

MEROMORPHIC FUNCTIONS ON CERTAIN RIEMANN SURFACES WITH SMALL BOUNDARY

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Introduction

In this paper we study meromorphic functions on certain Riemann surfaces with „removable” ideal boundary, in particular functions which admit continuous extensions to the ideal boundary (*MC*-functions). Our purpose is to generalize or extend certain results of Heins ([3], [4]), L. Myrberg ([7]), Ozawa ([9]) and Royden ([10]). In particular, two composition theorems, originally due to Heins ([3, p. 304], [4]), will be unified to statements on *MC*-functions on Riemann surfaces satisfying the absolute *AB*-maximum principle in the sense of Royden. An essential feature in the considerations involved is the coincidence of the class *MC* with the class of constants and of meromorphic functions of bounded valence. Motivated by this fact, we will, in Chapter 2, make an attempt to characterize those Riemann surfaces for which these two classes coincide. This effort leads also to further extensions of some results obtained in Chapter 1.

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1. Riemann surfaces with the absolute *AB*-maximum principle

1.1. Let W be an open Riemann surface and let V be a subregion of W with compact (possibly empty) relative boundary ∂V . Let β denote the Kerékjártó—Stoïlow ideal boundary of W and β_V the relative Kerékjártó—Stoïlow ideal boundary of V (see [12, p. 366]). Denote by $A(V)$ or $A(\bar{V})$ the class of analytic functions on V or $\bar{V} = V \cup \partial V$ and by $M(V)$ or $M(\bar{V})$ the class of meromorphic functions on V or \bar{V} , respectively. The class constituted by the bounded functions in $A(V)$ or $A(\bar{V})$ is denoted by $AB(V)$ or $AB(\bar{V})$, respectively. The subclass of $A(V)$ (resp. $M(V)$) consisting of functions which have a finite (resp. finite or infinite) limit at every relative ideal boundary element is denoted by $AC(V)$ (resp. $MC(V)$). The classes $AC(\bar{V})$ and $MC(\bar{V})$ are defined similarly. Whenever f is a function of class *AC* or *MC*, we let f^* denote the extension of f to the (relative) ideal boundary.

We say that W satisfies the *absolute AB-maximum principle* ([10]), and denote $W \in \mathcal{M}_B$ if

$$\sup \{|f(p)| \mid p \in \bar{V}\} = \max \{|f(p)| \mid p \in \partial V\}$$

for every subregion V of W with compact ∂V and for every $f \in AB(\bar{V})$. Further, W is said to belong to the class \mathcal{D}_B ([9]) if, for every subregion V with compact ∂V , the cluster set $C1(f; \beta_V)$ of every $f \in AB(\bar{V})$ attached to the relative ideal boundary β_V is a totally disconnected subset of \mathbf{C} , the complex plane. Clearly, $AB(\bar{V}) \subset AC(\bar{V})$ whenever W belongs to \mathcal{D}_B . As usual, \mathcal{O}_{AB} denotes the class of all Riemann surfaces on which there exists no nonconstant bounded analytic function. The following theorem reveals the intimate relations between these three classes. To prove it, and in certain other connections as well, we need some characterizations of the properness of an analytic mapping; proofs can be found in [5, pp. 7–8].

Lemma 1. *Let W be a disjoint union of at most a countable number of Riemann surfaces, and let G be a domain in the Riemann sphere $\hat{\mathbf{C}} = \mathbf{C} \cup \{\infty\}$. Suppose that $f: W \rightarrow G$ is analytic. Then the following statements are equivalent:*

- (1) f is proper.
- (2) The valence function $v_f(z) = \sum_{f(p)=z} n(p; f)$, where $n(p; f)$ denotes the multiplicity of f at p , is finite and constant on G .
- (3) Given any sequence (p_n) in W such that $\{n \in \mathbf{N} \mid p_n \in K\}$ is finite for every compact set $K \subset W$, the same is true of $(f(p_n))$ and every compact set K' in G .

Moreover, if any of the above conditions is satisfied, then the number of the components of W is finite, and each of them is mapped properly on G .

Theorem 1. *For Riemann surfaces of finite genus the classes \mathcal{M}_B , \mathcal{D}_B and \mathcal{O}_{AB} coincide. For surfaces of infinite genus we have*

$$\mathcal{M}_B = \mathcal{D}_B \subsetneq \mathcal{O}_{AB}.$$

Proof. Surfaces of finite genus in \mathcal{O}_{AB} are complements on closed surfaces of sets of the class N_B ([12, p. 137]; for the definitions and basic properties of the function-theoretic null-classes N_B , N_D and N_{SB} we refer to [1] or [12, Chapter 2]). So it follows immediately that $\mathcal{M}_B = \mathcal{O}_{AB} = \mathcal{D}_B$ for these surfaces. As concerns surfaces of infinite genus, the relation $\mathcal{D}_B \subset \mathcal{M}_B$ was proved in [9, Theorem 1]. Moreover, it is trivial that $\mathcal{M}_B \subset \mathcal{O}_{AB}$ generally, and the strictness of this inclusion is shown by P. J. Myrberg's example (see e.g. [12, p. 53]). It remains to prove $\mathcal{M}_B \subset \mathcal{D}_B$.

Let $W \in \mathcal{M}_B$, and let V be a subregion of W with compact ∂V . Passing to a suitable subset of V , we may assume that ∂V consists of a finite number of piecewise analytic closed curves. Let $f \in AB(\bar{V})$ be nonconstant. Assuming that $z \in \mathbf{C} \setminus f(\partial V)$, the *index* of z is defined by

$$i(z) = (2\pi)^{-1} \int_{\partial V} d \arg(f(p) - z).$$

With suitable interpretation (see [10]), $i(z)$, as well as the valence $v(z)$ of f at z with respect to \bar{V} , can be defined also for $z \in f(\partial V)$ and expressly in such a way that $\delta(z) = i(z) - v(z) = 0$ everywhere in C whenever V is relatively compact.

The fundamental result of [10] now states that f has bounded valence and actually $\delta(z) \geq 0$ for all $z \in C$; moreover, the closed set $E = \{z \in C \mid \delta(z) > 0\}$ is of class N_B . We proceed to show that $C1(f; \beta_V) \subset E$.

