

CONSERVATIVE ACTION AND THE HOROSPHERIC LIMIT SET

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Abstract. D. Sullivan [4] showed that a discrete Möbius group G of S^n acts conservatively on the horospheric limit set $\mathcal{H}(G)$ of G , that is if $A \subset \mathcal{H}(G)$ has positive measure, then so has $A \cap gA$ for infinitely many g in G . On the other hand, G has a measurable fundamental set outside $\mathcal{H}(G)$. We show that if the definition of the horospheric limit set slightly changed, these results are also valid for any conformal measure μ of G .

Let X be a topological space with a finite Borel measure μ and let G be a countable group of measurable, absolutely continuous bijections of X . If $A \subset X$ is setwise G -invariant, then the action of G is *conservative* on A (sometimes the word “recurrent” is also used) if, whenever $U \subset A$ is measurable and $\mu(U) > 0$, then $\mu(U \cap gU) > 0$ for infinitely many $g \in G$. In contrast, the action is *dissipative* on A if there is a measurable fundamental set for the action of G in A , that is there is a measurable set $F \subset A$ such that F contains exactly one point from each orbit Gz , $z \in A$. Conservative and dissipative action are not very compatible: if G acts conservatively and no point of A is fixed by some $g \neq \text{id}$ in G , then there cannot be a measurable fundamental set for the action on A if $\mu(A) > 0$. Under fairly general conditions, it is possible to divide X into measurable disjoint pieces A and B , $X = A \cup B$, such that the action is conservative on A and dissipative on B . This result seems to be generally known but the only reference I know is [1] whose proof works if the set of points $x \in X$ fixed by some $g \neq \text{id}$ in G is a nullset.

Let G be a discrete group of Möbius transformations of the n -sphere S^n and let m be the n -dimensional Hausdorff measure on S^n . In this case Sullivan [4] identified the conservative part as the horospheric limit set $\mathcal{H}(G)$. Recall that a Möbius group of S^n also acts on the open unit $(n+1)$ -ball B^{n+1} and that a *horoball* at $x \in S^n$ is an open $(n+1)$ -ball B properly contained in B^{n+1} such that ∂B is tangent to S^n at x . Now we can define that a point $x \in S^n$ is in the *horospheric limit set* $\mathcal{H}(G)$ if any horoball at x contains an infinite number of points from any orbit Gz , $z \in B^{n+1}$.

We will use the following variation of the horospheric limit set: We define the *big horospheric limit set* $\mathcal{H}_b(G)$ as the set of points $x \in S^n$ such that, given $z \in B^{n+1}$, then there is some horoball B at x such that $B \cap Gz$ is infinite.

We will extend Sullivan's result for general conformal measures. A conformal (G -)measure of dimension δ on S^n is a finite Borel measure satisfying the transformation rule

$$\mu(gX) = \int_X |g'|^\delta d\mu$$

for $g \in G$ and Borel subsets X of S^n ; here δ is the *dimension* of μ . Conformal measures are sometimes called Patterson–Sullivan measures and their general theory was developed in [5] (cf. also Nicholls [3]). Typically, they are supported by the limit set $L(G)$ of G but of course we can extend by zero to all of S^n . For instance, the Hausdorff n -measure of S^n is a conformal measure and, more generally, if $A \subset S^n$ is G -invariant and the δ -dimensional Hausdorff-measure m_δ of A is finite, then m_δ is a conformal measure.

Sullivan extended a method of Patterson in [5] and showed that there is a non-trivial conformal measure supported by $L(G)$ for any infinite discrete Möbius group; the dimension of this measure is the exponent of convergence δ_G of G . The measure is unique in many cases, and for the so-called convex cocompact groups the δ_G -dimensional Hausdorff measure of $L(G)$ is a conformal measure. Using conformal measures, it is possible to extend the measure theoretical aspects of the theory of Möbius groups of first kind (i.e. $L(G) = S^n$) to the groups of the second kind (i.e. $L(G) \neq S^n$).

We claim that the conservative part is the big horospheric limit set $\mathcal{H}_b(G)$. The ideas of the proof are much the same as in [4] but there are some complications, for instance we had to move to the big horospheric limit set.

