

# JØRGENSEN'S INEQUALITY FOR DISCRETE CONVERGENCE GROUPS

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**Abstract.** We explore in this paper whether certain fundamental properties of the action of Kleinian groups on the Riemann sphere extend to the action of discrete convergence groups on  $\overline{\mathbf{R}^2}$ . A Jørgensen inequality for discrete  $K$ -quasiconformal groups is developed, and it is shown that such an inequality depends naturally on the quasiconformal dilatation  $K$ . Furthermore, it is established that no such inequality can hold for general discrete convergence groups. In the discontinuous case a universal constraint on discreteness is formulated for both quasiconformal and general convergence groups.

## 1. Basic definitions and notation

The group of all orientation-preserving Möbius transformations in  $\overline{\mathbf{R}^2}$  is denoted by Möb. All maps in this article are assumed to be orientation-preserving.

A group  $G$  of homeomorphisms of  $\overline{\mathbf{R}^2}$  is a  $K$ -quasiconformal group if each of its elements is  $K$ -quasiconformal, and we call the group simply quasiconformal if it is  $K$ -quasiconformal for some  $K$ . Recall that every Möbius transformation is 1-quasiconformal, and that the converse also holds; i.e. every 1-quasiconformal homeomorphism of  $\overline{\mathbf{R}^2}$  is a Möbius transformation (see [TV2] for a nice geometric proof). One natural way to construct a quasiconformal group is to conjugate a conformal group by a quasiconformal mapping. In  $\overline{\mathbf{R}^2}$  one obtains every quasiconformal group in this way ([Sul], [Tuk2]), whereas in higher dimensions there exist quasiconformal groups which are not quasiconformally conjugate to Möbius groups ([Tuk2], [Mar], [McK], [FrSk]).

A group  $G$  of homeomorphisms of  $\overline{\mathbf{R}^2}$  is *discrete* if no sequence  $\{f_n\} \subset G$  of distinct elements converges to the identity uniformly in  $\overline{\mathbf{R}^2}$ . A discrete subgroup of Möb is called a *Kleinian group*.

A (not necessarily discrete) group  $G$  of homeomorphisms of  $\overline{\mathbf{R}^2}$  is said to be a *convergence group* if each infinite subfamily of  $G$  contains a sequence  $\{f_n\}$ , such that one of the following is true:

- (i) There exists a homeomorphism  $f$  of  $\overline{\mathbf{R}^2}$  such that

$$\lim_{n \rightarrow \infty} f_n = f \quad \text{and} \quad \lim_{n \rightarrow \infty} f_n^{-1} = f^{-1}$$

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uniformly in  $\overline{\mathbf{R}^2}$ .

(ii) There exist points  $x_0, y_0 \in \overline{\mathbf{R}^2}$  such that

$$\lim_{n \rightarrow \infty} f_n = x_0 \quad \text{and} \quad \lim_{n \rightarrow \infty} f_n^{-1} = y_0$$

locally uniformly in  $\overline{\mathbf{R}^2} \setminus \{y_0\}$  and  $\overline{\mathbf{R}^2} \setminus \{x_0\}$ , respectively. Here we allow  $x_0 = y_0$ .

In (ii) we call  $x_0$  and  $y_0$  the attracting and repelling limit point of the sequence  $\{f_n\}$ , respectively, if these two points are distinct. Möbius groups and quasiconformal groups are examples of convergence groups, see [GM1]. Homeomorphic conjugates of quasiconformal groups are also convergence groups, so that the class of convergence groups of  $\overline{\mathbf{R}^2}$  is strictly larger than the class of quasiconformal groups.

Convergence groups in many essential ways resemble their conformal counterparts. As with Möbius groups, we define the *limit set*  $L(G)$  of the convergence group  $G$  to be the set of all limit points of those sequences  $\{f_n\}$  converging in the sense of (ii). Likewise, we define the *regular set*  $\Omega(G)$  to be the set of points where  $G$  acts discontinuously; i.e. the set of all  $x$  that have a neighborhood  $U$  satisfying  $g(U) \cap U = \emptyset$  for all but finitely many  $g \in G$ . The regular set is an open set, and the limit set is closed; both sets are  $G$ -invariant. If  $L(G)$  contains more than two points then  $L(G)$  is an infinite perfect set. If  $\Omega(G) \neq \emptyset$ , then  $G$  is necessarily discrete. For discrete  $G$ , the limit set  $L(G)$  is the complement of the regular set  $\Omega(G)$ . (See e.g. [GM1], [Tuk3] for proofs.)

There exists a classification of the elements of a convergence group that is topologically analogous to the classification of Möbius maps. If  $G$  is a convergence group and  $g \in G$ , then we say that  $g$  is *elliptic* if  $\langle g \rangle$ , the group generated by  $g$ , is pre-compact, i.e. if every sequence in  $\langle g \rangle$  contains a subsequence converging uniformly to a homeomorphism. If  $g$  is not elliptic, then  $g$  is *loxodromic* if it has exactly two fixed points, or  $g$  is *parabolic* if  $g$  fixes exactly one point. It is not hard to see that every element in a convergence group is either elliptic, parabolic or loxodromic ([Tuk3]). In a discrete convergence group the elliptic elements are those  $g \in G$  that satisfy  $g^n = \text{id}$  for some  $n \in \mathbf{N}$ . The sequence  $\{g^n\}$  of iterates of a loxodromic element of a discrete convergence group converges to a fixed point  $a$  of  $g$  locally uniformly in the exterior of the other fixed point  $b$ ; we call  $a$  the *attracting* and  $b$  the *repelling* fixed point of  $g$ . For parabolic  $g$ , the sequence of iterates converges to the fixed point of  $g$  locally uniformly in the exterior of that fixed point (see [GM1]).

As is customary, we define a discrete convergence group  $G$  to be *non-elementary* if  $L(G)$  contains more than two points. We can extend this definition to non-discrete  $G$  but then in addition we must require that no  $x \in L(G)$  is fixed by the entire group  $G$ . In both cases one can show that a convergence group  $G$  is elementary if and only if either  $L(G) = \emptyset$  or there is a one or two-point set which is fixed setwise by  $G$ . Furthermore,  $G$  is non-elementary if and only if there are two loxodromic  $g, h \in G$  without common fixed points (see [Tuk3]).

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## 2. Measuring discreteness

Let  $q(x, y)$  denote the *chordal distance* of the points  $x, y \in \overline{\mathbf{R}^2}$ ; it is the Euclidean distance of their stereographic projections onto  $S^2 \subset \mathbf{R}^3$  and is given by

$$q(x, y) = \frac{2|x - y|}{\sqrt{1 + |x|^2} \sqrt{1 + |y|^2}}.$$

For two homeomorphisms  $f, g$  of  $\overline{\mathbf{R}^2}$ , define their chordal distance to be

$$d(f, g) := \sup_{x \in \overline{\mathbf{R}^2}} q(f(x), g(x)).$$

Likewise, let  $d(f)$  denote the chordal distance of  $f$  to the identity:

$$(1) \quad d(f) := d(f, \text{id}) = \sup_{x \in \overline{\mathbf{R}^2}} q(f(x), x).$$

Suppose that  $G$  is a fixed convergence group acting on  $\overline{\mathbf{R}^2}$ . If  $G$  is discrete, then all  $f \in G \setminus \{\text{id}\}$  are uniformly bounded away from the identity in the metric given by (1), i.e. there is a constant  $c > 0$  (depending on  $G$ ) such that

$$d(f) \geq c$$

for all  $f \in G \setminus \{\text{id}\}$ .

The following theorems of Gehring and Martin [GM2, Theorems 4.19, 4.26, 6.14] make this observation more precise in the case of Kleinian groups; the authors find a uniform estimate in the chordal metric, independent of the group  $G$ . The first result is a consequence of Jørgensen's inequality [Jør] and we shall refer to it as *chordal Jørgensen inequality*.

