

## ON THE DERIVATIVE OF HYPERBOLICALLY CONVEX FUNCTIONS

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**Abstract.** A conformal mapping  $f$  of the unit disk  $\mathbf{D}$  into itself is called hyperbolicly convex if the non-euclidean segment between any two points of  $f(\mathbf{D})$  also belongs to  $f(\mathbf{D})$ . In this paper we obtain the exact order of growth for the derivative of these functions and investigate their boundary behaviour.

### 1. The growth of the derivative

Let  $\mathbf{D}$  be the unit disk and  $\mathbf{T} = \partial\mathbf{D}$ . The analytic univalent function  $f: \mathbf{D} \rightarrow \mathbf{D}$  is called *hyperbolicly convex* (or simply h-convex) if the non-euclidean segment between any two points of  $f(\mathbf{D})$  also belongs to  $f(\mathbf{D})$ . An h-convex function is continuous in  $\bar{\mathbf{D}}$ .

Hyperbolicly convex functions were first systematically studied by William Ma and David Minda [MM1]. Among many other results they obtained the characterization

$$(1.1) \quad \operatorname{Re} \left[ 1 + z \frac{f''(z)}{f'(z)} + \frac{2zf'(z)\overline{f(z)}}{1 - |f(z)|^2} \right] > 0 \quad (z \in \mathbf{D});$$

see also [MM2]. The present authors [MP1], [MP2] and Alexandre Vasil'ev [MPV] derived a number of estimates for h-convex functions. The upper bound for the derivative remained an open problem and it was conjectured [MP2] that

$$(1.2) \quad f'(z) = O\left(\frac{1}{1 - |z|} \left(\log \frac{1}{1 - |z|}\right)^{-2}\right) \quad (|z| \rightarrow 1);$$

this was proved with the exponent  $-1$  instead of  $-2$ .

The property of being h-convex is invariant under Möbius transformations of  $\mathbf{D}$  onto itself. This fact can be used to achieve the normalization

$$(1.3) \quad f(z) = \alpha z + a_2 z^2 + \cdots, \quad 0 < \alpha \leq 1.$$

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2000 Mathematics Subject Classification: Primary Primary 30C45.

Research supported by COLCIENCIAS and Deutsche Forschungsgemeinschaft (DFG).

The h-convex function

$$(1.4) \quad k_\alpha(z) = 2\alpha z / (1 - z + \sqrt{(1 - z)^2 + 4\alpha^2 z}) = \alpha z + \dots$$

often plays the role of the extremal function and it was shown in [MPV] that

$$(1.5) \quad |f'(z)| \leq k'_\alpha(|z|) \quad \text{for } |z| \leq \sqrt{2} - 1,$$

however not for  $|z|$  close to 1.

Let  $\lambda(z, \varsigma)$  denote the non-euclidean distance in  $\mathbf{D}$  normalized such that

$$(1.6) \quad \lambda(z, 0) = \frac{1}{2} \log \frac{1 + |z|}{1 - |z|} \quad (z \in \mathbf{D}).$$

**Theorem 1.** *Let  $f$  be hyperbolically convex. Then*

$$(1.7) \quad \frac{(1 - |z|^2)|f'(z)|(1 - |\varsigma|^2)|f'(\varsigma)|}{|f(z) - f(\varsigma)|^2} \lambda(z, \varsigma)^2 \leq c_1 \quad \text{for } z, \varsigma \in \mathbf{D},$$

where  $c_1$  is an absolute constant. In particular, if  $f(z) = \alpha z + \dots$ , then

$$(1.8) \quad (1 - |z|^2)|f'(z)|\lambda(z, 0)^2 \leq \frac{c_1}{\alpha} |f(z)|^2 \quad \text{for } z \in \mathbf{D}.$$

The proof will be very geometric. It follows from (1.8) that the conjecture (1.2) is true. The stronger conjecture [MP1]

$$a_n = O(n^{-1}(\log n)^{-2}) \quad (n \rightarrow \infty)$$

however remains open.

