

NON-UNIQUENESS FOR PLATEAU'S PROBLEM. A BIFURCATION PROCESS

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The discussion to follow will deal with aspects of Plateau's problem about which no exhaustive information is available today, and particular attention will be paid to the phenomenon of non-uniqueness. It is well known that the area of a minimal surface, its suggestive name notwithstanding, need not furnish a minimum (absolute or relative) among the areas of all surfaces having the same boundary. Let us consider a minimal surface $S = \{ \mathbf{r} = \mathbf{r}(u, v); u^2 + v^2 < R^2 \}$ which lies imbedded in Euclidean 3-space. We shall assume that u and v are isothermal parameters on S so that $r_u^2(u, v) = r_v^2(u, v) \equiv E(u, v) > 0$ and $r_u(u, v) r_v(u, v) \equiv F(u, v) = 0$. Let us further denote by $\mathfrak{X}(u, v)$ the unit normal vector of our surface and by $K(u, v)$ its Gaussian curvature. On S we consider the one-parameter family of Jordan curves

$$\Gamma_r = \{ \mathbf{r} = \mathbf{r}(r \cos \vartheta, r \sin \vartheta); 0 \leq \vartheta \leq 2\pi \}, \quad 0 < r < R,$$

which bound expanding portions

$$S(r) = \{ \mathbf{r} = \mathbf{r}(u, v); (u, v) \in \bar{P}_r \}$$

of S . Here \bar{P}_r is used as an abbreviation for the closure of the disc $P_r = \{ u, v; u^2 + v^2 < r^2 \}$. For sufficiently small values of r two assertions are valid:

- i) $S(r)$ is the unique solution of Plateau's problem for Γ_r .
- ii) The area $A[S(r)]$ of $S(r)$ furnishes the absolute minimum among the areas of all disc-type surfaces bounded by Γ_r .

As r increases, either one of these statements may become false. As for i), $S(r)$ will remain unique as long as Γ_r retains a simply covered convex curve as its parallel, or central, projection on a plane (see [10]); or as long as the total curvature of Γ_r does not exceed the value 4π (see [7]). On the other hand, the minimizing property of the surface $S(r)$ – more precisely, the question whether $A[S(r)]$ remains at least a relative

minimum — depends on the second variation of its area which, in turn, is closely related to the eigenvalue problem

$$(1) \quad \Delta \xi - 2 \lambda E K \xi = 0 \quad \text{in } P_r, \quad \xi = 0 \quad \text{on } \partial P_r.$$

Because $K(u, v)$ is non-positive and vanishes at most in isolated points, this problem will have a sequence of eigenvalues $\{\lambda_n(r)\}$ satisfying the inequalities $0 < \lambda_1(r) < \lambda_2(r) \leq \lambda_3(r) \leq \dots$ and corresponding eigenfunctions $\xi_1(u, v; r)$, $\xi_2(u, v; r)$, ... subject to the ortho-normality relations

$$2 \int_{P_r} \int E |K| \xi_m \xi_n \, du \, dv = \delta_{mn}.$$

The smallest eigenvalue $\lambda_1(r)$ is a continuous and strictly decreasing function of r .

If $\eta(u, v) = \sum_{n=1}^{\infty} c_n \xi_n(u, v; r)$ denotes an arbitrary regular function, vanishing on ∂P_r , then the area of the comparison surface $S^{(\varepsilon)}(r) = \{ \mathbf{r} = \mathbf{r}(u, v) + \varepsilon \eta(u, v) \mathbf{X}(u, v); (u, v) \in \bar{P}_r \}$ is found to be

$$\begin{aligned} A[S^{(\varepsilon)}(r)] &= A[S(r)] + \frac{1}{2} \varepsilon^2 \int_{P_r} \int (\eta_u^2 + \eta_v^2 + 2 E K \eta^2) \, du \, dv + O(\varepsilon^3) \\ &= A[S(r)] + \frac{1}{2} \varepsilon^2 \sum_{n=1}^{\infty} (\lambda_n(r) - 1) c_n^2 + O(\varepsilon^3) \\ &\geq A[S(r)] + \varepsilon^2 (\lambda_1(r) - 1) \int_{P_r} \int E |K| \eta^2 \, du \, dv + O(\varepsilon^3). \end{aligned}$$

If $\lambda_1(r) > 1$, then $S(r)$ can be imbedded in a field of minimal surfaces (a detailed construction can be found in [7]), and by a classical argument going back to H. A. Schwarz ([11], pp. 224–240, 332–334) it is seen that the area of $S(r)$ furnishes a strong relative minimum. Moreover, since the boundary value problem $\Delta \eta - 2 E K \eta = 0$ in P_r , $\eta = 0$ on ∂P_r , under the assumption $\lambda_1(r) > 1$ has the unique solution $\eta(u, v) \equiv 0$, it follows (see e.g. [2], Lemma 3.4) that $S(r)$ is isolated or, as we shall also say, locally unique; see [8]. By [1] the inequality $\lambda_1(r)$ is guaranteed at least as long as the total curvature $\iint_{P_r} E |K| \, du \, dv$ of $S(r)$ remains smaller than 2π .

