

Series A

I. MATHEMATICA

554

NOTE ON WARING'S PROBLEM (mod p)

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HELSINKI 1973
SUOMALAINEN TIEDEAKATEMIA

<https://doi.org/10.5186/aasfm.1973.554>

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ISBN 951-41-0131-6

Communicated 14 May 1973 by K. INKERI

KESKUSKIRJAPAINO
HELSINKI 1973

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1. Introduction. Let p be a prime, k a positive integer and d the highest common factor of k and $p - 1$. Let $\gamma(k, p)$ denote the least positive integer s such that every residue (mod p) is representable as a sum of s k th power residues (mod p). It is well known [6] that

$$\gamma(k, p) = \gamma(d, p) \leq k$$

and

$$\gamma(p - 1, p) = p - 1, \quad \gamma(\frac{1}{2}(p - 1), p) = \frac{1}{2}(p - 1).$$

Put

$$\gamma(k) = \max \{ \gamma(k, p) : d < \frac{1}{2}(p - 1) \}.$$

S. Chowla, Mann and Straus [2] showed in 1959 that

$$\gamma(k) \leq [\frac{1}{2}(k + 4)].$$

Much earlier, in 1943, I. Chowla [1] had proved the result

$$(1) \quad \gamma(k) = O(k^{1-c+\varepsilon})$$

where $c = (103 - 3\sqrt{641})/220$ and, as always in this paper, ε is a positive number. Recently Dodson [5] improved (1) to the simpler result

$$\gamma(k) < k^{7.8},$$

provided k is sufficiently large. The purpose of this note is to show that

$$(2) \quad \gamma(k) = O(k^{3/5+\varepsilon}).$$

It is very probable that (2) is not best possible, and it would be desirable to reduce the exponent to ε or, at least, to $\frac{1}{2} + \varepsilon$ (cf. [7] and [5]).

2. Preliminary results. Dodson ([5], p. 151) has shown that if $p > d^2$ then

$$\gamma(k, p) \leq \max \{ 3, [32 \log d] + 1 \}.$$

Therefore we may suppose that

$$(3) \quad p \leq d^2.$$

Let Q_w be the set of those distinct residues (mod p) which can be represented as the sum of w k th power residues (mod p), and let q_w be the number of the elements in Q_w . Put

$$e(x) = e^{2\pi ix/p}, \quad S_w(u) = \sum_y^* e(uy), \quad M_w = \max \{|S_w(u)| : u \not\equiv 0 \pmod{p}\}$$

where the sum \sum^* is over all the elements of Q_w . Then ([8], Lemma 1)

$$M_w < (q_w d)^{1,2}.$$

3. The main lemmas.

Lemma 1 (Cauchy-Davenport Theorem; see [3] and [4]). *Let $\alpha_1, \dots, \alpha_m$ be m different residue classes (mod p); let β_1, \dots, β_n be n different residue classes (mod p). Let $\gamma_1, \dots, \gamma_h$ be all those different residue classes which are representable as*

$$\alpha_i + \beta_j \quad (1 \leq i \leq m, 1 \leq j \leq n).$$

Then $h \geq \min\{p, m + n - 1\}$.

Lemma 2 (cf. [8], Lemma 2). *If $q_w \geq 2d$ then $\gamma(k, p) \leq w(1 + [2 \log p / \log 2])$.*

Proof. Put $r = 1 + [2 \log p / \log 2]$. Let a be any integer, and let $N = N(a)$ be the number of solutions of

$$y_1 + \dots + y_r \equiv a \pmod{p}, \quad y_i \in Q_w.$$

Then

$$\begin{aligned} pN &= \sum_{y_1}^* \dots \sum_{y_r}^* \sum_{u=0}^{p-1} e(u(y_1 + \dots + y_r - a)) \\ &= \sum_{u=0}^{p-1} (S_w(u))^r e(-ua) \\ &= q_w^r + \sum_{u=1}^{p-1} (S_w(u))^r e(-ua) \\ &\geq q_w^r - (p-1)M_w^r. \end{aligned}$$