Since δ (and E) is unaltered by the removal from V of a compact set with nice boundary, we may assume that $f(\partial V) \cap E = \emptyset$. Let $z \in f(\partial V)$. We assert that there exists a closed neighborhood N of z such that $f^{-1}(\overline{f(\bar{V})} \cap N) \cap \bar{V}$ is compact. Indeed, since f is analytic on \bar{V} , we may replace V by a larger region V' with nice boundary such that $\bar{V}' \setminus V$ is compact and $z \notin f(\partial V')$. Moreover, if G_z is the component of $C \setminus f(\partial V')$ which contains z , then by virtue of Lemma 1 $f|_{f^{-1}(G_z \setminus E) \cap V'}$ is a proper mapping onto $G_z \setminus E$. Thus $f^{-1}(N) \cap V'$ is compact whenever N is e.g. a closed disc in $G_z \setminus E$ with z in its interior. Since $f^{-1}(N) \cap \bar{V} = f^{-1}(\overline{f(\bar{V})} \cap N) \cap \bar{V}$, the assertion follows. One consequence of the assertion is the compactness of $f^{-1}(f(\partial V)) \cap \bar{V}$. Thus $f^{-1}(C \setminus f(\partial V)) \cap V$ consists of a finite number of components with compact relative boundaries (Lemma 1).

Now let $p \in \beta_V$, and denote by U the component of $f^{-1}(C \setminus (f(\partial V) \cup E)) \cap V$ such that $p \in \beta_U$. It follows from Lemma 1 that $f|_U$ is a proper mapping onto G , a component of $C \setminus (f(\partial V) \cup E)$. By the same lemma, the cluster set $C1(f; p)$ of f at p is contained in ∂G . But the assertion proved above implies that $C1(f; p) \cap f(\partial V) = \emptyset$, so that only the case $C1(f; p) \subset E$ is feasible. The proof is complete. \square

Let W be a Riemann surface, and let V be a subregion of W . Denote by $BV(V)$ or $BV(\bar{V})$ the class of constants and of meromorphic functions of bounded valence on V or \bar{V} , respectively. For a nonconstant function $f \in BV(W)$ the *deficiency set* of f is defined by

$$D_f = \{z \in \hat{C} \mid v_f(z) < \max_{z \in \hat{C}} v_f(z)\}.$$

Further denote by $MD^*(V)$ ($MD^*(\bar{V})$) the class of meromorphic functions on V (\bar{V}) with finite spherical Dirichlet integrals, and let \mathcal{O}_{MD^*} denote the class of Riemann surfaces which tolerate no nonconstant MD^* -function. Recall that the spherical Dirichlet integral of a meromorphic function f on W is defined by

$$\iint_W \frac{1}{(1 + |f(p)|^2)^2} df \wedge *d\bar{f}.$$

We are now ready to state the following corollary; cf. [7, Satz 3.1, Satz 3.2 and Satz 3.3], [9, Theorem 3] and [12, Theorem VI. 3 C and Theorem VI. 3 D] (note that $\mathcal{O}_{A \cdot B} \subset \mathcal{M}_B$ by [12, Theorem VI. 3 A and Theorem VI. 1 I]).

Theorem 2. *Let $W \in \mathcal{M}_B$, and let V be a subregion of W with compact boundary. Then*

$$AB(\bar{V}) = AC(\bar{V}) \quad \text{and} \quad MC(\bar{V}) = BV(\bar{V}) = MD^*(\bar{V}).$$

In particular,

$$MC(W) = BV(W) = MD^*(W),$$

and $D_f \in N_B$ for every nonconstant $f \in BV(W)$. Moreover, if $f \in M(\bar{V}) \setminus MC(\bar{V})$, then f assumes every value in \hat{C} infinitely often except for a set of values with compact parts in N_B .

Proof. The first equality is an immediate consequence of Theorem 1. Suppose that $f \in BV(\bar{V})$ is nonconstant. As in the proof of [5, Lemma 6], we can find a linear fractional mapping ψ such that $\psi \circ f$ is bounded off a compact set $K \subset \bar{V}$. By Theorem 1, $\psi \circ f$ has a limit at every element of β_V , and so has f . Thus $BV(\bar{V}) \subset MC(\bar{V})$. A similar reasoning justifies the assertion concerning D_f for $f \in BV(W)$. Indeed, the number of the noncompact components of $W \setminus K$ is finite, and the proof of Theorem 1 combined with [12, Theorem VI. 1 L] implies that $(\psi \circ f)^*(\beta)$ is of class N_B . Hence $f^*(\beta) \in N_B$ as well. The assertion now follows from Lemma 1.

Next assume that $f \in MC(\bar{V})$ is nonconstant. Let $p \in \beta_V$. For a suitable linear fractional mapping φ , $\varphi \circ f^*$ is bounded in an open neighborhood U_p of p . By the proof of Theorem 1, $(\varphi \circ f^*)|_{U_p \setminus \beta_V}$ has bounded valence. Hence the same holds for $f^*|_{U_p \setminus \beta_V}$ as well. Thus we can find for every $p \in \bar{V} \cup \beta_V$ an open neighborhood U_p such that $f^*|_{U_p \setminus \beta_V}$ has bounded valence. By compactness f has bounded valence, too. We infer that $MC(\bar{V}) \subset MD^*(\bar{V})$.

To prove the remaining inclusion $MD^*(\bar{V}) \subset BV(\bar{V})$, suppose that $f \in MD^*(\bar{V})$ is nonconstant but fails to have bounded valence. Given a relative regular exhaustion (R_n) of \bar{V} , let $E_n = \hat{C} \setminus f(V \setminus \bar{R}_n)$, $n = 1, 2, \dots$. Then E_n is a closed set of class N_B for each n , for otherwise we could find a nonconstant bounded analytic function g on $\hat{C} \setminus E_n$, in which case $(g \circ f)|_{V \setminus \bar{R}_n}$ would also be bounded. But this state of affairs contradicts the relation $f \notin BV(\bar{V})$ by the proof of Theorem 1. Clearly $\{z \in \hat{C} \mid v_f(z) < \infty\} \subset \bigcup_{n=1}^\infty E_n$, and $E_n \in N_B$ implies $\text{area}(E_n) = 0$ for each n . Accordingly, $f \notin MD^*(\bar{V})$. The desired contradiction has been reached. The same reasoning proves the last statement of the theorem in view of [12, Theorem VI. 1 L]. \square

Let P be a class of closed sets in \hat{C} . We denote by $\mathcal{D}(P)$ the class of all Riemann surfaces which tolerate a nonconstant BV -function with the deficiency set in P . The following theorem provides a description of Riemann surfaces with the absolute AB -maximum principle up to the quite strange class \mathcal{O}_{MD^*} .

