

## THE SUBLATTICE OF AN ORTHOGONAL PAIR IN A MODULAR LATTICE

HERBERT GROSS and PAUL HAFNER

### Introduction

We will be concerned with a modular lattice  $\mathcal{L}$  together with an antitone mapping  $\perp : \mathcal{L} \rightarrow \mathcal{L}$  such that

$$(1) \quad x \cong x^{\perp\perp} \quad \text{for all } x \in \mathcal{L}.$$

The following rules are easily verified:

$$(2) \quad a^{\perp\perp\perp} = a^{\perp},$$

$$(3) \quad x \cong y \Rightarrow x^{\perp\perp} \cong y^{\perp\perp},$$

$$(4) \quad (x \vee y)^{\perp} = x^{\perp} \wedge y^{\perp}.$$

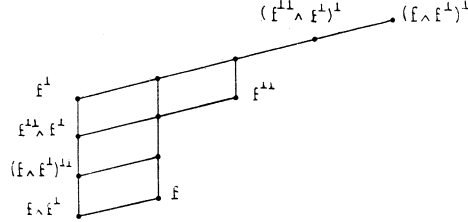
If  $x = x^{\perp\perp}$  we call  $x$  *closed*; if  $x \cong y^{\perp}$  we write  $x \perp y$ .

Under the assumption that  $f \perp g$  we shall construct the free modular lattice  $\mathcal{V}(f, g)$  generated by  $\mathcal{V}(f) \cup \mathcal{V}(g)$ , where  $\mathcal{V}(f)$  is the orthostable lattice generated by  $f \in \mathcal{L}$ .  $\mathcal{V}(f, g)$  is a distributive lattice. We will also give some conditions ensuring that  $\mathcal{V}(f, g)$  or a slight modification of  $\mathcal{V}(f, g)$  is orthostable. Certain special cases are studied separately because of their importance in geometry.

The value of lattice theoretical computations such as given here rests on the fact that they yield — in conjunction with certain general theorems proved in [3] and [5] — strong results on the classification of subspaces in quadratic spaces, normal bases, decomposition theorems. The role of the lattice theoretic part has been described in detail in Section 3 of [5]. Further applications of this method are given in [4]. Cf. also Remark 5 (iii) at the end.

**1. The lattice: general case**

The  $\perp$ -stable lattice  $\mathcal{V}(f)$  generated by an element  $f \in \mathcal{L}$  (modular with  $\perp$ ) is given by the following diagram



Let  $\mathcal{I}(f)$  be the ideal generated in  $\mathcal{V}(f)$  by  $f^{\perp\perp}$ ; and let the filter generated by  $f^{\perp}$  be denoted by  $\mathcal{F}(f)$ . Note that  $\mathcal{V}(f) = \mathcal{I}(f) \cup \mathcal{F}(f)$  and that  $\mathcal{F}(f)$  is a chain. Moreover,  $\mathcal{V}(f)$  is distributive.

Considering a second element  $g \in \mathcal{L}$  we prove:

**Lemma 1.** *Assume that  $f \perp g$ . Then the lattice  $\mathcal{V}(f, g)$  generated in  $\mathcal{L}$  by  $\mathcal{V}(f) \cup \mathcal{V}(g)$  is distributive.*

*Proof.* By Theorem 6 of [6] and symmetry it suffices to verify that  $(b \vee b') \wedge c = (b \wedge c) \vee (b' \wedge c)$  for all  $b, b' \in \mathcal{V}(f)$  and all  $c \in \mathcal{V}(g)$ . Since  $f \perp g$  we have  $y \cong f^{\perp} \cong g^{\perp\perp} \cong x$  for all  $x \in \mathcal{I}(g)$ ,  $y \in \mathcal{F}(f)$ . This and the symmetric fact is expressed by

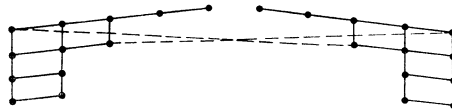
$$(5) \quad \mathcal{I}(f) \cong \mathcal{F}(g), \quad \mathcal{I}(g) \cong \mathcal{F}(f).$$

The only elements in  $\mathcal{V}(f)$  which are not join-irreducible are  $z_1 = f \vee (f \wedge f^{\perp})^{\perp\perp}$ ,  $z_2 = f \vee (f^{\perp} \wedge f^{\perp\perp})$ ,  $z_3 = f \vee f^{\perp}$ ,  $z_4 = f^{\perp\perp} \vee f^{\perp}$ . For  $i=3, 4$  we obtain the distributivity of  $z_i \wedge y$  using (5) and modularity. The same works for  $i=1, 2$  and  $y \in \mathcal{F}(g)$ . Finally (5) implies that  $y = f^{\perp} \wedge y$  for  $y \in \mathcal{I}(g)$ ; therefore

$$\begin{aligned} z_1 \wedge y &= [f \vee (f \wedge f^{\perp})^{\perp\perp}] \wedge f^{\perp} \wedge y = (f \wedge f^{\perp})^{\perp\perp} \wedge y \cong (f \wedge y) \vee [(f \wedge f^{\perp})^{\perp\perp} \wedge y] \\ z_2 \wedge y &= [f \vee (f^{\perp} \wedge f^{\perp\perp})] \wedge f^{\perp} \wedge y = f^{\perp} \wedge f^{\perp\perp} \wedge y \cong (f \wedge y) \vee [(f^{\perp} \wedge f^{\perp\perp}) \wedge y]. \end{aligned}$$

This takes care of the remaining cases, bearing in mind the distributive inequality.