**Theorem 2.** *Let  $f$  be h-convex. Then*

$$(1.9) \quad b(\varsigma) = \lim_{r \rightarrow 1} (1 - r^2)|f'(r\varsigma)|\lambda(r, 0)^2 < \infty \quad (\varsigma \in \mathbf{T})$$

exists. If  $b(\varsigma) > 0$  then  $f(\varsigma) \in \mathbf{T}$  and

$$(1.10) \quad (f(\varsigma) - f(z))L(\bar{\varsigma}z) \rightarrow f(\varsigma)b(\varsigma) \quad \text{as } z \rightarrow \varsigma, z \in \Delta,$$

$$(1.11) \quad (1 - \bar{\varsigma}^2 z^2)f'(z)L(\bar{\varsigma}z)^2 \rightarrow \bar{\varsigma}f(\varsigma)b(\varsigma) \quad \text{as } z \rightarrow \varsigma, z \in \Delta,$$

where  $L(z) = \frac{1}{2} \log[(1 + z)/(1 - z)]$ . Here  $\Delta$  is any Stolz angle at  $\varsigma$ .

Martin Chuaqui and the second author [CP] have proved that a meromorphic function  $f: \mathbf{D} \rightarrow \widehat{\mathbf{C}}$  satisfies

$$(1.12) \quad \frac{(1 - |z|^2)|f'(z)|(1 - |\varsigma|^2)|f'(\varsigma)|}{|f(z) - f(\varsigma)|^2} \lambda(z, \varsigma)^2 \leq 1 \quad (z, \varsigma \in \mathbf{D}),$$

if and only if its Schwarzian derivative  $S_f$  satisfies

$$(1.13) \quad (1 - |z|^2)^2 |S_f(z)| \leq 2 \quad (z \in \mathbf{D}).$$

It was shown in [MP2, Example 5.3] that (1.13) does not hold for all h-convex functions. Hence (1.12) does not hold for all h-convex functions and it follows that the constant in Theorem 1 satisfies  $c_1 > 1$ .

See [MP2] and [MM2] for bounds for the Schwarzian derivative of h-convex functions. In [MP2] it is conjectured that

$$\sup_f \sup_z (1 - |z|^2)^2 |S_f(z)| \approx 2.384.$$

## 2. Boundary points on the unit circle

We now study the closed set  $\mathbf{T} \cap f(\mathbf{T})$  where  $f(\mathbf{D})$  meets the unit circle. It follows from the McMillan twist theorem [Mc], [P, p. 142] that  $f$  has a finite angular derivative for almost all  $\varsigma \in \mathbf{T}$  with  $f(\varsigma) \in \mathbf{T}$ , which implies that  $f(\mathbf{T})$  is tangential to  $\mathbf{T}$  at  $f(\varsigma)$ .

Let  $c_2, c_3, \dots$  denote suitable positive absolute constants.

**Theorem 3.** *Let  $f(z) = \alpha z + \dots$  be h-convex and let  $\varsigma \in \mathbf{T}$ ,  $f(\varsigma) \in \mathbf{T}$ . If there exists  $r_0 < 1$  such that*

$$(2.1) \quad (1 - r^2)|f'(r\varsigma)| \leq c_2|f(\varsigma) - f(r\varsigma)| \leq c_3\alpha \quad (r_0 \leq r < 1),$$

then, for  $\omega \in \mathbf{T} \cap f(\mathbf{T})$ ,  $\omega \neq f(\varsigma)$ ,

$$(2.2) \quad |\omega - f(\varsigma)| \geq c_4|f(\varsigma) - f(r\varsigma)| \quad (r_0 \leq r < 1).$$

The assumption (2.1) roughly says that, near  $f(\varsigma)$ , the image domain lies in a narrow sector. The assertion (2.2) implies that  $f(\varsigma)$  is an isolated point of  $\mathbf{T} \cap f(\mathbf{T})$ , of which there can be only countably many.

**Theorem 4.** *Let  $f(z) = \alpha z + \dots$  be h-convex and let  $b(\varsigma)$  be defined by (1.9). Then  $b(\varsigma) = 0$  except possibly for countably many  $\varsigma$  and moreover*

$$(2.3) \quad \sum_{b(\varsigma) > 0} b(\varsigma) \leq \frac{c_5}{\alpha^2}.$$

### 3. Proof of Theorem 1

(a) First we prove inequality (1.8) for h-convex functions of the form  $f(z) = a_1 z + \dots$  with  $|a_1| = \alpha$ . Let  $z \in \mathbf{D}$  be given. By rotational invariance, we may assume that

$$u = f(z) > 0.$$

We write

$$(3.1) \quad d(s) = \text{dist}(s, \partial f(\mathbf{D})) \quad \text{for } 0 \leq s \leq u.$$