Theorem 3. $\mathcal{M}_B \setminus \mathcal{O}_{MD^*} = \mathcal{D}(N_B)$.

Proof. Suppose that $W \in \mathcal{M}_B \setminus \mathcal{O}_{MD^*}$. By Theorem 2 there exists a nonconstant function $f \in MC(W)$, and by the same theorem $D_f \in N_B$, i.e. $W \in \mathcal{D}(N_B)$.

Now let $W \in \mathcal{D}(N_B)$, and let f_0 be a nonconstant element in $BV(W)$ with $D_{f_0} \in N_B$. We may assume that $D_{f_0} \subset C$. Let V be a subregion of W whose relative boundary ∂V consists of a finite number of piecewise analytic closed curves. By modifying slightly ∂V , we obtain $f_0(\partial V) \cap D_{f_0} = \emptyset$. Let G be a component of

$\hat{C} \setminus f_0(\partial V)$ such that $G \cap D_{f_0} \neq \emptyset$. Denoting by β the ideal boundary of W , we have $C1(f_0; \beta) \subset D_{f_0}$ (Lemma 1). Again by Lemma 1, $v_{f_0|V}$ is finite and constant (>0) on $G \setminus D_{f_0}$.

Let U be a relatively noncompact component of $f_0^{-1}(G)$. Then $U \setminus f_0^{-1}(D_{f_0})$ is mapped by f_0 properly on $G \setminus D_{f_0}$ (Lemma 1). So there exists a positive integer n such that $v_{f_0|U \setminus f_0^{-1}(D_{f_0})}(z) = n$ for every $z \in G \setminus D_{f_0}$. Now suppose that $f \in AB(\bar{V})$. By an argument borrowed from the theory of compact Riemann surfaces, it can be shown that f satisfies on $U \setminus f_0^{-1}(D_{f_0})$ an identity

$$f^n + \sum_{i=1}^n (a_i \circ f_0) f^{n-i} = 0,$$

where a_1, \dots, a_n are bounded analytic functions on $G \setminus D_{f_0}$. But D_{f_0} is of class N_B , so that we may regard the functions a_i as defined all over G . Moreover, it is a standard consequence of the connectedness of $U \setminus f_0^{-1}(D_{f_0})$ that

$$P(z, w) = w^n + \sum_{i=1}^n a_i(z) w^{n-i} = Q(z, w)^{m'},$$

where $Q(z, w)$ is an irreducible polynomial over the ring $M(G)$ of degree $m = n/m'$.

Denote by \tilde{G} the (connected) Riemann surface of the relation $Q(z, w) = 0$, $z \in G$, i.e. the totality of pairs (z, w_z) , where $z \in G$ and w_z is a function element with center z and associated with the equation $Q(z, w) = 0$. The center function $c: (z, w_z) \mapsto z$ and the value function $v: (z, w_z) \mapsto w_z(z)$ are analytic on \tilde{G} .

Denote by φ the analytic mapping $U \rightarrow \tilde{G}$,

$$p \mapsto (f_0(p), (f \circ f_0^{-1})_{f_0(p)}),$$

where the branch of f_0^{-1} is chosen in such a way that $f_0^{-1}(f_0(p)) = p$ (the points $p \in U$ at which f_0 fails to be a local homeomorphism are removable singularities by continuity and by Riemann's theorem on isolated singularities). We have immediately $f_0 = c \circ \varphi$, $f = v \circ \varphi$.

We will show that φ admits a continuous extension φ^* to $U \cup \beta_U$ with $\varphi^*(\beta_U) \subset c^{-1}(G \cap D_{f_0})$. So let $q_0 \in C1(\varphi; \beta_U) \subset \tilde{G} \cup \beta'$, where β' denotes the ideal boundary of \tilde{G} , and let (p_n) be a sequence of points in U such that $p_n \rightarrow p_0$ for some $p_0 \in \beta_U$ and $\varphi(p_n) \rightarrow q_0$. Assume that $q_0 \in \tilde{G} \setminus c^{-1}(G \cap D_{f_0})$. Then $(c \circ \varphi)(p_n) \rightarrow c(q_0) \in G \setminus D_{f_0}$, which is impossible since $f_0(p_n) \rightarrow D_{f_0}$. Similarly, the assumption $q_0 \in \beta'$ leads to the contradictory result $f_0(p_n) \rightarrow \partial G$. Hence we have $q_0 \in c^{-1}(G \cap D_{f_0})$. Since $c^{-1}(G \cap D_{f_0})$ is totally disconnected, $C1(\varphi; p)$ reduces to a singleton for every $p \in \beta_U$, i.e. φ admits a continuous extension φ^* to $U \cup \beta_U$. Moreover, $\varphi^*(p) \in c^{-1}(G \cap D_{f_0})$ for every $p \in \beta_U$.

Again let (p_n) be a sequence of points in U such that $p_n \rightarrow p_0$ for some $p_0 \in \beta_U$. Then $\varphi(p_n) \rightarrow \varphi^*(p_0) \in c^{-1}(D_{f_0} \cap G)$, so that $(v \circ \varphi)(p_n) \rightarrow v(\varphi^*(p_0)) \in v(c^{-1}(D_{f_0} \cap G))$, i.e. $\lim_{n \rightarrow \infty} f(p_n) \in v(c^{-1}(D_{f_0} \cap G))$. Since $v(c^{-1}(D_{f_0} \cap G))$ is totally disconnected (note that $c^{-1}(D_{f_0} \cap G)$ is compact by Lemma 1) and β_V is a finite union of sets of

type β_U , $C1(f; \beta_V)$ is totally disconnected as well. Thus $W \in \mathcal{D}_B$, and by Theorem 1 $W \in \mathcal{M}_B$, too. \square

1.2. We turn to the analogues of Heins' composition theorems. As concerns globally defined functions, we have

Theorem 4. *Let W be a Riemann surface of class \mathcal{M}_B . Then either*

(a) $MC(W) = C$, in which case $W \in \mathcal{O}_{MD^*}$,

or

(b) $W \in \mathcal{D}(N_B)$, and $MC(W)$ is a field algebraically isomorphic to the field of rational functions on a compact Riemann surface W' , which is uniquely determined up to a conformal equivalence. Moreover, the isomorphism is induced by an analytic mapping of W into W' .