**Remark 1.** Let  $\mathcal{D}$  be given by the following diagram



where the broken lines indicate a relation  $\cong$ . The proof given above shows that the free modular lattice generated by  $\mathcal{D}$  is distributive.

A situation involving  $\mathcal{D}$  appears again in the construction of the  $\perp$ -stable lattice generated by two elements  $f, g \in \mathcal{L}, f \perp f, g \perp g$ . Here

$$\mathcal{I}_1 = \{f \wedge g^\perp, f, (f \wedge g^\perp)^{\perp\perp}, f \vee (f \wedge g^\perp)^{\perp\perp}, f^{\perp\perp} \wedge g^\perp, f \vee (f^{\perp\perp} \wedge g^\perp), f^{\perp\perp}\}$$

and

$$\mathcal{F}_1 = \{g^\perp, f \vee g^\perp, f^{\perp\perp} \vee g^\perp, (f^\perp \wedge g^{\perp\perp})^\perp, (f^\perp \wedge g)^\perp\}$$

take the place of  $\mathcal{I}(f)$  and  $\mathcal{F}(f)$  respectively. If  $\mathcal{I}_2$  and  $\mathcal{F}_2$  denote the analogous sets with  $f$  and  $g$  interchanged, then clearly the orthostable lattice generated by  $f$  and  $g$  must contain the sublattice generated by  $\mathcal{I}_1 \cup \mathcal{I}_2 \cup \mathcal{F}_1 \cup \mathcal{F}_2$  which by the proof of Lemma 1 is distributive.

In what follows we construct the free modular lattice generated by  $\mathcal{D}$ . We will however do it in the setup of  $\mathcal{V}(f) \cup \mathcal{V}(g)$  and leave it to the reader to verify that the result has general validity.

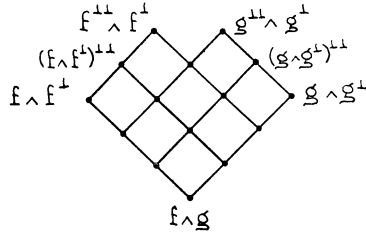
Thanks to the distributivity of  $\mathcal{V}(f, g)$  the lattice  $\mathcal{V}_2$  generated by  $\mathcal{I}(f) \cup \mathcal{I}(g)$  is the join-closure of

$$\mathcal{I}(f) \cup \mathcal{I}(g) \cup \{x \wedge y \mid x \in \mathcal{I}(f), y \in \mathcal{I}(g)\}.$$

As  $f \perp g$  we have

$$\{x \wedge y \mid x \in \mathcal{I}(f), y \in \mathcal{I}(g)\} = \{x \wedge y \mid x \in \mathcal{I}_0(f), y \in \mathcal{I}_0(g)\},$$

where  $\mathcal{I}_0(f) = \{f \wedge f^\perp, (f \wedge f^\perp)^{\perp\perp}, f^\perp \wedge f^{\perp\perp}\}$  and similarly for  $\mathcal{I}_0(g)$  (compare the proof of Lemma 1). Therefore, we begin by forming the free modular lattice  $M$  generated by the two chains  $\mathcal{I}_0(f), \mathcal{I}_0(g)$ .  $M$  has  $8!(4!)^{-2} - 2 = 68$  elements ([1] p. 66) and consists of all joins of elements out of the following diagram



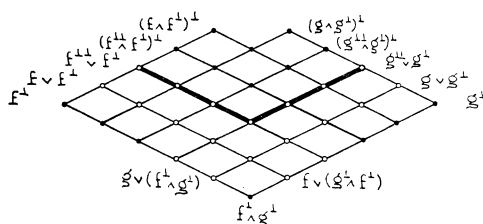
(intersections of lines represent meets of elements).

The next step is to form joins of elements in  $M$  with  $f, g, f \vee g, f^{\perp\perp}, g^{\perp\perp}, f \vee g^{\perp\perp}, f^{\perp\perp} \vee g, f^{\perp\perp} \vee g^{\perp\perp}$ . This produces all elements of  $\mathcal{V}_2$  since any element  $x \vee y \vee m$ , where  $x \in \mathcal{I}(f), y \in \mathcal{I}(g), m \in M$  is of the form  $x_0 \vee y_0 \vee m_0$  with  $x_0 \in \{f, f^{\perp\perp}\}, y_0 \in \{g, g^{\perp\perp}\}, m_0 \in M$ . In an expression like  $f \vee x \vee y \vee \bigvee x_i \wedge y_i$ , where  $x, x_i \in \mathcal{I}_0(f), y, y_i \in \mathcal{I}_0(g)$  one can dispose of terms  $x_i \wedge y_i \leq f$ . Thus we may assume that  $x, x_i \neq f \wedge f^\perp$  and hence  $f \vee M = f \vee M_1$ , where  $M_1$  is the free modular lattice generated by the 2 chains  $\{(f \wedge f^\perp)^{\perp\perp}, f^\perp \wedge f^{\perp\perp}\}$  and  $\mathcal{I}_0(g)$ . We obtain  $7!(3!4!)^{-1} - 2 = 33$  elements or 34 elements if we include  $f$ . The same kind of reasoning leads to the following enumeration

$M$ : 68 elements;  $f \vee M$ : 34 elements (including  $f$ );  $g \vee M$ : 34 elements (including  $g$ );  $f \vee g \vee M$ : 19 elements (including  $f \vee g$ );  $f^{\perp\perp} \vee M$ : 4 elements (including  $f^{\perp\perp}$ );  $g^{\perp\perp} \vee M$ : 4 elements (including  $g^{\perp\perp}$ );  $f \vee g^{\perp\perp} \vee M$ : 3 elements (including  $f \vee g^{\perp\perp}$ );  $g \vee f^{\perp\perp} \vee M$ : 3 elements (including  $g \vee f^{\perp\perp}$ );  $f^{\perp\perp} \vee g^{\perp\perp}$ : 1 element.