If $\lambda_1(r) < 1$, then (by choosing $\eta(u, v) = \xi_1(u, v; r)$) disc-type surfaces bounded by Γ_r and lying arbitrarily close to $S(r)$ can be constructed whose area is smaller than the area of $S(r)$. $S(r)$ is thus seen to be unstable. It is a matter of record, however, that Γ_r also bounds a solution surface of least area for Plateau's problem. Obviously, this surface

must be distinct from $S(r)$. Whether it is close to $S(r)$ or not is, of course, another question. In any case, Γ_r bounds at least two solutions of Plateau's problem whenever $\lambda_1(r) < 1$.

The preceding remarks suggest the possible existence of two positive numbers r_1 and r_2 defined as follows: r_1 is the supremum of all those values r for which the surface $S(r)$ represents the unique solution of Plateau's problem for Γ_r . The number r_2 is the supremum of all those values r for which the area of $S(r)$ is a strong relative minimum among the areas of all disc-type surfaces bounded by Γ_r , i.e., $\lambda_1(r_2) = 1$. Obviously, the inequalities $0 < r_1 \leq r_2$ hold. Since it is not clear whether the local uniqueness of $S(r)$ for $\lambda_1(r) > 1$ implies its global uniqueness, the strict inequality $r_1 < r_2$ cannot be excluded apriori. Our interest here is directed not so much to this question but rather to the study of the *bifurcation process* in which a second or more surfaces branch off from $S(r)$ as r passes through the critical value $r = r_2$. Considering that the treasure of general insights is still hidden today, we shall pursue this bifurcation process in detail for the case of an explicit example — Enneper's minimal surface whose position vector is given with the help of isothermal parameters u and v by

$$\mathbf{r}(u, v) = \begin{pmatrix} u + u v^2 - \frac{1}{3} u^3 \\ -v - u^2 v + \frac{1}{3} v^3 \\ u^2 - v^2 \end{pmatrix}.$$

We have $E(u, v) = (1 + u^2 + v^2)^2$ and $K(u, v) = -4(1 + u^2 + v^2)^{-4}$. It is known (see [9], § 90) that the part of Enneper's surface which corresponds to the disc $u^2 + v^2 < 3$ is free of self-intersections. In particular, the image of every concentric circle $u^2 + v^2 = r^2$, $0 < r < \sqrt{3}$, is a Jordan curve $\Gamma_r = \{ \mathbf{r} = \mathfrak{z}(\vartheta; r); 0 \leq \vartheta \leq 2\pi \}$ with the position vector

$$\mathfrak{z}(\vartheta; r) = \mathbf{r}(r \cos \vartheta, r \sin \vartheta) = \begin{pmatrix} r \cos \vartheta - \frac{1}{3} r^3 \cos 3\vartheta \\ -r \sin \vartheta - \frac{1}{3} r^3 \sin 3\vartheta \\ r^2 \cos 2\vartheta \end{pmatrix}.$$

Pictures of Γ_r can be found in [9], pp. 76, 79. The points $(u = \pm \sqrt{3}, v = 0)$ on the circle $u^2 + v^2 = 3$ are mapped by $\mathbf{r}(u, v)$ into the same point $\{0, 0, 3\}$ in space. Accordingly, we shall choose $R = \sqrt{3}$.

The curvature of the projection γ_r of Γ_r onto the (x, y) -plane is given by

$$\frac{(1 + r^2)(1 - 3r^2) + 4r^2 \sin^2 2\vartheta}{r [1 + 2r^2 \cos 4\vartheta + r^4]^{3/2}}.$$

γ_r is a convex curve for $0 < r < 1/\sqrt{3}$ so that $S(r)$ is the unique solution of Plateau's problem for Γ_r if $0 < r \leq 1/\sqrt{3}$. The second criterion for uniqueness mentioned above leads to a better result. A computation shows that the total curvature $\kappa(\Gamma_r)$ of Γ_r is equal to

$$\kappa(\Gamma_r) = \frac{8r}{1+r^2} \frac{1}{k(r)} E(k(r)), \quad k(r) = \frac{2r}{\sqrt{1+10r^2+9r^4}}$$

and that

$$\frac{d}{dr} \kappa(\Gamma_r) \geq 6\pi \frac{k(r)}{1+r^2}.$$

Here $E(k) = \int_0^{\pi/2} \sqrt{1-k^2 \sin^2 \vartheta} d\vartheta$ denotes the complete elliptic integral of the second kind. We have $\kappa(\Gamma_r) = 4\sqrt{3} E(1/2) = 10.167\dots < 4\pi$ for $r = 1/\sqrt{3}$ and $\kappa(\Gamma_r) = 4\sqrt{5} E(1/\sqrt{5}) = 13.369\dots > 4\pi$ for $r = 1$. The equality $\kappa(\Gamma_r) = 4\pi$ is achieved for $r = 0.882$ (see [9], § 828). It is therefore certain that $r_1 \geq 0.882$, although we conjecture that $r_1 = 1$.