**Theorem 2.1** (Chordal Jørgensen inequality). *There is a constant  $c_1 > 0$  so that if  $f$  and  $g$  generate a discrete non-elementary subgroup of Möb then  $f$  and  $g$  satisfy:*

$$\max\{d(f), d(g)\} \geq c_1 \quad \text{and} \quad d(f) + d(g) \geq 2c_1.$$

Furthermore,

$$2(\sqrt{2} - 1) = 0.828\dots \leq c_1 \leq 0.911\dots = 2\sqrt{\frac{\cos(2\pi/7) + \cos(\pi/7) - 1}{\cos(2\pi/7) + \cos(\pi/7) + 1}}.$$

Recall that Jørgensen's inequality [Jør] in its original form was stated as follows:

**Theorem 2.2** (Jørgensen's inequality). *If the two Möbius transformations  $f, g$  generate a discrete non-elementary group then*

$$|\operatorname{tr}^2(f) - 4| + |\operatorname{tr}(f \circ g \circ f^{-1} \circ g^{-1}) - 2| \geq 1,$$

where  $\operatorname{tr}$  denotes the trace function.

The next result by Gehring and Martin says that we can conjugate any Kleinian group, so that the resulting group has the property that its non-identity elements are bounded away from zero in the chordal distance given in (1).

**Theorem 2.3.** *If  $G$  is a discrete subgroup of  $\operatorname{Möb}$ , then there exists an  $h \in \operatorname{Möb}$  such that*

$$d(f) \geq c_1$$

for all  $f \in h \circ G \circ h^{-1} \setminus \{\operatorname{id}\}$ . Here  $c_1$  is the same constant as in Theorem 2.1.

### 3. Statement of results

Our first results show that there are analogs to Theorem 2.1 and Theorem 2.3 for discrete quasiconformal groups. In particular, there is a chordal Jørgensen inequality for discrete  $K$ -quasiconformal groups:

**Theorem 3.1.** *For each  $K \geq 1$ , there is a constant  $c_K > 0$  so that if  $f$  and  $g$  generate a discrete non-elementary  $K$ -quasiconformal group on  $\overline{\mathbf{R}^2}$ , then  $f$  and  $g$  satisfy:*

$$\max\{d(f), d(g)\} \geq c_K \quad \text{and} \quad (d(f))^{1/K} + (d(g))^{1/K} \geq 2(c_K)^{1/K}.$$

One (non-sharp) choice for the constant  $c_K$  is

$$c_K = \frac{1}{\sqrt{2}} \left( \frac{\sqrt{2} c_1}{128} \right)^K,$$

where  $c_1$  is the constant from Theorem 2.1.

A relative version of Theorem 2.3 holds for discrete  $K$ -quasiconformal groups:

**Theorem 3.2.** *If  $G$  is a discrete, torsion-free  $K$ -quasiconformal group on  $\overline{\mathbf{R}^2}$ , then there exists an  $h \in \operatorname{Möb}$  such that*

$$d(f) \geq c_K$$

for all  $f \in h \circ G \circ h^{-1} \setminus \{\operatorname{id}\}$ . Here  $c_K$  is the same constant as in Theorem 3.1.

Our main result is that Theorem 3.1 does not hold for general discrete convergence groups:

**Theorem 3.3** (Main theorem). *There are sequences  $\{f_n\}$ ,  $\{g_n\}$  of homeomorphisms on  $\overline{\mathbf{R}^2}$  so that the group generated by  $f_n$  and  $g_n$  is a free, discrete non-elementary convergence group with non-empty regular set for each  $n \in \mathbf{N}$ , but*

$$\max\{d(f_n), d(g_n)\} \rightarrow 0 \quad \text{as } n \rightarrow \infty.$$

We give a brief outline of the proof of Theorem 3.3. First we construct a sequence  $\{G_n\}$  of topological Schottky groups, where  $G_n$  is freely generated by  $f_n$  and  $g_n$ . We shall denote this by  $G_n = \langle f_n, g_n \rangle$ . Suppressing the index  $n$  in the notation, the idea of the construction of the groups  $G$  is as follows:

We shall find four mutually disjoint, simply connected regions  $S_0, S_1, S_2, S_3$ . The homeomorphism  $f$  will map the exterior of  $S_2$  onto the interior of  $S_0$  and  $S_2$  onto the exterior of  $S_0$ . The boundary of  $S_2$  will be mapped onto the boundary of  $S_0$ . The homeomorphism  $g$  will be constructed in a similar way, with  $S_1$  and  $S_3$  replacing  $S_0$  and  $S_2$ . By appropriately shrinking  $S_0, S_1$  and  $S_3$  under the map  $f$ , shrinking  $S_1, S_2, S_3$  under  $f^{-1}$ , shrinking  $S_0, S_1, S_2$  under  $g$  and shrinking  $S_0, S_2, S_3$  under  $g^{-1}$ , the group  $G = \langle f, g \rangle$  will have the convergence property. Since  $G$  is the topological version of a Schottky group, it is discontinuous by construction.

The main difficulty consists in making  $d(f)$  and  $d(g)$  as small as desired. To this end it is necessary to have any point of the exterior of  $S_0$  be close to  $S_2$  and any point of the exterior of  $S_2$  be close to  $S_0$ . The same must be true with  $S_1$  and  $S_3$  replacing  $S_0$  and  $S_2$ . One way to satisfy these assumptions is to give the regions  $S_0, \dots, S_3$  the shape of spirals, see Figure 1. The hardest part now is to tune the action of  $f$  and  $g$  in such a way that  $d(f)$  and  $d(g)$  are small, while ensuring on the other hand that  $\langle f, g \rangle$  has the convergence property.

Once the main theorem has been proved, using the density of diffeomorphisms in the homeomorphisms of  $\overline{\mathbf{R}^2}$  it is not hard to see the following:

**Corollary 3.4.** *There are sequences  $\{\hat{f}_n\}$ ,  $\{\hat{g}_n\}$  of quasiconformal mappings such that  $\hat{f}_n$  and  $\hat{g}_n$  generate a discrete, non-elementary,  $K_n$ -quasiconformal group where  $K_n \rightarrow \infty$  and  $d(\hat{f}_n) \rightarrow 0$  and  $d(\hat{g}_n) \rightarrow 0$  as  $n \rightarrow \infty$ .*

Note that by Theorem 3.1  $K_n$  must necessarily become unbounded as  $n \rightarrow \infty$ .

Even though there is no chordal Jørgensen inequality on the full class of discrete convergence groups, there is an analog of Theorem 3.2 for convergence groups with non-empty regular set [Geh1]:

**Theorem 3.5.** *If  $G$  is a convergence group with non-empty regular set, then for each constant  $c$  with  $0 < c < 2$  there exists an  $h \in \text{Möb}$  such that*

$$d(f) \geq c$$

for all  $f \in h \circ G \circ h^{-1} \setminus \{\text{id}\}$ .

#### 4. Proofs

We first show that normalized  $K$ -quasiconformal maps satisfy a chordal Hölder inequality. The result is probably known, though for the reader's convenience we include a proof.

**Lemma 4.1.** *Any  $K$ -quasiconformal homeomorphism  $\varphi$  of  $\overline{\mathbf{R}^2}$  fixing  $0, 1$  and  $\infty$  satisfies*

$$q(\varphi(x), \varphi(y)) \leq 128 \cdot 2^{(1-K)/(2K)} q(x, y)^{1/K}$$

for all  $x, y \in \overline{\mathbf{R}^2}$ .

*Proof.* The proof uses the following fact [Geh3, Theorem 4.1]: If  $\varphi: D \rightarrow D'$  is a  $K$ -quasiconformal map of the domain  $D \subset \overline{\mathbf{R}^2}$  onto  $D' \subset \overline{\mathbf{R}^2}$  where  $\overline{\mathbf{R}^2} \setminus D \neq \emptyset$ , then

$$(2) \quad q(\varphi(x), \varphi(y)) \cdot q(\overline{\mathbf{R}^2} \setminus D') \leq 128 \cdot \left( \frac{q(x, y)}{q(x, \partial D)} \right)^{1/K}$$

for all  $x, y \in \overline{\mathbf{R}^2}$  with  $x \neq y$ . Here for a set  $E$  the expression  $q(E)$  denotes the chordal diameter of  $E$  and  $q(x, \partial E)$  is the chordal distance of  $x$  to the boundary of  $E$ .

Note that  $q(0, 1) = q(1, \infty) = \sqrt{2}$  and  $q(0, \infty) = 2$ . Let  $x, y \in \overline{\mathbf{R}^2}$ .