Proof. If $W \in \mathcal{M}_B \cap \mathcal{O}_{MD^*}$, then by Theorem 2 every function in $MC(W)$ is constant. If, on the other hand, $W \in \mathcal{D}(N_B)$, we may apply the result of Heins ([4]; cf. also [5, Theorem 7]), for by Theorem 2 $MC(W) = BV(W)$. \square

Again let $W \in \mathcal{M}_B$, and let $p_0 \in \beta$, the ideal boundary of W . Suppose that there exists a subregion V of W which belongs to a determining sequence of p_0 and carries on its closure \bar{V} a nonconstant bounded analytic function f . By Theorem 2, f admits a continuous extension f^* to $\bar{V} \cup \beta_V$. Assume, as we may, that $z_0 = f^*(p_0) \notin f(\partial V)$. Denote, as in the proof of Theorem 1, by $E(\in N_B)$ the set $\{z \in C \mid \delta(z) = i(z) - v(z) > 0\}$ and by G the component of $C \setminus f(\partial V)$ which contains z_0 (note that $z_0 \in E$ as appears from the proof of Theorem 1). Let (D_j) be a decreasing sequence of Jordan domains in G such that $\partial D_j \cap E = \emptyset$, $j = 1, 2, \dots$, and $\bigcap_{j=1}^{\infty} \bar{D}_j = \{z_0\}$. Denote by U_j the component of $f^{-1}(D_j \setminus E)$ such that $p_0 \in \beta_{U_j}$ and by n_j the constant valence of $f|_{U_j}$ on $D_j \setminus E$, $j = 1, 2, \dots$ (cf. Lemma 1). Note, for future use, that $(\text{Int } \bar{U}_j)$ is a determining sequence of p_0 , i.e. $\bigcap_{j=1}^{\infty} \bar{U}_j = \emptyset$, and also that $(f^*)^{-1}(z_0)$ contains at most $i(z_0)$ points (in $\bar{V} \cup \beta_V$), for the number of the components of $f^{-1}(D_j)$ is at most $i(z_0)$ for each j . The integer

$$n(p_0; f) = n(f) = \lim_{j \rightarrow \infty} n_j$$

is called the *multiplicity* or the *local degree* of f at p_0 (cf. [3, p. 301] and [7, p. 8]). It is clearly independent of the choice of (D_j) . Furthermore, let

$$n = \min \{n(f) \mid f \text{ is an admitted function}\}.$$

By the definition above, there exist a subregion V_0 of W with compact ∂V_0 such that $p_0 \in \beta_{V_0}$ and an analytic function $f_0: V_0 \rightarrow D = \{z \in C \mid |z| < 1\}$ such that $v_{f_0}(z) = n$ for all $z \in D$ except for a compact set E_0 of class N_B and $f_0^*(\beta_{V_0}) \subset E_0$. With the notation before, we have (cf. [3, p. 304], [9, p. 751])

Theorem 5. *Given $f \in MC(V_0)$, there exists a unique $g \in M(D)$ such that $f = g \circ f_0$. A fortiori, $M(D)$ is algebraically isomorphic to $MC(V_0)$; in particular, $g \mapsto g \circ f_0$ is an isomorphism of $AB(D)$ onto $AB(V_0)$.*

Proof. Let $f \in MC(V_0)$. As in the proof of Theorem 3, it can be shown that f satisfies on $f_0^{-1}(D \setminus E_0)$ a relation

$$(1) \quad f^n + \sum_{i=1}^n (a_i \circ f_0) f^{n-i} = 0,$$

where a_1, \dots, a_n are meromorphic functions on $D \setminus E_0$. We will show that a_i admits a meromorphic extension to E_0 for each i . Fix $z_0 \in E_0$, and denote by p_1, \dots, p_k ($k \leq n$) the points of $(f_0^*)^{-1}(z_0)$. Since f^* is continuous, there exists a neighborhood U of $\{p_1, \dots, p_k\}$ in $V_0 \cup \beta_{V_0}$ such that $\hat{C} \setminus f^*(U)$ contains an open set. Hence there exists a linear fractional mapping φ such that $f_1 = (\varphi \circ f^*)|_U$ is bounded. Now choose a neighborhood $G \subset D$ of z_0 in such a way that $\partial G \cap E = \emptyset$ and $(f_0^*)^{-1}(G) \subset U$. Then f_1 satisfies on $f_0^{-1}(G \setminus E_0)$ an identity

$$f_1^n + \sum_{i=1}^n (b_i \circ f_0) f^{n-i} = 0$$

with $b_i \in AB(G \setminus E_0)$ for each i . Thus we may regard the functions b_1, \dots, b_n as defined all over G . But it is now a simple verification to show that on $f_0^{-1}(G)$

$$f^n + \sum_{i=1}^n (c_i \circ f_0) f^{n-i} = 0$$

with $c_i \in M(G)$ for each i . We conclude that c_i is an extension of $a_i|_{G \setminus E_0}$ over $G \cap E_0$, $i=1, \dots, n$. Thus, preserving the notation, we have the relation (1) valid on $f_0^{-1}(D)$ with $a_i \in M(D)$ for each i .