The function $\zeta(u, v) = (1-u^2-v^2)/(1+u^2+v^2)$, which is positive for $u^2+v^2 < 1$ and zero for $u^2+v^2 = 1$, is a solution of the differential equation

$$\Delta \zeta - 2EK\zeta \equiv \Delta \zeta + \frac{8}{(1+u^2+v^2)^2} \zeta = 0.$$

From this fact it can be concluded that $\lambda_1(1) = 1$ so that $r_2 = 1$. Further properties of the curves Γ_r are discussed in [9], §§ 91, 390–396. It has been proved in [4] that Γ_r bounds three distinct solutions of Plateau's problem for r in a certain interval $r_0 < r < \sqrt{3}$. (A crude estimate for r_0 is $r_0 \leq 1.682$.) From the following developments it will be seen that

as r passes increasingly through the critical value $r = 1$, the curves Γ_r acquire the capability of bounding, in addition to Enneper's surface, two further minimal surfaces which appear in a continuous bifurcation process.

It is now necessary to interject some basic definitions. Let $\Gamma = \{r = \mathfrak{z}(\tau); 0 \leq \tau \leq 2\pi\}$ be a Jordan curve in Euclidean 3-space. We are concerned with vectors $r(u, v)$ defined in the closure \bar{P} of the unit disc $P = \{u, v; u^2 + v^2 < 1\}$ which map the boundary ∂P onto Γ . Setting $u + iv = w = \rho e^{i\vartheta}$ we shall henceforth interchangeably use the notations $r(u, v)$, or $r(w)$, or $r(\rho, \vartheta)$ (and later also $r(w; r)$ instead of $r(u, v; r)$, etc.) — whichever is most convenient. Denote by $\mathfrak{S} = \mathfrak{S}(\Gamma)$ the set of vectors $r(w) \in C^2(P) \cap C^0(\bar{P})$ which are harmonic in P and which map ∂P onto Γ monotonically so that three fixed distinct points w_1, w_2, w_3 on ∂P are transformed into three fixed distinct points $\mathfrak{z}_j = \mathfrak{z}(\tau_j)$, ($j = 1, 2, 3$), on Γ , respectively. Once and for all we shall choose $w_1 = 1$,

$w_2 = i, w_3 = -1$. Then $r(e^{i\vartheta}) = \mathfrak{z}(\tau(\vartheta))$ where $\tau(\vartheta)$ is a continuous monotone function satisfying the conditions $\tau(0) = \tau_1, \tau(\pi/2) = \tau_2, \tau(\pi) = \tau_3$ and $\tau(\vartheta + 2\pi) = \tau(\vartheta) + 2\pi$. Endowed with the distance between two vectors,

$$|r_2 - r_1| = \max_{(u,v) \in \bar{P}} |r_2(u, v) - r_1(u, v)|,$$

the set \mathfrak{S} becomes a metric space. Each element $r(u, v)$ of \mathfrak{S} defines a harmonic surface $S = \{r = r(u, v); (u, v) \in \bar{P}\}$. By definition, a solution of Plateau's problem for the curve Γ is a harmonic surface whose position vector satisfies in P the additional relations $r_u^2 = r_v^2, r_u r_v = 0$. We denote by \mathfrak{M} the set of all such vectors. It is a matter of record that an element $r(u, v)$ of \mathfrak{M} provides a topological mapping between ∂P and Γ .

Since we shall benefit from working with the fixed parameter domain P , a slight change of the introductory notation is advisable: The portion of Enneper's surface bounded by Γ_r will now be denoted by $S^{(0)}(r) = \{r = r^{(0)}(w; r); w \in \bar{P}\}$ where

$$r^{(0)}(\varrho, \vartheta; r) = \left\{ \begin{array}{l} r \varrho \cos \vartheta - \frac{1}{3} r^3 \varrho^3 \cos 3\vartheta \\ -r \varrho \sin \vartheta - \frac{1}{3} r^3 \varrho^3 \sin 3\vartheta \\ r^2 \varrho^2 \cos 2\vartheta \end{array} \right\} = \operatorname{Re} \left\{ \begin{array}{l} r w - \frac{1}{3} r^3 w^3 \\ i r w + i \frac{1}{3} r^3 w^3 \\ r^2 w^2 \end{array} \right\}$$

and $r^{(0)}(1, \vartheta; r) = \mathfrak{z}(\vartheta; r)$.