Altogether the free modular lattice generated by  $\mathcal{F}(f) \cup \mathcal{F}(g)$  has 170 elements.

The lattice  $\mathcal{V}_1$  generated by the 2 chains  $\mathcal{F}(f)$  and  $\mathcal{F}(g)$  is the  $\vee$ -closure of the elements which are depicted in the following diagram (intersections of lines represent meets):



Observe that the elements marked by circles are of the form  $f \vee x$ ,  $f^{\perp\perp} \vee x$ ,  $g \vee y$ ,  $g^{\perp\perp} \vee y$  for  $x \in \{f^{\perp}, f^{\perp} \wedge a | a \in \mathcal{F}(g)\}$ ,  $y \in \{g^{\perp}, g^{\perp} \wedge b | b \in \mathcal{F}(f)\}$ . Moreover, for

$$r \in \{f^{\perp}, f^{\perp} \wedge (g \wedge g^{\perp})^{\perp}, f^{\perp} \wedge (g^{\perp\perp} \wedge g^{\perp})^{\perp}\},$$

$$s \in \{g^{\perp}, g^{\perp} \wedge (f \wedge f^{\perp})^{\perp}, g^{\perp} \wedge (f^{\perp\perp} \wedge f^{\perp})^{\perp}\}$$

we have

$$r \vee s = (r \vee f^{\perp\perp}) \vee (s \vee g^{\perp\perp}).$$

As a consequence  $\mathcal{V}_1$  is the lattice generated by the two chains

$$\{f^{\perp\perp} \vee f^{\perp}, (f^{\perp\perp} \wedge f^{\perp})^{\perp}, (f \wedge f^{\perp})^{\perp}\}$$

and

$$\{g^{\perp\perp} \vee g^{\perp}, (g^{\perp\perp} \wedge g^{\perp})^{\perp}, (g \wedge g^{\perp})^{\perp}\}$$

together with the 20 elements below the solid line in the diagram. The total number of elements in  $\mathcal{V}_1$  is therefore at most  $68 + 20 = 88$ .

Finally we prove that  $\mathcal{V}_1 \cup \mathcal{V}_2$  is a lattice by showing that  $x \vee y$  and  $x \wedge y$  are in  $\mathcal{V}_1 \cup \mathcal{V}_2$  whenever  $x \in \mathcal{V}_1, y \in \mathcal{V}_2$ . As for the joins it suffices to show that  $x \vee y \in \mathcal{V}_1$  for  $x \in \mathcal{V}_1$  and  $y$  join-irreducible in  $\mathcal{V}_2$ ,  $y \not\leq f^{\perp} \wedge g^{\perp}$ . Since  $f^{\perp} \wedge g^{\perp} \wedge (f^{\perp\perp} \vee g^{\perp\perp}) = (f^{\perp} \wedge f^{\perp\perp}) \vee (g^{\perp} \wedge g^{\perp\perp})$  the only such  $y$  are  $f, f^{\perp\perp}, g, g^{\perp\perp}$ ; for these, however, the claim is obvious. Owing to distributivity we will now consider only those meets  $x \wedge y$  for which  $x \in \mathcal{V}_1, y \in \mathcal{V}_2$  are join-irreducible with  $x \not\leq f^{\perp\perp} \vee g^{\perp\perp} \vee (f^{\perp} \wedge g^{\perp})$  and  $y \not\leq f^{\perp} \wedge g^{\perp}$ . This means that

$$x \in \{f^{\perp}, f^{\perp} \wedge (g \wedge g^{\perp})^{\perp}, f^{\perp} \wedge (g^{\perp} \wedge g^{\perp\perp})^{\perp}, f^{\perp} \wedge g^{\perp}, g^{\perp} \wedge (f^{\perp} \wedge f^{\perp\perp})^{\perp},$$

$$g^{\perp} \wedge (f \wedge f^{\perp})^{\perp}, g^{\perp}\}$$

and

$$y \in \{f, f^{\perp\perp}, g, g^{\perp\perp}\}.$$

These verifications are easy.

We summarize:

**Theorem 1.** *The free modular lattice generated by  $\mathcal{D}$  has 258 elements.*

## 2. The lattice: some special cases

We recall that  $f \perp g$  is assumed throughout. From this it follows that  $f^{\perp\perp} \wedge g^{\perp\perp} = f^{\perp} \wedge f^{\perp\perp} \wedge g^{\perp} \wedge g^{\perp\perp}$ . The following condition requires that  $f^{\perp\perp} \wedge g^{\perp\perp}$  is even smaller:

$$(6) \quad f^{\perp\perp} \wedge g^{\perp\perp} = (f \wedge f^{\perp})^{\perp\perp} \wedge (g \wedge g^{\perp})^{\perp\perp}.$$

Under this assumption

$$(7) \quad \mathcal{V}_2 = \mathcal{I}(f) \cup \mathcal{I}(g) \cup \{x \vee y \mid x \in \mathcal{I}(f), y \in \mathcal{I}(g)\} \cup \mathcal{W},$$

where  $\mathcal{W}$  is the set containing the following 17 elements:

- |  |  |
|--|--|
| 1 $f \wedge g$   | 10 $f \vee (g \wedge f^{\perp\perp})$  |
| 2 $(f \wedge g^{\perp\perp}) \vee (g \wedge f^{\perp\perp})$                                   | 11 $g \vee (f \wedge g^{\perp\perp})$  |
| 3 $f^{\perp\perp} \wedge g^{\perp\perp}$   | 12 $(f \wedge f^{\perp}) \vee (f^{\perp\perp} \wedge g^{\perp\perp})$          |
| 4 $(f \wedge f^{\perp}) \vee (g \wedge g^{\perp}) \vee (f^{\perp\perp} \wedge g^{\perp\perp})$ | 13 $(g \wedge g^{\perp}) \vee (f^{\perp\perp} \wedge g^{\perp\perp})$          |
| 5 $f \vee g \vee f^{\perp\perp} \wedge g^{\perp\perp}$   | 14 $f \vee (f^{\perp\perp} \wedge g^{\perp\perp})$                             |
| 6 $f \wedge g^{\perp\perp}$  | 15 $g \vee (f^{\perp\perp} \wedge g^{\perp\perp})$                             |
| 7 $g \wedge f^{\perp\perp}$  | 16 $f \vee (g \wedge g^{\perp}) \vee (f^{\perp\perp} \wedge g^{\perp\perp})$   |
| 8 $(f \wedge f^{\perp}) \vee g \wedge f^{\perp\perp}$  | 17 $g \vee (f \wedge f^{\perp}) \vee (f^{\perp\perp} \wedge g^{\perp\perp})$ . |
| 9 $(g \wedge g^{\perp}) \vee f \wedge g^{\perp\perp}$  |  |

To prove (7) note that by distributivity  $\mathcal{V}_2$  consists of joins  $u_1 \vee u_2 \vee u_3 \vee \dots \vee u_r$ , where (a)  $u_i \in \mathcal{I}(f) \cup \mathcal{I}(g)$  or (b)  $u_i$  is a meet  $x \wedge y$  of join-irreducible elements  $x \in \mathcal{I}(f)$ ,  $y \in \mathcal{I}(g)$ . From (6) and  $f \perp g$  we see that the joins of elements of type (b) form the set

$$\mathcal{V} = \{f^{\perp\perp} \wedge g^{\perp\perp}, (f^{\perp\perp} \wedge g) \vee (g^{\perp\perp} \wedge f), f^{\perp\perp} \wedge g, g^{\perp\perp} \wedge f, f \wedge g\}.$$

Under the assumption (6)  $\mathcal{V}_2$  therefore has at most  $63 + 17 = 80$  elements.

Condition (6), which does not have any bearing on  $\mathcal{V}_1$ , can be obtained from

$$(8) \quad f^{\perp} \vee g^{\perp} = (f \wedge f^{\perp})^{\perp} \vee (g \wedge g^{\perp})^{\perp}$$

by applying  $\perp$ . Equation (8) has very strong consequences:

Lemma 2. Assume that  $f \perp g$  and that (8) holds. Then

$$(9) \quad (y_1 \wedge y_2) \vee (y'_1 \wedge y'_2) = (y_1 \vee y'_1) \wedge (y_2 \vee y'_2)$$

for all  $y_1, y'_1 \in \mathcal{F}(f)$  and all  $y_2, y'_2 \in \mathcal{F}(g)$ . In particular  $\mathcal{F}(f) \cup \mathcal{F}(g) \cup \{x \wedge y \mid x \in \mathcal{F}(f), y \in \mathcal{F}(g)\} \cup \{f^\perp \vee g^\perp\}$  is  $\vee$ -closed and hence a sublattice of  $\mathcal{L}$ , i.e. it is  $\mathcal{V}_2$ ;  $\text{card } \mathcal{V}_2 \cong 36$ .

*Proof.* It is clear that  $\cong$  holds in (9). To obtain the converse inclusion we consider the case  $y_1 \cong y'_1$  and  $y'_2 \cong y_2$  (the other cases being trivial). The right hand side of (9) then becomes

$$\begin{aligned} y'_1 \wedge y_2 &= y'_1 \wedge y_2 \wedge [(f \wedge f^\perp)^\perp \vee (g \wedge g^\perp)^\perp] = y'_1 \wedge y_2 \wedge (f^\perp \vee g^\perp) \\ &= (f^\perp \wedge y_2) \vee (g^\perp \wedge y'_1) \cong (y_1 \wedge y_2) \vee (y'_1 \wedge y'_2). \end{aligned}$$

This proves the lemma.

Theorem 2. Let  $\mathcal{L}$  be a modular lattice with a Galois autoconnection  $\perp$ . If  $f \perp g$  and (6) holds then  $\text{card } \mathcal{V}(f, g) \leq 168$  and  $\mathcal{V}(f, g) = \mathcal{V}_1 \cup \mathcal{V}_2$ , where  $\mathcal{V}_2$  is given by (7) and  $\mathcal{V}_1$  is generated by two chains. If instead of (6) one assumes (8), then  $\mathcal{V}_2$  is as before,  $\mathcal{V}_1$  is the product of 2 chains and  $\text{card } \mathcal{V}(f, g) \leq 116$ .

Remark 2. The same considerations are valid in the case  $f \perp f, g \perp g$  provided (6) is replaced by

$$(10) \quad f^{\perp\perp} \wedge g^{\perp\perp} = (f \wedge g^\perp)^{\perp\perp} \wedge (g \wedge f^\perp)^{\perp\perp}$$

and (8) is replaced by

$$(11) \quad f^\perp \vee g^\perp = (f \wedge g^\perp)^\perp \vee (g \wedge f^\perp)^\perp.$$

It is easily seen that

$$(12) \quad f^\perp \vee g^\perp = (f \wedge g)^\perp$$

implies (8) if  $f \perp g$  and also implies (11) if  $f \perp f, g \perp g$ .