Let  $C^\pm$  be the circles orthogonal to  $\mathbf{T}$  that are tangential to  $\{|w| < \alpha/2\}$  and  $\{|w - u| < d(u)\}$  and let  $A^\pm$  be the arcs of  $C^\pm$  between the points of contact. The centers  $\omega$  and  $\bar{\omega}$  and the radius  $\rho$  of  $C^\pm$  satisfy

$$(3.2) \quad |\omega| = (1 + \alpha^2/4)/\alpha, \quad \rho = (1 - \alpha^2/4)/\alpha,$$

in particular  $|\omega|^2 - \rho^2 = 1$ . We write  $\xi = \text{Re } \omega$ .

Let  $G$  be the domain between  $A^+$  and  $A^-$  including the disks  $\{|w| < \alpha/2\}$  and  $\{|w - u| < d(u)\}$  touched by  $A^\pm$ . We have  $\{|w - u| < d(u)\} \subset f(\mathbf{D})$  by (3.1) and  $\{|w| < \alpha/2\} \subset f(D)$  by [MM1, Theorem 2]. Hence  $G \subset f(\mathbf{D})$  because  $f$  is h-convex.

Now let  $0 < s < u$ . Geometric considerations show that the points of  $\partial G$  nearest to  $s$  lie on the arcs  $A^\pm$  of  $C^\pm$ . Hence

$$(3.3) \quad d(s) \geq |\omega - s| - \rho = \frac{|\omega - s|^2 - \rho^2}{|\omega - s| + \rho} \geq \frac{1 - 2\xi s + s^2}{2 + 2\rho} > \frac{\alpha}{4}(1 - 2\xi s + s^2).$$

The construction of  $C^\pm$  shows that  $|w - u| = d(u) + \rho$ , which implies

$$(3.4) \quad d(u) < \frac{d(u)^2 + 2\rho d(u)}{2\rho} = \frac{1 - 2\xi u + u^2}{2\rho} < \alpha(1 - 2\xi u + u^2)$$

by (3.2).

We will show in part (b) that

$$(3.5) \quad y \equiv \sqrt{1 - 2\xi u + u^2} \int_0^u \frac{ds}{1 - 2\xi s + s^2} < c_5 u.$$

It follows from (3.3), (3.4) and (3.5) that

$$\sqrt{d(u)} \int_0^u \frac{ds}{d(s)} < \frac{4c_5}{\sqrt{\alpha}} u.$$

Since  $u = f(z)$  and  $d(u) \leq (1 - |z|^2)|f'(z)| \leq 4d(u)$  by (3.1), we conclude that

$$\begin{aligned} \sqrt{(1 - |z|^2)|f'(z)|} \lambda(z, 0) &\leq 2\sqrt{d(u)} \int_{f^{-1}([0, u])} \frac{|f'(\zeta)|}{(1 - |\zeta|^2)|f'(\zeta)|} |d\zeta| \\ &\leq 2\sqrt{d(u)} \int_0^u \frac{ds}{d(s)} \leq \frac{8c_5}{\sqrt{\alpha}} |f(z)| \end{aligned}$$

which implies (1.8).

(b) Now we prove (3.5). We distinguish three cases.

*Case I.* Let  $-\infty < \xi \leq -1$ . We write  $x = -\xi u$  and obtain

$$y \leq \sqrt{1 + 2x + u^2} \int_0^u \frac{ds}{1 + 2xs/u} \leq \sqrt{2 + 2x} \frac{u}{2x} \log(1 + 2x) \leq c_6 u.$$

*Case II.* Let  $-1 < \xi < 1$ . We can write

$$(3.6) \quad 1 - 2\xi s + s^2 = (1 - s)^2 + 2(1 - \xi)s \geq \frac{1}{2}(1 - s)^2 + \frac{1}{2}(1 - \xi).$$

