

PICARD SETS FOR MEROMORPHIC FUNCTIONS WITH A DEFICIENT VALUE

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1. Introduction

Let F be a family of functions meromorphic in the complex plane C , and S a subset of C . We call S a Picard set for F if every transcendental $f \in F$ assumes every complex value with at most two exceptions infinitely often in $C - S$. We use the usual notation of the Nevanlinna theory, the Nevanlinna deficiency is defined by

$$\delta(a, f) = \liminf_{r \rightarrow \infty} \frac{m(r, a, f)}{T(r, f)}$$

and the Valiron deficiency by

$$\Delta(a, f) = \limsup_{r \rightarrow \infty} \frac{m(r, a, f)}{T(r, f)}.$$

If F is the family of all functions meromorphic in the plane, the corresponding class of Picard sets is denoted by $P(M)$. Let $P(P)$ be the class of Picard sets for those meromorphic functions which have at least one Picard exceptional value. By means of a linear transformation, we see that $P(P)$ is the class of Picard sets for entire functions. The class of Picard sets for those meromorphic functions which have at least one Nevanlinna (resp. Valiron) deficient value is denoted by $P(N)$ (resp. $P(V)$). We see immediately that

$$P(M) \subset P(V) \subset P(N) \subset P(P).$$

In this paper we shall consider the question, under which conditions a set S belongs to the classes $P(N)$ or $P(V)$. First we shall consider countable sets and then the case when S is a countable union of open discs.

2. Countable sets of the class $P(N)$

We shall prove

Theorem 1. *Let $E = \{a_n\}$ be a countable set whose points converge to infinity. If there exists $\varepsilon > 0$ such that*

$$(A) \quad \left\{ z: 0 < |z - a_n| < \frac{\varepsilon |a_n|}{\log |a_n|} \right\} \cap E = \emptyset$$

for all large n , then $E \in P(N)$.

This theorem is best possible in the sense that, corresponding to each real-valued function $\varphi(r)$ with $\lim_{r \rightarrow \infty} \varphi(r) = \infty$, there exists $E = \{a_n\}$ satisfying

$$\left\{ z: 0 < |z - a_n| < \frac{|a_n|}{\varphi(|a_n|) \log |a_n|} \right\} \cap E = \emptyset$$

for all large n such that $E \notin P(P) \supset P(N)$. The existence of such a set E is proved in [11, pp. 7–8]. Since the condition (A) is the best possible one of this type for $P(P)$, too, there arises the question whether $P(P) = P(N)$. The answer to this question is negative.

Theorem 2. *There exists a countable set $E = \{a_n\}$ with $\lim a_n = \infty$ such that $E \in P(P) - P(N)$.*

The following theorem shows that the condition (A) is not optimal for linear sets.

Theorem 3. *Let $E = \{a_n\}$ be a sequence of points lying on the positive real axis and let $a_n \rightarrow \infty$ as $n \rightarrow \infty$. If there exists $\varepsilon > 0$ such that*

$$(B) \quad a_{n+1} > a_n \left(1 + \frac{\varepsilon}{(\log a_n)^2} \right)$$

for all large n , then $E \in P(N)$.

The condition (B) here is optimal, even for $P(P)$, for it is proved in [12] that if $\varphi(r)$ is an increasing function such that $\varphi(r) \rightarrow \infty$ as $r \rightarrow \infty$, there exists a set $E = \{a_n\}$ with $\lim a_n = \infty$ lying on the positive real axis such that $E \notin P(P)$ and

$$a_{n+1} > a_n \left(1 + \frac{1}{\varphi(a_n) (\log a_n)^2} \right)$$

for all large n .

3. Results for the class $P(V)$

Corresponding to Theorem 1, we shall prove the following result for $P(V)$.

Theorem 4. *If there exists $\varepsilon > 0$ such that the set $E = \{a_n\}$ with $\lim a_n = \infty$ satisfies*

$$(C) \quad \{z: 0 < |z - a_n| < \varepsilon |a_n|\} \cap E = \emptyset$$

for all large n , then $E \in P(V)$.

This result is optimal, even for linear sets, for we shall prove

Theorem 5. *Given any increasing function $\varphi(r)$ such that $\varphi(r) \rightarrow \infty$ as $r \rightarrow \infty$, there exists a set $E = \{a_n\}$ lying on the positive real axis such that $a_n \rightarrow \infty$ as $n \rightarrow \infty$, $E \notin P(V)$ and*

$$(D) \quad a_{n+1} > a_n \left(1 + \frac{1}{\varphi(a_n)} \right)$$

for all large n .

If in Theorem 5 $\varphi(r) \rightarrow \infty$ sufficiently slowly as $r \rightarrow \infty$, then the corresponding set E belongs to $P(N)$. Therefore $P(V) \neq P(N)$. Between these classes there is even a more essential difference. We denote by $U(a, r)$ the open disc $|z - a| < r$. Theorem 10 proves that there exists a denumerable collection of open discs $U(a_n, d_n)$ with $\lim |a_n| = \infty$ such that the union of these discs belongs to $P(N)$. The class $P(V)$ does not have this property. We prove

Theorem 6. *If $U(a_n, d_n)$ is any sequence of open discs such that $\lim |a_n| = \infty$, then the set*

$$\bigcup_{n=1}^{\infty} U(a_n, d_n)$$

does not belong to $P(V)$.

4. Comparison of $P(V)$ and $P(M)$

As in Theorems 1 and 4, it is proved in [10] that if the set $E = \{a_n\}$ satisfies

$$(1) \quad |a_{n+1}| > \varepsilon |a_n|^2$$

for some $\varepsilon > 0$ and for all large n , then $E \in P(M)$, and in [12] it is proved that if $\varphi(r) \rightarrow \infty$ as $r \rightarrow \infty$, there exists $E = \{a_n\}$ lying on the positive real axis such that $E \notin P(M)$ and

$$a_{n+1} > \frac{a_n^2}{\varphi(a_n)}$$

for all large n . We conclude that $P(V) \neq P(M)$. The conditions (C) and (1) are quite far from each other and therefore we try to characterize those functions

which make the difference between $P(V)$ and $P(M)$ so large. We denote by Σ the extended complex plane and prove

Theorem 7. *If f is a transcendental meromorphic function such that the set $E = \{a_n\} = f^{-1}(\{0, 1, \infty\})$ satisfies the condition*

$$(E) \quad \lim_{n \rightarrow \infty} |a_{n+1}/a_n| = \infty,$$

then

$$\limsup_{r \rightarrow \infty} \left(\sup_{w \in \Sigma} n(r, w) - \inf_{w \in \Sigma} n(r, w) \right) \leq 2,$$

and for any two complex values a and b , $\limsup_{r \rightarrow \infty} |n(r, a) - n(r, b)| \leq 1$.

Furthermore, we shall show that a meromorphic function may have at most three so thinly distributed values that (E) is satisfied.

Theorem 8. *If f is a transcendental meromorphic function in the plane and w_4 is different from 0, 1 and ∞ , then the set $E = \{a_n\} = f^{-1}(\{0, 1, w_4, \infty\})$ satisfies*

$$\liminf_{n \rightarrow \infty} \left| \frac{a_{n+1}}{a_n} \right| < \infty.$$

In the other direction, we shall prove

Theorem 9. *For any $M > 1$ there exists a transcendental meromorphic function f such that the set*

$$(F) \quad E = \{a_n\} = f^{-1}(\{0, 1, M, \infty\})$$

satisfies

$$(G) \quad \liminf_{n \rightarrow \infty} \left| \frac{a_{n+1}}{a_n} \right| = M.$$

5. Further results for the class $P(N)$

From the results of Anderson and Clunie [1] it follows that if $q > 1$, the set $E = \{a_n\}$ satisfies

$$(a) \quad |a_{n+1}/a_n| \cong q$$

for all n , and the radii d_n are chosen such that

$$(b) \quad (\log |a_n|)^2 = o\left(\log \frac{1}{d_n}\right),$$

then the union of the discs $U(a_n, d_n)$ belongs to $P(N)$. It is proved in [15] that the condition (b) here can be replaced by

$$(b') \quad \log \frac{1}{d_n} \cong K(\log |a_n|)^2,$$

where $K > 0$ depends only on q , and still $\cup U(a_n, d_n) \in P(N)$, and in the other direction, if K in (b') is taken too small,

$$(c) \quad K = \frac{1}{2 \log q},$$

there exist $U(a_n, d_n)$ satisfying (a) and (b') such that $\cup U(a_n, d_n)$ does not belong to $P(P)$. We shall relieve (a) and prove

Theorem 10. Let $E = \{a_n\}$ be a complex sequence such that $\lim a_n = \infty$, $|a_n| > e$, and

$$(H) \quad \left\{ z: 0 < |z - a_n| < \frac{|a_n|}{(\log |a_n|)^\alpha} \right\} \cap E = \emptyset$$

for some α , $0 < \alpha < 1$, and for all n . If the radii d_n are chosen by the equation

$$(I) \quad \log \frac{1}{d_n} = (\log |a_n|)^{2+\beta},$$

where $\beta > 2\alpha$, then the set

$$S = \bigcup_{n=1}^{\infty} U(a_n, d_n)$$

belongs to the class $P(N)$.

Here β cannot be smaller than 2α , for it is proved in [13] that if $\beta < 2\alpha$, there exists $S = \cup U(a_n, d_n)$ satisfying (H) and (I), and not belonging to $P(P)$.

Theorem 3 follows as a special case from the following

Theorem 11. Let $E = \{a_n\}$ lie on the positive real axis, $e < a_1 < a_2 < \dots$, $a_n \rightarrow \infty$ as $n \rightarrow \infty$, $\varepsilon > 0$, and

$$(J) \quad a_{n+1} > a_n \left(1 + \frac{\varepsilon}{(\log a_n)^\alpha} \right)$$

for some α , $0 < \alpha \leq 2$, and for all n . If the radii d_n are chosen by the equation

$$(K) \quad \log \frac{1}{d_n} = H(\log a_n)^{2+\alpha},$$

where $H = 4800(1 + \varepsilon^{-2})(100)^{2+\alpha}$, then the union of the discs $U(a_n, d_n)$ belongs to $P(N)$.

In the other direction, it is proved in [14] that if $\varepsilon = 1/7$, $H = 1/8$ and $0 < \alpha \leq 2$, then E and d_n satisfying (J) and (K) can be chosen such that the intersection of the positive real axis and the union of the discs $U(a_n, d_n)$ does not belong to $P(P)$.

6. Some results needed in the proofs

We denote $U(\infty, \delta) = \{z: |z| > 1/\delta\}$. We shall need the following

Lemma 1. *There exist positive constants M_1 and M_2 depending only on w_3 such that if f is meromorphic in an annulus $r < |z| < R$ and omits there three different values $0, 1$ and w_3 , then, if $R > M_1 r$, the image of $|z| = \sqrt{rR}$ under f is contained in*

$$U(a, M_2(\log(R/r))^{-1/4})$$

for some finite or infinite complex a .

Proof. Let f be meromorphic and omit the values $0, 1$ and w_3 in $r < |z| < R$, where $\log(R/r) > 8\pi$. We denote $z_0 = \sqrt{rR} = \exp(\zeta_0)$. We choose g to be one of the functions $1/f$ and $1/(f-1)$ such that $|g(z_0)| \leq 2$. The function $g(e^\zeta)$ is regular in $U(\zeta_0, (1/2)\log(R/r))$ and omits there two finite values. Therefore it follows from Schottky's theorem that there exists $M_3 > 0$ depending only on w_3 such that $|g(e^\zeta)| \leq M_3$ in $|\zeta - \zeta_0| \leq (1/4)\log(R/r)$. The function

$$h(\zeta) = \frac{g(e^\zeta) - g(e^{\zeta_0})}{\zeta - \zeta_0}$$

is regular in $U(\zeta_0, (1/4)\log(R/r))$, and on the boundary of this disc h satisfies

(i)
$$|h(\zeta)| \leq \frac{8M_3}{\log(R/r)}.$$

It follows from the maximum principle that (i) holds on the segment $\zeta = \zeta_0 + i\varphi$, $-\pi \leq \varphi \leq \pi$, and we get

(ii)
$$|g(z) - g(z_0)| \leq \frac{8\pi M_3}{\log(R/r)}$$

on $|z| = \sqrt{rR}$. Lemma 1 follows from (ii) by an easy computation.

