 2.4, this happens exactly when it reflects across L_j , that is, the characteristic lines in I_j^1 and in I_j^2 meet L_j with the same angle, exactly as it happens with the function u_α in (3.1).

Set $I_j = I_j^1 \cup I_j^2$. It is clear that L_j is the bisectrix of I_j and that $I_j \cap I_i = \emptyset$ if $i \neq j$. \square

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