First let  $(1 - u)^2 < 1 - \xi$ . Then, by (3.6),

$$(3.7) \quad 1 - 2\xi u + u^2 = (1 - u)^2 + 2(1 - \xi)u < 3(1 - \xi).$$

If  $u \geq \frac{1}{2}$  then, with  $y$  defined in (3.5), we see from (3.6) that

$$y < \int_0^u \frac{2\sqrt{3(1 - \xi)} ds}{(1 - s)^2 + (1 - \xi)} < \int_0^\infty \frac{2\sqrt{3}}{t^2 + 1} dt \leq 2\pi\sqrt{3}u.$$

If  $u < \frac{1}{2}$  then  $\sqrt{1 - \xi} > 1 - u > \frac{1}{2}$  and thus, by (3.6),

$$y < \int_0^u \frac{2\sqrt{3(1 - \xi)} ds}{1 - \xi} < 4\sqrt{3}u.$$

Now let  $(1 - u)^2 \geq 1 - \xi$ . Then  $1 - 2\xi u + u^2 < 3(1 - u)^2$  as in (3.7) and thus, by (3.6),

$$y < \int_0^u \frac{2\sqrt{3}(1 - u)}{(1 - s)^2} ds = 2\sqrt{3}u.$$

*Case III.* Let  $1 \leq \xi < +\infty$ . We define

$$(3.8) \quad \eta = \sqrt{\xi^2 - 1}, \quad v = \xi - \eta = 1/(\xi + \eta).$$

Then  $1 - 2\xi v + v^2 = 0$  and therefore  $u < v$  by (3.4). This time we can write

$$(3.9) \quad 1 - 2\xi s + s^2 = (v - s)^2 + 2\eta(v - s).$$

First let  $v - u \leq \eta$ . Then, by (3.9),

$$(3.10) \quad 1 - 2\xi u + u^2 = (v - u)^2 + 2\eta(v - u) \leq 3\eta(v - u).$$

If  $u \geq \frac{1}{2}$  then, by (3.9),

$$\int_0^u \frac{ds}{1 - 2\xi s + s^2} \leq \int_0^{v-\eta} \frac{ds}{(v-s)^2} + \int_{v-\eta}^u \frac{ds}{2\eta(v-s)} \leq \frac{1}{\eta} + \frac{1}{2\eta} \log \frac{\eta}{v-u};$$

if  $v - \eta < 0$  then the first integral is omitted. We deduce from (3.10) and  $v - u \leq \eta$  that

$$y \leq \sqrt{\frac{v-u}{\eta}} \left( \sqrt{3} + \frac{\sqrt{3}}{2} \log \frac{\eta}{v-u} \right) \leq c_7 \leq 2c_7 u.$$

On the other hand, if  $u < \frac{1}{2}$  then, by (3.8),

$$2\sqrt{\xi^2 - 1} = 2\eta = \xi - (v - \eta) \geq \xi - u > \xi - \frac{1}{2} \geq \frac{1}{2}$$

which implies  $\xi \geq \xi_1 = \sqrt{17}/4 > 1$ . Hence (3.9) and (3.10) show that

$$y \leq \frac{\sqrt{3\eta(v-u)}}{2\eta} \int_0^u \frac{ds}{v-s} \leq \frac{\sqrt{3}}{2\sqrt{\eta}} \int_0^u \frac{ds}{\sqrt{v-s}} = \sqrt{\frac{3}{\eta}} \frac{u}{\sqrt{v} + \sqrt{v-u}} < \sqrt{\frac{3}{v\eta}} u$$

and (3.5) follows because, by (3.8),

$$\frac{1}{v\eta} = \frac{\xi}{\sqrt{\xi^2 - 1}} + 1 \leq \frac{\xi_1}{\sqrt{\xi_1^2 - 1}} + 1.$$

Now let  $v - u > \eta$ . Then (3.9) shows that  $1 - 2\xi u + u^2 < 3(v - u)^2$  and thus, by (3.9),

$$y < \sqrt{3}(v - u) \int_0^u \frac{ds}{(v-s)^2} = \sqrt{3} \frac{u}{v}.$$

We obtain from (3.8) that  $\xi - \eta = v > \eta + u \geq \eta$  and therefore  $\xi > 2\eta$  which implies  $\xi \leq \sqrt{4/3}$ . Hence we have

$$y \leq \sqrt{3} \frac{u}{v} = \sqrt{3} (\xi + \sqrt{\xi^2 - 1}) u < 4u.$$

This completes the proof of (3.5) and thus of assertion (1.8) of Theorem 1.

