

## Hajłasz–Sobolev type spaces and $p$ -energy on the Sierpinski gasket

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**Abstract.** We study Hajłasz–Sobolev type spaces on metric spaces that depend on quasi-distances; in particular, we may take the quasi-distance to be the power  $\sigma$  of the metric with  $\sigma > 1$ , if the metric space is highly irregular or porous. We take the Sierpinski gasket in  $\mathbf{R}^2$  as an example, and show that the Hajłasz–Sobolev type space is non-trivial for  $1 < \sigma < \beta_p/p$  with  $\beta_p$  characterizing the intrinsic property of the Sierpinski gasket. This work was strongly motivated by [8], and generalizes the result in [9] to any  $1 < p < \infty$ .

### 1. Hajłasz–Sobolev type spaces

Let  $F$  be a non-empty set and  $d$  be a metric on  $F$ . Let  $q(x, y)$  be a *quasi-distance* on  $F$  (cf. [14]), that is  $q: F \times F \rightarrow [0, \infty]$  satisfies

- (1)  $q(x, y) = 0$  if and only if  $x = y$ ;
- (2)  $q(x, y) = q(y, x)$  for all  $x, y \in F$ ;
- (3) there exists a constant  $1 \leq c_1 < \infty$  such that, for all  $x, y, z \in F$ ,

$$q(x, y) \leq c_1(q(x, z) + q(z, y)).$$

Let  $\mu$  be a Borel measure on the metric space  $(F, d)$ . Let  $1 \leq p \leq \infty$ . We denote by  $L^p(\mu) := L^p(F, \mu)$  the usual space of all  $p$ -integrable real-valued functions on  $F$  with respect to  $\mu$ , with the norm

$$\|f\|_p := \left( \int_F |f(x)|^p d\mu(x) \right)^{1/p}$$

(with the obvious modification when  $p = +\infty$ ). Motivated by [5], we say that a function  $f \in L^p(\mu)$  belongs to a *Hajłasz–Sobolev type space*  $M^p(\mu)$ , if there exists a non-negative function  $g \in L^p(\mu)$ , termed an *upper gradient* of  $f$ , such that

$$(1.1) \quad |f(x) - f(y)| \leq q(x, y)(g(x) + g(y))$$

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for  $\mu$ -almost all  $x, y \in F$  with  $0 < q(x, y) < r_0$  and some  $r_0 \in (0, \infty]$ . The *norm* of  $f \in M^p(\mu)$  is defined by

$$\|f\|_{M^p(\mu)} := \|f\|_p + \inf_g \|g\|_p,$$

where the infimum is taken for all  $g$  satisfying (1.1). It is not hard to see that  $M^p(\mu)$  is a Banach space for  $1 \leq p < \infty$  (the proof is similar to that in [5] or [7]). Observe that different values on  $r_0$  for (1.1) holding give equivalent spaces.

Note that  $q(x, y) = d(x, y)^\sigma$  is a quasi-distance on  $F$  for any  $0 < \sigma < \infty$ . The case  $\sigma = 1$  was addressed in [5], and it was shown that  $M^p(\mu)$  is the usual Sobolev space  $W^{1,p}(F)$  if  $F$  is an open domain with Lipschitz boundary in  $\mathbf{R}^n$  and  $\mu$  is the Lebesgue measure. In [9], it was extended to the case  $\sigma > 1$  when  $F$  is a fractal in the Euclidean setting, and was demonstrated that for  $p = 2$ ,  $M^p(\mu)$  is *non-trivial* when  $1 < \sigma < \frac{1}{2}\beta$  and is trivial when  $\sigma > \frac{1}{2}\beta$ , if  $F$  is the Sierpinski gasket in  $\mathbf{R}^n$ , where  $\beta = \log(n+3)/\log 2$  is the *walk dimension* of  $F$  (for Hajlasz-Sobolev spaces on fractals, see also [6], [16]). (We say that  $M^p(\mu)$  is *trivial* if  $M^p(\mu)$  contains only constant functions. In this connection, see [2], [3], [1]. Note that  $M^p(\mu)$  is always trivial if  $F$  is an open set in  $\mathbf{R}^n$  and  $q(x, y) = |x - y|^\sigma$  with  $\sigma > 1$ , and nothing needs to be discussed under this circumstance. But if  $F$  is irregular (e.g. highly porous), the situation is considerably different, and  $M^p(\mu)$  may be non-trivial, see [9] and below.) Whilst in this paper we will generalize the result in [9] to the non-Euclidean setting on one hand, we mainly give an example, on the other hand, that  $M^p(\mu)$  is non-trivial for *any*  $1 < p < \infty$  and  $q(x, y) = d(x, y)^\sigma$  with  $\sigma > 1$  in a certain range. We take  $F$  to be the Sierpinski gasket in  $\mathbf{R}^2$ . Our example is motivated by [8]. As a by-product, we also answer the question raised in [8] of what is the *domain* of the *p-energy*. We thank R. S. Strichartz for sending [8] to our attention.