Let $S(r) = \{r = r(w; r); w \in \bar{P}\}$ be another solution of Plateau's problem for the curve Γ_r . By a theorem of H. Lewy [3] the position vector of $S(r)$ is analytic in \bar{P} . Moreover, owing to the results of [6], the derivatives of $r(w; r)$ can be estimated uniformly for all possible solutions. We shall refer here to the validity of the inequality

$$\max_{0 \leq \vartheta \leq 2\pi} \{ |r(1, \vartheta; r)|, |r_\vartheta(1, \vartheta; r)|, |r_{\vartheta\vartheta}(1, \vartheta; r)| \} \leq K(r)$$

with a universal constant $K = K(r)$ depending on r alone, $0 < r < \sqrt{3}$. From the proofs in [6] it would not be difficult to extract an explicit expression for $K(r)$ in terms of the geometric properties of Γ_r . For the purposes at hand knowledge of the precise form of $K(r)$ would only be of minor advantage, however.

For the time being a value $r, 1 \leq r < \sqrt{3}$ is chosen and then kept fixed. A computation shows that $|r_\vartheta^{(0)}(1, \vartheta; r)| = r(1+r^2)$ and

$$|r_{\vartheta\vartheta}^{(0)}(1, \vartheta; r)| = r[1 + r^2(6 + 4 \cos^2 2\vartheta) + 9 r^4]^{1/2} \leq r \sqrt{1+r^2} \sqrt{1+9 r^2}.$$

From [8], p. 406, we know that $|\mathbf{r}_\vartheta(1, \vartheta)| \geq r(1+r^2)/2$ as long as $|\mathbf{r} - \mathbf{r}^{(0)}| < \varepsilon_1 \equiv \min(\pi/50, 1/175K)$. Since Γ_r is the topological image of ∂P under the mapping of the position vectors of both surfaces $S^{(0)}$ and S , the boundary values of \mathbf{r} are related to those of $\mathbf{r}^{(0)}$ through an expression of the form

$$(2) \quad \mathbf{r}(1, \vartheta; r) = \mathbf{r}^{(0)}(1, \vartheta + \lambda(\vartheta); r).$$

Here $\lambda(\vartheta)$ is a periodic analytic function satisfying the inequality $\lambda'(\vartheta) \geq -1$. We write $\lambda(\vartheta)$ as a Fourier series,

$$\lambda(\vartheta) = \frac{a_0}{2} + \sum_{n=1}^{\infty} (a_n \cos n\vartheta + b_n \sin n\vartheta)$$

with the norm $\|\lambda\|$ defined by

$$\|\lambda\|^2 = \frac{1}{\pi} \int_0^{2\pi} \lambda^2(\vartheta) d\vartheta = \frac{1}{2} a_0^2 + \sum_{n=1}^{\infty} (a_n^2 + b_n^2).$$

In view of the "three point condition" above, $\lambda(\vartheta)$ is subject to the conditions $\lambda(0) = \lambda(\pi/2) = \lambda(\pi) = 0$. The relation $\mathbf{r}_\vartheta(1, \vartheta; r) = (1 + \lambda'(\vartheta)) \mathbf{r}_\vartheta^{(0)}(1, \vartheta + \lambda(\vartheta); r)$ which follows from (2) implies the inequalities

$$\frac{1}{2} \leq 1 + \lambda'(\vartheta) \leq \frac{1}{2} K \quad \text{whenever} \quad |\mathbf{r} - \mathbf{r}^{(0)}| < \varepsilon_1.$$

From [8], p. 406, we also conclude that

$$\frac{1}{8} |\mathbf{r} - \mathbf{r}^{(0)}| \leq |\lambda(\vartheta)| \leq |\mathbf{r} - \mathbf{r}^{(0)}| \quad \text{for} \quad |\lambda(\vartheta)| \leq \frac{\pi}{3}.$$

It follows that the size of the neighborhood of $\mathbf{r}^{(0)}$, as measured according to the metric of \mathfrak{H} , is also governed by the magnitude of $L = \max_{0 \leq \vartheta \leq 2\pi} |\lambda(\vartheta)|$. A series expansion of (2) leads to

$$(3) \quad \mathbf{r}(1, \vartheta; r) = \mathbf{r}^{(0)}(1, \vartheta; r) + \lambda(\vartheta) \mathbf{r}_\vartheta^{(0)}(1, \vartheta; r) + \frac{1}{2} \lambda^2(\vartheta) \mathbf{r}_{\vartheta\vartheta}^{(0)}(1, \vartheta; r) + \dots$$

We denote by $\mathbf{r}^{(k)}(\varrho, \vartheta; r)$ the harmonic vector in \bar{P} with boundary values

$$(4) \quad \mathbf{r}^{(k)}(1, \vartheta; r) = \frac{1}{k!} \lambda^k(\vartheta) \frac{\partial^k}{\partial \vartheta^k} \mathbf{r}^{(0)}(1, \vartheta; r).$$