Case 1.  $x, y \in \{0, 1, \infty\}$ . Then

$$\begin{aligned} q(\varphi(x), \varphi(y)) &= q(x, y) \leq 2 \leq 128 \cdot 2^{(1-K)/(2K)} \cdot \sqrt{2}^{1/K} \\ &\leq 128 \cdot 2^{(1-K)/(2K)} \cdot q(x, y)^{1/K}. \end{aligned}$$

Case 2.  $y \notin \{0, 1, \infty\}$ . If we are not in case 1 then we can always assume we are in case 2 by relabeling  $x$  and  $y$ .

(a) Assume first that  $q(x, 0) < \sqrt{2}/2$ . In this case we have  $q(x, 1) \geq \sqrt{2}/2$  and  $q(x, \infty) \geq \sqrt{2}/2$ . Choosing  $D = D' = \overline{\mathbf{R}^2} \setminus \{1, \infty\}$  we obtain  $q(\overline{\mathbf{R}^2} \setminus D') = q(1, \infty) = \sqrt{2}$  and  $q(x, \partial D) \geq 1/\sqrt{2}$ . Hence by (2) we have

$$q(\varphi(x), \varphi(y)) \cdot \sqrt{2} \leq 128 \cdot q(x, y)^{1/K} \cdot \sqrt{2}^{1/K},$$

and from this the claim follows.

(b) Assume next that  $q(x, 1) < \sqrt{2}/2$ . Choosing  $D = D' = \overline{\mathbf{R}^2} \setminus \{0, \infty\}$  and again applying (2) we obtain the desired result in this case.

(c) Assume finally that  $q(x, 0) \geq \sqrt{2}/2$  and  $q(x, 1) \geq \sqrt{2}/2$ . Choose  $D = D' = \overline{\mathbf{R}^2} \setminus \{0, 1\}$  and again use (2) to complete the proof of the lemma.  $\square$

We can now prove Theorem 3.1.

*Proof of Theorem 3.1.* Let  $G$  be a  $K$ -quasiconformal, discrete, non-elementary convergence group, generated by the quasiconformal mappings  $f, g: \overline{\mathbf{R}^2} \rightarrow \overline{\mathbf{R}^2}$ . Then  $G$  is  $K$ -quasiconformally conjugate to a Möbius group [Sul], [Tuk1], i.e. there is a  $K$ -quasiconformal map  $\varphi$  and a Möbius group  $\Gamma$  such that  $G = \varphi^{-1} \circ \Gamma \circ \varphi$ . By conjugating  $\Gamma$  with a Möbius map we may assume that  $\varphi$  fixes 0, 1, and  $\infty$ . Setting  $\tilde{f} = \varphi \circ f \circ \varphi^{-1}$  and  $\tilde{g} = \varphi \circ g \circ \varphi^{-1}$  we obtain that  $\Gamma$  is generated by  $\tilde{f}$  and  $\tilde{g}$ , and furthermore  $\Gamma$  is a discrete, non-elementary group of Möbius transformations. Hence, by the chordal Jørgensen inequality (Theorem 2.1) we have

$$(3) \quad \max\{d(\tilde{f}), d(\tilde{g})\} \geq c_1,$$

where  $c_1 > 0$  is as in Theorem 2.1. Note that  $\varphi$  satisfies the inequality of Lemma 4.1. Let

$$c_K := \frac{1}{\sqrt{2}} \left( \frac{\sqrt{2} c_1}{128} \right)^K.$$

We argue by contradiction: Assume that  $\max\{d(f), d(g)\} < c_K$ . Observe that

$$d(\tilde{f}) = d(\varphi \circ f \circ \varphi^{-1}) = d(\varphi \circ f, \varphi) = \sup_{x \in \overline{\mathbf{R}^2}} q(\varphi(f(x)), \varphi(x)).$$

Since  $\overline{\mathbf{R}^2}$  is compact, the supremum is obtained at some point  $x_0 \in \overline{\mathbf{R}^2}$ . For this  $x_0$  we have

$$\begin{aligned} q(\varphi(f(x_0)), \varphi(x_0)) &\leq 128 \cdot 2^{(1-K)/(2K)} q(f(x_0), x_0)^{1/K} \\ &\leq 128 \cdot 2^{(1-K)/(2K)} d(f)^{1/K} < 128 \cdot 2^{(1-K)/(2K)} (c_K)^{1/K} = c_1, \end{aligned}$$

hence we have shown that  $d(\tilde{f}) < c_1$ . In the same way we obtain  $d(\tilde{g}) < c_1$ , contradicting (3).

For the proof of the second part of the theorem, we again argue by contradiction and assume that  $(d(f))^{1/K} + (d(g))^{1/K} < 2(c_K)^{1/K}$ . As before, we obtain

$$\begin{aligned} d(\tilde{f}) + d(\tilde{g}) &\leq 128 \cdot 2^{(1-K)/(2K)} \cdot (d(f))^{1/K} + 128 \cdot 2^{(1-K)/(2K)} \cdot (d(g))^{1/K} \\ &< 2 \cdot 128 \cdot 2^{(1-K)/(2K)} \cdot (c_K)^{1/K} = 2c_1. \end{aligned}$$

This contradicts Theorem 2.1.  $\square$

We can now prove Theorem 3.2, following an argument given by Waterman [Wat].

*Proof of Theorem 3.2.* By conjugation with a Möbius transformation we may assume that 0 and  $\infty$  are not fixed by any  $g \in G \setminus \{\text{id}\}$ . Define  $h_t(x) := t \cdot x$  for  $0 < t \leq 1$ . Suppose that there is no  $t \in (0, 1]$  such that each element  $f \in h_t \circ G \circ h_t^{-1} \setminus \{\text{id}\}$  satisfies  $d(f) \geq c_K$ . We seek a contradiction.

Observe that for  $g \in G \setminus \{\text{id}\}$  we have

$$(4) \quad d(h_t \circ g \circ h_t^{-1}) \geq q(t \cdot g(\infty), \infty) \rightarrow 2 \quad \text{as } t \rightarrow 0.$$

Observe also that, for fixed  $g \in G$ , the distance of  $h_t \circ g \circ h_t^{-1}$  to the identity (i.e.  $d(h_t \circ g \circ h_t^{-1})$ ) varies continuously in  $t$ .

Let  $\tilde{t}_0 \leq 1$ . Then by assumption there exists  $g_0 \in G \setminus \{\text{id}\}$  so that  $d(h_{\tilde{t}_0} \circ g_0 \circ h_{\tilde{t}_0}^{-1}) < c_K$ . By continuity and using (4) we can find a largest  $t_0^* < \tilde{t}_0$  so that  $d(h_t \circ g_0 \circ h_t^{-1}) \geq c_K$  for all  $t \leq t_0^*$ . Hence, by assumption, we find another element  $g_1 \in G \setminus \{\text{id}\}$ ,  $g_1 \neq g_0$ , so that  $d(h_{t_0^*} \circ g_1 \circ h_{t_0^*}^{-1}) < c_K$ .

By continuity we can now find  $t_0 \in (t_0^*, \tilde{t}_0)$ , so that

$$d(h_{t_0} \circ g_0 \circ h_{t_0}^{-1}) < c_K \quad \text{and} \quad d(h_{t_0} \circ g_1 \circ h_{t_0}^{-1}) < c_K.$$

Defining  $\tilde{t}_1 := t_0^*$  we have  $d(h_{\tilde{t}_1} \circ g_1 \circ h_{\tilde{t}_1}^{-1}) < c_K$  and can restart our construction with  $\tilde{t}_0$  being replaced by  $\tilde{t}_1$ .

In general we find  $t_{n+1} < t_n$  and mutually distinct elements  $g_n \in G \setminus \{\text{id}\}$  so that

$$d(h_{t_n} \circ g_n \circ h_{t_n}^{-1}) < c_K \quad \text{and} \quad d(h_{t_n} \circ g_{n+1} \circ h_{t_n}^{-1}) < c_K$$

for all  $n \in \mathbf{N}$ . By Theorem 3.1 we conclude that the groups  $\langle g_n, g_{n+1} \rangle$  are elementary for each  $n \in \mathbf{N}$ . The discrete elementary torsion-free convergence groups have been studied in [GM1, Theorems 5.7, 5.10, 5.11]. We conclude that either all  $g_n$  are loxodromic and have common fixed points  $a, b$ ; or all  $g_n$  are parabolic and fix a common point  $a$ . By choosing a subsequence (and relabeling  $a, b$  if necessary) and using the convergence property, we may assume that

$$\begin{aligned} g_n &\rightarrow a && \text{locally uniformly in } \overline{\mathbf{R}^2} \setminus \{b\} && \text{as } n \rightarrow \infty && \text{and} \\ g_n^{-1} &\rightarrow b && \text{locally uniformly in } \overline{\mathbf{R}^2} \setminus \{a\} && \text{as } n \rightarrow \infty; \end{aligned}$$

where  $a = b$  in the parabolic case. Note that  $\{a, b\} \cap \{0, \infty\} = \emptyset$  by assumption.