As in the proof of Theorem 3, it can be shown that

$$(2) \quad P(z, w) = w^n + \sum_{i=1}^n a_i(z) w^{n-i} = (Q(z, w))^{n'},$$

where $Q(z, w)$ is an irreducible polynomial over $M(D)$ of degree n/n' . We claim that this degree equals 1, i.e. $n'=n$. In order to prove this, define, as in the proof of Theorem 3, the mapping $\varphi: V_0 \rightarrow \tilde{D}$, where \tilde{D} denotes the Riemann surface of the relation $Q(z, w)=0$, $z \in D$. Further denote by φ^* the continuous extension of φ to $V_0 \cup \beta_{V_0}$, and put $q_0 = \varphi^*(p_0)$. Since $q_0 \in c^{-1}(E_0)$ (cf. again the proof of Theorem 3) and $c^{-1}(E_0)$ is totally disconnected, we can find a Jordan region J in \tilde{D} containing q_0 with $\partial J \cap c^{-1}(E_0) = \emptyset$ and a conformal homeomorphism $\psi: J \rightarrow D$. Denote by U the component of $\varphi^{-1}(J)$ with $p_0 \in \beta_U$. Then $v_{\varphi|_U}$ is bounded by n' as appears from the definition of φ in view of the representation (2). Thus $(\psi \circ \varphi)|_U$ belongs to $AB(U)$, and $v_{(\psi \circ \varphi)|_U}$ is bounded by n' . By the minimality of $n=n(f_0)$ we have $n' \geq n$, and hence $n'=n$.

We conclude that, for a certain $g \in M(D)$, the pair (f_0, f) annihilates the polynomial $Q(z, w) = w - g(z)$, i.e. $f(p) = g(f_0(p))$ for all $p \in V_0$.

The uniqueness of g is a consequence of the openness of f_0 . The latter assertion of the theorem follows immediately from the former by Theorem 2. \square

The following corollary is immediate although nontrivial in itself.

Corollary. Let W be a Riemann surface of class \mathcal{M}_B , and let V be a subregion of W with compact relative boundary. Then $MC(V)$ is a field.

Remark. As shown by Heins ([3, p. 298]), there exist parabolic Riemann surfaces (which automatically satisfy the absolute AB -maximum principle) such that no relatively noncompact subregion with compact boundary tolerates a meromorphic function of bounded valence. Thus for these surfaces the class $MC(W)$ reduces to that of constants, while the problem considered in Theorem 5 has no sense.

2. Riemann surfaces with $MC = BV$

2.1. Let E be a proper closed subset of \hat{C} . Then E is said to be of class N_C if, for every domain $G \subset \hat{C}$ with $E \subset G$, every function $G \rightarrow \mathcal{C}$ continuous on G and analytic on $G \setminus E$ is actually analytic all over G . The subclass of N_C constituted by the totally disconnected elements of N_C is denoted by N'_C . It is known that every closed set $E \subset \hat{C}$ of σ -finite linear measure is of class N_C and, on the other hand, no set whose Hausdorff dimension exceeds 1 is of class N_C (see e.g. [2, Chapter 3]). Clearly, $N_B \subseteq N'_C$, and it can be shown that N'_C and N_D , as well as N'_C and N_{SB} , overlap.

Given any Riemann surface W , a closed totally disconnected subset E of W is said to be of class N'_C in W if for every parametric disc (V, φ) of W the compact parts of $\varphi(E \cap V) \subset \hat{C}$ are of class N'_C . If, in particular, W is a compact surface, one can find a planar subregion V of W such that $E \subset V$, and it is readily seen that E is of class N'_C in W if and only if $\psi(E) \subset \hat{C}$ is of class N'_C for any conformal mapping $\psi: V \rightarrow \hat{C}$. In fact, it can be shown by a standard use of Cauchy's integral formula (cf. e.g. [1, p. 108]) that $E \subset \hat{C}$ is of class N'_C if and only if every point $z \in E$ has arbitrarily small neighborhoods U_z such that $E \cap U_z$ are of class N'_C .

We shall make use of the following lemma; the proof proceeds as in [5, Lemma 5] and will be omitted.

Lemma 2. Let W be a Riemann surface, and let $f: W \rightarrow \hat{C}$ be a nonconstant analytic mapping.

- (a) *If $E \subset \hat{C}$ is of class N'_C , then $f^{-1}(E)$ is of class N'_C in W .*
- (b) *If $E \subset W$ is a compact set of class N'_C in W , then $f(E)$ is of class N'_C .*

We shall need the following extension of Theorem 4.

Theorem 6. *Let W be a Riemann surface of class $\mathcal{D}(N'_C)$. Then $MC(W)$ is a field, and there exists an analytic mapping φ of W into a compact Riemann surface W' , uniquely determined up to a conformal equivalence, such that $g \mapsto g \circ \varphi$ is an isomorphism of $M(W')$ onto $MC(W)$. Moreover, we have $MC(W) \subset BV(W)$ and $D_f \in N'_C$ for each nonconstant $f \in MC(W)$.*

Proof. Let f_0 be a nonconstant BV -function on W with $D_{f_0} \in N'_C$, and denote by n the constant valence of $f_0|W \setminus f_0^{-1}(D_{f_0})$. Assume that $f \in MC(W)$ is nonconstant. We have on $W \setminus f_0^{-1}(D_{f_0})$

$$f^n + \sum_{i=1}^n (a_i \circ f_0) f^{n-i} = 0,$$

where $a_1, \dots, a_n \in M(\hat{C} \setminus D_{f_0})$. We claim that a_i admits a meromorphic extension to D_{f_0} , i.e. a_i is a rational function on \hat{C} for each i .

So fix $z_0 \in D_{f_0}$. Since D_{f_0} is totally disconnected, f_0 admits a continuous extension f_0^* over the ideal boundary β with $f_0^*(\beta) \subset D_{f_0}$ (Lemma 1). As in the case of Theorem 5, $(f_0^*)^{-1}(z_0)$ consists of at most n points p_1, \dots, p_k , and we may attach to each p_i $n(p_i; f_0)$, the multiplicity of f_0 (see the definitions preceding Theorem 5). Further, we can find a linear fractional mapping ψ such that $g = (\psi \circ f_0^*)|U$ is bounded for some neighborhood U of $\{p_1, \dots, p_k\}$. Let G be a neighborhood of z_0 such that $\partial G \cap D_{f_0} = \emptyset$ and $(f_0^*)^{-1}(G) \subset U$. We have on $f_0^{-1}(G \setminus D_{f_0})$

$$g^n + \sum_{i=1}^n (b_i \circ f_0) g^{n-i} = 0$$

with $b_i \in AB(G \setminus D_{f_0})$ for each i . Moreover, as $z \rightarrow z_0$ in $G \setminus D_{f_0}$, clearly $b_1(z) \rightarrow \sum_{i=1}^k n(p_i; f_0) g(p_i)$. Because $D_{f_0} \in N'_C$, we conclude that b_1 admits an analytic extension over G . Since the same is readily seen to be true of b_2, \dots, b_n as well, we may regard them as defined and analytic all over G . It follows that

$$f^n + \sum_{i=1}^n (c_i \circ f_0) f^{n-i} = 0$$

with $c_i \in M(G)$ for each i ; this is valid, by continuity, on $f_0^{-1}(G)$. Thus $c_i|G \setminus D_{f_0} = a_i|G \setminus D_{f_0}$ for each i . Altogether, the functions a_1, \dots, a_n are restrictions of rational functions on \hat{C} , and f is algebraic over $M_0(W) = \{g \in M(W) \mid g = a \circ f_0 \text{ for some } a \in M(\hat{C})\}$, a subfield of $M(W)$.