The complex-valued expression

$$(5) \quad \begin{aligned} \Phi(\varrho, \vartheta) + i \Psi(\varrho, \vartheta) &= \frac{1}{2} (\mathbf{r}_\vartheta^2 - \varrho^2 \mathbf{r}_\vartheta^2) + i \varrho \mathbf{r}_\vartheta \mathbf{r}_\vartheta \\ &= \frac{1}{2} \varrho^2 e^{2i\vartheta} [(\mathbf{r}_v^2 - \mathbf{r}_u^2) + i 2 \mathbf{r}_u \mathbf{r}_v] \end{aligned}$$

is an analytic function in P for every element of \mathfrak{S} , i.e., for every surface whose position vector is harmonic in P . It is therefore easily seen that the surface S is a solution of Plateau's problem if, and only if, $\Psi(1, \vartheta) = 0$. From [8] we know that this equation is equivalent with the condition

$$(6) \quad \sum_{m=1}^{\infty} A^{(m)}(1, \vartheta; r, \lambda) = 0.$$

Here the $A^{(m)}$ are defined by

$$(7) \quad A^{(m)}(1, \vartheta; r, \lambda) = \sum_{k=0}^m \frac{1}{k!} \lambda^k(\vartheta) r_e^{(m-k)}(1, \vartheta; r) \frac{\partial^{k+1}}{\partial \vartheta^{k+1}} r^{(0)}(1, \vartheta; r).$$

Applying the estimating procedures used in [5], [8] we find that there are positive constants \mathcal{C} and M such that for $\max_{0 \leq \vartheta \leq 2\pi} |\lambda(\vartheta)| \leq L$, $\max_{0 \leq \vartheta \leq 2\pi} |\bar{\lambda}(\vartheta)| \leq L$

$$(8) \quad \|A^{(m)}(1, \vartheta; r, \lambda)\| \leq \mathcal{C} \frac{(ML)^{m-1}}{(m-1)!} \|\lambda'\|,$$

$$\|A^{(m)}(1, \vartheta; r, \bar{\lambda}) - A^{(m)}(1, \vartheta; r, \lambda)\| \leq \mathcal{C} \frac{(ML)^{m-2}}{(m-2)!} (\|\lambda'\| + \|\bar{\lambda}'\|) \|\bar{\lambda}' - \lambda'\|.$$

From [8], p. 408 we take over the computation of $\sigma(\vartheta; r, \lambda) \equiv A^{(1)}(1, \vartheta; r, \lambda)$:

$$(9) \quad \sigma(\vartheta; r, \lambda) = r^2(r^2 + 1) \left\{ a_2 c_2 - \frac{r^2 - 1}{r^2 + 1} b_2 s_2 + \sum_{n=3}^{\infty} [(r^2 + 1)n - (3r^2 + 1)] (a_n c_n + b_n s_n) \right\}.$$

In this formula the abbreviations $c_n = \cos n\vartheta$ and $s_n = \sin n\vartheta$ have been used. Condition (6) can be written as

$$(10) \quad \sigma(\vartheta; r, \lambda) = - \sum_{m=2}^{\infty} A^{(m)}(1, \vartheta; r, \lambda) \equiv A(1, \vartheta; r, \lambda).$$

We turn now to the attempt to find a solution $\lambda = \lambda(\vartheta; r)$ of (10) for values $r > 1$ close to $r = 1$. For this purpose we set $\varepsilon = \sqrt{r^2 - 1}$ and assume λ to be of the form

$$\lambda(\vartheta; r) = \varepsilon \lambda^{(1)}(\vartheta) + \varepsilon^2 \lambda^{(2)}(\vartheta) + \dots$$

where

$$\lambda^{(k)}(\vartheta) = \frac{1}{2} a_0^{(k)} + \sum_{n=1}^{\infty} (a_n^{(k)} \cos n\vartheta + b_n^{(k)} \sin n\vartheta).$$

Some preparatory heuristic remarks are in order. A lengthy computation¹ shows that

$$(11) \quad \begin{aligned} \mathcal{A}^{(2)}(1, \vartheta; r, p \sin 2\vartheta) &= -\frac{r^2}{4} (1 + 14r^2 + 3r^4) p^2 \sin 4\vartheta \\ &= -\frac{9}{2} r^2 p^2 \sin 4\vartheta + p^2 O(\varepsilon^2). \end{aligned}$$

Accordingly, we shall choose the first two terms in the expansion of $\lambda(\vartheta; r)$ as follows:

$$\begin{aligned} \lambda^{(1)}(\vartheta) &= b_2^{(1)} \sin 2\vartheta, \\ \lambda^{(2)}(\vartheta) &= b_2^{(2)} \sin 2\vartheta + b_4^{(2)} \sin 4\vartheta. \end{aligned}$$