Since each  $h_t$  fixes 0 and  $\infty$ , we obtain

$$(h_{t_n} \circ g_n \circ h_{t_n}^{-1})(\infty) = t_n \cdot g_n(\infty) \quad \text{and} \quad (h_{t_n} \circ g_n \circ h_{t_n}^{-1})(0) = t_n \cdot g_n(0),$$

where

$$g_n(0) \rightarrow a \quad \text{and} \quad g_n(\infty) \rightarrow a \quad \text{as } n \rightarrow \infty.$$



Note that the decreasing sequence  $\{t_n\}$  converges to some  $t^* \geq 0$  as  $n \rightarrow \infty$ , hence

$$(h_{t_n} \circ g_n \circ h_{t_n}^{-1})(\infty) \rightarrow t^*a \quad \text{and} \quad (h_{t_n} \circ g_n \circ h_{t_n}^{-1})(0) \rightarrow t^*a \quad \text{as } n \rightarrow \infty.$$

But  $q(0, t^*a) + q(\infty, t^*a) \geq q(0, \infty) = 2$ , so that we conclude

$$\liminf_{n \rightarrow \infty} d(h_{t_n} \circ g_n \circ h_{t_n}^{-1}) \geq 1,$$

contradicting the fact that  $d(h_{t_n} \circ g_n \circ h_{t_n}^{-1}) < c_K \leq c_1 < 1$  for all  $n$ .  $\square$

In the proof of the fact that there is no chordal Jørgensen inequality for general discrete convergence groups we construct a sequence of free two generator discrete convergence groups, where both generators are arbitrarily close to the identity in the chordal distance.

*Proof of Theorem 3.3.* We construct a sequence of discrete non-elementary convergence groups  $\langle f_n, g_n \rangle$ , where  $f_n, g_n$  are homeomorphisms of  $\overline{\mathbf{R}^2}$ , and  $\max\{d(f_n), d(g_n)\} \rightarrow 0$  as  $n \rightarrow \infty$ . In the following we will suppress the index  $n$  in the notation; we write  $f, g$  instead of  $f_n, g_n$ .

*Part I: A topological analog of Schottky groups.* The group generated by  $f$  and  $g$  that we construct is a topological analog of a two generator Schottky group. That is, there are four disjoint regions  $S_0, S_1, S_2, S_3$ ; the homeomorphism  $f$  will map the exterior of  $S_2$  onto the interior of  $S_0$ , its inverse will map the exterior of  $S_0$  onto the interior of  $S_2$ , boundary will be mapped onto boundary. The homeomorphism  $g$  shall be constructed in the same way, using  $S_1$  and  $S_3$  instead of  $S_0$  and  $S_2$ .

The special shape of these regions will make it possible for  $d(f)$  and  $d(g)$  to be small and at the same time ensure the group generated by  $f$  and  $g$  has the convergence property. As already mentioned in the outline of the proof, in order to make  $d(f)$  as small as desired, it is necessary to have any point of the exterior of  $S_0$  be close to  $S_2$  and any point of the exterior of  $S_2$  be close to  $S_0$ . The same must hold for the regions  $S_1$  and  $S_3$  in order to make  $d(g)$  as small as desired. We can satisfy these assumptions by giving the regions  $S_0, \dots, S_3$  the shapes of spirals: Define

$$\gamma_k(t) := e^{2\pi it} e^{t/n+k/(8n)}, \quad k = 0, \dots, 7, \quad -n^2 \leq t \leq n^2.$$

Let  $S_0$  be the region bounded by  $\gamma_0, \gamma_1$ , the line segment

$$\{e^{-2\pi in^2} e^{-n+s/(8n)} \mid 0 \leq s \leq 1\}$$

(which connects  $\gamma_0(-n^2)$  and  $\gamma_1(-n^2)$ ) and the line segment

$$\{e^{2\pi in^2} e^{n+s/(8n)} \mid 0 \leq s \leq 1\}$$

(which connects  $\gamma_0(n^2)$  and  $\gamma_1(n^2)$ ). Define  $S_1$  to be the region bounded by  $\gamma_2$ ,  $\gamma_3$  and similar radial line pieces. Define  $S_2$  and  $S_3$  analogously (see Figure 1), that is

$$S_j = \{e^{2\pi it} e^{t/n+(2j+s)/(8n)} \mid -n^2 \leq t \leq n^2, 0 \leq s \leq 1\}, \quad j = 0, 1, 2, 3.$$

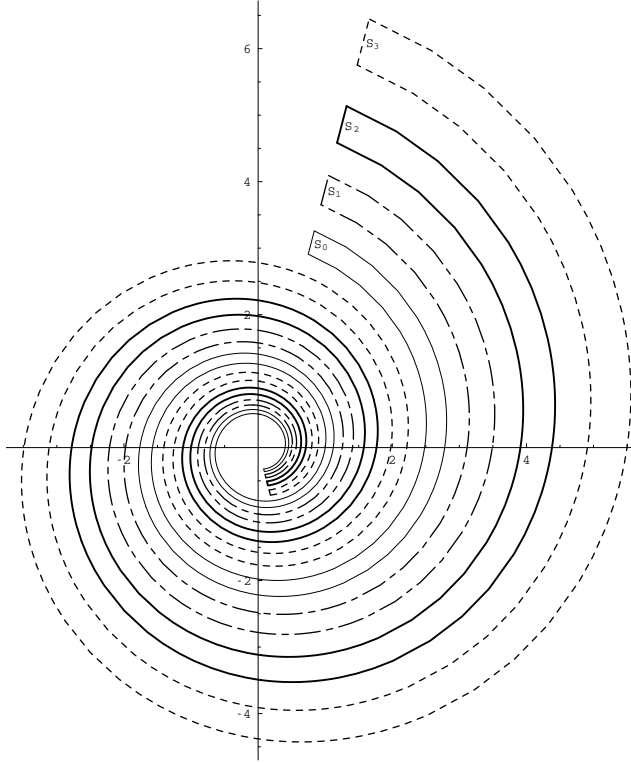


Figure 1. The spiral regions

A point  $z \in S_j$ ,  $j = 0, 1, 2, 3$ , can be described by its argument parameter  $t$  and its radius parameter  $s$ :

$$(5) \quad z = z(j, s, t) = e^{2\pi it} e^{t/n+(2j+s)/(8n)}, \quad -n^2 \leq t \leq n^2, 0 \leq s \leq 1.$$

Note that for example on the boundary of  $S_0$  we have

$$\gamma_0(t) = z(0, 0, t), \quad \gamma_1(t) = z(0, 1, t).$$

*Part II: The construction of the maps  $f$  and  $g$ .* The following seven steps describe the construction of  $f$ . In steps 1–3 the map  $f$  is constructed on  $S_0 \cup S_1 \cup$

$S_3$  as a composition  $h_3 \circ h_2 \circ h_1$  of shrinking (via  $h_1, h_2$ ) and shifting (via  $h_3$ ) processes. In step 4,  $f$  is defined on  $\partial S_2$ , step 5 extends  $f$  to all of the exterior of  $S_2$ , so that  $f$  becomes a homeomorphism between the exterior of  $S_2$  and the interior of  $S_0$ ; boundary gets mapped onto boundary. In step 6 we define  $f^{-1}$  as a homeomorphism between the exterior of  $S_0$  and the interior of  $S_2$  in the same way as  $f$  has been defined before. Finally, step 7 combines both definitions and we obtain a homeomorphism  $f: \overline{\mathbf{R}^2} \rightarrow \overline{\mathbf{R}^2}$ .