The set $\mathcal{G} = \mathcal{H}_b(G) \setminus \mathcal{H}(G)$ is very similar to the “Garnett points” of [4] since, if $x \in \mathcal{G}$ and $z \in B^{n+1}$, there is a unique horoball B_x at x such that $Gz \cap B$ is finite if B is any smaller horoball at x and infinite if B is any larger horoball at x ; in contrast, we recall that x is a Garnett point if $x \in \mathcal{G}$ and any smaller horoball $B \subset B_x$ does not contain any points of Gz . We denote the set of Garnett points by \mathcal{G}_0 ; unlike $\mathcal{H}(G)$ and $\mathcal{H}_b(G)$ it might depend on the orbit Gz but we assume a fixed reference point z and define \mathcal{G}_0 using it.

Part of Sullivan's proof (due to John Garnett) of [4] was to show that the n -dimensional Hausdorff measure m of \mathcal{G}_0 vanishes; the same proof would also show that $m(\mathcal{G}) = 0$. So Sullivan's original theorem could have been formulated as well using the big horospheric limit set. Unfortunately, the proof of the null-measure of Garnett points does not seem to generalize for general conformal measures (although it is possible to prove that $\mu(\mathcal{G} \setminus \mathcal{G}_0) = 0$, see the Appendix).

We recall that a Möbius transformation g of S^n can be classified as elliptic (including the identity map), loxodromic or parabolic: g is elliptic if g can be conjugated by a Möbius transformation of S^n onto \bar{R}^n to an orthogonal linear

map of R^n , g is loxodromic if g can be conjugated to a map of the form $x \mapsto \lambda\beta$ where $\lambda > 1$ and β is an orthogonal linear map, and g is parabolic if g can be conjugated to a map of the form $x \mapsto \beta(x) + a$ where $a \in R^n$ and β is an orthogonal linear map such that $\beta(a) = a$. We consider here only non-elementary Möbius groups defined as discrete Möbius groups such that the limit set contains more than two points.

Our aim is to prove

Theorem 1. *Let G be a non-elementary Möbius group on S^n and let μ be a conformal G -measure on S^n . Then the action of G is conservative on the big horospheric limit set $\mathcal{H}_b(G)$ and dissipative on $S^n \setminus \mathcal{H}_b(G)$. If \mathcal{C} and \mathcal{D} are G -invariant subsets of S^n and G acts conservatively on \mathcal{C} and dissipatively on \mathcal{D} , then $\mathcal{D} \subset S^n \setminus \mathcal{H}_b(G)$ and $\mathcal{C} \subset \mathcal{H}_b(G)$ up to μ -nullsets and atoms at parabolic fixed points.*

Remark. So we have found the dissipative and conservative parts and they are unique up to nullsets and atoms at parabolic fixed points.

Note that a loxodromic fixed point cannot be an atom of μ since the dimension δ of μ is positive for non-elementary G ([5]) and since $|g'(v)| \neq 1$ at a fixed point v of a loxodromic g . Thus the stabilizer $G_v = \{g \in G : g(v) = v\}$ of an atom of μ can contain only parabolic and elliptic elements and if G_v is purely elliptic, it is finite by [6, Corollary C] and in this case v must be put into the dissipative part. Consequently, if G_v is infinite and v is an atom, then G_v must contain parabolic elements and obviously the orbit Gv can be put at will either to the conservative or the dissipative part.

We start the proof of Theorem 1 with the following characterization $\mathcal{H}_b(G)$. Here $|g'(z)|$ is the operator norm of the derivative. The same proof shows that $z \in \mathcal{H}(G)$ if and only if there are $g_i \in G$ such that $|g'_i(z)| \rightarrow \infty$, cf. (3).

Lemma 2. *A point $z \in S^n$ is in the big horospheric limit set $\mathcal{H}_b(G)$ if and only if there are $m > 0$ and a sequence of distinct $g_i \in G$ such that $|g'_i(z)| \geq m$.*

Proof. We will prove that if $g_i \in G$ are distinct, then $g_i^{-1}(0)$ are in some horoball at z if and only if there is $m > 0$ such that $|g'_i(z)| \geq m$.

Suppose that $h_i \in G$ are distinct. Then $|h_i(0)| \rightarrow 1$ by discreteness and a simple calculation shows that setting $d_i = 1 - |h_i(0)|$, then $h_i(0)$ are in some horoball at z if and only if

$$(1) \quad |z - h_i(0)| \leq a\sqrt{d_i}$$

for some suitable positive constant a .