Then

$$\sigma(\vartheta; r, \lambda) = 8 \varepsilon^2 b_4^{(2)} \sin 4\vartheta + O(\varepsilon^3)$$

and

$$\mathcal{A}(1, \vartheta; r, \lambda) = \frac{9}{2} \varepsilon^2 [b_2^{(1)}]^2 \sin 4\vartheta + O(\varepsilon^3)$$

so that

$$(12) \quad b_4^{(2)} = \frac{9}{16} [b_2^{(1)}]^2.$$

Now the necessity arises to compare certain terms in ε^3 . For this purpose we note the following relations which can be obtained only by extensive computations (remember the abbreviations $s_n = \sin n\vartheta$):

$$\begin{aligned} &\mathcal{A}^{(2)}(1, \vartheta; r, p s_2 + q s_4) \\ &= -r^2 \left\{ \frac{9}{2} p^2 s_4 + 9 p q (s_2 + s_6) + \frac{1}{16} q^2 (22 s_4 + 117 s_8 + 2 s_{12}) \right\} \\ &\quad + p^2 O(\varepsilon^2) + p q O(\varepsilon^2) + q^2 O(\varepsilon^2), \end{aligned}$$

$$\mathcal{A}^{(3)}(1, \vartheta; r, p s_2) = r^2 \frac{11}{6} p^3 (3 s_2 - s_6) + p^3 O(\varepsilon^2).$$

It is now seen that the coefficients of $\sin 2\vartheta$ are

$$\begin{aligned} \text{in } \frac{1}{r^2} \sigma(1, \vartheta; r, \lambda) : &\quad -\varepsilon^3 b_2^{(1)} + O(\varepsilon^4), \\ \text{in } \frac{1}{r^2} \mathcal{A}(1, \vartheta; r, \lambda) : &\quad 9 \varepsilon^3 b_2^{(1)} b_4^{(2)} - \frac{11}{2} \varepsilon^3 [b_2^{(1)}]^3 + O(\varepsilon^4). \end{aligned}$$

¹Unfortunately, neither this computation nor the even more extensive explicit computations, which will become necessary later on, can be reproduced here.

Consequently, we shall have

$$-b_2^{(1)} = 9 b_2^{(1)} b_4^{(2)} - \frac{11}{2} [b_2^{(1)}]^3$$

or, combined with (12),

$$b_2^{(1)} + \left(\frac{81}{16} - \frac{11}{2} \right) [b_2^{(1)}]^3 = \left(1 - \frac{7}{16} [b_2^{(1)}]^2 \right) b_2^{(1)} = 0.$$

This condition has three distinct solutions

$$b_2^{(1)} = 0, \quad b_2^{(1)} = \frac{4}{\sqrt{7}}, \quad b_2^{(1)} = -\frac{4}{\sqrt{7}}$$

and, corresponding to these solutions, $b_4^{(2)} = 0$ in the first case and $b_4^{(2)} = 9/7$ in the other two cases.

The preceding heuristic remarks indicate that a bifurcation appears as ε increases from zero to small positive values. i.e., as r increases from one to values larger than one. The choice $b_2^{(1)} = 0$ leads, of course, to $\lambda(\vartheta; r) \equiv 0$, that is to say, back to our original surface $S^{(0)}(r)$. Of the other two cases we shall now discuss rigorously the second for which $b_2^{(1)} = 4/\sqrt{7}$. The third case can be treated similarly.

We shall try to find a solution $\lambda(\vartheta; r)$ of (10) for small positive values of ε in the form

$$(13) \quad \lambda(\vartheta; r) = \left[\frac{4}{\sqrt{7}} \varepsilon + \varepsilon^2 b_2(\varepsilon) \right] s_2 + \left[\frac{9}{7} \varepsilon^2 + \varepsilon^3 b_4(\varepsilon) \right] s_4 + \varepsilon^3 \left\{ \frac{1}{2} a_0(\varepsilon) + a_1(\varepsilon) c_1 + b_1(\varepsilon) s_1 + \mu(\vartheta; \varepsilon) \right\}$$

where

$$\mu(\vartheta; \varepsilon) = a_2(\varepsilon) c_2 + a_3(\varepsilon) c_3 + b_3(\varepsilon) s_3 + a_4(\varepsilon) c_4 + \sum_{n=5}^{\infty} (a_n(\varepsilon) c_n + b_n(\varepsilon) s_n)$$

and the $a_n(\varepsilon)$, $b_n(\varepsilon)$ are themselves power series in ε . In view of the three point condition we must have

$$\begin{aligned} \frac{1}{2} a_0(\varepsilon) + a_1(\varepsilon) + \mu(0; \varepsilon) &= 0, \\ \frac{1}{2} a_0(\varepsilon) + b_1(\varepsilon) + \mu(\pi/2; \varepsilon) &= 0, \\ \frac{1}{2} a_0(\varepsilon) - a_1(\varepsilon) + \mu(\pi; \varepsilon) &= 0. \end{aligned}$$