*Step 1. The map  $h_1$  shrinks in  $t$ -direction.* The map  $h_1$  shrinks the spirals  $S_0, S_1$ , and  $S_3$  by a factor  $(1 - 2/n^2)$  in “length” ( $t$ -direction), keeping points with  $t = 0$  fixed, i.e. for

$$z = z(j, s, t) = e^{2\pi it} e^{t/n + (2j+s)/(8n)} \in S_j, \quad j = 0, 1, 3, \quad 0 \leq s \leq 1, \quad -n^2 \leq t \leq n^2,$$

define

$$h_1(z) := z\left(j, s, t\left(1 - \frac{2}{n^2}\right)\right) = e^{2\pi it(1-2/n^2)} e^{(t/n)(1-2/n^2) + (2j+s)/(8n)}.$$

Then for  $j = 0, 1, 3$   $h_1(S_j)$  is a subspiral of  $S_j$ , which is as “thick” as  $S_j$ , but “shorter”:

$$h_1(S_j) = \{e^{2\pi it} e^{t/n + (2j+s)/(8n)} \mid -n^2 + 2 \leq t \leq n^2 - 2, \quad 0 \leq s \leq 1\} \subset S_j.$$

Note that for  $t \neq 0$  the point  $z(j, s, t)$  travels towards the point  $e^{(2j+s)/(8n)}$  on the median of  $S_j$ , but chordally no points get moved far as we shall show in the following:

Let  $z = z(j, s, t) \in S_j$  as in (5) for  $j \in \{0, 1, 3\}$ . We consider three cases:

(i)  $-n\sqrt{n} \leq t \leq n\sqrt{n}$ . In this case

$$\begin{aligned} q(z, h_1(z)) &= q(e^{2\pi it}|z|, e^{2\pi it(1-2/n^2)}|h_1(z)|) \\ &\leq q(e^{2\pi it}|z|, e^{2\pi it(1-2/n^2)}|z|) + q(|z|, |h_1(z)|) \\ &\leq q(e^{2\pi it}, e^{2\pi it(1-2/n^2)}) + \frac{2|1 - |h_1(z)|/|z||}{\sqrt{|z|^{-2} + 1} \sqrt{1 + |h_1(z)|^2}} \\ &\leq q(1, e^{-4\pi it/n^2}) + 2\left|1 - \frac{|h_1(z)|}{|z|}\right| \leq q(1, e^{4\pi i/\sqrt{n}}) + 2|1 - e^{-2t/n^3}| \\ &\leq q(1, e^{4\pi i/\sqrt{n}}) + 2|1 - e^{2/(n\sqrt{n})}|. \end{aligned}$$

Hence  $q(z, h_1(z))$  is arbitrarily small for large  $n$ , uniformly in  $-n\sqrt{n} \leq t \leq n\sqrt{n}$ .

(ii)  $t > n\sqrt{n}$ . In this case

$$\begin{aligned} |z| &= e^{t/n + (2j+s)/(8n)} \geq e^{t/n} \geq e^{\sqrt{n}} \quad \text{and} \\ |h_1(z)| &= e^{(t/n)(1-2/n^2) + (2j+s)/(8n)} \geq e^{(t/n)(1-2/n^2)} \geq e^{\sqrt{n} - 2/(n\sqrt{n})}. \end{aligned}$$

Hence  $q(z, \infty)$  and  $q(h_1(z), \infty)$  are arbitrarily small for large  $n$ , and the same holds for  $q(z, h_1(z))$  by the triangle inequality.

(iii)  $t < -n\sqrt{n}$ . In this case

$$|z| \leq e^{-\sqrt{n}+7/(8n)} \quad \text{and} \quad |h_1(z)| \leq e^{-\sqrt{n}+2/(n\sqrt{n})+7/(8n)},$$

so that  $q(z, 0)$ ,  $q(h_1(z), 0)$  and hence  $q(z, h_1(z))$  are arbitrarily small for large  $n$ .

Summing up, we have shown that for given  $\varepsilon > 0$  we can find a large enough  $n \in \mathbf{N}$  such that any  $z \in S_0 \cup S_1 \cup S_3$  satisfies  $q(z, h_1(z)) < \varepsilon$ .

*Step 2. The map  $h_2$  shrinks in  $s$ -direction.* On the new shorter spirals  $h_1(S_0 \cup S_1 \cup S_3)$  we define a map  $h_2$  which shrinks in “width” ( $s$ -direction) by a factor 8, keeping each of the longitudinal center spiral lines given by  $s = \frac{1}{2}$  fixed. That is for  $-n^2 + 2 \leq t \leq n^2 - 2$ ,  $0 \leq s \leq 1$ , and

$$w = w(j, s, t) = e^{2\pi it} e^{t/n+(2j+s)/(8n)} \in h_1(S_j), \quad j = 0, 1, 3$$

define

$$h_2(w) := w\left(j, \frac{s}{8} + \frac{7}{16}, t\right) = e^{2\pi it} e^{t/n+2j/(8n)+(1/8n)(s/8+7/16)}.$$

Thus for  $j = 0, 1, 3$

$$(h_2 \circ h_1)(S_j) = \left\{ e^{2\pi it} e^{t/n+(2j+s)/(8n)} \mid -n^2 + 2 \leq t \leq n^2 - 2, \frac{7}{16} \leq s \leq \frac{9}{16} \right\} \subset S_j.$$

As before we see that  $q(w, h_2(w))$  is arbitrarily and uniformly small for all  $w \in h_1(S_0 \cup S_1 \cup S_3)$  given large enough  $n$ :

$$\begin{aligned} q(w, h_2(w)) &= q(|w|, |h_2(w)|) \leq q(e^{t/n+2j/(8n)}, e^{t/n+(2j+1)/(8n)}) \\ &= 2 \frac{|1 - e^{1/(8n)}|}{\sqrt{e^{-2t/n-4j/(8n)} + 1} \sqrt{1 + e^{2t/n+(4j+2)/(8n)}}} \\ &\leq 2|1 - e^{1/(8n)}| \rightarrow 0 \quad \text{as } n \rightarrow \infty. \end{aligned}$$

*Step 3. Shifting  $(h_2 \circ h_1)(S_0 \cup S_1 \cup S_3)$  into  $S_0$ .* Next with a map  $h_3$  we move  $v \in (h_2 \circ h_1)(S_0 \cup S_1 \cup S_3)$  along a radial line into  $S_0$  without meeting  $S_2$ , i.e. we keep  $v$ 's argument  $e^{2\pi it}$  fixed. The map keeps points in  $(h_2 \circ h_1)(S_0)$  fixed, decreases the radius of points in  $(h_2 \circ h_1)(S_1)$ , and increases the radius of points in  $(h_2 \circ h_1)(S_3)$  so that these three shrunk spirals come to be placed equally spaced in  $S_0$ . To be precise: For  $-n^2 + 2 \leq t \leq n^2 - 2$ ,  $\frac{7}{16} \leq s \leq \frac{9}{16}$ , and  $j = 0, 1, 3$  the point

$$v = v(j, s, t) = e^{2\pi it} e^{t/n+(2j+s)/(8n)}$$

gets mapped to

$$h_3(v) := \begin{cases} v, & \text{for } j = 0, \\ v(0, s + \frac{9}{32}, t) = e^{2\pi i t} e^{t/n + (s + (9/32))/(8n)}, & \text{for } j = 1, \\ v(0, s - \frac{9}{32}, t + 1) = e^{2\pi i t} e^{(t+1)/n + (s - (9/32))/(8n)}, & \text{for } j = 3. \end{cases}$$

Again, it is easy to verify that  $h_3$  moves points arbitrarily little, uniformly for all  $v \in (h_2 \circ h_1)(S_0 \cup S_1 \cup S_3)$ .

Together, the maps constructed above define  $f := h_3 \circ h_2 \circ h_1$  on  $S_0 \cup S_1 \cup S_3$ . Note that the only fixed point of  $f$  on these three spirals is the point

$$z = z(0, \frac{1}{2}, 0) = e^{2\pi i \cdot 0} e^{(1/n) \cdot 0 + (1/2)/(8n)} = e^{1/(16n)}$$

which lies in  $S_0$ .