If  $q(x, y) = d(x, y)^\sigma$  ( $0 < \sigma < \infty$ ) and  $\mu$  is a *doubling measure*, that is  $\mu$  satisfies, for some  $c_2 > 0$ ,

$$(1.2) \quad \mu(B(x, 2r)) \leq c_2 \mu(B(x, r))$$

for all  $x \in F$  and all  $0 < r < \infty$ , where  $B(x, r) = \{y \in F : d(y, x) < r\}$  is a ball in  $F$ , then  $M^p(\mu)$  may be characterized as follows: for  $f \in L^p(\mu)$  with  $1 < p < \infty$ , we have that  $f \in M^p(\mu)$  if and only if  $\tilde{f} \in L^p(\mu)$ , where

$$(1.3) \quad \tilde{f}(x) := \sup_{0 < r < r_0} \frac{1}{\mu(B(x, r))} \int_{B(x, r)} \frac{|f(x) - f(y)|}{q(x, y)} d\mu(y), \quad x \in F,$$

see also [9] (we always assume that  $|f(x) - f(y)|/q(x, y) = 0$  if  $x = y$ ). To see this, let  $f \in M^p(\mu)$ . Then, we have from (1.1) that

$$\begin{aligned} \tilde{f}(x) &\leq \sup_{0 < r < r_0} \frac{1}{\mu(B(x, r))} \int_{B(x, r)} (g(x) + g(y)) d\mu(y) \\ &= g(x) + \sup_{0 < r < r_0} \frac{1}{\mu(B(x, r))} \int_{B(x, r)} g(y) d\mu(y) \in L^p(\mu), \end{aligned}$$

since

$$(1.4) \quad M(g)(x) := \sup_{0 < r < r_0} \frac{1}{\mu(B(x, r))} \int_{B(x, r)} g(y) d\mu(y) \in L^p(\mu),$$

due to the doubling condition (1.2) (see for example [7]). Conversely, let  $\tilde{f} \in L^p(\mu)$ . Fix  $x, y \in F$  such that  $0 < r := d(x, y) < \frac{1}{2}r_0$ . Then we see that, using (1.2),

$$\begin{aligned} |f(x) - f(y)| &\leq \frac{1}{\mu(B(x, r))} \int_{B(x, r)} (|f(x) - f(z)| + |f(z) - f(y)|) d\mu(z) \\ &\leq \frac{1}{\mu(B(x, r))} \int_{B(x, r)} \frac{r^\sigma}{d(z, x)^\sigma} |f(x) - f(z)| d\mu(z) \\ &\quad + \frac{1}{\mu(B(x, r))} \int_{B(y, 2r)} \frac{(2r)^\sigma}{d(z, y)^\sigma} |f(z) - f(y)| d\mu(y) \\ &\leq r^\sigma \left( \tilde{f}(x) + \frac{2^\sigma \mu(B(y, 2r))}{\mu(B(x, r))} \tilde{f}(y) \right) \\ &\leq C r^\sigma (\tilde{f}(x) + \tilde{f}(y)) \\ &= C q(x, y) (\tilde{f}(x) + \tilde{f}(y)), \end{aligned}$$

proving that  $f \in M^p(\mu)$  if  $\tilde{f} \in L^p(\mu)$ . Here and in the sequel, we denote by  $C$  the general constant whose value may be different at a different occurrence. The function  $\tilde{f}$  defined as in (1.3) is the upper gradient of  $f$  (multiple a constant). In what follows we will focus on a class of Hajłasz–Sobolev type spaces where  $q(x, y) = d(x, y)^\sigma$  and  $1 < \sigma < \infty$ , and we denote this space by  $M_\sigma^p(\mu)$ .