### 3. Orthostability

We want to be sure that  $x^\perp \in \mathcal{V}(f, g)$  for all  $x \in \mathcal{V}(f, g)$ . Since  $(a \vee b)^\perp = a^\perp \wedge b^\perp$  and  $\mathcal{V}(f, g)$  is a lattice we need only find the orthogonals of join-irreducible elements. If  $x \in \mathcal{V}_1$  is join-irreducible, then  $x \in \mathcal{F}(f)$ , or  $x \in \mathcal{F}(g)$ , or  $x = u^\perp \wedge v^\perp$  for some  $u \in \mathcal{I}(f), v \in \mathcal{I}(g)$ . In the latter case  $x^\perp = (u \vee v)^{\perp\perp}$  and the following condition must be satisfied for  $x^\perp$  to belong to  $\mathcal{V}(f, g)$

$$(13) \quad (a \vee b)^{\perp\perp} \in \mathcal{V}(f, g) \quad \text{for all } a \in \mathcal{I}(f), b \in \mathcal{I}(g).$$

Another problem appears when we check orthogonals of elements in  $\mathcal{V}_2$ :  $f \wedge g = (f \wedge f^\perp) \wedge (g \wedge g^\perp) \cong (f \wedge f^\perp)^{\perp\perp} \wedge (g \wedge g^\perp)^{\perp\perp}$  and therefore  $(f \wedge g)^\perp \cong [(f \wedge f^\perp)^\perp \vee (g \wedge g^\perp)^\perp]^{\perp\perp}$ . Since  $(f \wedge f^\perp)^\perp \vee (g \wedge g^\perp)^\perp$  is the largest element of

$\mathcal{V}(f, g)$ , this lattice will have to be extended at the top end unless  $(f \wedge f^\perp)^\perp \vee (g \wedge g^\perp)^\perp$  is closed and  $(f \wedge g)^\perp = (f \wedge f^\perp)^\perp \wedge (g \wedge g^\perp)^\perp$ . Postponing the problem of such an extension at the moment we consider only  $x \in \mathcal{V}_2$  such that  $x > (f \wedge f^\perp)^\perp \wedge (g \wedge g^\perp)^\perp$ , or  $x \cong f \wedge f^\perp$ , or  $x \cong g \wedge g^\perp$ . The join-irreducible ones among them are elements of  $\mathcal{I}(f)$  or  $\mathcal{I}(g)$  or meets  $x = a^{\perp\perp} \wedge b^{\perp\perp}$ , where  $a \in \mathcal{I}(f)$ ,  $b \in \mathcal{I}(g)$ . In the last case  $x^\perp = (a^\perp \vee b^\perp)^{\perp\perp}$ . Thus, a further condition must be satisfied:

- (14) For  $a \in \mathcal{I}(f)$ ,  $b \in \mathcal{I}(g)$  such that  $a^\perp \vee b^\perp < (f \wedge f^\perp)^\perp \vee (g \wedge g^\perp)^\perp$  the closure of  $a^\perp \vee b^\perp$  also belongs to  $\mathcal{V}(f, g)$ .

The only join-irreducible elements of  $\mathcal{V}_2$  not yet considered are

$$f \wedge g^{\perp\perp}, f \wedge (g \wedge g^\perp)^{\perp\perp}, f \wedge g, g \wedge (f \wedge f^\perp)^{\perp\perp}, g \wedge f^{\perp\perp}.$$

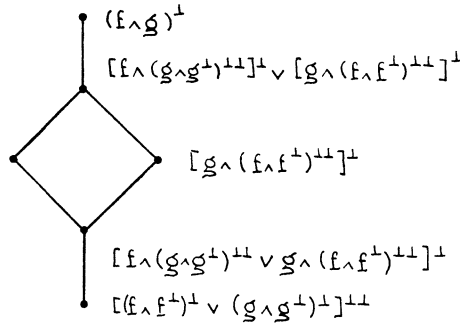
To be able to deal with  $f \wedge g^{\perp\perp}$  and  $g \wedge f^{\perp\perp}$  we must require that

- (15)  $(f \wedge g^{\perp\perp})^{\perp\perp}$  and  $(g \wedge f^{\perp\perp})^{\perp\perp}$  belong to  $\mathcal{V}(f, g)$  and are comparable to  $(f \wedge f^\perp)^{\perp\perp} \wedge (g \wedge g^\perp)^{\perp\perp}$ .

The elements below  $(f \wedge f^\perp)^{\perp\perp} \wedge (g \wedge g^\perp)^{\perp\perp}$  make it necessary to extend  $\mathcal{V}(f, g)$  at the top end; again we must require that

- (16) the closures of elements  $\cong (f \wedge f^\perp)^{\perp\perp} \wedge (g \wedge g^\perp)^{\perp\perp}$  belong to  $\mathcal{V}(f, g)$ .

Assuming (16) one can add up to 6 elements at the top end of  $\mathcal{V}(f, g)$ ; the maximum number of 6 is needed if  $(f \wedge f^\perp)^\perp \vee (g \wedge g^\perp)^\perp$  is not closed, and all four elements below  $(f \wedge f^\perp)^{\perp\perp} \wedge (g \wedge g^\perp)^{\perp\perp}$  are closed:



We summarize the result as a theorem:

**Theorem 3.**  $\mathcal{V}(f, g)$  or a small extension of  $\mathcal{V}(f, g)$  is orthostable provided the conditions (13), (14), (15), and (16) hold. The maximum number of elements in the orthostable lattice is 264.