*Step 4. The map  $f$  on  $\partial S_2$ .* The map  $f$  maps  $\partial S_2$  onto  $\partial S_0$  as follows:

$$\begin{aligned} f(\gamma_4(t)) &:= \gamma_1(t), & \text{for } -n^2 \leq t \leq n^2, \\ f(\gamma_5(t)) &:= \gamma_0(t + 1), & \text{for } -n^2 \leq t \leq n^2 - 1. \end{aligned}$$

Furthermore, define  $f$  so that it maps the radial segment

$$\{e^{-2\pi i n^2} e^{-n + (4+s)/(8n)} \mid 0 \leq s \leq 1\} \subset \partial S_2$$

homeomorphically onto the set

$$\{\gamma_0(t) \mid -n^2 \leq t \leq -n^2 + 1\} \cup \{e^{-2\pi i n^2} e^{-n + s/(8n)} \mid 0 \leq s \leq 1\} \subset \partial S_0.$$

Finally, let  $f$  map the set

$$\{\gamma_5(t) \mid n^2 - 1 \leq t \leq n^2\} \cup \{e^{2\pi i n^2} e^{n + (4+s)/(8n)} \mid 0 \leq s \leq 1\} \subset \partial S_2$$

homeomorphically onto the radial segment

$$\{e^{2\pi i n^2} e^{n + s/(8n)} \mid 0 \leq s \leq 1\} \subset \partial S_0.$$

As before, no point gets moved far, given large enough  $n$ .

*Step 5. Extending  $f$  to all of the exterior of  $S_2$ .* With steps 1–4,  $f$  is defined on  $S_0 \cup S_1 \cup S_3 \subset \text{ext}(S_2)$  and on the boundary of  $S_2$ . We now extend  $f$  to the remaining part of the exterior of  $S_2$  to become a homeomorphism mapping the exterior of  $S_2$  onto the interior of  $S_0$ , so that no point gets moved far. This can be done as follows: We first extend  $f$  to the four regions between the spirals,

and then extend  $f$  to the two simply connected domains that are left around the origin and around the point infinity, respectively.

In order to extend  $f$  to the regions between the spirals, note that points in these regions can be described as in (5), but with  $j = 0.5, 1.5, 2.5, 3.5$ , i.e.

$$z(j, s, t) = e^{2\pi it} e^{t/n+(2j+s)/(8n)}, \quad 0 < s < 1,$$

and  $-n^2 \leq t \leq n^2$  for  $j = 0.5, 1.5, 2.5$  and  $-n^2 \leq t \leq (n^2 - 1)$  for  $j = 3.5$ .

For the region between the spirals  $S_0$  and  $S_1$ , i.e. points of the form  $z(0.5, s, t)$ , we define  $f$  by “interpolating” between the images of the points  $\gamma_1(t)$  and  $\gamma_2(t)$ . Note that  $\gamma_1(t)$  and  $\gamma_2(t)$  are points on the adjacent boundaries of  $S_0$  and  $S_1$ , respectively, that have the same argument  $t$  as the point  $z$ . Since  $f(\gamma_1(t)) \in S_0$  and  $f(\gamma_2(t)) \in S_0$ , there are unique parameters  $s_1, s_2 \in [0, 1]$  and  $t_1, t_2 \in [-n^2, n^2]$  such that

$$f(\gamma_1(t)) = z(0, s_1, t_1) \quad \text{and} \quad f(\gamma_2(t)) = z(0, s_2, t_2).$$

We now set

$$f(z(0.5, s, t)) := z(0, (1-s) \cdot s_1 + s \cdot s_2, (1-s) \cdot t_1 + s \cdot t_2),$$

i.e. we interpolate linearly between the “width” and “length” parameters of the images of  $\gamma_1(t)$  and  $\gamma_2(t)$ . Since  $z(0.5, s, t)$  is arbitrarily close to both  $\gamma_1(t)$  and  $\gamma_2(t)$  for large enough  $n$ , and since  $f$  moves the points  $\gamma_1(t)$  and  $\gamma_2(t)$  an arbitrarily small distance, we conclude that the extension of  $f$  to the region between  $S_0$  and  $S_1$  moves points an arbitrarily small distance, as well.

For points  $z(1.5, s, t)$  between the spirals  $S_1$  and  $S_2$  we define  $f$  in exactly the same way as above by using the boundary curves  $\gamma_3$  and  $\gamma_4$  instead of  $\gamma_1$  and  $\gamma_2$ . For points  $z(2.5, s, t)$  between the spirals  $S_2$  and  $S_3$  we use the boundary curves  $\gamma_5$  and  $\gamma_6$  instead. As before, we see that  $f$  moves points as little as desired, given large enough  $n$ .

For points  $z(3.5, s, t)$  ( $-n^2 \leq t \leq (n^2 - 1)$ ) we define  $f$  by “interpolating” between the images of the points  $\gamma_7(t)$  and  $\gamma_0(t+1)$ , which are on the adjacent boundaries of  $S_3$  and  $S_0$ , respectively. Again,  $f$  does not move points far.

The only parts in the exterior of  $S_2$ , where  $f$  has not been defined yet are two simply connected domains. One of these domains, denoted  $V_0$ , is bounded by

$$\{\gamma_0(t) \mid -n^2 \leq t \leq -n^2 + 1\} \cup \{e^{-2\pi in^2} e^{-n+s/(8n)} \mid 0 \leq s \leq 8\},$$

i.e. the first spiral part of  $\gamma_0$  and the line segment joining  $\gamma_0(-n^2)$  and  $\gamma_0(-n^2+1)$ . The other domain, denoted  $V_1$ , is bounded by

$$\{\gamma_7(t) \mid n^2 - 1 \leq t \leq n^2\} \cup \{e^{2\pi in^2} e^{n+s/(8n)} \mid -1 \leq s \leq 7\},$$

i.e. the last spiral part of  $\gamma_7$  and the line segment joining  $\gamma_7(n^2 - 1)$  and  $\gamma_7(n^2)$ . Note that  $f$  has already been defined on the boundaries of the domains  $V_0$  and  $V_1$ . Note furthermore, that any two points in  $V_0$  are chordally close to each other for large enough  $n$ , and the same holds for any two points in  $V_1$ . Hence we can extend  $f$  to all of  $V_0$  and  $V_1$  using the topological Schoenflies theorem, so that  $f$  becomes a homeomorphism between  $V_0$  and its image, and between  $V_1$  and its image, where no point gets moved far.

With the above definitions,  $f$  becomes a homeomorphism of the exterior of  $S_2$  onto the interior of  $S_0$ .

*Step 6. Defining  $f^{-1}$  on the exterior of  $S_0$ .* In the same way as  $f$  was defined on  $S_0 \cup S_1 \cup S_3$  in steps 1–3, we now define its inverse  $f^{-1}$  on  $S_1 \cup S_2 \cup S_3$ . I.e.  $f^{-1}$  shrinks  $S_1$ ,  $S_2$ , and  $S_3$  in length and width, then moves these spirals into  $S_2$ . On  $\partial S_0$ , we define  $f^{-1}: \partial S_0 \rightarrow \partial S_2$  as the inverse of the already defined map  $f: \partial S_2 \rightarrow \partial S_0$  (compare step 4). Note that  $f^{-1}$  fixes  $z(2, \frac{1}{2}, 0) = e^{9/(16n)} \in S_2$  and no other point on  $S_1 \cup S_2 \cup S_3$ . In the same way as it was done for  $f$  in step 5, we now extend  $f^{-1}$  to all of the exterior of  $S_0$ , so that  $f^{-1}$  maps the exterior of  $S_0$  homeomorphically onto the interior of  $S_2$ .

*Step 7. The map  $f$ .* Combining the definitions of  $f$  and  $f^{-1}$ , we obtain a homeomorphism  $f: \overline{\mathbf{R}^2} \rightarrow \overline{\mathbf{R}^2}$ , which has exactly two fixed points, and which is as close to the identity as desired.

With these steps the construction of the map  $f$  is complete. In the same way as above, we now construct the map  $g$ . That is:  $g$  maps the exterior of  $S_3$  onto the interior of  $S_1$  and  $g^{-1}$  maps the exterior of  $S_1$  onto the interior of  $S_3$ , where boundary gets mapped onto boundary.

Next we show that the free group generated by  $f$  and  $g$  is a convergence group.