Hence, by the inequalities $M_w < (q_w d)^{1/2}$, $q_w/d \geq 2$ and $r/2 > \log p / \log 2$, we get

$$\begin{aligned} N &> p^{-1}(q_w d)^{r/2}((q_w/d)^{r/2} - p + 1) \\ &\geq p^{-1}(q_w d)^{r/2}(2^{r/2} - p + 1) > 0. \end{aligned}$$

Lemma 3. *If $d < \frac{1}{2}(p - 1)$ and $w \geq 100d^{3/5}$ then $q_w \geq 2d$.*

Proof (which is very similar to that of Lemma 2 of [5]). Clearly $q_w > 2w$. Hence in case $d \leq 100000$ the assumption $w \geq 100d^{3/5}$ implies $q_w \geq 2d$. Consequently we may suppose that $d > 100000$.

Let R be a nonzero k th power residue which is not congruent to $\pm 1 \pmod{p}$. It is known ([5], p. 151; [1]) that then there exist integers x and y satisfying

$$R \equiv xy^{-1} \pmod{p}, \quad 1 \leq y < |x| < p^{1/2}, \quad (x, y) \leq 1.$$

Consider now three separate cases:

- (i) $d^{2/5} \leq |x| < p^{1/2}$
- (ii) $d^{1/5} \leq |x| < d^{2/5}$
- (iii) $1 < |x| < d^{1/5}$.

As in Dodson's paper [5] we may see that in case (i) the numbers of the form

$$m + nR \quad (0 \leq m, n < \frac{1}{2}d^{2/5})$$

generate at least $d^{4/5}/4$ integers which are incongruent \pmod{p} . Moreover each of these numbers is a sum of at most $d^{2/5}$ k th powers \pmod{p} . Hence, by Lemma 1, the expression

$$m_1 + n_1R + \dots + m_r + n_rR \quad (0 \leq m, n < \frac{1}{2}d^{2/5})$$

which is a sum of at most $rd^{2/5}$ k th powers \pmod{p} represents at least $\min\{p, rd^{4/5}/4 - r + 1\}$ residues \pmod{p} . Setting $r = [100d^{1/5}]$ we get the lemma.

In case (ii) we may show, as Dodson in [5], that the numbers

$$h + mR + nR^2 \quad (0 \leq h, m, n < d^{1/5}/3)$$

are incongruent \pmod{p} . Hence, by Lemma 1, the expression

$$h_1 + m_1R + n_1R^2 + \dots + h_r + m_rR + n_rR^2 \quad (0 \leq h_i, m_i, n_i < d^{1/5}/3)$$

which is a sum of at most $rd^{1/5}$ k th powers \pmod{p} represents at least $\min\{p, rd^{3/5}/27 - r + 1\}$ residues \pmod{p} . Putting $r = [100d^{2/5}]$ we get the desired result.

Also in case (iii) we adopt the method of [5] and choose an integer f such that

$$d^{2/5} \leq |x|^f < d^{3/5}.$$

Thus

$$R^f \equiv x^f y^{-f} \pmod{p}$$

where $(x^f, y^f) = 1$, $1 \leq y^f < |x|^f$ and $R^f \equiv \pm 1 \pmod{p}$. Moreover (cf. [5], pp. 153–154) the numbers

$$m + nR^f \quad (0 \leq m, n < \frac{1}{2}d^{2/5})$$

form at least $d^{4/5}/4$ distinct residues \pmod{p} , each number being the sum of at most $d^{2/5}$ k th powers \pmod{p} . The result now follows as in case (i).

4. Proof of (2). Lemma 3 implies that $q_w \geq 2d$ if $w \geq 100d^{3/5}$. It follows from this and Lemma 2 that

$$(4) \quad \gamma(k, p) < (1 + 100d^{3/5})(1 + 2 \log p / \log 2).$$

Since we assumed in (3) that $p \leq d^2$, the inequality (4) implies

$$\gamma(k, p) < (1 + 100d^{3/5})(1 + 4 \log d / \log 2) = O(k^{3/5+\epsilon}).$$

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