From these equations the three coefficients a_0 , a_1 , b_1 can be determined:

$$\begin{aligned}
 (14) \quad a_0(\varepsilon) &= -2 [a_2(\varepsilon) + a_4(\varepsilon) + a_6(\varepsilon) + \dots] \\
 a_1(\varepsilon) &= - [a_3(\varepsilon) + a_5(\varepsilon) + a_7(\varepsilon) + \dots] \\
 b_1(\varepsilon) &= 2 [a_2(\varepsilon) + a_6(\varepsilon) + a_{10}(\varepsilon) + \dots] \\
 &\quad + [b_3(\varepsilon) - b_5(\varepsilon) + b_7(\varepsilon) - \dots]
 \end{aligned}$$

so that

$$(15) \quad \frac{1}{2} a_0^2(\varepsilon) + a_1^2(\varepsilon) + b_1^2(\varepsilon) \leq \frac{5}{2} \|\mu'(\vartheta; \varepsilon)\|^2.$$

After substitution of $\lambda(\vartheta; r)$ from (13), $(1/r^2) A(1, \vartheta; r, \lambda)$ attains an expansion

$$\frac{1}{r^2} A(1, \vartheta; r, \lambda) = \sum_{n=2}^{\infty} [A_n(\varepsilon, \lambda) c_n + B_n(\varepsilon, \lambda) s_n].$$

From (5) it is clear, that neither a constant term nor terms with $\cos \vartheta$ or $\sin \vartheta$ can appear on the right hand side. In determining the coefficients A_n and B_n it is necessary to carry the computations to the point that explicit expressions become available for all terms up to those of order ε^4 in B_2 and all terms up to those of order ε^3 in the other coefficients. The result of these computations is as follows:

$$B_2(\varepsilon, \lambda) = -\frac{4}{\sqrt{7}} \varepsilon^3 + \left[\frac{180}{49} - \frac{183}{7} b_2(\varepsilon) + \frac{36}{\sqrt{7}} b_4(\varepsilon) \right] \varepsilon^4 + \varepsilon^5 \tilde{B}_2(\varepsilon, \lambda),$$

$$B_4(\varepsilon, \lambda) = \frac{72}{7} \varepsilon^2 + \frac{36}{\sqrt{7}} \varepsilon^3 b_2(\varepsilon) + \varepsilon^4 \tilde{B}_4(\varepsilon, \lambda),$$

$$B_6(\varepsilon, \lambda) = \frac{1324}{21 \sqrt{7}} \varepsilon^3 + \varepsilon^4 \tilde{B}_6(\varepsilon, \lambda).$$

All the other coefficients have the form

$$\begin{aligned}
 A_n(\varepsilon, \lambda) &= \varepsilon^4 \tilde{A}_n(\varepsilon, \lambda), \quad n \geq 2, \\
 B_n(\varepsilon, \lambda) &= \varepsilon^4 \tilde{B}_n(\varepsilon, \lambda), \quad n \geq 2, \quad n \neq 2, 4, 6.
 \end{aligned}$$

On the other hand,

$$\begin{aligned}
 \frac{1}{r^2} \sigma(\vartheta; r, \lambda) &= (2 + \varepsilon^2) \varepsilon^3 a_2(\varepsilon) c_2 - \left(\frac{4}{7} \varepsilon^3 + \varepsilon^4 b_2(\varepsilon) \right) s_2 \\
 &\quad + 2 \varepsilon^3 (2 + \varepsilon^2) [a_3(\varepsilon) c_3 + b_3(\varepsilon) s_3] \\
 &\quad + \varepsilon^3 (2 + \varepsilon^2) (4 + \varepsilon^2) a_4(\varepsilon) c_4
 \end{aligned}$$

$$\begin{aligned}
& + (2 + \varepsilon^2) (4 + \varepsilon^2) \left(\frac{9}{7} \varepsilon^2 + \varepsilon^3 b_4(\varepsilon) \right) s_4 \\
& + \varepsilon^3 (2 + \varepsilon^2) \sum_{n=5}^{\infty} [(2 + \varepsilon^2) n - (4 + 3 \varepsilon^2)] (a_n(\varepsilon) c_n + b_n(\varepsilon) s_n).
\end{aligned}$$

The conditions for the coefficients $a_0(\varepsilon)$, $a_1(\varepsilon)$, $b_1(\varepsilon)$ are contained in (14). A comparison leads to a system of equations for the Fourier coefficients of $\lambda(\vartheta; r)$. The three "special" equations are

$$\begin{aligned}
-b_2(\varepsilon) &= \frac{180}{49} - \frac{183}{7} b_2(\varepsilon) + \frac{36}{\sqrt{7}} b_4(\varepsilon) + \varepsilon \tilde{B}_2(\varepsilon, \lambda), \\
(8 + 6 \varepsilon^2 + \varepsilon^4) b_4(\varepsilon) &= \frac{36}{\sqrt{7}} b_2(\varepsilon) - \varepsilon (6 + \varepsilon^2) + \varepsilon \tilde{B}_4(\varepsilon, \lambda), \\
(16 + 14 \varepsilon^2 + 3 \varepsilon^4) b_6(\varepsilon) &= \frac{1324}{21 \sqrt{7}} + \varepsilon \tilde{B}_6(\varepsilon, \lambda).
\end{aligned}$$