*Part III:  $\langle f, g \rangle$  is a convergence group.* By construction,  $\langle f, g \rangle$  is a free group, i.e. every element  $h \in \langle f, g \rangle$  has a unique (shortest) representation as a word

$$h = h^{(k)} \circ h^{(k-1)} \circ \dots \circ h^{(1)}, \quad \text{where } h^{(l)} \in \{f, f^{-1}, g, g^{-1}\}.$$

In the following we shall call the letter  $h^{(1)}$  the “first letter” or “beginning” and the letter  $h^{(k)}$  the “last letter” or “end” of the word  $h$ . Denote by  $\ell_l(h)$  the  $l$ th letter of the unique representation of  $h$ , i.e.

$$\ell_l(h) = h^{(l)}, \quad l = 1, \dots, k.$$

We shall call the regions  $S_0$ ,  $S_1$ ,  $S_2$ , and  $S_3$  “spirals of generation 0”, whereas images of a spiral  $S_j$  under a  $k$ -letter word  $h$  which does not start with the letter that maps the exterior of  $S_j$  onto some other spiral will be called “ $k$ th generation

spirals". For example,  $f(S_0)$ ,  $f(S_1)$  and  $f(S_3)$  are spirals of generation 1 (they are all small subspirals of  $S_0$ ), but  $f(S_2)$  is not a spiral anymore. Note that the chordal diameter of all  $k$ th generation spirals tends uniformly to 0 as  $k$  tends to  $\infty$ .

Let  $\{h_m\}$  be an infinite sequence of distinct elements in  $\langle f, g \rangle$ . Then the word length of  $h_m$  is unbounded as  $m \rightarrow \infty$ . Choose a subsequence of  $\{h_m\}$  so that the word length of the  $m$ th element of the new sequence is  $\geq 2m$ . Denote this new sequence by  $\{h_m\}$ . We can choose a subsequence  $\{h_m^1\}$  of  $\{h_m\}$  so that  $\ell_1(h_m^1)$  is constant for all  $m$ , and also  $\ell_1((h_m^1)^{-1})$  is constant for all  $m$ . That is, all words in this subsequence start with the same letter  $w_1 \in \{f, f^{-1}, g, g^{-1}\}$  and end with the same letter  $v_1$ . From this sequence  $\{h_m^1\}$  we can choose another subsequence  $\{h_m^2\}$  so that  $\ell_2(h_m^2)$  is equal to some  $w_2 \in \{f, f^{-1}, g, g^{-1}\}$  for all  $m$  and  $\ell_2((h_m^2)^{-1}) = v_2^{-1}$  for all  $m$ . Proceed like this, and denote the diagonal sequence  $\{h_m^m\}$  by  $\{H_m\}$ . Then

$$H_m = v_1 \circ v_2 \circ \cdots \circ v_m \circ r_m \circ w_m \circ w_{m-1} \circ \cdots \circ w_1,$$

where  $r_m$  is some word of unknown length, which does not start with  $w_m^{-1}$  and does not end with  $v_m^{-1}$ .

We now show that there are  $a, b \in S_0 \cup S_1 \cup S_2 \cup S_3$ , so that  $H_m \rightarrow a$  uniformly on  $U = \overline{\mathbf{R}^2} \setminus (S_0 \cup S_1 \cup S_2 \cup S_3)$ , and  $H_m^{-1} \rightarrow b$  uniformly on  $U$ .

By definition, the map  $v_m$  (which is one of the maps  $f, f^{-1}, g, g^{-1}$ ) maps the exterior of some 0th generation spiral onto the interior of some other 0th generation spiral, and  $v_m^{-1}$  reverses this process. Denote by  $S_{E(m)}$  the spiral whose exterior is mapped by  $v_m$  onto another spiral, called  $S_{I(m)}$ . Since the last letter of  $r_m$  is not  $v_m^{-1}$ , we know that  $(r_m \circ w_m \circ w_{m-1} \circ \cdots \circ w_1)(U)$  is contained in a 0th generation spiral different from  $S_{E(m)}$  and hence is in the exterior of  $S_{E(m)}$ . Thus  $(v_m \circ r_m \circ w_m \circ w_{m-1} \circ \cdots \circ w_1)(U)$  is contained in  $S_{I(m)}$ . Furthermore,  $(v_1 \circ v_2 \circ \cdots \circ v_{m-1})(S_{I(m)})$  is an  $(m-1)$ st generation spiral, whose "length" has been shrunk by a factor  $(1 - 2/n^2)^{m-1}$ , where  $n$  is the variable but now fixed parameter of the construction of  $f$  and  $g$ . Hence,  $H_m(U)$  is contained in this  $(m-1)$ st generation spiral. Observe now that  $H_{m+1}(U)$  is contained in an  $m$ th generation spiral, being a subspiral of the previous  $(m-1)$ st generation spiral. By construction, the chordal diameter of all  $m$ th generation spirals converges uniformly to 0 as  $m \rightarrow \infty$ . Hence there exists a unique point  $a$  so that  $H_m(x) \rightarrow a$  uniformly in  $x \in U$ .

A similar argument shows  $H_m^{-1} \rightarrow b$  uniformly in  $U$  for some  $b \in S_0 \cup S_1 \cup S_2 \cup S_3$ . Both points  $a$  and  $b$  are limit points of descending "Cantor-type" spiral sequences.

Finally, we show that

$$H_m \rightarrow a \quad \text{locally uniformly in } \overline{\mathbf{R}^2} \setminus \{b\},$$



and

$$H_m^{-1} \rightarrow b \quad \text{locally uniformly in } \overline{\mathbf{R}^2} \setminus \{a\}.$$

Let  $K$  be a compact connected set in  $\overline{\mathbf{R}^2} \setminus \{b\}$ . Then there is an open neighborhood of  $b$ , which does not intersect  $K$ . Thus  $K$  intersects only finitely many elements of the Cantor spiral sequence converging to  $b$ , say only spirals of generation  $\leq k$ . Then  $(w_{k+2} \circ w_{k+1} \circ \dots \circ w_1)(K)$  is entirely contained in one of the spirals  $S_0, S_1, S_2$  or  $S_3$ : Otherwise there would be  $x \in U$ , so that

$$(w_1^{-1} \circ w_2^{-1} \circ \dots \circ w_{k+2}^{-1})(x) \in K.$$

But this contradicts the fact that  $(w_1^{-1} \circ w_2^{-1} \circ \dots \circ w_{k+2}^{-1})(U)$  is contained in a  $(k+1)$ st generation spiral, which is part of the sequence converging to  $b$ .

Again, since there are no cancellations between the letters of  $H_m$ , we see that  $(v_m \circ r_m \circ w_m \circ \dots \circ w_1)(K)$  is contained in  $S_{I(m)}$  for  $m \geq k+2$ , so that  $H_m(K)$  is contained in a  $(m-1)$ st generation spiral for  $m \geq k+2$ . This shows that  $H_m \rightarrow a$  uniformly in  $K$ . Similarly we obtain  $H_m^{-1} \rightarrow b$  locally uniformly in  $\overline{\mathbf{R}^2} \setminus \{a\}$ .

Hence we have shown that  $\langle f, g \rangle$  is a convergence group. Furthermore it is obvious that  $\langle f, g \rangle$  acts discontinuously on  $U$ , so that  $\langle f, g \rangle$  is discrete. Finally  $\langle f, g \rangle$  is non-elementary, since both  $f$  and  $g$  are loxodromic and have disjoint fixed point sets. This completes the proof.  $\square$

**Remark 1.** Note that the limit set  $L(\langle f, g \rangle)$  of  $\langle f, g \rangle$  is a Cantor set with

$$L(\langle f, g \rangle) \subset \{e^{s/(8n)} \mid 0 \leq s \leq 7\}.$$

Hence the chordal diameter of the limit sets converges to 0 as  $n \rightarrow \infty$ .