Now suppose conversely that $f \in M(W)$ is nonconstant and algebraic over $M_0(W)$. Then we have on W

$$f^m + \sum_{i=1}^m (b_i \circ f_0) f^{m-i} = 0$$

for some integer m and with b_1, \dots, b_m in $M(\hat{C})$. Suppose that $w_0 \in C1(f; \beta)$. Then there exists a sequence of points (p_n) in W such that $p_n \rightarrow p_0$ for some $p_0 \in \beta$ and

$f(p_n) \rightarrow w_0$. Set $z_0 = f_0^*(p_0)$, $z_n = f_0(p_n)$ and $w_n = f(p_n)$, $n = 1, 2, \dots$. We have

$$w_n^m + \sum_{i=1}^m b_i(z_n) w_n^{m-i} = 0$$

for each n . Hence by continuity,

$$w_0^m + \sum_{i=1}^m b_i(z_0) w_0^{m-i} = 0$$

as well. We infer that

$$\text{Cl}(f; \beta) \subset \left\{ w \in \hat{\mathcal{C}} \mid w^m + \sum_{i=1}^m b_i(z) w^{m-i} = 0 \text{ for some } z \in D_{f_0} \right\}.$$

But since D_{f_0} is closed and totally disconnected, the same is true of $\text{Cl}(f; \beta)$. Hence $f \in MC(W)$. Thus $MC(W)$ coincides with the subfield of $M(W)$ constituted by the algebraic elements over $M_0(W)$. More precisely, by resorting to the theorem of the primitive element, it is readily seen (cf. the proof of [5, Theorem 7]) that $MC(W)$ is a simple algebraic extension of $M_0(W)$.

Let f_1 be a primitive element of $MC(W)$, and let

$$X^m + \sum_{i=1}^m (a_i \circ f_0) X^{m-i}, \quad a_1, \dots, a_m \in M(\hat{\mathcal{C}}),$$

be the minimal polynomial of f_1 . Since

$$P(z, w) = w^m + \sum_{i=1}^m a_i(z) w^{m-i}$$

is irreducible, the Riemann surface W' of the relation $P(z, w) = 0$, $z \in \hat{\mathcal{C}}$, is compact and connected. Define the mapping $\varphi: W \rightarrow W'$ as in the proof of Theorem 3; we have $f_0 = c \circ \varphi$, $f_1 = v \circ \varphi$, c or v being the center mapping or the value mapping, respectively. It is readily seen that $g \mapsto g \circ \varphi$ is an isomorphism of $M(W')$ onto $MC(W)$; for the details as well as for the proof of the uniqueness of W' we refer to [5, pp. 21—22].

To prove the last assertion of the theorem, take a nonconstant $f \in MC(W)$ and choose $g \in M(W')$ such that $f = g \circ \varphi$. Since $\varphi|_{W \setminus \varphi^{-1}(c^{-1}(D_{f_0}))} = \varphi|_{W \setminus f_0^{-1}(D_{f_0})}$ has constant valence n/m on $W \setminus c^{-1}(D_{f_0})$, we have immediately $f \in BV(W)$. Thus $MC(W) \subset BV(W)$. Moreover, if $w_0 \in \hat{\mathcal{C}} \setminus g(c^{-1}(D_{f_0}))$, then $v_f(w_0) = \max \{v_f(w) \mid w \in \hat{\mathcal{C}}\}$. But $g(c^{-1}(D_{f_0}))$ is of class N'_C by Lemma 2. The proof is complete. \square

Remark. The above result is sharp, i.e. the class N'_C cannot be replaced by a larger one, provided we insist on total disconnectedness (cf. Lemma 3 and Lemma 4 below). The inclusion $MC(W) \subset BV(W)$ is strict, in general. To provide an example, let E be a closed totally disconnected subset of the unit circle $C = \{z \in \mathcal{C} \mid |z| = 1\}$ such that the inner capacity of $C \setminus E$ is < 1 (see [1, p. 124]). Then $\hat{\mathcal{C}} \setminus E$ carries

nonrational univalent (meromorphic) functions ([1, Theorem 6 and Theorem 14]) while $MC(\hat{C} \setminus E) = M(\hat{C})$.

Let us state the following local counterparts of the preceding theorem. The proofs will be omitted, for all ingredients needed can be found in the previous considerations (cf. in particular Theorem 3 and Theorem 5).

Theorem 7. *Let W be a Riemann surface of class $\mathcal{D}(N'_C)$, and let V be a subregion of W with compact boundary. Then every nonconstant function $f \in MC(\bar{V})$ has bounded valence, and $C1(f; \beta_V) = f^*(\beta_V) \in N'_C$.*

Theorem 8. *Let W be a Riemann surface of class $\mathcal{D}(N'_C)$, and suppose that p belongs to the ideal boundary of W . Then there exist a subregion V of W with compact ∂V and with $p \in \beta_V$ and an analytic function $f_0: V \rightarrow D = \{z \in \hat{C} \mid |z| < 1\}$ such that, given any $f \in MC(V)$, one can find a unique $g \in M(D)$ satisfying $f = g \circ f_0$.*

2.2. We are now in a position to establish our main result.

Theorem 9. *Let W be a Riemann surface of class $\mathcal{D}(N_C \cap N_{SB})$. Then $MC(W) = BV(W)$. The class $N_C \cap N_{SB}$ cannot, without further restrictions, be replaced by a larger one; in fact, for a Riemann surface W of finite genus we have $MC(W) = BV(W)$ only if $W \in \mathcal{D}(N_C \cap N_{SB})$.*

Proof. Let f_0 be a meromorphic function of bounded valence on W such that $D_{f_0} \in N_C \cap N_{SB}$. Since sets of class N_{SB} are totally disconnected, we have even $D_{f_0} \in N'_C$. Thus Theorem 6 applies, and we infer that $MC(W) \subset BV(W)$.