Lemma 2. *Let f be meromorphic in the plane and*

$$E = f^{-1}(\{0, 1, w_3\}),$$

where w_3 is different from 0 and 1 . For any $M > 0$, there exists a constant $K = K(M, w_3)$ such that if $|f(b)| \geq 2M$ and $|f(\zeta)| \leq M$, then the disc

$$U(\zeta, K|b - \zeta|)$$

contains at least two points of E .

Proof. Let M_1 and M_2 be as in Lemma 1. We choose $K > M_1^2$ so large that if a is any complex point, the set $U(a, 2d)$, where $d = M_2((1/2)\log K)^{-1/4}$, contains at most one of the values $f(b)$ and $f(\zeta)$, and at most one of the points $0, 1$ and w_3 . Let us suppose that $U(\zeta, K|b - \zeta|)$ contains at most one point of E . If $U(\zeta, |b - \zeta|\sqrt{K}) \cap E$

$=\emptyset$, we set $r=|b-\zeta|$, and otherwise we set $r=|b-\zeta|\sqrt{K}$. Then f omits the values 0, 1 and w_3 in the annulus $r < |z-\zeta| < r\sqrt{K}$, and it follows from Lemma 1 that the image of the circle $\gamma: |z-\zeta|=K^{1/4}r$ is contained in $U(a, d)$ for some complex a . Let D be the open disc bounded by γ . Since the image of the boundary of D is contained in $U(a, d)$ and at least one of the values $f(b)$ and $f(\zeta)$ lies outside $U(a, d)$, f takes in D all values lying outside $U(a, d)$. This implies that f takes in D at least two of the values 0, 1 and w_3 , and we see that $D \subset U(\zeta, K|b-\zeta|)$ contains at least two points of E . So we have proved that the assumption that $U(\zeta, K|b-\zeta|)$ contains at most one point of E , leads to a contradiction. Lemma 2 is proved.

Let f be meromorphic in the plane and let w_1, w_2 and w_3 be three different complex values. Let a_n be the sequence of the distinct roots of the equations $f(z)=w_1, f(z)=w_2$ and $f(z)=w_3$. We denote by $n(r)$ the number of the a_n lying in $|z| \leq r$, and

$$N(r) = \int_0^r \frac{n(t)-n(0)}{t} dt + n(0) \log r.$$

From Theorem 2.5 of Hayman [5, p. 47] we get the following

Lemma 3. *Let f and $N(r)$ be as above. Then*

$$T(r, f) \leq (1 + o(1))N(r)$$

as $r \rightarrow \infty$ outside a set B of finite linear measure.

Lemma 4. *Let f be transcendental and meromorphic in the plane such that $\delta(\infty, f) > 0$ and*

$$(2) \quad T(r, f) = O((\log r)^M)$$

for some finite M . If there exists $\alpha > 0$ such that

$$E = \{a_n\} = f^{-1}(\{0, 1\})$$

satisfies

$$(3) \quad \left\{ z: 0 < |z-a_n| < \frac{|a_n|}{(\log |a_n|)^\alpha} \right\} \cap E = \emptyset$$

for all large n , then there exists a real increasing sequence σ_n such that $\sigma_n \rightarrow \infty$ as $n \rightarrow \infty$,

$$\min \{|f(z)|: |z| = \sigma_n\} = 1,$$

$|f(z)| > 1$ in $\sqrt{\sigma_n} < |z| < \sigma_n$ and

$$(4) \quad \log |f(z)| \geq \left(\frac{1}{3} + o(1) \right) \delta(\infty, f) T(|z|, f)$$

for all z lying in $\sqrt{\sigma_n} \leq |z| \leq \sigma_n/2$.

Proof. It follows from (2) that we may choose $\beta, 3/2 \cong \beta \cong M$, such that

$$(i) \quad T(r, f) = O((\log r)^\beta)$$

and

$$(ii) \quad T(r, f) \neq O((\log r)^{\beta-1/2}).$$

Since

$$n(r, a) \log r \cong \int_r^{r^2} \frac{n(t, a)}{t} dt = N(r^2, a) - N(r, a),$$

we deduce from (i) that

$$(iii) \quad n(r, a) = O((\log r)^{\beta-1})$$

for any complex a , and from (ii) it follows that there exists a real sequence R_n with $\lim R_n = \infty$ such that

$$(iv) \quad T(R_n, f) > (\log R_n)^{\beta-1/2}$$

for all n .

Let b be a complex value such that $|b| < 1$ and

$$(v) \quad N(r, b) = (1 + o(1))T(r, f).$$

Let b_n be the sequence of the b -points of f and

$$B = \bigcup_{|b_k| > e} U(b_k, |b_k|(\log |b_k|)^{-2(\alpha+\beta)}).$$

We denote $d(z) = \min \{|z - b_k| : k = 1, 2, \dots\}$. Using the Poisson—Jensen formula, we get for all $z = re^{i\varphi}$,

$$\begin{aligned} \log |f(z) - b| &\cong \frac{1}{2\pi} \int_0^{2\pi} \log |f(2re^{i\theta}) - b| \frac{(2r)^2 - r^2}{(2r)^2 - 4r^2 \cos(\theta - \varphi) + r^2} d\theta \\ &\quad - \sum_{|b_k| \leq 2r} \log \left| \frac{(2r)^2 - \bar{b}_k z}{2r(z - b_k)} \right|. \end{aligned}$$

This implies, together with the fact that $m(t, b) = o(T(t, f))$, that

$$(vi) \quad \log |f(z)| \cong \left(\frac{1}{3} + o(1) \right) \delta(\infty, f) T(2r, f) - n(2r, b) \log \frac{4r}{d(z)}.$$

Let $z = re^{i\varphi}$ lie in $R_n < |z| < R_n^3$ outside B . Then

$$d(z) \cong r(2 \log r)^{-2(\alpha+\beta)},$$

and we see from (iii) and (iv) that

$$n(2r, b) \log (4r/d(z)) = O((\log R_n)^{\beta-1} \log \log R_n) = o(T(R_n, f)).$$

Therefore it follows from (vi) that f satisfies (4) in $R_n < |z| < R_n^3$ outside the set B . Let us suppose that there exists some b_k lying in $2R_n < |z| < R_n^3/2$. The sum of the

radii of those discs of the set B which meet the annulus $|b_k|/2 < |z| < 2|b_k|$ is at most

$$2n(R_n^3, b) |b_k| (\log |b_k|)^{-2(\alpha+\beta)} = o(|b_k| (\log |b_k|)^{-2\alpha}),$$

and we see that there exists d_k ,

(vii)
$$0 < d_k < |b_k| (\log |b_k|)^{-2\alpha},$$

such that the circle $|z - b_k| = d_k$ does not meet B . It follows from (3) and (vii) that f takes at most one of the values 0 and 1 in $U(b_k, d_k)$, and since (4) is true on the boundary of this disc, we conclude from the minimum principle that

$$\log |b| = \log |f(b_k)| \cong \left(\frac{1}{3} + o(1)\right) \delta(\infty, f) T(|b_k|, f).$$

This is not possible if $|b_k|$ is large, and we deduce that

$$B \cap \{z: 4R_n < |z| < R_n^3/4\} = \emptyset$$

for all large n .

For large values of n , we may choose $\sigma_n > R_n^3/4$ such that $|f(z)| > 1$ in $4R_n < |z| < \sigma_n$ and

$$\min \{|f(z)|: |z| = \sigma_n\} = 1.$$

Let $\zeta = re^{i\phi}$ lie in $\sqrt{\sigma_n} \cong |z| \cong \sigma_n/2$. Since f has no b -points in $4R_n < |z| \cong \sigma_n$, we conclude that $n(2r, b) = n(4R_n, b)$, $d(\zeta) \cong r/2$ and

$$n(2r, b) \log(4r/d(\zeta)) = O((\log R_n)^{\beta-1} \log 8) = o(T(R_n, f)).$$

Now we see from (vi) that f satisfies (4) in $\sqrt{\sigma_n} \cong |z| \cong \sigma_n/2$, and Lemma 4 is proved.

Following Hayman [6], we shall call an ε -set any countable set of circles not containing the origin, and subtending angles at the origin whose sum is finite. Hayman [6] has proved the following

Theorem A. *If an integral function f satisfies $\log M(r, f) = O((\log r)^2)$, then*

$$\log |f(z)| = (1 + o(1)) \log M(r, f)$$

as $z = re^{i\phi} \rightarrow \infty$ outside an ε -set.

Valiron [16] has proved the following

Theorem B. *If a meromorphic function f satisfies $T(r, f) = O((\log r)^2)$, then*

$$T(r, f) = (1 + o(1)) \max \{N(r, a), N(r, b)\}$$

for any two complex values a and b .

7. Proof of Theorem 1

Contrary to the assertion of Theorem 1, let us suppose that there exists a transcendental meromorphic function f with a Nevanlinna deficient value w such that the set

$$E = \{a_n\} = f^{-1}(\{w_1, w_2, w_3\})$$

satisfies (A) for some $\varepsilon > 0$ and for some choice of the three different values w_1, w_2 and w_3 . We may suppose, without loss of generality, that $w = \infty, w_1 = 0$ and $w_2 = 1, w_3$ being an infinite or finite complex value, different from 0 and 1.

Let $n(r)$ be the counting function of E . It follows from (A) that

$$n(e^s) - n(e^{s-1}) = O(s^2),$$

and we conclude that

$$n(e^s) = O(1) + \sum_{k=1}^s (n(e^k) - n(e^{k-1})) = O\left(\sum_{k=1}^s k^2\right) = O(s^3).$$

This implies that $n(r) = O((\log r)^3)$, and therefore the integrated counting function of E satisfies $N(r) = O((\log r)^4)$. It follows from Lemma 3 that $T(r, f) \cong (1 + o(1))N(2r)$ for all large values of r , and we deduce that f satisfies $T(r, f) = O((\log r)^4)$. This implies that we may apply Lemma 4.

Let the sequence σ_n be as in Lemma 4. We choose b_n lying on the circle $|z| = \sigma_n$ such that $|f(b_n)| = 1$. Since f is transcendental, we conclude that

$$\liminf_{r \rightarrow \infty} \frac{T(r, f)}{\log r} = \infty.$$

Therefore we deduce from (4) that there exists a sequence K_n with $\lim K_n = \infty$ such that

$$(i) \quad \log |f(z)| \cong K_n^2 \log \sigma_n$$

on $|z| = \sigma_n/e$. The function $\omega(z) = \log(\sigma_n/|z|)$ is harmonic in the annulus $\sigma_n/e \cong |z| \cong \sigma_n$, and on the boundary of this annulus we have

$$(ii) \quad \log |f(z)| \cong K_n^2 \omega(z) \log \sigma_n.$$

Since $\log |f(z)|$ is superharmonic in $\sigma_n/e \cong |z| \cong \sigma_n$, it follows from the minimum principle that (ii) holds in this annulus. We set

$$z_n = b_n \left(1 - \frac{1}{K_n \log \sigma_n}\right).$$

Then it follows from (ii) that $\log |f(z_n)| \cong K_n$, and we see from Lemma 2 that

the disc

$$C_n = U\left(b_n, \frac{K|b_n|}{K_n \log |b_n|}\right)$$

contains at least two points of E . However, since $\lim K_n = \infty$, it follows from (A) that if n is large, then C_n contains at most one point of E . We are led to a contradiction and Theorem 1 is proved.