For  $1 \leq p < \infty$  and  $0 < \sigma < \infty$ , we say that  $f \in \text{Lip}(\sigma, p, \infty)(\mu)$  if  $f \in L^p(\mu)$  and

$$(1.5) \quad \begin{aligned} W_{\sigma, p}(f)^p &:= \sup_{0 < r < r_0} r^{-\sigma p} \int_F \left\{ \frac{1}{\mu(B(x, r))} \int_{B(x, r)} |f(x) - f(y)|^p d\mu(y) \right\} d\mu(x) \\ &< \infty. \end{aligned}$$

The norm of  $f \in \text{Lip}(\sigma, p, \infty)(\mu)$  is defined by

$$\|f\|_{\text{Lip}(\sigma, p, \infty)(\mu)} = \|f\|_p + W_{\sigma, p}(f).$$

It is easy to see that  $\text{Lip}(\sigma, p, \infty)(\mu)$  is a Banach space for  $1 \leq p < \infty$  and  $0 < \sigma < \infty$  (cf. [10], [11]). By (1.1), we see that

$$M_\sigma^p(\mu) \subset \text{Lip}(\sigma, p, \infty)(\mu)$$

if  $\mu$  is a *doubling measure*. The converse is also true if  $F$  is a smooth domain in  $\mathbf{R}^n$  and  $\mu$  is the Lebesgue measure, see [9]. However, if  $F$  is irregular, the converse may not be true. But for an  $\alpha$ -regular measure  $\mu$ , the space  $M_\sigma^p(\mu)$  is arbitrarily close to  $\text{Lip}(\sigma, p, \infty)(\mu)$ . We say that a measure is  $\alpha$ -regular if there exists a constant  $c_3 > 0$  such that

$$(1.6) \quad c_3^{-1} r^\alpha \leq \mu(B(x, r)) \leq c_3 r^\alpha$$

for all  $x \in F$  and all  $0 < r < r_0$  (some  $r_0 > 0$ ). It is not hard to see that if  $\mu$  is  $\alpha$ -regular, then

$$(1.7) \quad W_{\sigma,p}(f)^p \simeq \sup_{m \geq 1} 2^{m(\alpha + \sigma p)} \int_F \int_{B(x, c_0 2^{-m})} |f(x) - f(y)|^p d\mu(y) d\mu(x),$$

for any fixed  $c_0 > 0$ .

**Proposition 1.1** *Let  $1 < p < \infty$  and  $0 < \sigma < \infty$ , and let  $0 < \alpha < \infty$ . Assume that  $\mu$  is  $\alpha$ -regular. Then*

$$\text{Lip}(\sigma, p, \infty)(\mu) \subset M_{\sigma-\theta}^p(\mu)$$

for any  $0 < \theta < \sigma$ .

*Proof.* See [9].  $\square$

Proposition 1.1 says that  $M_\sigma^p(\mu)$  is slightly smaller than the *Besov space*  $\text{Lip}(\sigma, p, \infty)(\mu)$  if  $\mu$  is  $\alpha$ -regular.

## 2. Examples

In this section we show that  $M_\sigma^p(\mu)$  is non-trivial for any  $1 < p < \infty$  and  $\sigma (> 1)$  in a certain range, if the underlying metric space is irregular. We take the Sierpinski gasket in  $\mathbf{R}^2$  for an example. The proof is quite technical.

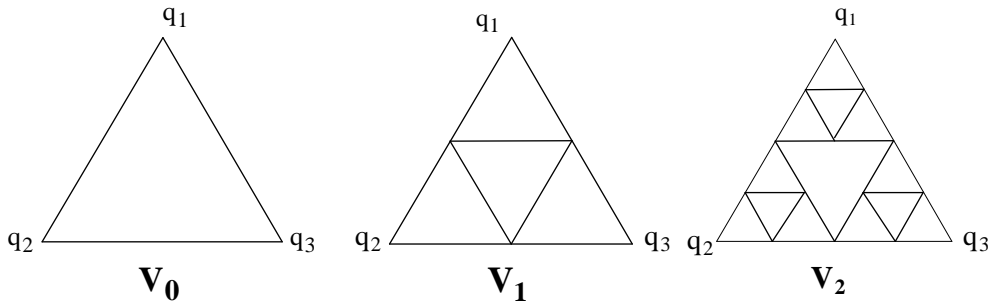


Figure 1.