(c) Now we prove assertion (1.7). Let  $z \in \mathbf{D}$  be fixed and let  $|b| = 1$ ,

$$(3.11) \quad \varphi(t) = \frac{t+z}{1+\bar{z}t}, \quad g(t) = b \frac{f(\varphi(t)) - f(z)}{1 - \overline{f(z)}f(\varphi(t))} \quad (t \in \mathbf{D}).$$

Then  $g$  is again  $h$ -convex and moreover

$$g(0) = 0, \quad g'(0) = (1 - |z|^2)|f'(z)|/(1 - |f(z)|^2),$$

if  $b \in \mathbf{T}$  is suitably chosen. Applying (1.8) to  $g$ , we obtain from (3.11) that

$$\frac{(1 - |z|^2)|f'(z)|(1 - |\varphi(t)|^2)|f'(\varphi(t))|}{|f(z) - f(\varphi(t))|^2} \lambda(t, 0)^2 = g'(0) \frac{(1 - |t|^2)|g'(t)|}{|g(t)|^2} \lambda(t, 0)^2 \leq c_1$$

which implies (1.7) because  $\lambda(t, 0) = \lambda(\varphi(t), \varphi(0)) = \lambda(\varphi(t), z)$ .  $\square$

#### 4. Proof of Theorem 2

First let  $f(\varsigma) \in \mathbf{D}$ . We prove that the limit  $b(\varsigma)$  exists and is  $= 0$ . We may assume that  $f$  has the form (1.3). It follows from [MP1, (2.6)] that

$$(1 - r^2)|f'(r\varsigma)| \leq 4|f(\varsigma) - f(r\varsigma)| \leq 8(1 - r)^{\alpha^2\delta/4}$$

for  $r$  close to 1, where  $\delta = 1 - |f(\varsigma)|^2 > 0$ .

Now let  $f(\varsigma) \in \mathbf{T}$ . We may assume that  $\varsigma = 1$  and  $f(\varsigma) = 1$ ; otherwise we consider the  $h$ -convex function  $\overline{f(\varsigma)}f(\varsigma z)$ . For the proof of (1.9) we may furthermore assume that there exist  $r_n \rightarrow 1$  such that

$$(4.1) \quad (1 - r_n^2)|f'(r_n)|\lambda(r_n, 0)^2 \rightarrow b \neq 0 \quad \text{as } n \rightarrow \infty;$$

see (1.8). By Theorem 1, we have,

$$\frac{(1 - |z|^2)|f'(z)|(1 - r_n^2)|f'(r_n)|}{|f(r_n) - f(z)|^2} \lambda(r_n, z)^2 \leq c_1.$$

Since  $\lambda(r_n, z) \sim \lambda(r_n, 0)$  as  $n \rightarrow \infty$ , we obtain from (4.1) that

$$(4.2) \quad \frac{(1 - |z|^2)|f'(z)|}{|1 - f(z)|^2} \leq \frac{c_1}{b} \quad \text{for } z \in \mathbf{D}.$$

Ma and Minda [MM1, Theorem 4] have shown that the function

$$(4.3) \quad p(z) = \frac{(1 - f(z))^2}{(1 - z)^2 f'(z)} \quad (z \in \mathbf{D})$$

satisfies  $\operatorname{Re} p > 0$ . The Julia–Wolff lemma shows that

$$(4.4) \quad \frac{(1 - f(z))^2}{(1 - z^2)f'(z)} = \frac{1 - z}{1 + z} p(z) \rightarrow a \quad \text{as } z \rightarrow 1, z \in \Delta$$

for every Stolz angle  $\Delta$ , where  $0 \leq a < +\infty$ . It follows from (4.2) that  $a \geq b/c_1 > 0$ .

We obtain from (4.4) that

$$\frac{f'(z)}{(1 - f(z))^2} = \left( \frac{1}{a} + o(1) \right) \frac{1}{1 - z^2} \quad \text{as } z \rightarrow 1, z \in \Delta,$$

and by integration we deduce that

$$(4.5) \quad \frac{1}{1 - f(z)} = \left( \frac{1}{a} + o(1) \right) L(z) \quad \text{as } z \rightarrow 1, z \in \Delta,$$

where

$$L(z) = \frac{1}{2} \log \frac{1 + z}{1 - z}.$$

Together with (4.4) this implies

$$(1 - z^2)f'(z)L(z)^2 \rightarrow a \quad \text{as } z \rightarrow 1, z \in \Delta.$$

Hence the limit (1.9) exists and  $b(1) = a$ . The assertions (1.10) and (1.11) now follow from (4.5) and (4.4).  $\square$

### 5. Proofs of Theorems 3 and 4

Let  $c_8, c_9, \dots$  denote suitable positive absolute constants.