8. Proof of Theorem 2

Let $r_1 = e^{10}$ and $r_{n-1} = \log \log \log r_n$ for $n \geq 2$. We set

$$f(z) = z \prod_{n=1}^{\infty} \left(\left(1 - \frac{z}{r_n}\right)^2 \left(1 - \frac{z}{r_n - \sqrt{r_n}}\right)^{-1} \right).$$

Then $n(r, \infty, f) = (1/2 + o(1))n(r, 0, f)$, and we see that $\delta(\infty, f) \geq 1/2$. This implies that the set $E = \{a_n\} = f^{-1}(\{0, 1, \infty\})$ does not belong to the class $P(N)$. We assume that the sequence a_n is arranged in the order of increasing moduli. We see by an easy computation that if k is large, then $a_{4k-1} = r_k - \sqrt{r_k}$ and $a_{4k+p} \in U(r_k, r_k^{-2})$ for $p = 0, 1, 2$. Let $f(\zeta) = 1$ and $\zeta \in U(r_k, r_k^{-2})$. Then

$$(-1)^k + o(1) = r_k^{k-2} \sqrt{r_k} (\zeta - r_k)^2 \prod_{t=1}^{k-1} (r_t^{-2} (r_t - \sqrt{r_t})),$$

and we conclude that

$$(i) \quad \log |\zeta - z|^{-1} = \frac{1}{2} \left(k - 2 + \frac{1}{2} \right) \log r_k + o(\log \log r_k)$$

for any choice $\zeta \neq z, \{\zeta, z\} \subset \{a_{4k}, a_{4k+1}, a_{4k+2}\}$.

Let us suppose now that $E \notin P(P)$. Then there exists a transcendental entire function g such that

$$E(g) = g^{-1}(\{0, 1\}) \subset E \cup U(0, r_0)$$

for some $r_0 > 0$. Since $M(r, g) \rightarrow \infty$ as $r \rightarrow \infty$, we may conclude from Schottky's theorem that $|g(z)| \geq 4$ on the circles $\gamma_k: |z| = r_k/2$ and $\Gamma_k: |z| = 2r_k$ for all large k . We denote by D_k the annulus which is bounded by γ_k and Γ_k .

Let us suppose that $a_n \in D_k$ is a multiple root of the equation $g(z) = 0$ with multiplicity $m \geq 4$. Since $|g(z)| \geq 4$ on the boundary of D_k and D_k contains only four points of E , there exists a region $G \subset C$ such that the image of the boundary of G is contained in the segment $w = u + iv: 0 \leq u \leq 1, v = 0$. This implies together with the maximum principle that $\text{Im } g(z) \equiv 0$ on G , and therefore $g(z) \equiv \text{constant}$ on G . This is a contradiction, and we conclude that the equation $g(z) = 0$ may have only a finite number of roots with multiplicity $m \geq 4$. It follows from Lemma 3

that $T(r, g) = O((\log r)^2)$, and we may write

$$(ii) \quad g(z) = P(z) \prod_{n=1}^{\infty} (1 - z/a_n)^{s_n},$$

where P is a polynomial and $s_n \in \{0, 1, 2, 3\}$ for any n .

If k is large and z is a boundary point of the disc $U(r_k - \sqrt{r_k}, (1/2)\sqrt{r_k})$, then

$$\log |g(z)| \geq 100 \log |z| + (n(2r_k, 0, g) - n(r_k/2, 0, g)) \log (8r_k^{-1/2}),$$

and since $n(2r_k, 0, g) - n(r_k/2, 0, g) \leq 12$, we conclude that $|g(z)| \geq 4$. Since g omits at least one of the values 0 and 1 in $U(r_k - \sqrt{r_k}, (1/2)\sqrt{r_k})$ and $|g(z)| \geq 4$ on the boundary of this disc, it follows from the minimum principle that $|g(z)| \geq 2$ in this disc. This implies that $r_k - \sqrt{r_k} \notin E(g)$, and therefore D_k contains at most three points of $E(g)$. As before, we see now that if $n(2r_k, 0, g) - n(r_k/2, 0, g) \geq 3$, then there exists a region G contained in the open disc bounded by Γ_k such that the image of the boundary of G is contained in the real axis. However, this is impossible, and we conclude that $n(2r_k, 0, g) - n(r_k/2, 0, g) \leq 2$ for all large k . We denote by p_k the number of the roots of the equation $g(z) = 0$ in D_k when the multiple roots are counted according to multiplicity. Then $p_k \leq 2$ for all large k , and it follows from Rouché's that the equation $g(z) = 1$ has p_k roots in D_k , too.

Let k be large and $p_k > 0$. If $p_k = 2$, then one of the functions g and $1 - g$ has a double zero at one of the points a_{4k}, a_{4k+1} and a_{4k+2} , and takes the value 1 at the two remaining points. We may suppose that in this case g has this property. In both cases, $p_k = 2$ or $p_k = 1$, we denote by ζ the zero of g lying in D_k and let $z \in D_k$ be such a point that $g(z) = 1$. Then $\{\zeta, z\} \subset \{a_{4k}, a_{4k+1}, a_{4k+2}\}$. It follows from (ii) and the choice of the sequence r_n that there exists a positive integer $m(k)$ such that

$$0 = \log |g(z)| = m(k) \log r_k + O(\log r_{k-1}) + p_k \log \left| \frac{\zeta - z}{r_k} \right|.$$

This implies that

$$\log |\zeta - z|^{-1} = \frac{1}{p_k} (m(k) - p_k) \log r_k + o(\log \log r_k),$$

and comparing this with (i) we get $(1/4) \log r_k = o(\log \log r_k)$. This is impossible for large values of k , and therefore g has only a finite number of zeros. This implies, together with the facts that g is entire and has order zero, that g is a polynomial. We are led to a contradiction, and therefore $E \in P(P)$. This completes the proof of Theorem 2.

9. Proof of Theorem 4

Contrary to the assertion of Theorem 4, let us suppose that there exists a meromorphic transcendental function f with $\Delta(\infty, f) > 0$ such that

$$E = \{a_n\} = f^{-1}(\{0, 1, w_3\})$$

satisfies (C) for some $\varepsilon > 0$, w_3 being different from 0 and 1. It follows from (C) that the integrated counting function of E satisfies $N(r) = O((\log r)^2)$, and we conclude from Lemma 3 that f satisfies $T(r, f) = O((\log r)^2)$. Therefore we may write $f(z) = f_1(z)/f_2(z)$, where f_1 and f_2 are entire functions with no zeros in common and both of them satisfying $T(r, f_k) = O((\log r)^2)$. It follows from Theorem B that

$$N(r, 0, f) = N(r, 0, f_1) = (1 + o(1))T(r, f_1)$$

and

$$N(r, \infty, f) = N(r, \infty, f_2) = (1 + o(1))T(r, f_2),$$

and from Theorem A it follows that

$$T(r, f_k) = (1 + o(1)) \log M(r, f_k).$$

Now we deduce from Theorem A that

$$\begin{aligned} \text{(i)} \quad \log |f(z)| &= \log |f_1(z)| - \log |f_2(z)| \\ &= \log M(r, f_1) - \log M(r, f_2) + o(T(r, f)) \\ &= N(r, 0, f) - N(r, \infty, f) + o(T(r, f)) \end{aligned}$$

outside an ε -set.

We choose a sequence R_n with $\lim R_n = \infty$ such that

$$\text{(ii)} \quad N(R_n, \infty, f) < \left(1 - \frac{1}{2} \Delta(\infty, f)\right) T(R_n, f)$$

for all n . It follows from Theorem B that

$$\text{(iii)} \quad N(R_n, 0, f) = (1 + o(1))T(R_n, f).$$

For large values of n , we may choose r_n such that $R_n/2 < r_n \leq R_n$ and that the circle $|z| = r_n$ lies outside the ε -set which is the exceptional set for the formula (i). Since $T(r, f) = O((\log r)^2)$, we conclude that $n(r, 0, f) = O(\log r)$ and

$$\begin{aligned} N(r_n, 0, f) &= N(R_n, 0, f) - \int_{r_n}^{R_n} \frac{n(t, 0, f)}{t} dt \\ &= N(R_n, 0, f) + O(\log R_n). \end{aligned}$$

This implies together with (iii) that

$$N(r_n, 0, f) = (1 + o(1))T(R_n, 0, f),$$

and since $N(r_n, \infty, f) \cong N(R_n, \infty, f)$, we see from (i) and (ii) that

$$(iv) \quad \log |f(z)| \cong \left(\frac{1}{2} + o(1)\right) \Delta(\infty, f) T(R_n, f)$$

on $|z|=r_n$. Since f is non-rational, it follows from (iv) that there exists a sequence K_n with $\lim K_n = \infty$ such that

$$(v) \quad \log |f(z)| \cong K_n^2 \log r_n$$

on $|z|=r_n$.

We choose $\varrho_n < r_n$ such that $|f(z)| > 1$ in $\varrho_n < |z| \leq r_n$ and that there exists a point ζ_n lying on $|z| = \varrho_n$ such that $|f(\zeta_n)| = 1$. On the boundary of the annulus $H_n: \varrho_n < |z| < r_n$ we have

$$(vi) \quad \log |f(z)| \cong K_n^2 \log r_n \frac{\log(|z|/\varrho_n)}{\log(r_n/\varrho_n)},$$

and from the superharmonicity of $\log |f(z)|$ we conclude that (vi) holds in H_n . Let t be defined by the equation

$$\frac{\log(t/\varrho_n)}{\log(r_n/\varrho_n)} = \frac{1}{K_n \log r_n},$$

and let z_n be the point on $|z|=t$ which satisfies $\arg z_n = \arg \zeta_n$. Then it follows from (vi) that $\log |f(z_n)| \cong K_n$, and for large values of n we get

$$|z_n - \zeta_n| \leq \frac{2|\zeta_n|}{K_n}.$$

Applying Lemma 2, we deduce that

$$U\left(\zeta_n, \frac{2K|\zeta_n|}{K_n}\right)$$

contains at least two points of E for all large n . This is a contradiction with (C), and Theorem 4 is proved.

10. Proof of Theorem 5

Let $\varphi(r)$ be an increasing function such that $\varphi(r) \rightarrow \infty$ as $r \rightarrow \infty$. We denote by (a, b) the open segment $a < x < b$ on the positive real axis. We set

$$f_n(z) = \prod_{p=1}^n \left(1 - \frac{z}{1+(p/n)}\right)^2$$

and let $x_p, p=1, 2, \dots, 2n-1$, be the roots of the equation $f'_n(z)=0$ arranged such that $x_{p+1} > x_p$. We see easily that

$$x_1 = 1 + \frac{1}{n} < x_2 < x_3 = 1 + \frac{2}{n} < x_4 < \dots < x_{2n-2} < x_{2n-1} = 2.$$

We denote

$$d_n = \min \{f_n(x_{2p}): p = 1, 2, \dots, n-1\}.$$

Then $d_n > 0$, and we note that if $0 < b \leq d_n/4$ and the points $y_s, s=1, 2, \dots, 2n$, are chosen such that $b/2 < f(y_s) < 2b, y_1 \in (0, x_1), y_s \in (x_{s-1}, x_s)$ for $s=2, 3, \dots, 2n-1$, and $y_{2n} \in (2, 3)$, then

$$(i) \quad \min \{|y_s - x_p|: p = 1, 2, \dots, 2n-1, s = 1, 2, \dots, 2n\} \cong \alpha(n, b)$$

for some $\alpha(n, b) > 0$ depending only on n and b .

We set $t_k = k!$ and the sequences r_k and q_k of positive real numbers are chosen such that

$$(ii) \quad t_k \cong \log \log \log r_k$$

and

$$(iii) \quad r_k < \log q_k < \log \log \log r_{k+1}$$

for every k . We set

$$f(z) = \prod_{k=1}^{\infty} (f_{t_k}(z/r_k)(1 - z/q_k)^{-2t_k}).$$

We may write

$$f(z) = \frac{\prod_{s=1}^{\infty} (1 - z/z_s)}{\prod_{s=1}^{\infty} (1 - z/b_s)},$$

where the sequences z_s and b_s are increasing.

We denote $n_k = n(r_k/2, 0, f)$. Then $n(r_k/2, \infty, f) = n_k$, and we may assume that the sequences r_s and q_s are chosen such that if $\sqrt{r_k} < |z| < r_k^2$ and $k \geq 2$, then

$$(iv) \quad f(z) = (1 + o(1))f_{t_k}(z/r_k)A_k,$$

where

$$(v) \quad A_k = \prod_{s=1}^{n_k} \frac{b_s}{z_s} > \frac{4}{d_{t_k}}$$

and $o(1)$ satisfies $|o(1)| < 1/100$ in $\sqrt{r_k} < |z| < r_k^2$.