The conditions in Theorem 3 are satisfied in the following situation:

- (16) closures of elements  $\cong (f \wedge f^\perp)^{\perp\perp} \wedge (g \wedge g^\perp)^{\perp\perp}$  belong to  $\mathcal{V}(f, g)$ .  
 (17)  $(f \wedge g^{\perp\perp})^{\perp\perp} = (f \wedge f^\perp)^{\perp\perp} \wedge g^{\perp\perp}$ ;  $(g \wedge f^{\perp\perp})^{\perp\perp} = f^{\perp\perp} \wedge (g \wedge g^\perp)^{\perp\perp}$ ;  
 (18)  $[(f \wedge f^\perp)^{\perp\perp} \vee (g \wedge g^\perp)^{\perp\perp}]^{\perp\perp} = (f \wedge f^\perp)^{\perp\perp} \vee (g \wedge g^\perp)^{\perp\perp} \vee (f^{\perp\perp} \wedge g^{\perp\perp})$ ;

(19) the following are closed:

$$f^{\perp\perp} \vee g^{\perp\perp}, f^{\perp\perp} \vee (g^{\perp} \wedge g^{\perp\perp}), f^{\perp\perp} \vee (g^{\perp} \wedge g^{\perp\perp})^{\perp\perp}, g^{\perp\perp} \vee (f^{\perp} \wedge f^{\perp\perp}), \\ g^{\perp\perp} \vee (f^{\perp} \wedge f^{\perp\perp})^{\perp\perp};$$

(20) the following are closed:

$$(f^{\perp} \wedge f^{\perp\perp})^{\perp} \vee (g^{\perp} \wedge g^{\perp\perp})^{\perp}, (f^{\perp} \wedge f^{\perp\perp})^{\perp} \vee (g^{\perp} \wedge g^{\perp\perp})^{\perp\perp}, (f^{\perp} \wedge f^{\perp\perp})^{\perp} \vee (g^{\perp} \wedge g^{\perp\perp})^{\perp\perp}.$$

Remark 3. Note that the right hand side in (18) is closed provided (19) holds, since it is the meet of  $f^{\perp\perp} \vee (g^{\perp} \wedge g^{\perp\perp})^{\perp\perp}$  and  $g^{\perp\perp} \vee (f^{\perp} \wedge f^{\perp\perp})^{\perp\perp}$ . Given (19), the joins  $a \vee b$  of closed elements  $a \in \mathcal{I}(f)$ ,  $b \in \mathcal{I}(g)$  are closed, being meets of elements listed in (19).

To conclude this section we return to the special cases treated in Section 2 and consider the question of orthostability. As before,  $\mathcal{V}_1^{\perp}$  is taken care of by assuming

$$(13) \quad \mathcal{V}(f, g) \text{ contains } (a \vee b)^{\perp\perp} \text{ for all } a \in \mathcal{I}(f), b \in \mathcal{I}(g).$$

The set of join-irreducible elements of  $\mathcal{V}_2$  which are not contained in  $\mathcal{I}(f) \cup \mathcal{I}(g)$  is the set  $\mathcal{V}$  as defined at the beginning of Section 2. If  $[\mathcal{V}^{\perp}]$  is the lattice generated by  $\mathcal{V}^{\perp}$ , then  $\mathcal{V}_0 = \mathcal{V}(f, g) \cup [\mathcal{V}^{\perp}]$  is a lattice because  $\mathcal{V}(f, g) \cong (f^{\perp\perp} \wedge g^{\perp\perp})^{\perp} = (f^{\perp} \vee g^{\perp})^{\perp\perp}$  by (6). If  $\mathcal{V}_0$  is to be  $\perp$ -stable we must have the elements of  $[\mathcal{V}^{\perp}]^{\perp}$  in  $\mathcal{V}(f, g)$ ; this will happen precisely when  $(\mathcal{V}^{\perp})^{\perp} \subset \mathcal{V}$ . This proves

Lemma 3. *Assume (6). Then, with the notation introduced above,  $\mathcal{V}(f, g) \cup [\mathcal{V}^{\perp}]$  is a lattice. This lattice is orthostable if and only if  $(a \vee b)^{\perp\perp} \in \mathcal{V}(f, g)$  for all  $a \in \mathcal{I}(f)$ ,  $b \in \mathcal{I}(g)$  and*

$$(21) \quad (\mathcal{V}^{\perp})^{\perp} \subset \mathcal{V}.$$

We now prove

Lemma 4. *For all  $x \in \mathcal{L}$  with  $f^{\perp} \cong x^{\perp} \cong f^{\perp} \vee g^{\perp}$  we have*

$$(22) \quad [x^{\perp\perp} \vee (g^{\perp\perp} \wedge f^{\perp\perp})]^{\perp\perp} = (x^{\perp\perp} \vee g^{\perp\perp})^{\perp\perp} \wedge f^{\perp\perp}.$$

*Proof.* We have  $x^{\perp} \wedge (f^{\perp} \vee g^{\perp}) = x^{\perp} \wedge (f^{\perp} \vee g^{\perp})^{\perp\perp}$  since both sides reduce to  $x^{\perp}$  by the assumption of the lemma. By modularity the left hand side is equal to  $f^{\perp} \vee (x^{\perp} \wedge g^{\perp}) = f^{\perp} \vee (x^{\perp} \wedge g^{\perp})^{\perp\perp}$ ; the right hand side equals  $[x^{\perp\perp} \vee (f^{\perp\perp} \wedge g^{\perp\perp})]^{\perp}$ . Taking orthogonals on both sides yields the asserted equality.