The remaining equations are

$$\begin{aligned}
(2 + \varepsilon^2) a_2(\varepsilon) &= \varepsilon \tilde{A}_2(\varepsilon, \lambda), \\
(2 + \varepsilon^2) [(2 + \varepsilon^2) n - (4 + 3 \varepsilon^2)] a_n(\varepsilon) &= \varepsilon \tilde{A}_n(\varepsilon, \lambda), \quad n \geq 3, \\
(2 + \varepsilon^2) [(2 + \varepsilon^2) n - (4 + 3 \varepsilon^2)] b_n(\varepsilon) &= \varepsilon \tilde{B}_n(\varepsilon, \lambda), \quad n \geq 3, \quad n \neq 4, 6.
\end{aligned}$$

For $\varepsilon = 0$ we obtain

$$\begin{aligned}
a_2(\varepsilon) &= a_3(\varepsilon) = \dots = 0, \\
b_3(\varepsilon) &= b_5(\varepsilon) = b_7(\varepsilon) = b_8(\varepsilon) = \dots = 0,
\end{aligned}$$

and

$$\begin{aligned}
-b_2(0) &= \frac{180}{49} - \frac{183}{7} b_2(0) + \frac{36}{\sqrt{7}} b_4(0), \\
8 b_4(0) &= \frac{36}{\sqrt{7}} b_2(0), \\
16 b_6(0) &= \frac{1324}{21 \sqrt{7}},
\end{aligned}$$

or

$$\begin{aligned} \frac{176}{7} b_2(0) - \frac{36}{\sqrt{7}} b_4(0) &= \frac{180}{49}, \\ -\frac{36}{\sqrt{7}} b_2(0) + 8 b_4(0) &= 0 \end{aligned}$$

and $b_6(0) = 331 / (84 \sqrt{7})$, so that

$$b_2(0) = \frac{90}{49}, \quad b_4(0) = \frac{405}{49 \sqrt{7}}, \quad b_6(0) = \frac{331}{84 \sqrt{7}}.$$

In view of the estimates (8), (15) and the solvability for $\varepsilon = 0$ it can be shown that our system of equations has, for all small non-negative values of ε , in fact a solution $\lambda^{(+)}(\vartheta; r)$ of the form (13) whose derivative has a uniformly bounded norm:

$$\lambda^{(+)}(\vartheta; r) = \varepsilon \frac{4}{\sqrt{7}} s_2 + \varepsilon^2 \left[\frac{90}{49} s_2 + \frac{9}{7} s_4 \right] + O(\varepsilon^3).$$

Let us summarize: We have seen that equations (10) have three distinct solutions for values of $r \geq 1$ close to $r = 1$:

$$\begin{aligned} \lambda^{(0)}(\vartheta; r) &= 0, \\ \lambda^{(+)}(\vartheta; r) &= \frac{4}{\sqrt{7}} \sqrt{r^2 - 1} \sin 2\vartheta + O(r^2 - 1), \\ \lambda^{(-)}(\vartheta; r) &= -\frac{4}{\sqrt{7}} \sqrt{r^2 - 1} \sin 2\vartheta + O(r^2 - 1). \end{aligned}$$

Each generates a solution of Plateau's problem for the Jordan curve Γ_r . $\lambda^{(0)} = 0$ leads us back to Enneper's surface $S^{(0)}(r)$ with the position vector $\mathbf{r}^{(0)}(\varrho, \vartheta; r)$. But in addition to Enneper's surface two new surfaces $S^{(+)}(r)$ and $S^{(-)}(r)$ appear. In view of (3) the position vectors of these surfaces are, respectively,

$$\mathbf{r}^{(\pm)}(\varrho, \vartheta; r) = \mathbf{r}^{(0)}(\varrho, \vartheta; r) + \frac{2}{\sqrt{7}} \sqrt{r^2 - 1} \begin{pmatrix} \varrho^3 c_3 - \varrho^5 c_5 \\ -\varrho^3 s_3 - \varrho^5 s_5 \\ -2 + 2\varrho^4 c_4 \end{pmatrix} + O(r^2 - 1).$$

We know that Enneper's surface is not stable for $r > 1$. The surfaces $S^{(+)}(r)$ and $S^{(-)}(r)$ will be the two surfaces of least area bounded by Γ_r . (It is the special symmetry of Γ_r which gives rise to *two* distinct, but congruent surfaces of least area.) While the point $u = v = 0$ is mapped by $\mathbf{r}^{(0)}$ into the origin of 3-space for all values of r , its image under the mapping by the vectors $\mathbf{r}^{(+)}$ and $\mathbf{r}^{(-)}$, roughly the point

$$\left(0, 0, -\frac{4}{\sqrt{7}}\sqrt{r^2-1}\right) \text{ or } \left(0, 0, \frac{4}{\sqrt{7}}\sqrt{r^2-1}\right),$$

moves along the z -axis, down or up, as r increases. In this way the surfaces $S^{(+)}$ and $S^{(-)}$ arrange to decrease the surface area of $S^{(0)}$.

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