On the other hand, it follows from the assumption that D_{f_0} is even of class N_D , for in actual fact $N_C \cap N_{SB} = N_C \cap N_D$. Indeed, let φ be any univalent meromorphic function on $\hat{C} \setminus D_{f_0}$. Since $D_{f_0} \in N_{SB}$, $\hat{C} \setminus \varphi(\hat{C} \setminus D_{f_0})$ also must be of class N_{SB} ; in particular, $\hat{C} \setminus \varphi(\hat{C} \setminus D_{f_0})$ is totally disconnected. Thus φ admits a topological extension φ^* to the whole sphere. But the relation $D_{f_0} \in N_C$ implies that φ^* is conformal on \hat{C} . The assertion now follows from [1, Theorem 6]. Accordingly, we may apply [5, Theorem 7]. It follows, in particular, that D_f is totally disconnected for every nonconstant $f \in BV(W)$. We conclude from Lemma 1 that $BV(W) \subset MC(W)$.

In order to prove the necessity of the condition $W \in \mathcal{D}(N_C \cap N_{SB})$ for surfaces of finite genus, we need some auxiliary results.

Lemma 3. *Let W be an open Riemann surface, let β be the ideal boundary of W , and let $f \in AC(W)$. Then $f^*(\beta) = f^*(W \cup \beta)$. Moreover, if $f \in AC(W)$ is nonconstant, then the set $\{z \in \hat{C} \mid v_f(z) = \infty\}$ is residual in $f^*(\beta)$.*

Proof. Suppose that $f \in AC(W)$ is nonconstant, and assume that there exists a point $p_0 \in W$ such that $f(p_0) \notin f^*(\beta)$. Let d denote the mutual distance of $\{f(p_0)\}$ and $f^*(\beta)$. Choose then, for every $p \in \beta$, an open neighborhood U_p such that ∂U_p ,

the relative boundary of U_p , is compact and $f^*(U_p) \subset D(f^*(p), d/2) = \{z \in C \mid |z - f^*(p)| < d/2\}$. From the open covering $\{U_p \mid p \in \beta\}$ of β pick out a finite subcovering $\{U_{p_1}, \dots, U_{p_k}\}$. Let (V_n) be a standard exhaustion of W (in particular, the components of $W \setminus V_n$ are noncompact). Since $F = \bigcup_{i=1}^k \partial U_{p_i}$ is a compact subset of W , there exists a positive integer n_0 such that $F \cup \{p_0\} \subset V_n$ for $n \geq n_0$. A moment's thought then reveals that for every component C of $W \setminus V_{n_0}$ there is $i \in \{1, \dots, k\}$ such that $C \subset U_{p_i}$. Let B_1, \dots, B_m be the components of ∂V_{n_0} . We infer that each $f(B_i)$ is contained in some $D(f^*(p_j), d/2)$, $j=1, \dots, k$. Thus the winding number of $f(B_i)$ with respect to $f(p_0)$ is 0 for each i . We conclude from the argument principle that $p_0 \notin f(V_{n_0})$. This is a contradiction. Hence $f(W) \subset f^*(\beta)$.

To prove the latter statement, let again (V_n) be an exhaustion of W , and denote by F_n the closed set $f^*(\beta) \setminus f(W \setminus \bar{V}_n)$, $n=1, 2, \dots$. By continuity, $f^*(\beta) = \overline{f(W \setminus \bar{V}_n)}$, so that F_n is a nowhere dense subset of $f^*(\beta)$ for each n . But clearly $f^*(\beta) \setminus \bigcup_{n=1}^{\infty} F_n \subset \{z \in \hat{C} \mid v_f(z) = \infty\}$. The assertion follows. \square

Lemma 4. *Let W be a compact Riemann surface, and let E be a closed totally disconnected subset of W failing to be of class N'_C in W . Then the class $AC(W \setminus E)$ contains a nonconstant function.*

Proof. We may assume that E contains no inessential points, i.e. for every parametric disc (V, φ) of W with $V \cap E \neq \emptyset$ and $\partial V \cap E = \emptyset$ the set $\varphi(V \cap E)$ fails to be of class N_C . Let g denote the genus of W . Since E is totally disconnected, we can find (cf. [13, p. 262]) planar regions $U_1, \dots, U_{2g+1} \subset W$ such that $\bar{U}_i \cap \bar{U}_j = \emptyset$ if $i \neq j$, $E_i = U_i \cap E \neq \emptyset$ and $\partial U_i \cap E = \emptyset$ for each i . We may further assume that U_i is simply connected and that there exists a nonanalytic continuous function $f_i: U_i \rightarrow C$ which is analytic on $U_i \setminus E_i$, $i=1, \dots, 2g+1$.

Consider the harmonic function $\operatorname{Re} f_i$ on $U_i \setminus E_i$. Clearly,

$$\int_{\gamma} *d(\operatorname{Re} f_i) = 0$$

for every cycle γ in $U_i \setminus E_i$. Thus, by [11, Theorem 3], there exists a real harmonic function v_i on $W \setminus E_i$ such that $\operatorname{Re} f_i - v_i$ has a harmonic extension to U_i . It is readily seen that $*dv_i$ has a vanishing period along every dividing cycle in $W \setminus E$. Let γ_k , $k=1, \dots, 2g$, be $2g$ nondividing canonical cycles of $W \setminus E$, and consider the system of equations

$$\sum_{i=1}^{2g+1} c_i \left(\int_{\gamma_k} *dv_i \right) = 0 \quad (k=1, \dots, 2g).$$

Pick out a nontrivial solution $(c_1, \dots, c_{2g+1}) \neq (0, \dots, 0)$. Since the differential $*d(\sum_{i=1}^{2g+1} c_i v_i)$ has a vanishing period along every cycle in $W \setminus E$, there exists an analytic function f on $W \setminus E$ with $\operatorname{Re} f = \sum_{i=1}^{2g+1} c_i v_i$.