Remark 4. Obviously, if  $f^{\perp} \vee g^{\perp}$  is assumed closed, then by the above proof (22) holds for all  $x \in \mathcal{L}$  with  $f^{\perp} \cong x^{\perp}$ .

The following lemma elaborates on the first condition enunciated in Lemma 3:

Lemma 5. *Assume that  $f \perp g$  satisfy (8) and the closedness condition*

$$(23) \quad f^{\perp\perp} \vee g^{\perp\perp} = (f \vee g)^{\perp\perp}.$$

*Then we have*

$$(24) \quad x_1^{\perp\perp} \vee x_2^{\perp\perp} = (x_1 \vee x_2)^{\perp\perp}$$

*for all  $x_1 \in \mathcal{I}(f)$ ,  $x_2 \in \mathcal{I}(g)$ .*



*Proof.* By (23)

$$(x_1^{\perp\perp} \vee x_2^{\perp\perp})^{\perp\perp} = (x_1^{\perp\perp} \vee x_2^{\perp\perp})^{\perp\perp} \wedge (f^{\perp\perp} \vee g^{\perp\perp}) \cong (x_1^{\perp\perp} \vee g^{\perp\perp})^{\perp\perp} \wedge (f^{\perp\perp} \vee g^{\perp\perp}).$$

By distributivity and Lemma 4 therefore  $(x_1^{\perp\perp} \vee x_2^{\perp\perp})^{\perp\perp} \cong (x_1^{\perp\perp} \vee (g^{\perp\perp} \wedge f^{\perp\perp}))^{\perp\perp} \vee g^{\perp\perp} = x_1^{\perp\perp} \vee g^{\perp\perp}$  (the last equality by (6)). By a symmetric argumentation  $(x_1^{\perp\perp} \vee x_2^{\perp\perp})^{\perp\perp} \cong (f^{\perp\perp} \vee x_2^{\perp\perp})^{\perp\perp}$  so that  $(x_1^{\perp\perp} \vee x_2^{\perp\perp})^{\perp\perp} \cong (x_1^{\perp\perp} \vee g^{\perp\perp}) \wedge (f^{\perp\perp} \vee x_2^{\perp\perp}) = x_1^{\perp\perp} \vee x_2^{\perp\perp}$  by again using (6). Obviously, if in this proof  $f^{\perp} \vee g^{\perp}$  is assumed closed then by Remark 4 we need not assume (8) in order to quote Lemma 4. In other words, we have also proved the

*Lemma 5'.* Assume that  $f \perp g$  has  $f^{\perp\perp} \vee g^{\perp\perp}$  and  $f^{\perp} \vee g^{\perp}$  closed. Then (24) holds for all  $x_1, x_2 \in \mathcal{L}$  with  $f^{\perp\perp} \wedge g^{\perp\perp} \cong x_1^{\perp\perp} \cong f^{\perp\perp}$ ,  $f^{\perp} \wedge g^{\perp} \cong x_2^{\perp} \cong g^{\perp}$ .

Another possibility to obtain (24) is to require (23) and condition

$$(25) \quad f^{\perp\perp} \vee (g \wedge g^{\perp\perp})^{\perp\perp}, g^{\perp\perp} \vee (f \wedge f^{\perp})^{\perp\perp} \text{ are closed;}$$

for, simple calculations show that (23) and (25) imply closedness of all spaces  $x_1^{\perp\perp} \vee x_2^{\perp\perp}$  occurring in (24).

In order to satisfy (21) we may require condition

$$(26) \quad f^{\perp\perp} \wedge g^{\perp\perp} = (f \wedge g)^{\perp\perp}$$

— which means that the lattice  $\mathcal{V}^{\perp}$  of Lemma 3 reduces to  $\{(f^{\perp} \vee g^{\perp})^{\perp\perp}\}$  — or

$$(27) \quad f \wedge g, f \wedge g^{\perp\perp}, g \wedge f^{\perp\perp}, (f \wedge g^{\perp\perp}) \vee (g \wedge f^{\perp\perp}) \text{ are closed,}$$

which means that the elements of  $\mathcal{V}$  are closed so that  $\perp : \mathcal{V} \rightarrow \mathcal{V}^{\perp}$  is a bijection. Notice that (26) implies (6). We summarize:

*Theorem 4.* Let  $\mathcal{L}$  be a modular lattice equipped with a Galois autoconnection  $\perp$ . Assume that  $f, g \in \mathcal{L}$  satisfy  $f \perp g$ . Let  $\mathcal{V}(f, g)$  be the sublattice generated by the set  $\mathcal{V}(f) \cup \mathcal{V}(g)$ , where  $\mathcal{V}(f), \mathcal{V}(g)$  are the  $\perp$ -stable sublattices generated by  $f$  and  $g$  respectively. In order that the  $\perp$ -stable lattice  $\mathcal{V}(f, g, \perp)$  generated by  $\mathcal{V}(f) \cup \mathcal{V}(g)$  (i.e. the  $\perp$ -stable lattice generated by  $\{f, g\}$ ) is finite and distributive either of the following four conditions is sufficient: (26) & (23) & (25), (8) & (23) & (26), (6) & (23) & (25) & (27), (8) & (23) & (27). We then have  $\mathcal{V}(f, g, \perp) = \mathcal{V}(f, g) \cup \{(f^{\perp} \vee g^{\perp})^{\perp\perp}\}$  in the first two cases and