Let  $F$  be the Sierpinski gasket in  $\mathbf{R}^2$ , that is,  $F$  is the unique non-empty compact subset of  $\mathbf{R}^2$  determined by

$$F = \bigcup_{i=1}^3 \phi_i(F),$$

where  $\phi_i(x) = \frac{1}{2}(q_i + x)$ ,  $x \in \mathbf{R}^2$  ( $1 \leq i \leq 3$ ), and  $q_1, q_2, q_3$  are the three vertices of an equilateral triangle in  $\mathbf{R}^2$ . Alternatively, we may view the Sierpinski gasket  $F$  as the closure of  $V_* = \bigcup_{m=1}^{\infty} V_m$  under the Euclidean metric, where  $V_m = \bigcup_{i=1}^3 \phi_i(V_{m-1})$ ,  $m \geq 1$ , and  $V_0 = \{q_1, q_2, q_3\}$ , see Figure 1. For  $p = 2$ , Kigami [12] constructed a *local regular Dirichlet form* on  $F$  by using the difference scheme. Jonsson [10] identified the *domain* of this Dirichlet form with a Besov space. Recently, Herman, Peirone and Strichartz [8] have extended Kigami’s result to the case  $1 < p < \infty$ . Here we briefly describe the main result in [8] that will lead to our example. For  $1 < p < \infty$ , let  $E_p: \mathbf{R}^3 \rightarrow [0, \infty]$  be given by

$$E_p(a_1, a_2, a_3) = |a_1 - a_2|^p + |a_2 - a_3|^p + |a_3 - a_1|^p, \quad a_1, a_2, a_3 \in \mathbf{R},$$

and define

$$(2.1) \quad E_p^{(m)}(f) := \sum_{|\omega|=m} E_p(f(\phi_\omega(q_1)), f(\phi_\omega(q_2)), f(\phi_\omega(q_3))), \quad m \geq 1,$$

for any  $f: F \rightarrow \mathbf{R}$ , where the summation is taken over all words  $\omega$  of length  $m$ , and  $\phi_\omega(q_1) = \phi_{i_1} \circ \phi_{i_2} \circ \cdots \circ \phi_{i_m}(q_1)$  for the word  $\omega = i_1 i_2 \cdots i_m$  ( $i_k \in \{1, 2, 3\}$  for  $1 \leq k \leq m$ ). Let  $A_p: \mathbf{R}^3 \rightarrow [0, \infty]$  be a function satisfying (among other properties)

$$(2.2) \quad c_4^{-1} E_p(a) \leq A_p(a) \leq c_4 E_p(a)$$

for some positive constant  $c_4$  and for all  $a := (a_1, a_2, a_3) \in \mathbf{R}^3$ ; in particular,  $A_p$  solves the *renormalization problem*: Given  $a \in \mathbf{R}^3$  and letting

$$(2.3) \quad A_p^{(2)}(a, b) := A_p(a_1, b_2, b_3) + A_p(b_1, a_2, b_3) + A_p(b_1, b_2, a_3)$$

for  $b = (b_1, b_2, b_3) \in \mathbf{R}^3$ ,

we have that there exists a number  $r_p$  such that

$$(2.4) \quad \min_{b \in \mathbf{R}^3} A_p^{(2)}(a, b) = r_p A_p(a) \quad \text{for all } a \in \mathbf{R}^3.$$

Such a function  $A_p$  was shown to exist in [8]. Moreover, the number  $r_p$  is unique (independent of the choice of  $A_p$ ) and satisfies

$$(2.5) \quad 2^{1-p} \leq r_p \leq 2^{p-1} \left(1 + \sqrt{1 + 2^{3-1/(p-1)}}\right)^{1-p} < 3 \cdot 2^{-p},$$

see Lemma 3.8 in [8]. We mention that  $r_p = \frac{3}{5}$  for  $p = 2$ . The function  $A_p$  may or may not be unique on  $F$ ; what is important is that the renormalization factor  $r_p$  is unique which reflects the intrinsic properties of the Sierpinski gasket  $F$ . Now, for any  $f: F \rightarrow \mathbf{R}$  we define the  $p$ -energy  $\mathcal{E}(f)$  of  $f$  on  $F$  as the limit of