Let $x_p, x_1 < x_2 < \dots < x_{2t_k-1}$, be the zeros of f'_{t_k} . From (iv) and (v) we get $f(r_k/2) > 2, f(x_{2p-1}r_k) = 0$ for $p=1, 2, \dots, t_k, f(x_{2p}r_k) > 2$ for $p=1, 2, \dots, t_k-1$, and $f(3r_k) > 2$. Therefore f has real 1-points $\xi_k, k=1, \dots, 2t_k$, such that $\xi_1 \in (r_k/2, x_1r_k), \xi_p \in (x_{p-1}r_k, x_p r_k)$ for $p=1, 2, \dots, 2t_k-1$, and $\xi_{2t_k} \in (2r_k, 3r_k)$. It follows from (iv) that the points $y_p = \xi_p/r_k$ satisfy

$$\frac{1}{2A_k} < f_{t_k}(y_p) < \frac{2}{A_k},$$

and we conclude from (i) and (v) that

$$(vi) \quad |x_p - y_s| \cong \alpha(t_k, 1/A_k)$$

for all p and s . Since $|f(z)| \cong 5$ on the circles $|z| = \sqrt{r_k}$ and $|z| = \sqrt{r_{k+1}}$, it follows from Rouché's theorem that f has exactly $2t_k$ 1-points in $\sqrt{r_k} \leq |z| \leq \sqrt{r_{k+1}}$, and

we deduce that the only 1-points of f lying in $\sqrt{r_k} \leq |z| \leq \sqrt{r_{k+1}}$ are the points ξ_p , $p=1, 2, \dots, 2t_k$.

Let $E = \{a_n\}$ be the set of the zeros, 1-points and poles of f . Then E lies on the positive real axis. We assume that E is arranged such that $0 = a_1 < a_2 < a_3 < \dots$. It follows from (vi) that those points a_n which lie in $\sqrt{r_k} \leq |z| \leq \sqrt{r_{k+1}}$ satisfy

$$a_{n+1} > a_n \left(1 + \frac{1}{4} \alpha(t_k, 1/A_k) \right).$$

Since the value of A_k does not depend on the choice of r_k , we may assume that r_k is chosen so large that

$$\alpha(t_k, 1/A_k) \cong (\varphi(\sqrt{r_k}))^{-1/2}$$

Then E satisfies (D) for all large values of n .

If n is large, then

$$N(r_k^3, 0, f) \cong t_k \log r_k$$

and

$$N(r_k^3, \infty, f) \cong (6 + o(1)) t_{k-1} \log r_k.$$

Since $t_{k-1} = t_k/k = o(t_k)$, we deduce now that $\Delta(\infty, f) = 1$. This implies that the set E does not belong to the class $P(V)$, and Theorem 5 is proved.

11. Proof of Theorem 6

Let $U(a_n, d_n)$ be as in Theorem 6. Taking a subset of the union of the discs $U(a_n, d_n)$, if necessary, we may assume that $|a_1| > 100$, $|a_n^2| < |a_{n+1}|$ and $0 < d_n < 1$ for all n . We set

$$f(z) = \prod_{n=1}^{\infty} \left(1 - \left(\frac{d_n}{2(z - a_n)} \right)^{t_n} \right),$$

where the sequence t_n grows at least so rapidly that $|f(z)| < 2$ outside the union of the discs $U(a_n, d_n)$. Furthermore, we assume that

$$(i) \quad 2nt_{n-1} \log |a_n| < \frac{1}{8} t_n \log \frac{|a_n|}{|a_n| - d_n/8}$$

for $n \geq 2$. We have

$$\begin{aligned} N(|a_n|, 0, f) &\cong N(|a_n|, 0, f) - N(|a_n| - d_n/8, 0, f) \\ &\cong n(|a_n| - d_n/8, 0, f) \log \frac{|a_n|}{|a_n| - d_n/8} \\ &\cong \frac{1}{8} t_n \log \frac{|a_n|}{|a_n| - d_n/8}, \end{aligned}$$

and

$$N(|a_n|, \infty, f) \cong n(|a_n| - 1, \infty, f) \log |a_n| \cong 2t_{n-1} \log |a_n|,$$

and these estimates imply together with (i) that

$$N(|a_n|, \infty, f) \cong \frac{1}{n} N(|a_n|, 0, f)$$

for $n \geq 2$. Therefore $\Delta(\infty, f) = 1$, and since f is bounded in the complement of the union of the discs $U(a_n, d_n)$, it omits at least three values outside the discs $U(a_n, d_n)$. Therefore the set

$$\bigcup_{n=1}^{\infty} U(a_n, d_n)$$

cannot belong to the class $P(V)$, and Theorem 6 is proved.

12. Proof of Theorem 7

Let f be transcendental and meromorphic in the plane and let the set $E = \{a_n\} = f^{-1}(\{0, 1, \infty\})$ satisfy the condition $\lim |a_{n+1}/a_n| = \infty$.

We denote

$$\gamma_n = \{z: |z| = \sqrt{|a_n a_{n+1}|}\},$$

$$s_n = \{z: |z| = |a_n|/2\},$$

$$S_n = \{z: |z| = 2|a_n|\},$$

and let D_n be the annulus which is bounded by γ_{n-1} and γ_n .

It follows from Lemma 1, applied in the annuli $2|a_n| < |z| < |a_{n+1}|/2$, that there exists a sequence $U(b_n, d_n)$ such that $\lim d_n = 0$ and that $f(\gamma_n) \subset U(b_n, d_n)$ for all large n . It does not mean any restriction to assume that the sequence d_n is decreasing.

Let n_0 be so large that $d_{n_0} < 1/100$. Let $n > n_0$ and let us suppose that

$$U(b_{n-1}, d_{n-1}) \cap U(b_n, d_n) = \emptyset.$$

Joining γ_{n-1} to γ_n by a path $\gamma \subset D_n$ we see that f takes in D_n at least one value lying outside the union of the discs $U(b_n, d_n)$ and $U(b_{n-1}, d_{n-1})$. Since the image of the boundary of D_n is contained in this union, we deduce that f takes in D_n all values lying in the complement of this union. This is possible only in the case that for some combination $\{w_1, w_2, w_3\} = \{0, 1, \infty\}$ we have $w_1 \in U(b_{n-1}, d_{n-1})$, $f(a_n) = w_2$, and $w_3 \in U(b_n, d_n)$. We note that $U(b_{n-1}, d_{n-1}) \subset U(w_1, 2d_{n-1})$. Let us suppose that

$$U(b_{n-1}, d_{n-1}) \cap U(b_n, d_n) \neq \emptyset.$$

In this case the union of the discs $U(b_{n-1}, d_{n-1})$ and $U(b_n, d_n)$ contains at most one

of the values 0, 1 and ∞ , and since f omits at least two of these values in D_n , we conclude from the maximum principle that $f(D_n)$ is contained in the union of these discs. We note that

$$f(D_n) \subset U(b_{n-1}, d_{n-1}) \cup U(b_n, d_n) \subset U(f(a_n), 4d_{n-1}).$$

Combining the estimations above, we conclude that, if $n > n_0$, then $f(\gamma_n) \subset U(c_n, 4d_n)$, where $c_n \in \{0, 1, \infty\}$, and just one of the following two cases for D_n occurs:

- (i) $\{c_{n-1}, f(a_n), c_n\} = \{0, 1, \infty\}$, or
 (ii) $f(D_n) \subset U(f(a_n), 4d_{n-1})$ and $c_{n-1} = f(a_n) = c_n$.

Let us suppose that the case (ii) happens for all large n , say for $n \geq n_1 > n_0$. Then we have

$$c_{n_1-1} = f(a_{n_1}) = c_{n_1} = f(a_{n_1+1}) = c_{n_1+1} = f(a_{n_1+2}) = \dots,$$

and we see that the image of the set $|z| \geq |a_{n_1}|$ is contained in $U(f(a_{n_1}), 4d_{n_1-1})$. This is impossible, and we conclude that there exist arbitrarily large values of n such that the case (i) happens.

Let the case (i) occur for D_n with $n > n_0$. We assume first that $c_{n-1} = 0$, $f(a_n) = 1$ and $c_n = \infty$. Let us suppose that a_n is a multiple root of the equation $f(z) = 1$. Let J be the segment on the positive real axis which joins the points 0 and 1. Then there exists a region $G \subset D_n$ such that the boundary of G is contained in $\gamma_{n-1} \cup f^{-1}(J)$ and that a_n is a boundary point of G . Then the image of the boundary of G is contained in $J \cup U(0, 4d_{n-1})$, and since f takes in G near the point a_n at least one value lying outside $J \cup U(0, 4d_{n-1})$, we conclude that f takes in G all values lying outside $J \cup U(0, 4d_{n-1})$. This implies that f takes the value ∞ in D_n , and we are led to a contradiction. Therefore a_n is a simple 1-point of f . Since f has no zeros or poles in $|z - a_n| \leq |a_n|/2$, we conclude from the maximum and minimum principles that $|f(z)|$ takes the value 1 at some point of $|z - a_n| = |a_n|/2$. Applying Schottky's theorem, we see that there exists an absolute constant $q > 0$ such that $|f(z)| > q$ on S_n and $|f(z)| < 1/q$ on S_n . Then it follows from Rouché's theorem that $n(|a_n|/2, b) = n(|a_n|/2, 0)$ for $b \in U(0, q)$ and $n(2|a_n|, b) = n(2|a_n|, \infty)$ for $b \in U(\infty, q)$. Modifying these results for the general case, we get the following conclusion: If the case (i) happens for D_n and $n > n_0$, then a_n is a simple root of the equation $f(z) = f(a_n)$ and

$$(iii) \quad n(|a_n|/2, b) = n(|a_n|/2, c_{n-1})$$

for $b \in U(c_{n-1}, q)$, and

$$(iv) \quad n(2|a_n|, b) = n(2|a_n|, c_n)$$

for $b \in U(c_n, q)$. Here $q > 0$ is an absolute constant.

We denote by t_n the radius of the circle γ_n . Let the case (i) happen for n , $n > n_0$, and let $p > n$ be the smallest integer such that the case (i) happens for p , too. In order to simplify the notations, we assume that $c_{n-1} = 0$, $f(a_n) = 1$ and $c_n = \infty$.

It follows from (ii) that $c_{p-1} = \infty$ and that the image of the set $t_n \leq |z| \leq t_{p-1}$ is contained in $U(\infty, 4d_n)$. Furthermore, we deduce from (i) that $\{f(a_p), c_p\} = \{0, 1\}$.

We denote $k_n = n(t_n, 1)$. Applying Rouché's theorem, we get

$$n(t_{n-1}, 0) = n(t_n, 0) = n(t_n, 1) = k_n,$$

and we conclude that

$$(v) \quad n(r, 0) = n(r, 1) = k_n$$

for $|a_n| \leq r < |a_p|$.

Let $|a_n| \leq r < |a_p|$. Let us suppose first that $w \notin U(0, q) \cup U(1, q)$. We apply Rouché's theorem repeatedly, and conclude that

$$(vi) \quad n(r, w) \cong n(t_{n-1}, w) = n(t_{n-1}, 1) = k_n - 1,$$

and

$$(vii) \quad n(r, w) \cong n(t_p, w) = n(t_p, f(a_p)) = k_n + 1$$

because of (v). Combining (vi) and (vii) we conclude that

$$(viii) \quad |n(r, w) - k_n| \leq 1$$

for $w \notin U(0, q) \cup U(1, q)$. Let us suppose now that $w \in U(0, q)$. Then it follows from (iii) that

$$(ix) \quad n(r, w) \cong n(|a_n|/2, w) = n(|a_n|/2, 0) = n(t_{n-1}, 0) = k_n.$$

If $f(a_p) = 0$, we get

$$(x) \quad n(r, w) \cong n(t_p, w) = n(t_p, 0) = k_n + 1,$$

and if $f(a_p) = 1$, it follows from (iv) that

$$(xi) \quad n(r, w) \cong n(2|a_p|, w) = n(2|a_p|, 0) = k_n.$$

Combining the estimates (ix), (x) and (xi), we deduce that (viii) holds for $w \in U(0, q)$. By a similar consideration, we conclude that (viii) holds for $w \in U(1, q)$, too.