**Proposition 5.** *Let  $f$  be  $h$ -convex and  $f(0) = 0$ ,  $|f'(0)| = \alpha$ . Let  $\varsigma \in \mathbf{T}$ ,  $f(\varsigma) \in \mathbf{T}$ , and  $\omega \in \mathbf{T} \cap f(\mathbf{T})$  with  $\omega \neq f(\varsigma)$ . For given  $r \in (0, 1)$ , there are only two possibilities:*

- (i)  $|f(\varsigma) - f(r\varsigma)| < c_8|f(\varsigma) - \omega|$ ,
- (ii)  $(1 - r^2)|f'(r\varsigma)| > c_9 \min(\alpha, |f(\varsigma) - \omega|)$ .

*Proof.* We may assume that  $\varsigma = 1$  and  $f(\varsigma) = 1$ . Let  $G = f(\mathbf{D})$ . We write

$$(5.1) \quad q = |f(\varsigma) - \omega| = |1 - \omega|.$$

There exists a smooth crosscut  $Q = Q(r)$  of  $G$  with  $f(r) \in Q$  that separates 0 from 1 and satisfies

$$(5.2) \quad \text{length } Q < c_{10}(1 - r^2)|f'(r)|.$$

Let  $C$  be the circle orthogonal to  $\mathbf{T}$  through 1 and  $\omega$ . Since  $0 \in G$  and  $1, \omega \in \partial G$  and since  $G$  is  $h$ -convex, the non-euclidean triangle  $T$  bounded by  $[0, 1]$ ,  $[0, \omega]$  and  $\mathbf{D} \cap C$  lies in  $G$ .

The crosscut  $Q$  has to meet  $[0, 1]$  because  $Q$  separates 0 and 1. Let  $A^\pm$  be the arcs of  $Q$  from the last points of intersection with  $[0, 1]$  to  $\partial G$ . Then  $A^+$  and  $A^-$  go to different sides of  $[0, 1]$ . Hence one of these arcs, say  $A^+$ , has to enter the triangle  $T$ . Since  $T \subset G$  the endpoint of  $A^+$  on  $\partial G$  cannot lie in  $T$ . Hence  $A^+$  has to meet  $C$  or  $[0, \omega]$ .

First we consider the case that there exists  $a \in A^+ \cap C \subset Q \cap C$ . Since  $f(r) \in Q$  we obtain from (5.1) and (5.2) that

$$|1 - f(r)| \leq |1 - a| + |a - f(r)| \leq q + c_{10}(1 - r^2)|f'(r)|.$$

If  $(1 - r^2)|f'(r)| < q$  then (i) holds; if  $(1 - r^2)|f'(r)| \geq q$  then (ii) holds trivially by (5.1).

Now we consider the case that there exists  $a \in A^+ \cap [0, \omega]$ . If  $0 \leq r < \frac{1}{2}$  then

$$(1 - r^2)|f'(r)| \geq c_{11}|f'(0)| = c_{11}\alpha$$

by the Koebe distortion theorem. Hence (ii) holds.

Hence we may assume that  $\frac{1}{2} \leq r < 1$ . Then  $|f(r)| \geq c_{12}\alpha$ . Now  $A^+ \subset Q$ . Thus  $Q$  intersects  $[0, 1]$  and  $[0, \omega]$  and furthermore  $f(r) \in Q$ . It follows that

$$\text{length } Q \geq \max[\text{dist}(f(r), [0, 1]), \text{dist}(f(r), [0, \omega])] \geq c_{13} \min(\alpha, |1 - \omega|).$$