Let $g_i = h_i^{-1}$. We claim that (1) is true for some $a > 0$ if and only if

$$(2) \quad |g'_i(z)| \geq m$$

for some $m > 0$.

The plan of the proof is simple. We first show that $|g'_i| = |\sigma'_i|$ when σ_i is the reflection on the isometric sphere S_i of g_i . Let τ_i be the center and r_i the radius of the isometric sphere S_i . We show that r_i is approximately $\sqrt{2d_i}$. Remembering that $|\sigma'_i(w)| = r_i^2|w - \tau_i|^{-2}$, to conclude that (1) and (2) are equivalent we will show that $|z - h_i(0)|$ is approximately $|z - \tau_i|$.

Let L_i be the hyperbolic line segment joining 0 and $h_i(0)$. The isometric sphere of g_i is the n -sphere S_i orthogonal to S^n such that S_i intersects L_i orthogonally at the hyperbolic midpoint c_i of L_i . We can see this as follows. Let σ_i be the reflection on S_i . Then $\sigma_i h_i(0) = 0$ and both σ_i and h_i preserve S^n . However, the only Möbius transformations preserving 0 and S^n are orthogonal linear maps of R^n . Thus $|(\sigma_i h_i)'| = 1$. Since also $|(g_i h_i)'| = 1$, it follows that $|g'_i| = |\sigma'_i|$, and so we can use σ_i in (2) instead of g_i . In particular, S_i is the isometric sphere of g_i , i.e. the n -sphere S such that $|g'_i| = 1$ on S .

Let the hyperbolic metric of B^{n+1} be given by the element of length $2|dx|/(1 - |x|^2)$. Then the hyperbolic distance is $d(0, x) = \log(1 + |x|)/(1 - |x|)$. Thus

$$\log \frac{1 + |c_i|}{1 - |c_i|} = \frac{1}{2} \log \frac{1 + |h_i(0)|}{1 - |h_i(0)|}$$

implying

$$1 - |c_i| = a_i \sqrt{1 - |h_i(0)|} = a_i \sqrt{d_i}$$

where $a_i \rightarrow \sqrt{2}$ as $i \rightarrow \infty$. We also obtain

$$|c_i - h_i(0)| = |h_i(0)| - |c_i| = (1 - |c_i|) - (1 - |h_i(0)|) = a'_i \sqrt{d_i}$$

where $a'_i \rightarrow \sqrt{2}$ as $i \rightarrow \infty$.

The center τ_i of the isometric circle is mapped by σ_i onto ∞ and so $|\sigma'_i(\tau_i)| = |g'_i(\tau_i)| = \infty$ and so also $g_i(\tau_i) = \infty$. Let ϱ be the reflection on S^n . Then ϱ interchanges 0 and ∞ and commutes with Möbius transformations preserving S^n . Thus $0 = \varrho g_i(\tau_i) = g_i \varrho(\tau_i)$ and so $\varrho(\tau_i) = h_i(0)$. Since $|h_i(0)| \rightarrow 1$,

$$|h_i(0) - \tau_i| = b_i(1 - |h_i(0)|) = b_i d_i$$

where $b_i \rightarrow 2$ as $i \rightarrow \infty$. It follows that the radius of the isometric circle

$$r_i = |c_i - \tau_i| = |c_i - h_i(0) + h_i(0) - \tau_i| = a''_i \sqrt{d_i}$$

where $a''_i \rightarrow \sqrt{2}$ as $i \rightarrow \infty$. Similarly,

$$|z - \tau_i| = |z - h_i(0) + h_i(0) - \tau_i| = |z - h_i(0)| + \delta_i$$

where $|\delta_i| \leq b_i d_i$.

We can now estimate the derivative

$$(3) \quad |g'_i(z)| = |\sigma'_i(z)| = \frac{r_i^2}{|z - \tau_i|^2} = \frac{(a''_i)^2 d_i}{(|z - h_i(0)| + \delta_i)^2}.$$

The conclusion is now immediate. If (1) is true, then (2) is true for big i with $m = a^{-2}$. If (2) is true, then (1) is true for big i with $a = 2/\sqrt{m}$.