**Remark 2.** We can modify step 1 of the above construction, so that for each  $\varepsilon > 0$  there exists  $n \in \mathbf{N}$  such that  $L(\langle f, g \rangle)$  is  $\varepsilon$ -dense in  $\overline{\mathbf{R}^2}$ , i.e. for each  $x \in \overline{\mathbf{R}^2}$  the chordal ball  $B_\varepsilon(x)$  meets  $L(\langle f, g \rangle)$ . We can do this by only modifying one of the maps, say  $f$ . In step 1, instead of giving  $f$  an attracting fixed point at  $z(0, \frac{1}{2}, 0)$  in  $S_0$  and a repelling fixed point at  $z(2, \frac{1}{2}, 0)$  in  $S_2$ , we let the attracting fixed point be  $z(0, \frac{1}{2}, -n\sqrt{n})$  in  $S_0$ , and the repelling fixed point  $z(2, \frac{1}{2}, n\sqrt{n})$  in  $S_2$ . This can be done by redefining  $h_1$  on  $S_0 \cup S_1 \cup S_3$ : Let  $h_1$  map the point

$$z = z(j, s, t) = e^{2\pi i t} e^{t/n+(2j+s)/(8n)} \in S_j$$

onto the point

$$\begin{aligned} h_1(z) &= z\left(j, s, t\left(1 - \frac{2}{n^2}\right) - \frac{2}{\sqrt{n}}\right) \\ &= e^{2\pi i [t(1-2/n^2)-2/\sqrt{n}]} e^{(1/n)[t(1-(2/n^2))-(2/\sqrt{n})]+(2j+s)/(8n)}. \end{aligned}$$

With the same modification for the definition of  $f^{-1}$  on  $S_1 \cup S_2 \cup S_3$  the map  $f$  fixes

$$e^{-2\pi i n \sqrt{n}} e^{-\sqrt{n} + (1/2)/(8n)} \in S_0$$

and

$$e^{2\pi i n \sqrt{n}} e^{\sqrt{n} + (4+1/2)/(8n)} \in S_2.$$

Let  $g$  be unchanged. If  $\varepsilon > 0$  is given then we can find  $n \in \mathbf{N}$  such that  $d(f) < \frac{1}{2}\varepsilon$ ,  $d(g) < \frac{1}{2}\varepsilon$ , and the attracting fixed point of  $f$  is in an  $\frac{1}{2}\varepsilon$ -neighborhood of 0, the repelling fixed point of  $f$  is in an  $\frac{1}{2}\varepsilon$ -neighborhood of  $\infty$ , and the distance from

$$z = z(j, s, t) = e^{2\pi i t} e^{t/n + (2j+s)/(8n)}$$

to

$$z' = z'(j, s, t + 1) = e^{2\pi i(t+1)} e^{(t+1)/n + (2j+s)/(8n)}$$

is less than  $\frac{1}{2}\varepsilon$  for all  $j, s, t$ .

Note that the images of all fixed points of  $f$  and  $g$  under maps in  $\langle f, g \rangle$  are contained in the limit set  $L(\langle f, g \rangle)$ . Observe now that  $g$  moves the fixed points of  $f$  in steps of length  $\leq \frac{1}{2}\varepsilon$  towards its attracting fixed point, i.e. these images will be  $\frac{1}{2}\varepsilon$ -dense in  $g$ 's attracting spiral  $S_3$ . Similar for  $g^{-1}$ . The map  $f$  maps this picture into  $S_0$ , whereas  $f^{-1}$  maps it into  $S_2$ . Since by construction the spirals  $S_j$  and  $S_{(j+1)\bmod 4}$  are within distance  $\frac{1}{2}\varepsilon$  of each other, the limit set  $L(\langle f, g \rangle)$  is  $\varepsilon$ -dense in  $\overline{\mathbf{R}^2}$ .

*Proof of Corollary 3.4.* Let  $G_n = \langle f_n, g_n \rangle$  be the discrete, non-elementary convergence groups constructed in Theorem 3.3. Then by construction  $G_n$  does not contain parabolics and its limit set  $L(G_n)$  is a Cantor set. Thus by [MS] the group  $G_n$  is topologically conjugate to a Möbius group, i.e. there exists a homeomorphism  $\Phi_n: \overline{\mathbf{R}^2} \rightarrow \overline{\mathbf{R}^2}$  and a Möbius group  $\Gamma_n = \langle \tilde{f}_n, \tilde{g}_n \rangle$  such that  $f_n = \Phi_n \circ \tilde{f}_n \circ \Phi_n^{-1}$ ,  $g_n = \Phi_n \circ \tilde{g}_n \circ \Phi_n^{-1}$ , and hence

$$G_n = \Phi_n \circ \Gamma_n \circ \Phi_n^{-1}.$$

Because of the topological conjugacy,  $\Gamma_n$  is a discrete, non-elementary Möbius group. Since the diffeomorphisms of  $\overline{\mathbf{R}^2}$  are dense in the homeomorphisms of  $\overline{\mathbf{R}^2}$ , we can choose a quasiconformal map  $\Psi_n: \overline{\mathbf{R}^2} \rightarrow \overline{\mathbf{R}^2}$  with  $d(\Psi_n, \Phi_n) < 1/n$ . Define  $\hat{f}_n = \Psi_n \circ \tilde{f}_n \circ \Psi_n^{-1}$  and  $\hat{g}_n = \Psi_n \circ \tilde{g}_n \circ \Psi_n^{-1}$ . Then

$$\begin{aligned} d(\hat{f}_n) &= d(\Psi_n \circ \tilde{f}_n, \Psi_n) \\ &\leq d(\Psi_n \circ \tilde{f}_n, \Phi_n \circ \tilde{f}_n) + d(\Phi_n \circ \tilde{f}_n, \Phi_n) + d(\Phi_n, \Psi_n) \\ &= 2d(\Phi_n, \Psi_n) + d(f_n) \rightarrow 0 \quad \text{as } n \rightarrow \infty, \end{aligned}$$

and similarly

$$d(\hat{g}_n) \rightarrow 0 \quad \text{as } n \rightarrow \infty. \quad \square$$

For the proof of Theorem 3.5 we first show a lemma:

**Lemma 4.2.** *Suppose that  $G$  is a convergence group with non-empty regular set. Then there exists an open ball  $U$  such that  $f(U) \cap U = \emptyset$  for all  $f \in G \setminus \{\text{id}\}$ .*

*Proof.* Since by hypothesis the regular set of  $G$  is non-empty, there exists a point  $y_0 \in \Omega(G)$  and an open neighborhood  $V$  of  $y_0$  such that  $f(V) \cap V = \emptyset$  for all but a finite number of elements  $f \in G$ , let  $f_1, \dots, f_k \in G \setminus \{\text{id}\}$  be these elements. If  $E_j$  denotes the set of fixed points of  $f_j$ , then  $E_j$  is closed by continuity of  $f_j$ . Furthermore,  $E_j$  contains no interior points: If  $E_j$  is finite then this is clear, otherwise  $f_j$  is elliptic and  $E_j$  has no interior points by a theorem of Newman's [New] on periodic homeomorphisms of spaces. Thus we find  $x_0 \in V$  which is not fixed by any  $f_j$ , and hence

$$s := \min_{j=1, \dots, k} q(f_j(x_0), x_0) > 0.$$

Let  $U$  be a chordal ball about  $x_0$  with radius  $r > 0$ , where  $r$  is chosen so that  $r < \frac{1}{2}s$ ,  $U \subset V$ , and so that  $x \in U$  implies  $q(f_j(x), f_j(x_0)) < \frac{1}{2}s$  for  $j = 1, \dots, k$ . Then for  $x \in U$  we have

$$q(f_j(x), x_0) \geq q(f_j(x_0), x_0) - q(f_j(x), f_j(x_0)) > s - \frac{1}{2}s > r,$$

hence  $f_j(x) \notin U$ . Thus  $U$  satisfies

$$f(U) \cap U = \emptyset \quad \text{for all } f \in G \setminus \{\text{id}\}. \quad \square$$

This lemma enables us to prove our final theorem.

*Proof of Theorem 3.5.* Let  $0 < c < 2$ . Choose  $U$  as in Lemma 4.2 and let  $h$  be a Möbius transformation which maps  $U$  onto the chordal ball  $V$  with center  $\infty$  and radius  $c$ . Then if

$$\tilde{f} = h \circ f \circ h^{-1}, \quad f \in G \setminus \{\text{id}\},$$

we have

$$\tilde{f}(V) \cap V = h(f(U) \cap U) = \emptyset,$$

and hence

$$d(\tilde{f}, \text{id}) \geq q(\tilde{f}(\infty), \infty) \geq c. \quad \square$$

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