Since (viii) is valid for all $w \in \Sigma$ and for all $r, |a_n| \leq r < |a_p|$, we conclude that for any large r , there exists a positive integer $k(r)$ such that

$$(xii) \quad |n(r, w) - k(r)| \leq 1$$

for all $w \in \Sigma$. This implies that

$$\limsup_{r \rightarrow \infty} \left(\sup_{w \in \Sigma} n(r, w) - \inf_{w \in \Sigma} n(r, w) \right) \leq 2.$$

It follows from (v) that two of the numbers $n(r, 0), n(r, 1)$ and $n(r, \infty)$ are equal to $k(r)$ and that the third of these numbers satisfies (xii) for all large r . This implies that

$$(xiii) \quad |n(r, w_1) - n(r, w_2)| \leq 1$$

if $r \geq r_0$ and $\{w_1, w_2\} \subset \{0, 1, \infty\}$.

Let a be any complex value different from 0, 1 and ∞ , and let n be so large that a cannot belong to any of the discs $U(0, 4d_n)$, $U(1, 4d_n)$ and $U(\infty, 4d_n)$. If the case (ii) happens, then

$$(xiv) \quad n(r, a) = n(r, w_1) = n(r, w_2)$$

for $t_{n-1} \leq r \leq t_n$, $\{w_1, w_2\} = \{0, 1, \infty\} - \{f(a_n)\}$. If the case (i) happens and $t_{n-1} \leq r \leq t_n$, then we see from (v) that

$$n(r, a) \leq n(t_n, a) = n(t_n, f(a_n)) = n(r, c_{n-1})$$

and

$$n(r, a) \geq n(t_{n-1}, a) = n(t_{n-1}, f(a_n)) = n(r, c_n).$$

Since $n(r, c_{n-1}) = 1 + n(r, c_n)$, we conclude that either $n(r, a) = n(r, c_n)$ or $n(r, a) = n(r, c_{n-1})$. This implies together with (xiv) that for all large values of r , there exists $w(r, a) \in \{0, 1, \infty\}$ such that $n(r, a) = n(r, w(r, a))$. If $a \in \{0, 1, \infty\}$, we set $w(r, a) = a$ for all r .

Let a and b be two complex values. Then we get for all large r ,

$$|n(r, a) - n(r, b)| = |n(r, w(r, a)) - n(r, w(r, b))|,$$

and we deduce from (xiii) that $|n(r, a) - n(r, b)| \leq 1$. This implies that

$$\limsup_{r \rightarrow \infty} |n(r, a) - n(r, b)| \leq 1,$$

which completes the proof of Theorem 7.

13. Proof of Theorem 8

Let f and E be as in Theorem 8. Contrary to the assertion of Theorem 8, let us suppose that

$$\lim_{n \rightarrow \infty} |a_{n+1}/a_n| = \infty.$$

Let γ_n, D_n and $U(b_n, d_n)$ be as in the proof of Theorem 7. If n is large, then the set

$$A_n = U(b_{n-1}, d_{n-1}) \cup U(b_n, d_n)$$

contains at most two of the points 0, 1, w_4 and ∞ . Then f omits in D_n at least one value lying in the complement of A_n , and since the image of the boundary of D_n is contained in A_n , we conclude from the maximum principle that $f(D_n) \subset A_n$. Since D_n is a connected set, $f(D_n)$ is connected, and we deduce that

$$U(b_{n-1}, d_{n-1}) \cap U(b_n, d_n) \neq \emptyset.$$

This implies together with the fact that $f(a_n) \in A_n$ that

$$f(D_n) \subset A_n \subset U(f(a_n), 4d_n)$$

for all large n , and in the same manner as in the proof of Theorem 7, we see now that if n is large, then the image of the set $|z| > |a_n|$ is contained in $U(f(a_n), 4d_n)$. This is impossible, and therefore we may conclude that

$$\liminf_{n \rightarrow \infty} |a_{n+1}/a_n| < \infty.$$

This proves Theorem 8.

14. Proof of Theorem 9

Let $M > 1$, $r_1 = e$ and $r_{n-1} = \log \log r_n$ for $n \geq 2$. We set

$$f(z) = z \prod_{n=1}^{\infty} (1 - z/r_n)^{2(-1)^n}.$$

It follows from the considerations made in [11, p. 16] that all except a finite number of the 1-points and M -points of f lie on the positive real axis on the union of the segments $I_n: r_n^{3/2} \leq x \leq r_n^3$, and that if n is large, then I_n contains exactly one 1-point and one M -point of f . Let these points be b_n and z_n , arranged such that $b_n < z_n$.

If $x \in I_n$, then the logarithmic derivative of f satisfies

$$\frac{f'(x)}{f(x)} = (1 + o(1)) \frac{(-1)^n}{x},$$

and we get

$$\begin{aligned} \log M &= |\log f(z_n) - \log f(b_n)| = \left| \int_{b_n}^{z_n} \frac{f'(x)}{f(x)} dx \right| \\ &= (1 + o(1)) \int_{b_n}^{z_n} \frac{dx}{x} = (1 + o(1)) \log(z_n/b_n). \end{aligned}$$

This implies that

$$(i) \quad z_n/b_n = M^{1+o(1)} \rightarrow M$$

as $n \rightarrow \infty$. Since $r_n^{3/2} < b_n < z_n < \sqrt{r_{n+1}}$ for all large n , we conclude from (i) that the set E defined by (F) satisfies the condition (G). This proves Theorem 9.

15. Two lemmas

Lemma 5. *Let f be meromorphic in the half disc*

$$D = \{z: |z| \leq r, \operatorname{Im} z \geq 0\}$$

and satisfy $|f(z)| \geq 1$ there. There exists an absolute constant $K_1 > 0$ such that

$$\log |f(z)| \geq \frac{K_1}{r} \int_{-r/2}^{r/2} \log |f(x)| dx$$

for any $z \in U(ir/2, r/8)$.

Proof. Let $z(w)$ map the unit disc $|w| < 1$ conformally on to D such that $w(0) = ir/2$. From the superharmonicity of $\log |f(z(w))|$ it follows that

$$(i) \quad \log |f(z(\varrho e^{i\alpha}))| \cong \frac{1}{2\pi} \int_0^{2\pi} \log |f(z(e^{i\varphi}))| \frac{1 - \varrho^2}{1 - 2\varrho \cos(\varphi - \alpha) + \varrho^2} d\varphi$$

for $\varrho < 1$. Since there exist absolute constants $m_1 > 0$ and $m_2 < 1$ such that

$$\left| \frac{dz(w)}{dw} \right| \cong m_1 r$$

if z lies on the segment $[-r/2, r/2]$, and $|w| \cong m_2$ if $z(w) \in U(ir/2, r/8)$, it follows from (i) that

$$\log |f(\zeta)| \cong \frac{1 - m_2}{2\pi(1 + m_2)m_1 r} \int_{-r/2}^{r/2} \log |f(x)| dx$$

for $\zeta \in U(ir/2, r/8)$, and Lemma 5 is proved.

Lemma 6. Let u be harmonic in the annulus $H: r < |z| < R$, non-negative and continuous on its closure, and $u(z) = 0$ on $|z| = r$. Let

$$\mu(R, u) = \frac{1}{2\pi} \int_0^{2\pi} u(Re^{i\varphi}) d\varphi.$$

Then

$$(5) \quad u(z) \cong \mu(R, u) \frac{R + |z|}{R - |z|}$$

and

$$(6) \quad u(z) \cong \mu(R, u) \left(\frac{R - |z|}{R + |z|} - \frac{(R + r) \log(R/|z|)}{(R - r) \log(R/r)} \right)$$

for all $z \in H$, and if $R \cong re^{36}$, then

$$(7) \quad u(z) \cong \frac{1}{6} \mu(R, u)$$

for those z which lie in $R/4 \cong |z| \cong R/2$.

Proof. The function

$$v(\varrho e^{i\alpha}) = \frac{1}{2\pi} \int_0^{2\pi} u(Re^{i\varphi}) \frac{R^2 - \varrho^2}{R^2 - 2R\varrho \cos(\varphi - \alpha) + \varrho^2} d\varphi$$

is harmonic in $|z| < R$, continuous on its closure, and $v(z) = u(z)$ on $|z| = R$. On $|z| = r$ we have $u(z) = 0 \cong v(z)$, and since

$$(i) \quad v(z) \cong \mu(R, u) \frac{R + |z|}{R - |z|}$$

in $|z| < R$, (5) follows from the maximum principle. We see from (i) that

$$(ii) \quad u(z) \cong v(z) - \mu(R, u) \frac{(R+r) \log(R/|z|)}{(R-r) \log(R/r)}$$

on the boundary of H , and we conclude from the maximum principle that (ii) holds in H_n . Since

$$v(z) \cong \mu(R, u) \frac{R-|z|}{R+|z|}$$

in $|z| < R$, we get (6) from (ii). The condition (7) is a direct consequence of (6). Lemma 6 is proved.

16. Proof of Theorem 10

Contrary to the assertion of Theorem 10, let us suppose that there exist α, β, E, d_n and S as in Theorem 10 and a transcendental meromorphic function f with $\delta(\infty, f) > 0$ such that

$$f^{-1}(\{0, 1, w_3\}) \subset U(0, r_0) \cup S$$

for some r_0, w_3 being different from 0 and 1.

It follows from (H) that the number of the a_n which lie in the annulus $r < |z| < 2r$ is at most $4(\log r)^{2\alpha}$. Therefore, if r is large, we may choose $\varrho, r < \varrho < 2r$, such that

$$(i) \quad \left\{ z : \varrho - \frac{\varrho}{16(\log \varrho)^{2\alpha}} < |z| < \varrho + \frac{\varrho}{16(\log \varrho)^{2\alpha}} \right\} \cap S = \emptyset.$$

Since f is transcendental and $\delta(\infty, f) > 0$, we have

$$\frac{1}{2\pi} \int_0^{2\pi} \log^+ |f(re^{i\varphi})| d\varphi > 10 \log r$$

for all large r . This implies that we may choose φ_1 and $\varphi_2, \varphi_2 = \varphi_1 + (\log \varrho)^{-3}$, such that

$$(ii) \quad \int_{\varphi_1}^{\varphi_2} \log^+ |f(\varrho e^{i\varphi})| d\varphi > 10(\log \varrho)^{-2}.$$

We set $g(\zeta) = f(e^\zeta)$. It follows from (ii) that

$$(iii) \quad \int_{\varphi_1}^{\varphi_2} \log^+ |g(\log \varrho + i\varphi)| d\varphi \cong 10(\log \varrho)^{-2}.$$

We denote $E_\zeta = \{\zeta : e^\zeta \in E\}$. We see from (H) that if $b \in E_\zeta$ and $\text{Re } b$ is large, then

$$(iv) \quad \left\{ \zeta : 0 < |\zeta - b| < \frac{1}{2(\text{Re } b)^\alpha} \right\} \cap E_\zeta = \emptyset,$$

and from (I) we deduce that g omits the values $0, 1$ and w_3 in

$$G = \{\zeta: \operatorname{Re} \zeta \cong \gamma_0\} - \bigcup_{b \in E_\zeta} U(b, d(b))$$

if $\gamma_0 > 0$ is chosen sufficiently large and $d(b)$ is defined by the equation

$$\log \frac{1}{d(b)} = \frac{1}{2} (\operatorname{Re} b)^{2+\beta}.$$

We assume that $\log \varrho > 100 + \gamma_0$, and we conclude from (i) that

$$(v) \quad \left\{ \zeta: |\operatorname{Re} \zeta - \log \varrho| < \frac{1}{32 (\log \varrho)^{2\alpha}} \right\} \subset G.$$

Let J be the segment $\operatorname{Re} \zeta = \log \varrho$, $\varphi_1 \cong \operatorname{Im} \zeta \cong \varphi_2$. It follows from (iii) that there exists $\zeta_0 \in J$ such that $|g(\zeta_0)| \cong 1$. Since

$$U\left(\zeta_0, \frac{1}{32 (\log \varrho)^{2\alpha}}\right) \subset G,$$

we deduce from Schottky's theorem that there exists $M_5 > 0$ depending only on w_3 such that $|g(\zeta)| \cong M_5$ in

$$U\left(\zeta_0, \frac{1}{64 (\log \varrho)^{2\alpha}}\right).$$

Applying Lemma 5 in the half disc

$$D = \{\zeta: \operatorname{Re} \zeta \cong \log \varrho, |\zeta - (i/2)(\varphi_1 + \varphi_2) - \log \varrho| \cong (\log \varrho)^{-3}\},$$

we conclude now from (iii) that there exists $\zeta_1 \in D$ such that

$$(vi) \quad \log |g(\zeta)| \cong K_1 \log \varrho$$

in $|\zeta - \zeta_1| \cong (\log \varrho)^{-5}$.