We return to the proof of the theorem. If x is an atom of μ , then by the above Remark, x cannot be fixed by a loxodromic $g \in G$ and if x is fixed by a parabolic $g \in G$, then we can put the orbit of x at will either to the conservative or to the dissipative part. Consequently, to prove the theorem we can assume that no atom of μ is fixed by an element of G of infinite order. Under this assumption, we will prove:

- A. $S^n \setminus \mathcal{H}_b(G)$ has a measurable fundamental set.
- B. The action of G is conservative on $\mathcal{H}_b(G)$.
- C. If $\mathcal{C} \subset S^n$ is G -invariant and G acts conservatively on \mathcal{C} , then $\mathcal{C} \subset \mathcal{H}_b(G)$ up to a nullset.
- D. If $\mathcal{D} \subset S^n$ is G -invariant and G is dissipative on \mathcal{D} , then $\mathcal{D} \subset S^n \setminus \mathcal{H}_b(G)$ up to a nullset.

Proof of A. Denote $\mathcal{D} = S^n \setminus \mathcal{H}_b(G)$. If $z \in \mathcal{D}$, then $\Gamma_z = \{g \in G : |g'(z)| \geq |h'(z)| \text{ for all } h \in G\}$ is finite and non-empty by Lemma 2. Thus the set

$$(4) \quad F_0 = \{x \in \mathcal{D} : |f'(x)| \leq 1 \text{ for all } f \in G\}$$

which is also the union $\bigcup_{z \in \mathcal{D}} \Gamma_z z$, is a measurable set containing a finite and non-zero number of points from each orbit Gz , $z \in \mathcal{D}$. It is not difficult to extract a measurable fundamental set from F_0 , for instance as follows. Let $\{e_i\}_{i>0}$, be a dense set of S^n and define measurable $F_1 \subset F_0$ by

$$F_1 = \{x \in F_0 : |x - e_1| \leq |z - e_1| \text{ for all } z \in Gx \cap F_0\}.$$

Even this may fail to give a fundamental set but we continue and set $F_2 = \{x \in F_1 : |x - e_2| \leq |z - e_2| \text{ for all } z \in Gx \cap F_1\}$, etc. The intersection $\bigcap_i F_i$ will be a measurable fundamental set for \mathcal{D} .

Proof of B. Unless the action of G on $\mathcal{H}_b(G)$ is conservative, there is a set $A \subset \mathcal{H}_b(G)$ of positive measure such that $\mu(A \cap gA) > 0$ for only finitely many $g \in G$. Applying Lemma 4 below, we can find measurable $B \subset A$ of positive measure and a finite subgroup $H \subset G$ such that the stabilizer $G_x = \{g \in G : g(x) = x\} = H$ for every $x \in B$ and that $gB \cap hB = \emptyset$ if $g, h \in G$ and $gH \neq hH$. In view of Lemma 2, and possibly by making B smaller but still of positive measure, we can assume that there is $m > 0$ such that, for each $z \in B$, $|g'(z)| \geq m$ for an infinite number of $g \in G$. It follows that we can find distinct $g_{11}, \dots, g_{1n_1} \in G$ such that if

$$E_1 = \{z \in B : |g'_{1i}(z)| \geq m \text{ for some } i, 1 \leq i \leq n_1\},$$

then $\mu(E_1) > \frac{1}{2}\mu(B)$. Since $|g'(z)| \geq m$ for an infinite number of g 's, we can continue and find for each k elements $g_{k1}, \dots, g_{kn_k} \in G$ for $i \leq n_k$ such that $g_{ki} = g_{pr}$ only if $k = p$ and $i = r$ and such that if

$$E_k = \{z \in B : |g'_{ki}(z)| \geq m \text{ for some } i, 1 \leq i \leq n_k\}$$

then $\mu(E_k) > \frac{1}{2}\mu(B)$. Let $E_{ki} = \{z \in B : |g'_{ki}(z)| \geq m\}$ so that $E_k = \bigcup_i E_{ki}$.