$$\mathcal{V}(f, g, \perp) = \mathcal{V}(f, g) \cup \{(f^{\perp} \vee g^{\perp})^{\perp\perp}, (f \wedge g^{\perp\perp})^{\perp} \wedge (g \wedge f^{\perp\perp})^{\perp}, (f \wedge g^{\perp\perp})^{\perp}, (g \wedge f^{\perp\perp})^{\perp}, [f \wedge (g \wedge g^{\perp\perp})^{\perp\perp}]^{\perp} \vee [g \wedge (f \wedge f^{\perp\perp})^{\perp\perp}]^{\perp}, (f \wedge g)^{\perp}\}$$

in the last two cases. Upper bounds for the cardinality of  $\mathcal{V}(f, g, \perp)$  in the four cases listed are respectively 169, 117, 174, 122; they are attained in the “free” cases.

*Theorem 5.* Assume that  $f \perp g$  has  $f^{\perp\perp} \vee g^{\perp\perp}$  and  $f^{\perp} \vee g^{\perp}$  closed. Then  $(f^{\perp\perp}, g^{\perp\perp})$  is a modular and dual modular pair in the lattice  $\mathcal{L}_{\perp\perp}$  of all closed elements of  $\mathcal{L}$ . If in addition (8) and (26) resp. (8) and (27) are assumed, then  $\mathcal{V}(f, g, \perp)$  is distributive and has at most 116 resp. 121 elements.

*Proof.* By Remark 3  $(f^{\perp\perp}, g^{\perp\perp})$  is a modular pair in  $\mathcal{L}_{\perp\perp}$ ; in order to show that it is a dual modular pair we have to prove that  $((z \wedge f^{\perp\perp}) \vee g^{\perp\perp})^{\perp\perp} = z \wedge (f^{\perp\perp} \vee g^{\perp\perp})^{\perp\perp}$  for all  $z \cong g^{\perp\perp}$  in  $\mathcal{L}_{\perp\perp}$ . Since  $f^{\perp\perp} \vee g^{\perp\perp}$  is closed and  $\mathcal{L}$  is modular the right hand side is  $(z \wedge f^{\perp\perp}) \vee g^{\perp\perp}$ . In order to show that this is closed we quote Lemma 5' with  $x_2 = g^{\perp\perp}$ ,  $x_1 = z \wedge f^{\perp\perp}$ . Cardinalities for  $\mathcal{V}(f, g, \perp)$  follow from Theorem 4.

Remark 5. (i) See Theorem (33.4) in [7] for modular and dual modular pairs in hermitean spaces. (ii) We have constructed sesquilinear spaces  $E$  with subspaces  $F, G$  such that (23) & (26) & (8) resp. (23) & (27) & (8) is satisfied and such that all 117 resp. 122 elements of  $\mathcal{V}(F, G, \perp)$  are different. (iii) Let  $E$  be a vector space equipped with a non degenerate alternate form,  $\dim E = \aleph_0$  and  $F, G$  subspaces with  $F \cap G = (0)$ ,  $F^{\perp\perp} + G^{\perp\perp}$  closed and  $F^{\perp} + G^{\perp} = E$ . Brand [2] gave a recursive construction for an orthogonal decomposition of  $E$ ,  $E = E_1 \oplus E_2$ , such that  $F \subset E_1$ ,  $G \subset E_2$ . From this geometric result it follows readily that the lattice  $\mathcal{V}(F, G, \perp)$  is given by  $\mathcal{I}(F) \cup \mathcal{I}(G) \cup (\mathcal{I}(F) \vee \mathcal{I}(G)) \cup \mathcal{F}(F) \cup \mathcal{F}(G) \cup (\mathcal{F}(F) \wedge \mathcal{F}(G))$ , in particular  $\mathcal{V}(F, G, \perp)$  is distributive and has 98 elements. The fruitfulness of the method hinted at in Introduction is based on a *reversal* of steps: First  $\mathcal{V}(F, G, \perp)$  is computed, then the theorems of [3] are applied in order to conclude that  $E$  must split in the manner indicated.

#### References

- [1] BIRKHOFF, G.: Lattice theory. - American Mathematical Society Colloquium Publications, XXV, Providence, R. I., 1973.
- [2] BRAND, L.: Erweiterung von algebraischen Isometrien in sesquilinearen Räumen. - Universität Zürich, Dissertation, 1974.
- [3] GROSS, H.: Isomorphisms between lattices of linear subspaces which are induced by isometries. - J. Algebra 49, 1977, 537—546.
- [4] GROSS, H.: Untersuchungen über quadratische Formen in Körpern der Charakteristik 2. - J. Reine Angew. Math. 297, 1978, 80—91.
- [5] GROSS, H., and H. A. KELLER: On the non trace-valued forms. - To appear in Advances in Math.
- [6] JOHNSON, B.: Distributive sublattices of a modular lattice. - Proc. Amer. Math. Soc. 6, 1955, 682—688.
- [7] MAEDA, E., and S. MAEDA: Theory of symmetric lattices. - Die Grundlehren der mathematischen Wissenschaften 173. Springer-Verlag, Berlin—Heidelberg—New York, 1970.

Universität Zürich  
 Mathematisches Institut  
 CH-8032 Zürich  
 Switzerland

University of Auckland  
 Department of Mathematics  
 Auckland  
 New Zealand

Received 22 December 1977