Let $b \in E_\zeta \cap \{\zeta: (3/4) \log \varrho < \operatorname{Re} \zeta < (4/3) \log \varrho\}$. It follows from (iv) that

$$(vii) \quad U\left(b, \frac{1}{3 (\operatorname{Re} b)^\alpha}\right) - U(b, d(b)) \subset G.$$

We choose $d, 0 < d < 1/1000$, such that the set $U(a, 8d)$ cannot contain two of the points $0, 1$ and w_3 for any $a \in \Sigma$. We see from Lemma 1 and (vii) that there exists $M_6 > 0$ depending only on w_3 such that the image of the circle

$$\Gamma_b = \left\{ \zeta: |\zeta - b| = \frac{1}{M_6 (\operatorname{Re} b)^\alpha} \right\}$$

is contained in some set $U(w_1(b), d)$ and the image of

$$\gamma_b = \{\zeta: |\zeta - b| = M_6 d(b)\}$$

is contained in some $U(w_2(b), d)$. If

$$U(w_1(b), d) \cap U(w_2(b), d) = \emptyset,$$

then g would take at least one of the values $0, 1$ and w_3 in

$$D_b = \left\{ \zeta : M_6 d(b) \leq |\zeta - b| \leq \frac{1}{M_6 (\operatorname{Re} b)^2} \right\}.$$

This is impossible, and we deduce that there exists $w(b) \in \Sigma$ such that

(viii)
$$f(D_b) \subset U(w(b), 2d).$$

Let C_b be the disc $|\zeta - b| < (\log \varrho)^{-3}$. Let us suppose that there exists $R, (\log \varrho)^{-5} < R < 100$, such that $|g(\zeta)| \geq 2$ in

$$\{ \zeta : (\log \varrho)^{-6} \leq |\zeta - \zeta_1| \leq R \} - \bigcup_{b \in E_\zeta} C_b$$

and that there exists ζ_2 such that $|g(\zeta_2)| = 2$ and that

$$\zeta_2 \in \{ \zeta : |\zeta - \zeta_1| = R \} - \bigcup_{b \in E_\zeta} C_b.$$

Let

$$E_1 = \{ b \in E_\zeta : C_b \cap U(\zeta_1, R) \neq \emptyset \}.$$

It follows from (viii) that $|g(\zeta)| \geq 1$ on D_b for $b \in E_1$, and from (iv) we conclude that the number of the points of E_1 is at most

(ix)
$$q = 320\,000 (\log \varrho)^{2\alpha}.$$

The function

$$\omega(\zeta) = \frac{\log(R/|\zeta - \zeta_1|)}{\log(R(\log \varrho)^6)} - \sum_{b \in E_1} \frac{\log(3R/|\zeta - b|)}{\log(3R/(M_6 d(b)))}$$

is harmonic in

$$A = \{ \zeta : (\log \varrho)^{-6} \leq |\zeta - \zeta_1| \leq R \} - \bigcup_{b \in E_1} U(b, M_6 d(b)),$$

$\omega(\zeta) \leq 1$ on $|\zeta - \zeta_1| = (\log \varrho)^{-6}$, and $\omega(\zeta) \leq 0$ at the other boundary points of A . Since $|g(\zeta)| \geq 1$ in A , it follows from (vi) that

(x)
$$\log |g(\zeta)| \geq K_1 \omega(\zeta) \log \varrho$$

on the boundary of A , and from the superharmonicity of $\log |g(\zeta)|$ in A we conclude that (x) holds in A .

It follows from (v) that $U(\zeta_1, (\log \varrho)^{-2}) \cap E_\zeta = \emptyset$. Therefore we may choose $s, 1 \leq s \leq 2$, such that the point

$$\zeta_3 = \zeta_1 + (\zeta_2 - \zeta_1)(1 - s(\log \varrho)^{-(1+\alpha/2)})$$

lies outside the union of the discs C_b , and we deduce from the definition of $d(b)$ and (ix) that

$$\begin{aligned} \omega(\zeta_3) &\geq \frac{1}{7(\log \varrho)^{(1+\alpha/2)} \log \log \varrho} - O\left(\frac{q \log \log \varrho}{(\log \varrho)^{2+\beta}}\right) \\ &\geq \frac{(\log \varrho)^{(1-\alpha)/4}}{\log \varrho} \end{aligned}$$

if ϱ is large. This implies together with (x) that $|g(\zeta_3)| > 10$, and since $g(\zeta_2) \equiv 2$, we deduce from Lemma 2 that there exists $b \in E_\zeta$ such that

$$|b - \zeta_2| \leq 2K |\zeta_2 - \zeta_3| \leq 4KR (\log \varrho)^{-(1+\alpha)/2}.$$

This implies that both of the points ζ_2 and ζ_3 lie in

$$U\left(b, \frac{1}{M_6(\operatorname{Re} b)^\alpha}\right),$$

and since ζ_2 and ζ_3 lie outside the union of the discs C_b , we conclude that $\zeta_3, \zeta_2 \in D_b$. This contradicts (viii) because $|g(\zeta_2)| \leq 2$ and $|g(\zeta_3)| > 10$. Therefore we deduce now that $|g(\zeta)| \equiv 2$ in

$$U(\zeta_1, 100) - \bigcup_{b \in E_\zeta} C_b.$$

Combining this with (viii) and letting ϱ grow, we see that there exists $\log \varrho_0 > 0$ such that $|g(\zeta)| \equiv 1$ in

$$\{\zeta: \operatorname{Re} \zeta > \log \varrho_0\} - \bigcup_{b \in E_\zeta} U(b, M_6 d(b)),$$

which, written for f , means that $|f(z)| \equiv 1$ in

$$\{z: |z| > \varrho_0\} - \bigcup_{n=1}^{\infty} U(a_n, t_n),$$

where the radii t_n are chosen by the equation

$$(xi) \quad \log \frac{1}{t_n} = \frac{1}{4} (\log |a_n|)^{2+\beta}.$$

We choose a sequence $r_n, r_1 > (4 + \varrho_0)^{100}$, such that $r_{n-1}^2 < r_n < 2r_{n-1}^2$, there are no poles of f on $|z| = r_n$, and

$$(xii) \quad \left\{z: |r_n - |z|| < \frac{r_n}{16(\log r_n)^{2\alpha}}\right\} \cap S_0 = \emptyset,$$

where S_0 is the union of the discs $U(a_k, t_k)$, and x_n is chosen such that $r_n^{1/100}/2 < x_n < r_n^{1/100}$ and that (xii) is satisfied if r_n is replaced by x_n .

Let u be the function harmonic in $B_n: x_n < |z| < r_n$ which satisfies $u(z) = \log |f(z)|$ on $|z| = r_n$ and $u(z) = 0$ on $|z| = x_n$. For a_k lying in B_n we set

$$\omega_k(z) = \frac{\log(2r_n/|z - a_k|)}{\log(2r_n/t_k)}.$$

It follows from Lemma 6 that

$$u(z) \leq 2m(r_n, \infty) \frac{r_n + |a_k|}{r_n - |a_k|}$$

on $|z - a_k| = t_k$, and therefore we may conclude that

$$(xiii) \quad \log |f(z)| \cong u(z) - 2m(r_n, \infty) \sum_{a_k \in B_n} \frac{r_n + |a_k|}{r_n - |a_k|} \omega_k(z)$$

on the boundary of $B_n - S_0$, and from the superharmonicity of $\log |f(z)|$ it follows that (xiii) holds in $B_n - S_0$, especially on $|z| = r_{n-1}$.

Let $|z| = r_{n-1}$. If $r_n/2 \leq |a_k| < r_n$, then we see from (xii) and (xi) that

$$\frac{r_n + |a_k|}{r_n - |a_k|} \omega_k(z) = O\left(\frac{(\log r_n)^{2\alpha}}{(\log r_n)^{2+\beta}}\right) = o((\log r_n)^{-2}),$$

and since the number of these points a_k is $O((\log r_n)^{2\alpha})$, we deduce that

$$\sum_1 \frac{r_n + |a_k|}{r_n - |a_k|} \omega_k(z) = o(1),$$

where the sum \sum_1 is taken over those a_k which lie in $r_n/2 \leq |z| < r_n$. If $x_n < |a_k| < r_n/2$, then (xi) implies that

$$\frac{r_n + |a_k|}{r_n - |a_k|} \omega_k(z) = O\left(\frac{\log r_n}{(\log r_n)^{2+\beta}}\right),$$

and since the number of these points a_k is $O((\log r_n)^{1+2\alpha})$ and $\beta > 2\alpha$, we conclude that

$$\sum_2 \frac{r_n + |a_k|}{r_n - |a_k|} \omega_k(z) = o(1),$$

where the sum \sum_2 is taken over those a_k which lie in $x_n < |z| < r_n/2$. Combining these estimates with (xiii), we get

$$\log |f(z)| \cong u(z) + o(m(r_n, \infty)),$$

and using the condition (6) of Lemma 6, we get

$$(xiv) \quad \log |f(z)| \cong \left(\frac{98}{198} + o(1)\right) m(r_n, \infty)$$

on $|z| = r_{n-1}$.

From (xiv) we conclude that

$$(xv) \quad m(r_n, \infty) \leq \frac{199}{98} m(r_{n-1}, \infty)$$

for all large values of n , say for $n \geq p$. Then, if we write $\delta = \delta(\infty, f)$,

$$\begin{aligned} T(r_p^{2^k}, f) &\leq T(r_{p+k}, f) \leq \frac{2}{\delta} m(r_{p+k}, \infty) \\ &\leq \frac{2}{\delta} \left(\frac{199}{98}\right)^k m(r_p, \infty), \end{aligned}$$

and we deduce that if $R_k = r_p^{2k}$, then $T(R_k, f) = O((\log R_k)^{9/8})$ as $k \rightarrow \infty$. This implies that

$$(xvi) \quad T(r, f) = O((\log r)^{9/8}).$$

We denote by z_k and b_k the zeros and poles of f , and by u_n (resp. v_n) the number of zeros (resp. poles) of f lying in $|z - a_n| < 1/|a_n|$. We choose ζ lying on $|z - a_n| = t_n$ such that $|\zeta - b_k| \cong t_n/v_n$ for any k . Applying Poisson—Jensen formula with $R = 2|a_n|$ we obtain, since $|f(\zeta)| \cong 1$, that

$$(xvii) \quad \begin{aligned} 0 &\leq \log |f(\zeta)| \\ &\cong 4m(R, \infty) + \sum_{|z_k| < R} \log \left| \frac{R(\zeta - z_k)}{R^2 - \bar{z}_k \zeta} \right| - \sum_{|b_k| < R} \log \left| \frac{R(\zeta - b_k)}{R^2 - \bar{b}_k \zeta} \right| \\ &\cong (v_n - u_n) \log \frac{1}{t_n} + O(T(R, f)) + v_n \log v_n + O(n(R, \infty) \log R). \end{aligned}$$

It follows from (xvi) that $n(R, \infty) = O((\log R)^{1/8})$, and therefore we may conclude from (xvii) and (xi) that

$$(u_n - v_n)(\log R)^2 \cong O((\log R)^{9/8}).$$

This implies that $u_n \cong v_n$ for all large n , and therefore

$$(xviii) \quad n(r, 0) \cong O(1) + n(r, \infty)$$

for those large values of r which lie outside the union of the intervals $|a_k| - 1/|a_k| < r < a_k + 1/|a_k|$. Therefore we may deduce from (xviii) that $\delta(0, f) \cong \delta(\infty, f)$. This is impossible, since the growth condition (xvi) guarantees that f has at most one deficient value. We are led to a contradiction, and Theorem 10 is proved.

17. A lemma needed in the proof of Theorem 11

Schottky's theorem is proved by Ahlfors in the following form.