We can now estimate when $\#H$ is the number of elements of H

$$\begin{aligned} \infty &> \mu\left(\bigcup_{g \in G} gB\right) = \frac{1}{\#H} \sum_{g \in G} \mu(gB) \geq \frac{1}{\#H} \sum_{k,i} \mu(g_{ki}B) \\ &\geq \frac{1}{\#H} \sum_{k,i} \mu(g_{ki}E_{ki}) \geq \frac{1}{\#H} \sum_{k,i} m\mu(E_{ki}) \geq \frac{m}{\#H} \sum_k \mu(E_k) = \infty \end{aligned}$$

since $\mu(E_k) \geq \frac{1}{2}\mu(B) > 0$. This contradiction implies B.

Proof of C. Suppose that G is conservative on \mathcal{C} and that $\mu(\mathcal{C} \setminus \mathcal{H}_b(G)) > 0$. We derive a contradiction from this.

By A, there is a fundamental set F for $S^n \setminus \mathcal{H}_b(G)$. Replacing F by some gF , $g \in G$, we can assume that $\mu(\mathcal{C} \cap F) > 0$. Let $A = \mathcal{C} \cap F$. By Lemma 3 below, there are $B \subset A$ and a finite subgroup $H \subset G$ such that $\mu(B) > 0$ and that $g(x) \neq x$ if $x \in B$ and $g \in G \setminus H$. However, if $x \in B \cap gB$ for some $g \in G$, then x must be fixed by g since B is a subset of the fundamental set. Hence g is in the finite set H , contradicting the conservativity in \mathcal{C} .

Proof of D. Suppose that G is dissipative on \mathcal{D} and $Z = \mathcal{H}_b(G) \cap \mathcal{D}$ has positive μ -measure. Since the action is dissipative on \mathcal{D} and hence on Z , there is a fundamental set F_1 for Z . Then $\mu(F_1) > 0$ and hence by B, $\mu(F_1 \cap gF_1) > 0$ for infinitely many $g \in G$. Pick one such g_1 and set $F_2 = F_1 \cap g_1F_1$. Find then $g_2 \neq g_1$ such that $F_3 = F_2 \cap g_2F_2$ has positive μ -measure. Continuing in this manner we find a sequence of distinct $g_i \in G$ and a decreasing sequence $F_1 \supset F_2 \supset \dots$ of sets of positive μ -measure such that $\mu(F_i \cap g_iF_i) > 0$. Since F_i are subsets of the fundamental set F_1 , $F_i \cap g_iF_i$ consists of fixed points of g_i . If g_i is of infinite order, then g_i fixes at most two points and neither of them is an atom by our assumptions. It follows that each g_i is elliptic and similarly, the group G_i generated by g_1, \dots, g_i , all of whose elements fix points of F_i , must be purely elliptic. Thus $\bigcup_i G_i$ would be a purely elliptic infinite group and this is impossible by [6].

We still need to prove two lemmas. As above μ is a conformal measure such that no parabolic or loxodromic fixed point is an atom of μ .

Lemma 3. *Let $A \subset S^n$ be measurable with positive μ -measure. Then there are a finite subgroup $H \subset G$ and measurable $B \subset A$ of positive measure such that $g(x) = x$ for every $x \in B$ and $g \in H$ but that $g(x) \neq x$ if $g \in G \setminus H$.*

Proof. Remove first all fixed points of loxodromic or parabolic elements of G . Since these points are not atoms of μ and their number is countable, still $\mu(A) > 0$. Thus if $x \in A$ and $g(x) = x$ for some $g \in G$, then g is elliptic.

In the following $X_F = \{x \in S^n : g(x) = x \text{ for all } g \in F\}$ when $F \subset G$ is a subgroup. We claim that there is a maximal finite subgroup $H \subset G$ such that $\mu(X_H \cap A) > 0$. If there is no such maximal group, we can find a sequence $H_1 \subset H_2 \subset \dots$ with proper inclusions of finite subgroups of G such that $\mu(X_{H_i} \cap A) > 0$. Now $H = \bigcup_i H_i$ is a purely elliptic discrete subgroup and hence, by [6, Corollary C], H is finite. This is a contradiction and hence there is such a maximal H .

Let $B = X_H \cap A$. If $g \in G \setminus H$ and $x \in B$ and $g(x) = x$, then the group F generated by g and H is purely elliptic (since x is not a parabolic or loxodromic fixed point) and hence F is finite as we saw. So $\mu(X_F \cap B) = 0$ by maximality of H . Removing a countable number of nullsets of this form, we obtain the set B with the required properties.