We proceed to show that f admits a continuous extension over E . Fix $j \in \{1, \dots, 2g+1\}$, and denote by $u_j: U_j \rightarrow \mathbb{C}$ the harmonic extension of $\operatorname{Re} f_j - v_j$ over E_j . Let u_j^* denote a conjugate harmonic function of u_j on U_j , and let v_i^* denote a conjugate harmonic function of $v_i|_{U_j}$, $i \neq j$. Further denote by h_j the analytic function $-c_j(u_j + iu_j^*) + \sum_{i \neq j} c_i(v_i + iv_i^*)$ on U_j . Then $\operatorname{Re} f - \operatorname{Re} h_j = c_j(u_j + v_j) = \operatorname{Re} c_j f_j$ on $U_j \setminus E_j$. Hence there exists a constant a_j such that $f = h_j + c_j f_j + a_j$ on $U_j \setminus E_j$. We may now define

$$f^*(p) = h_j(p) + c_j f_j(p) + a_j \quad \text{for } p \in E_j.$$

This representation permits us also to conclude that f is nonconstant. Indeed, let j be chosen such that $c_j \neq 0$. Then $c_j f_j$ is nonanalytic while $h_j + a_j$ is analytic on U_j . Hence f cannot be constant. \square

The following lemma can be regarded as a natural generalization of [1, Theorem 6].

Lemma 5. *Let W be a compact Riemann surface, and let E be a proper closed subset of W such that $W \setminus E$ is connected. Then E is of class N_D in W if and only if every meromorphic function of bounded valence on $W \setminus E$ is the restriction to $W \setminus E$ of a rational function on W .*

Proof. Suppose first that $E \subset W$ is of class N_D in W . Let $f \in BV(W \setminus E)$. It follows from [5, Lemma 6] that f admits a meromorphic extension to W , i.e. f is the restriction of a rational function on W .

Suppose conversely that E fails to be of class N_D in W . If the interior of E is nonempty, we can find a nonconstant function $f \in M(W)$ such that $f|_{W \setminus E}$ is bounded. If g is a nonalgebraic univalent function on $f(W \setminus E)$, then $(g \circ f)|_{W \setminus E}$ is clearly nonrational and belongs to $BV(W \setminus E)$. Assume now that E is nowhere dense in W and fails to be of class N_{SB} . By [6, Theorem 12] and [13, Theorem X. 3 C] $W \setminus E$ is essentially extendable, i.e. there exist a compact Riemann surface W^* and a closed subset F of W^* with nonempty interior such that $W \setminus E$ is conformally equivalent to $W^* \setminus F$. Hence we can again find a nonconstant bounded function in $BV(W \setminus E)$. However, for any $f \in M(W)$, $f|_{W \setminus E}$ is unbounded, because $f(E)$ is nowhere dense in $\hat{\mathbb{C}}$.

There remains the case $E \in N_{SB} \setminus N_D$ in W . It follows from [8, Theorem 1] and [12, Theorem I. 8 E] that $W \setminus E$ then admits conformally nonequivalent closed extensions. Hence we can find a compact Riemann surface W^* , a closed subset F of W^* and a conformal homeomorphism $\varphi: W \setminus E \rightarrow W^* \setminus F$ which, by [6, Theorem 12], extends to a nonconformal homeomorphism $\varphi^*: W \rightarrow W^*$. Let g be a nonconstant function in $M(W^*)$. We claim that $f = g \circ \varphi$ does not admit a meromorphic extension to W . Assume this is not the case, and denote by f^* the extended function. Then we have, by continuity, $f^* = g \circ \varphi^*$. Let B_g denote

the finite set of zeros of the derivative of g , and let $p \in W \setminus (f^*)^{-1}(g(B_g))$. Choose a neighborhood U_p of p such that g^{-1} is defined on $f^*(U_p)$ (the branch of g^{-1} is chosen in such a way that $g^{-1}(f^*(p)) = \varphi^*(p)$). Thus we have $\varphi^*(q) = (g^{-1} \circ f^*)(q)$ for $q \in U_p$. Consequently, φ^* is analytic on $W \setminus (f^*)^{-1}(g(B_g))$ and, by the discreteness of $(f^*)^{-1}(g(B_g))$, all over W . This contradiction completes the proof. \square

We return to the proof of Theorem 9. So let W be a Riemann surface of finite genus. We may assume that W is a subregion of a compact Riemann surface W^* . Let E denote the set $W^* \setminus W$. Suppose first that some component of E is nondegenerate. As in the proof of Lemma 5, we can find a bounded nonconstant function in $BV(W)$. But Lemma 3 implies that such a function cannot belong to the class $MC(W)$. Thus $MC(W) \neq BV(W)$ in this case.

Now suppose that E is totally disconnected but fails to be of class N'_C in W^* . By Lemma 4 there exists a nonconstant function f in $AC(W)$, and by Lemma 3 $f \notin BV(W)$. We have again $MC(W) \neq BV(W)$.

Then suppose that $E \in N'_C \setminus N_D$ in W^* . By Lemma 5, $BV(W) \setminus M(W^*) \neq \emptyset$. But clearly $MC(W) = M(W^*)$. Hence $MC(W) \neq BV(W)$.

There remains the case $E \in N'_C \cap N_D$ (then, of course, $MC(W) = BV(W) = M(W^*)$). Let f_0 be a nonconstant function in $M(W^*)$. It follows from [5, Lemma 5] and Lemma 2 that $f_0(E) \in N_C \cap N_D = N_C \cap N_{SB}$. Thus $D_{f_0|W} \in N_C \cap N_{SB}$, i.e. $W \in \mathcal{D}(N_C \cap N_{SB})$. The proof is complete. \square

Remark. In view of Theorem 2, one may ask how the class $MD^*(W)$ is related to $MC(W)$ in the case $W \in \mathcal{D}(N_C \cap N_{SB})$. Of course $MC(W) \subset MD^*(W)$, but we do not know whether the opposite inclusion also holds. However, under the additional hypothesis on $f \in MD^*(W)$ that $\{z \in \hat{C} \mid v_f(z) < \infty\}$ be of second category, it can be shown that $f \in MC(W)$.

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