Schottky's theorem. *If g is regular in $|z| < 1$ and omits there the values 0 and 1, then*

$$\log^+ |g(z)| \leq \frac{1 + |z|}{1 - |z|} (7 + \log^+ |g(0)|).$$

We shall need the following

Lemma 7. *Let E and d_n be as in Theorem 11 and let f be transcendental and meromorphic in the plane such that $\delta(\infty, f) > 0$ and that*

$$f^{-1}(\{0, 1, w_3\}) \subset U(0, r_0) \cup \bigcup_{n=1}^{\infty} U(a_n, d_n)$$

for some $r_0 > 0$, w_3 being different from 0 and 1. Then there exist sequences R_n , $R_n \rightarrow \infty$ as $n \rightarrow \infty$, and $K_n, K_n \rightarrow \infty$ as $n \rightarrow \infty$, such that

$$(8) \quad \log |f(z)| \cong K_n^2 \log R_n$$

for any z lying on $|z|=R_n$.

Proof. If f satisfies $T(r, f) = O((\log r)^m)$ for some finite m , it follows from the proof of Lemma 4 that there exist large values of r such that

$$\log |f(z)| \cong \left(\frac{1}{3} + o(1)\right) \delta(\infty, f) T(r, f)$$

on $|z|=r$, and we may choose the desired sequences R_n and K_n .

Let us suppose that $T(r, f) \neq O((\log r)^{100})$. Let r be large and chosen such that $m(r, \infty) \cong (\log r)^{100}$. We choose $t, r \cong t \cong 2r$, such that $U(t, 2t(\log t)^{-3}) \cap S = \emptyset$, where

$$S = \bigcup_{n=1}^{\infty} U(a_n, d_n).$$

Then, if r is large, $m(t, \infty) > (\log t)^{99}$.

We set $g(\zeta) = f(e^\zeta)$ and $S_t = \{\zeta: e^\zeta \in S\}$. Since

$$\frac{1}{2\pi} \int_0^{2\pi} \log^+ |g(\log t + i\varphi)| d\varphi > (\log t)^{99},$$

we may choose φ_1 and $\varphi_2, 0 \cong \varphi_1 \cong \varphi_2 = \varphi_1 + (\log t)^{-5} \cong 2\pi$, such that

$$(i) \quad \int_{\varphi_1}^{\varphi_2} \log^+ |g(\log t + i\varphi)| d\varphi \cong (\log t)^{92}.$$

We may assume that $0 \cong \varphi_1 \cong \pi$, for the case when $\pi \cong \varphi_2 \cong 2\pi$, is symmetric.

We choose $\varphi_0, \varphi_1 < \varphi_0 < \varphi_2$, such that $|g(\log t + i\varphi_0)| > 1$. Applying Schottky's theorem in the disc

$$U(\log t + i\varphi_0, (\log t)^{-3}),$$

we conclude that there exists $H_1 > 0$ depending only on w_3 such that $\log |g(\zeta)| \cong -H_1$ in

$$U(\log t + i\varphi_0, (\log t)^{-4}).$$

Therefore we may deduce from Lemma 5 and (i) that there exists

$$\zeta_0 \in U(\log t + i\varphi_0, (\log t)^{-5})$$

such that $\text{Im } \zeta_0 \cong 0$ and

$$(ii) \quad \log |g(\zeta)| \cong (\log t)^{92}$$

in the disc $U(\zeta_0, (2 \log t)^{-5})$.

We denote $\varrho = \operatorname{Re} \zeta_0$. We choose h to be one of the functions g and $1-g$ such that $|h(\varrho+i\pi)| \cong 1/2$. We apply Schottky's theorem in the disc

$$U(\varrho+i\pi, \pi - (\log t)^{-7})$$

to the function $1/h$, and get

$$(iii) \quad \log |h(\zeta)| \cong -(\log t)^{-8}$$

for all ζ lying in

$$U(\varrho+i\pi, \pi - (\log t)^{-6}).$$

Let us suppose that $\varphi_1 < 4\pi/5$. We denote

$$R = \pi - \operatorname{Im} \zeta_0 - (\log t)^{-6}.$$

The length of the arc of the circle $|\zeta - (\varrho+i\pi)| = R$ which lies in $U(\zeta_0, (2 \log t)^{-5})$ is at least $(\log t)^{-6}$, and since $\log |h(\zeta)|$ is superharmonic in $U(\varrho+i\pi, R)$, we conclude from (ii) and (iii) for $\zeta = \varrho+i\pi+re^{i\alpha}$ lying in $U(\varrho+i\pi, \pi/10)$ that

$$(iv) \quad \log |h(\zeta)| \cong \frac{1}{2\pi} \int_0^{2\pi} \log |h(\varrho+i\pi+Re^{i\varphi})| \frac{(R^2-r^2) d\varphi}{R^2-2rR \cos(\varphi-\alpha)+r^2} \\ \cong (\log t)^{85}.$$

If $4\pi/5 \cong \varphi_1 \cong \pi$, the same argument as above shows that (iv) holds on $|\zeta - (\varrho+i\pi)| = 2\pi/5$, and then it follows from the superharmonicity of $\log |h(\zeta)|$ that (iv) is valid in $U(\varrho+i\pi, \pi/10)$, in this case, too.

We set

$$\omega(\zeta) = \frac{\log \frac{\pi - (\log t)^{-6}}{|\zeta - (\varrho+i\pi)|}}{\log \log t}.$$

Then $\omega(\zeta)$ is harmonic in

$$G = \{\zeta: \pi - (\log t)^{-6} > |\zeta - (\varrho+i\pi)| > \pi/10\},$$

$\omega(\zeta) = 0$ on $|\zeta - (\varrho+i\pi)| = \pi - (\log t)^{-6}$ and $\omega(\zeta) < 1$ on $|\zeta - (\varrho+i\pi)| = \pi/10$. From (iv) and (iii) we deduce that

$$(v) \quad \log |h(\zeta)| \cong (\log t)^{85} \omega(\zeta) - (\log t)^8$$

on the boundary of G , and from the superharmonicity of $\log |h(\zeta)|$ we conclude that (v) holds in G . Especially, if ζ lies in the disc

$$A = \{\zeta: |\zeta - (\varrho+i\pi)| < \pi - 2(\log t)^{-6}\},$$

then

$$(vi) \quad \log |h(\zeta)| \cong (\log t)^{78}.$$

It follows from Schottky's theorem, applied in

$$U(\varrho+i(\log t)^{-5}, 4(\log t)^{-4}),$$

that $\log |h(\zeta)| > -\log t$ in

$$U(\varrho + i(\log t)^{-5}, 2(\log t)^{-4}).$$

We set

$$w(\zeta) = \frac{\log \frac{(\log t)^{-4}}{|\zeta - (\varrho + i(\log t)^{-5})|}}{\log \log t}.$$

It follows from (vi) that

$$\log |h(\zeta)| \cong (\log t)^{78} w(\zeta) - \log t$$

on the boundary of the annulus

$$(\log t)^{-5} \cong |\zeta - (\varrho + i(\log t)^{-5})| < (\log t)^{-4},$$

and therefore we conclude that

$$(vii) \quad \log |h(\zeta)| \cong (\log t)^{77}$$

in $U(\varrho + i(\log t)^{-5}, (\log t)^{-4}/2)$.

Combining the estimates (vi) and (vii) we deduce that $\log |h(\zeta)| \cong (\log t)^{77}$ on the segment

$$\{\zeta: \operatorname{Re} \zeta = \varrho, -(\log t)^{-4}/4 \cong \operatorname{Im} \zeta \cong 2\pi - (\log t)^{-5}\}.$$

This implies that $\log |f(z)| \cong (\log t)^{77}$ for all z lying on the circle $|z|=e^{\varrho}$, and we conclude that, if $T(r, f) \neq O((\log r)^{100})$, then the desired sequences R_n and K_n exist in this case, too. This completes the proof of Lemma 7.

18. Proof of Theorem 11

Let a_n and d_n be as in Theorem 11. We denote

$$(1) \quad S = \bigcup_{n=1}^{\infty} U(a_n, d_n).$$

Contrary to the assertion of Theorem 11, let us suppose that there exists a transcendental meromorphic function f such that $\delta(\infty, f) > 0$ and

$$(2) \quad f^{-1}(\{0, 1, w_3\}) \subset U(0, r_0) \cup S$$

for some $r_0 > 0$, w_3 being different from 0 and 1.

Using Lemma 7, we choose sequences $R_n, R_n \rightarrow \infty$ as $n \rightarrow \infty$, and $K_n, K_n \rightarrow \infty$ as $n \rightarrow \infty$, such that

$$(3) \quad \log |f(z)| \cong K_n^2 \log R_n$$

for any z lying on $|z|=R_n$.

Let $d \leq 1/1000$ and M_6 be as in the proof of Theorem 10,

$$\Gamma_n = \left\{ z: |z - a_n| = \frac{\varepsilon a_n}{M_6 (\log a_n)^\alpha} \right\},$$

$$\gamma_n = \{z: |z - a_n| = M_6 d_n\},$$

and w_n is chosen such that

(4) $f(D_n) \subset U(w_n, 2d),$

where D_n is the annulus bounded by γ_n and Γ_n .

We set

$$\omega_k(z) = \frac{1000 + \log(a_k/|z - a_k|)}{1000 + \log(a_k/(M_6 d_k))}.$$

Then $\omega_k(z) = 0$ on $|z - a_k| = a_k e^{1000}$ and $\omega_k(z) = 1$ on γ_n . If $|z - a_k| \geq a_k (\log a_k)^{-9}$, then

$$\omega_k(z) \leq \frac{19 \log \log a_k}{(\log a_k)^{2+\alpha}}.$$

Let z lie outside the union of the discs $U(a_s, a_s (\log a_s)^{-9})$. We denote

$$E_z = \{a_k: |z|e^{-500} \leq a_k \leq |z|e^{500}\}.$$

From (J) it follows that there exists B_1 depending only on ε such that the number of the points of E_z is at most $B_1 (\log |z|)^2$, and we conclude that there exists B_2 depending only on ε such that

(5)
$$\lambda(z) = \sum_{a_k \in E_z} \omega_k(z) \leq \frac{B_2 \log \log |z|}{(\log |z|)^2}$$

if $|z|$ is sufficiently large and z lies outside the union of the discs $U(a_s, a_s (\log a_s)^{-9})$.

Let k be fixed. Let us suppose that there exists $R, R_k \leq R < R_k e^{37}$, such that $|f(z)| \geq 2$ in

$$\{z: R_k \leq |z| \leq R\} - \bigcup_{n=1}^{\infty} U\left(a_n, \frac{\varepsilon a_n}{M_6 (\log a_n)^\alpha}\right)$$

and that there exists

(6)
$$z_1 \in \{z: |z| = R\} - \bigcup_{n=1}^{\infty} U\left(a_n, \frac{\varepsilon a_n}{M_6 (\log a_n)^\alpha}\right)$$

such that $|f(z_1)| = 2$. From (4) we see that $|f(z)| \geq 1$ in

$$\{z: R_k \leq |z| \leq R\} - \bigcup_{n=1}^{\infty} U(a_n, M_6 d_n).$$

We set $g(\zeta) = f(R + \zeta^2)$ and let $h(\zeta) = R + \zeta^2$ be the function which maps $\text{Im } \zeta \geq 0$ onto the z -plane. We denote

$$A = h^{-1}(\{z: |z| < R\}),$$

$$A_1 = h^{-1}(\{z: |z| \leq R_k\})$$

and $\zeta_1 = h^{-1}(z_1)$. Then ζ_1 lies on the boundary of A and $\pi/4 < \arg \zeta_1 < 3\pi/4$.

If $t < (\sqrt{2}-1)\sqrt{R}$, then $U(i\sqrt{R}, t) \subset A$. Let us suppose that there exists t ,

$$(7) \quad (\sqrt{2}-1)\sqrt{R} \leq t < \sqrt{R} \left(1 - \frac{1}{B_4 \log R}\right),$$

where $B_4 = \min \{(\log R)^{1/8}, \sqrt{K_k}\}$ such that $|g(\zeta)| \geq 2$ in $U(i\sqrt{R}, t) - A$ and that there exists ζ_3 lying on $|\zeta - i\sqrt{R}| = t$ outside A satisfying $|g(\zeta_3)| = 2$.