Lemma 4. *Suppose that $A \subset S^n$ is of positive μ -measure and that $\mu(A \cap gA) > 0$ for only finitely many $g \in G$. Then there are a finite subgroup $H \subset G$ and a measurable subset $B \subset A$ of positive μ -measure such that $g(x) = x$ for all $x \in B$ and $g \in H$ but that $gB \cap B = \emptyset$ for all $g \in G \setminus H$.*

Proof. Let $B \subset A$ and $H \subset G$ be the set and subgroup given by Lemma 3. Since G is countable and each $g \in G$ is absolutely continuous with respect to μ , we can obtain by removing a countable number of nullsets that $\mu(B \cap gB) > 0$ if and only if $B \cap gB \neq \emptyset$ whenever $g \in G$.

Let $G_0 = \{g \in G : \mu(B \cap gB) > 0\} = \{g \in G : B \cap gB \neq \emptyset\}$. It is a finite union of cosets gH . Choose representatives g_1, \dots, g_k from each coset. Pick $x \in B$ such that $\mu(U) > 0$ for every neighborhood U of x in B . Now $g_i(x)$, $i \leq k$, are all distinct and hence it is possible to choose a neighborhood U of x such that $g_i U$ are disjoint. If $U \cap gU \neq \emptyset$, then $g \in G_0$ and hence $gU = g_i U$ for some i . This is possible only if $g \in H$ and so $U \cap gU = \emptyset$ for all $g \in G \setminus H$. Thus the lemma is true if U is substituted for B .

Remark. It may be useful to see to what extent the proof depends on special properties of Möbius transformations. Proof of A works generally. Let X be a separable metric space, μ a finite Borel regular measure on X and G a countable group of absolutely continuous measurable bijections of X ; we need the Radon–Nikodym derivative for $g \in G$, and hence we still need to assume, for instance, that the family of balls of X satisfy the assumptions of the Vitali covering theorem [2, 2.8.16]. Interpret $|g'(x)|$ as the Radon–Nikodym derivative of g and the formula (4) gives a measurable set F_0 containing a finite and nonzero number from each orbit Gz when $z \in \mathcal{D}$ and \mathcal{D} is the set of $x \in X$ such that the Radon–Nikodym derivative $|g'(x)|$ exists for all $g \in G$ and $E_m = \{g \in G : |g'(x)| \geq m\}$ is finite for every $m > 0$; this latter condition could even be relaxed to the form that E_m is finite and non-empty for some $m > 0$. The proof of A is valid and extracts a measurable fundamental set from F_0 .

In contrast, to show that the action is conservative on $X \setminus \mathcal{D}$ and that the division to conservative and dissipative parts is unique up to nullsets, requires in addition two more specific assumptions: The set of points fixed by some $g \in G$ of infinite order is a nullset, and every subgroup of G consisting of elements of finite order is finite. With these assumptions our proof is valid.

Appendix. We now give the promised proof that $\mu(\mathcal{G} \setminus \mathcal{G}_0) = 0$.

Let $Z = \mathcal{G} \setminus \mathcal{G}_0$. We will show that the action is dissipative on Z . It follows by Theorem 1 that Z is up to a nullset a union of atoms at parabolic fixed points. However, a parabolic fixed point is not a point of Z as one easily sees.

We use here the method in Sullivan's paper [4]. Notice that if $x \in Z$, then there is a unique horoball B_x at x such that $Gz \cap \partial B_x \neq \emptyset$ but that $Gz \cap B_x$ is non-empty and finite; here z is the reference point used to define \mathcal{G}_0 . Let $\Gamma_x = \partial B_x \cap Gz$ which is finite and non-empty. If $F \subset G$ is finite and non-empty, set

$$D_F = \{x \in Z : \Gamma_x = F\}.$$

The sets D_F , as F varies over finite and non-empty subsets of G , form a countable family of measurable, mutually disjoint sets covering Z . Obviously, $g(D_F) = D_{gF}$ and hence it is possible to find a countable family \mathcal{F} of finite and non-empty subsets of G such that the countable union $\cup\{D_F : F \in \mathcal{F}\}$ will be a measurable fundamental set for Z .

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