Hence (ii) holds by (5.2).  $\square$



*Proof of Theorem 3.* Let  $c_8$  and  $c_9$  be the constants of the proposition and put  $c_2 = c_9/(2c_8)$ ,  $c_3 = c_2c_8$ . It follows from (i), (ii) and (2.1) that, for every fixed  $r \in [r_0, 1)$  there are only the two cases

$$(i') \quad |f(\varsigma) - f(r\varsigma)| < c_8 \min(\alpha, |f(\varsigma) - \omega|),$$

$$(ii') \quad |f(\varsigma) - f(r\varsigma)| > 2c_8 \min(\alpha, |f(\varsigma) - \omega|).$$

Since  $|f(\varsigma) - f(r\varsigma)|$  is continuous in  $[r_0, 1)$ , we conclude that either (i') or (ii') holds for all  $r \in [r_0, 1)$ . But (ii') is impossible for  $r$  close to 1. Hence (i') holds, which implies our assertion (2.2) with  $c_4 = 1/c_8$ .  $\square$

*Proof of Theorem 4.* Let  $\varsigma, f(\varsigma) \in \mathbf{T}$  and  $b(\varsigma) > 0$ . It follows from (4.2) (where  $f(\varsigma) = 1$ ) that

$$(5.3) \quad (1 - r^2)|f'(r\varsigma)| \leq \frac{c_1}{b(\varsigma)}|f(\varsigma) - f(r\varsigma)|^2.$$

Let  $c_2, c_3, c_4$  be the constants of Theorem 3 and let

$$(5.4) \quad s = s(\varsigma) \min\left(\frac{c_2}{c_1}b(\varsigma), \frac{c_3}{c_2}\alpha, \alpha\right).$$

Since  $|f(\varsigma) - f(r\varsigma)| = 1 \geq \alpha$  for  $r = 0$  and  $= 0$  for  $r = 1$ , there exists  $r_0 \in [0, 1)$  such that

$$(5.5) \quad |f(\varsigma) - f(r\varsigma)| \leq s \quad \text{for } r_0 \leq r < 1, \quad = s \quad \text{for } r = r_0.$$

We obtain from (5.3), (5.4) and (5.5) that, for  $r_0 \leq r < 1$ ,

$$(1 - r^2)|f'(r\varsigma)| \leq \frac{c_1 s}{b(\varsigma)}|f(\varsigma) - f(r\varsigma)| \leq c_2|f(\varsigma) - f(r\varsigma)|.$$

Furthermore we see from (5.4) and (5.5) that

$$c_2|f(\varsigma) - f(r\varsigma)| \leq c_2 s \leq c_3 \alpha.$$

Hence it follows from Theorem 3 and (5.5) that

$$|\omega - f(\varsigma)| \geq c_4|f(\varsigma) - f(r_0\varsigma)| = c_4 s$$

for  $\omega \in \mathbf{T} \cap f(\mathbf{T})$ ,  $\omega \neq f(\varsigma)$ .

The open set  $\mathbf{T} \setminus f(\mathbf{T})$  is the union of disjoint open arcs  $I_n$ . We have just shown that, for every  $\varsigma$  with  $b(\varsigma) > 0$ , there exists  $n = n(\varsigma)$  such that  $f(\varsigma)$  is the right-hand endpoint of  $I_n$  and

$$(5.6) \quad \text{diam } I_n \geq c_4 s(\varsigma).$$

Let  $X$  be the set of  $\zeta$  for which  $s(\zeta) = c_2 b(\zeta)/c_1$  and let  $Y$  be the set for which  $s(\zeta) < c_2 b(\zeta)/c_1$ ; see (5.4). Then, by (5.6),

$$\sum_{\zeta \in X} b(\zeta) \leq \frac{c_1}{c_2 c_4} \sum_n \text{diam } I_n < \frac{2\pi c_1}{c_2 c_4} = c_{14},$$

$$\sum_{\zeta \in Y} c_4 \min\left(\frac{c_3}{c_2} \alpha, \alpha\right) \leq \sum_n \text{diam } I_n < 2\pi$$

and thus  $\text{card } Y < c_{15}/\alpha$ , furthermore  $b(\zeta) \leq c_1/\alpha$  by (1.8) and (1.9). Hence

$$\sum_{b(\zeta) > 0} b(\zeta) < c_{14} + \frac{c_1 c_{15}}{\alpha^2}.$$

This proves (2.3). In particular we can have  $b(\zeta) > 0$  only for countably many  $\zeta$ .  $\square$

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Received 16 May 2000