We denote $G = U(i\sqrt{R}, t) - A_1$, and let $\omega(\zeta)$ be the harmonic measure with respect to G of that part of the boundary of G which is common with A_1 . There exists an absolute constant $B_3 > 0$ such that $U(i\sqrt{R}, 4B_3\sqrt{R}) \subset A_1$. On the boundary of G we have

$$(8) \quad \omega(\zeta) \cong \frac{\log(t/|\zeta - i\sqrt{R}|)}{\log(t/(B_3\sqrt{R}))},$$

and from the harmonicity we conclude that (8) holds in G .

Let p be the greatest integer such that $\gamma_p \subset U(0, R)$. For $n \leq p$, we denote

$$Q_n = \{\zeta : R + \zeta^2 \in \gamma_n, \text{Im } \zeta \geq 0\},$$

and V_n is the open domain bounded by Q_n . If $V_n \cap G \neq \emptyset$, we denote by v_n the harmonic measure of $Q_n \cap G$ with respect to G , and

$$v(\zeta) = \sum_{V_n \cap G \neq \emptyset} v_n(\zeta).$$

Let $G_0 = G - \bigcup_{n=1}^p V_n$. We note that $v_n(\zeta) \leq \omega_n(R + \zeta^2)$ in G_0 and conclude from (5) that

$$(9) \quad v(\zeta) \leq \lambda(R + \zeta^2) \leq \frac{2B_2 \log \log R}{(\log R)^2}$$

if ζ lies in

$$G_1 = G - \{\zeta : 0 < \text{Im } \zeta < \sqrt{R}, |\text{Re } \zeta| < \sqrt{R}(\log R)^{-6}\}.$$

We choose ζ_4 by the equation

$$\zeta_4 - i\sqrt{R} = (\zeta_3 - i\sqrt{R}) \left(1 - \frac{1}{B_4^2 \log R}\right).$$

We may assume that $\zeta_4 \in G_1$,

$$(10) \quad \omega(\zeta_4) \cong \frac{1}{\log(1/B_3) B_4^2 \log R}.$$

It follows from (3) that

$$(11) \quad \log |g(\zeta)| \geq K_k^2 \log R_k (\omega(\zeta) - v(\zeta))$$

on the boundary of G_0 , and since $\log |g(\zeta)|$ is superharmonic in G_0 , we conclude that (11) holds in G_0 . Therefore we may deduce from (9) and (10) that $\log |g(\zeta_4)| \geq \sqrt{K_k}$.

Since now $|g(\zeta_3)| \leq 2, |g(\zeta_4)| \geq 10$ and

$$|\zeta_3 - \zeta_4| \leq \frac{t}{B_4^2 \log R},$$

we conclude from Lemma 2 that the disc

$$U\left(\zeta_3, \frac{Kt}{B_4^2 \log R}\right)$$

contains at least one zero, 1-point or w_3 -point of g . This is not possible, since from (7) and the fact that ζ_3 lies outside A it follows that f omits the values 0, 1 and w_3 in

$$U\left(\zeta_3, \frac{t}{2B_4 \log R}\right).$$

Therefore we conclude now that $|g(\zeta)| > 2$ in

$$U\left(i\sqrt{R}, \sqrt{R}\left(1 - \frac{1}{B_4 \log R}\right)\right) - A.$$

This implies that

$$|\zeta_1| < \frac{2\sqrt{R}}{B_4 \log R}$$

because ζ_1 lies on the boundary of A and $|g(\zeta_1)| = 2$. If we choose

$$\zeta_5 = \frac{8(1+i)\sqrt{R}}{B_4 \log R},$$

then ζ_5 lies outside A , and we see in the same manner as above, ζ_5 taking the role of ζ_4 , that

$$(12) \quad \log |g(\zeta_5)| \cong \sqrt{K_k}.$$

We set $z_2 = R + \zeta_5^2$. Then $|f(z_2)| > 10$ and

$$|z_1 - z_2| \cong |\zeta_1|^2 + |\zeta_5|^2 \cong \frac{500R}{B_4^2 (\log R)^2}.$$

Using Lemma 2 again, we conclude that the disc

$$C_0 = U\left(z_1, \frac{500KR}{B_4^2 (\log R)^2}\right)$$

contains at least one point of S . From the choices of B_4 and z_1 it follows that if k is large, then C_0 cannot contain any point of S , and we conclude that, if k is large, then $|f(z)| \cong 2$ in

$$\{z: R_k \cong |z| \cong R_k e^{37}\} - \bigcup_{n=1}^{\infty} U\left(a_n, \frac{\varepsilon a_n}{M_6 (\log a_n)^x}\right),$$

which implies together with (4) that

$$(13) \quad |f(z)| \cong 1$$

in

$$\{z: R_k \cong |z| \cong R_k e^{37}\} - \bigcup_{n=1}^{\infty} U(a_n, M_6 d_n).$$

We begin with $t_1=R_k$, where k is large, and choose $q_2, R_k e^{36} < q_2 < R_k e^{37}$, such that there are no poles of f on $|z|=q_2$ and that

$$U\left(q_2, \frac{\varepsilon q_2}{4(\log q_2)^\alpha}\right) \cap S = \emptyset.$$

If γ_p is a boundary component of

$$G_2 = \{z: R_k < |z| < q_2\} - \bigcup_{n=1}^{\infty} U(a_n, M_6 d_n),$$

we denote by v_p its harmonic measure with respect to G_2 . Let u be the function harmonic in $R_k < |z| < q_2$ which has the boundary values $\log |f(z)|$ on $|z|=q_2$ and 0 on $|z|=R_k$. We denote $\beta_p = \max\{u(z): z \in \gamma_p\}$ if a_p lies in $R_k < |z| < q_2$.

On the boundary of G_2 we have

$$(14) \quad \log |f(z)| \cong u(z) - \sum \beta_p v_p(z),$$

and from the superharmonicity of $\log |f(z)|$ it follows that (14) holds in G_2 , especially on $|z|=t_2$ where $t_2, q_2/4 < t_2 < q_2/2$, is chosen such that $|t_2 - a_n| \cong q_2(\log q_2)^{-3}$ for all n .

Let z lie on the circle $|z|=t_2$. If $(8/9)q_2 \cong a_p < q_2$, then we see from Lemma 6 that

$$\beta_p \cong 16m(q_2, \infty)\varepsilon^{-1}(\log q_2)^\alpha.$$

Since

$$v_p(\zeta) \cong \frac{\log(2q_2/|\zeta - a_p|)}{\log(2q_2/(M_6 d_p))}$$

in G_2 , we conclude from (K) that

$$v_p(z) \cong \frac{2 \log 8}{H(\log q_2)^{2+\alpha}}.$$

The number of the points a_p satisfying $(8/9)q_2 \cong a_p < q_2$ is at most $\varepsilon^{-1}(\log q_2)^\alpha$, and we see that the sum \sum_1 over these a_p satisfies

$$\sum_1 \beta_p v_p(z) \cong \frac{32 \log 8}{H\varepsilon^2} m(q_2, \infty) \cong \frac{1}{24} m(q_2, \infty).$$

If $R_k < a_p < (8/9)q_2$, then it follows from Lemma 6 that $\beta_p = O(m(q_2, \infty))$, and we conclude from (5) that the sum \sum_2 over these a_p satisfies

$$\sum_2 \beta_p v_p(z) \cong O(m(q_2, \infty)\lambda(z)) = o(m(q_2, \infty)) \cong \frac{1}{24} m(q_2, \infty)$$

if k was chosen sufficiently large. Combining these estimates with (14), we deduce that

$$\log |f(z)| \cong u(z) - \frac{1}{12} m(q_2, \infty)$$

on $|z|=t_2$, and from Lemma 6 we see now that

$$(15) \quad \log |f(z)| \cong \frac{1}{12} m(\varrho_2, \infty)$$

for all z lying on $|z|=t_2$.

Since

$$\lim_{r \rightarrow \infty} \frac{m(r, \infty)}{\log r} = \infty,$$

taking t_2 instead of R_k , we get $|f(z)| \cong 1$ for

$$z \in \{z: t_2 \cong |z| \cong t_2 e^{37}\} - \bigcup_{n=1}^{\infty} U(a_n, M_6 d_n),$$

and continuing this process inductively, we conclude that there exists $R_0 > 0$ such that $|f(z)| \cong 1$ for all z lying in

$$\{z: |z| > R_0\} - \bigcup_{n=1}^{\infty} U(a_n, M_6 d_n).$$

We choose a sequence $r_n, r_1 > (4 + R_0)^{100}$, such that $r_{n-1}^2 < r_n < 2r_{n-1}^2$, there exist no poles of f on $|z|=r_n$, and

$$(16) \quad U\left(r_n, \frac{\varepsilon r_n}{4(\log r_n)^z}\right) \cap S = \emptyset.$$

The sequence x_n is chosen such that $r_n^{1/100}/2 < x_n \cong r_n^{1/100}$ and that (16) is satisfied if r_n is replaced by x_n .

Let u be the function harmonic in $x_n < |z| < r_n$ which has the boundary values $\log |f(z)|$ on $|z|=r_n$ and 0 on $|z|=x_n$. For those a_p which lie in $x_n < |z| < r_n$, we set

$$w_p(z) = \frac{\log(2r_n/|z-a_p|)}{\log(2r_n/M_6 d_p)}.$$

Using Lemma 6 as in the proof of Theorem 10, we deduce that

$$(17) \quad \log |f(z)| \cong u(z) - 2m(r_n, \infty) \sum \frac{r_n + a_p}{r_n - a_p} w_p(z)$$

on $|z|=r_{n-1}$.

Let $|z|=r_{n-1}$. If $r_n/2 \cong a_p < r_n$, then

$$\frac{r_n + a_p}{r_n - a_p} w_p(z) \cong \frac{32(\log r_n)^z \log 8}{H\varepsilon(\log r_n)^{2+z}},$$

the number of these a_p is at most $2\varepsilon^{-1}(\log r_n)^z$, and the sum \sum_1 over these a_p satisfies

$$(18) \quad \sum_1 \frac{r_n + a_p}{r_n - a_p} w_p(z) \cong \frac{64 \log 8}{H\varepsilon^2} \cong \frac{1}{100}.$$

If $x_n < a_p < r_n/2$, then

$$(19) \quad \frac{r_n + a_p}{r_n - a_p} w_p(z) \cong \frac{6 \log r_n}{H(100^{-1} \log r_n)^{2+\alpha}}.$$

Let $n(r)$ be the counting function of the sequence a_n . From (J) we get

$$n(e^k) - n(e^{k-1}) \cong \frac{8}{\varepsilon} k^\alpha,$$

which implies that

$$n(e^k) \cong \frac{8}{\varepsilon} \sum_{s=1}^k s^\alpha + O(1) = \left(\frac{8}{\varepsilon} + o(1) \right) \int_1^k x^\alpha dx.$$

From this it follows that $n(r) \cong 8\varepsilon^{-1} (\log r)^{1+\alpha}$ for all large r . Therefore the number of a_p satisfying $x_n < a_p < r_n/2$ is at most $8\varepsilon^{-1} (\log r_n)^{1+\alpha}$, and we deduce from (19) that the sum \sum_2 over these a_p satisfies

$$(20) \quad \sum_2 \frac{r_n + a_p}{r_n - a_p} w_p(z) \cong \frac{48(100)^{2+\alpha}}{H\varepsilon} \cong \frac{1}{100}.$$

Combining the estimates (18) and (20) with (17) we conclude that

$$(21) \quad \log |f(z)| \cong u(z) - \frac{1}{25} m(r_n, \infty)$$

on $|z| = r_{n-1}$.

From Lemma 6 we get

$$u(z) \cong \frac{98}{198} (1 + o(1)) m(r_n, \infty),$$

and this implies together with (21) that

$$(22) \quad m(r_{n-1}, \infty) \cong \frac{9}{20} m(r_n, \infty).$$

In the same manner as in the proof of Theorem 10, we see that (22) implies that

$$T(r, f) = O((\log r)^{3/2}),$$

and that this leads to the impossibility that $\delta(0, f) \cong \delta(\infty, f)$. Theorem 11 is proved.

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