$$(2.6) \quad \mathcal{E}_m(f) = r_p^{-m} \sum_{|\omega|=m} A_p(f(\phi_\omega(q_1)), f(\phi_\omega(q_2)), f(\phi_\omega(q_3))), \quad m \geq 1,$$

that is,

$$(2.7) \quad \mathcal{E}(f) = \lim_{m \rightarrow \infty} \mathcal{E}_m(f).$$

Note that (2.7) makes sense since

$$\{\mathcal{E}_m(f)\}_m$$

is increasing in  $m$  for any function  $f$ , due to the renormalization problem. Note that

$$(2.8) \quad c_5^{-1} \mathcal{E}_m(f) \leq r_p^{-m} E_p^{(m)} \leq c_5 \mathcal{E}_m(f)$$

for all  $m \geq 1$  and all  $f: F \rightarrow \mathbf{R}$ , where  $c_5 > 0$ . Let

$$(2.9) \quad \mathcal{D}(\mathcal{E}) = \{f \in C(F) : \mathcal{E}(f) < \infty\},$$

termed the *domain* of the  $p$ -energy, where  $C(F)$  denotes the space of all continuous functions on  $F$  with the usual supremum norm. It was shown that  $\mathcal{D}(\mathcal{E})$  is dense in  $C(F)$ , see [8]. The space  $\mathcal{D}(\mathcal{E})$  will provide a *critical exponent*  $\beta_p := \log_2(3r_p^{-1})$  (some  $r_p > 0$ ) that determines whether or not a Hajlasz–Sobolev type space  $M_\sigma^p(\mu)$  ( $1 < p < \infty$ ) is non-trivial. To see this, we first identify  $\mathcal{D}(\mathcal{E})$  with a Besov space.

**Theorem 2.1.** *Let  $\mu$  be the  $\alpha := \log_2 3$ -dimensional Hausdorff measure on  $F$ . Then*

$$(2.10) \quad W_{\beta_p/p, p}(f)^p \simeq \mathcal{E}(f)$$

for all  $f \in C(F)$ , where  $W_{\beta_p/p, p}(f)$  is defined as in (1.5) and  $\beta_p = \log_2(3r_p^{-1})$ . Thus

$$(2.11) \quad \mathcal{D}(\mathcal{E}) = \text{Lip}(\beta_p/p, p, \infty)(\mu),$$

where  $\mathcal{D}(\mathcal{E})$  is defined as in (2.9).

**Remarks. 1.** When  $p = 2$ , we have that  $r_p = \frac{3}{5}$  and so  $\beta_p = \log_2 5$ , the walk dimension of the Sierpinski gasket.

**2.** If  $\mu$  is  $\alpha$ -regular and  $\sigma > \alpha/p$ , then  $\text{Lip}(\sigma, p, \infty)(\mu)$  is embedded into the Hölder space with exponent  $\sigma - \alpha/p$  on  $F$ , that is,

$$(2.12) \quad |f(x) - f(y)| \leq C |x - y|^{\sigma - \alpha/p} W_{\sigma,p}(f)$$

for all  $f \in \text{Lip}(\sigma, p, \infty)(\mu)$ , where  $C$  is independent of  $x$ ,  $y$  and  $f$ ; see for example a direct proof in [4]. Thus

$$\text{Lip}(\beta_p/p, p, \infty)(\mu) \subset C(F),$$

since  $\beta_p/p > \alpha/p$  (due to  $r_p < 1$ ).

**3.** By Theorem 2.1, the domain of the  $p$ -energy coincides with  $\text{Lip}(\beta_p/p, p, \infty)(\mu)$  if  $\mu$  is the Hausdorff measure. For other measures this may not be true.

*Proof.* The proof given here is motivated by [10] (see also [15], [17]) but with some modifications. We first show that

$$(2.13) \quad W_{\beta_p/p,p}(f)^p \leq C \mathcal{E}(f)$$

for all  $f \in \mathcal{D}(\mathcal{E})$ . To see this, let  $f \in \mathcal{D}(\mathcal{E})$ . Let

$$(2.14) \quad f_k(x) = \begin{cases} \frac{1}{3} [f(\phi_\omega(q_1)) + f(\phi_\omega(q_2)) + f(\phi_\omega(q_3))], & \text{if } x \in \phi_\omega(F) \setminus \phi_\omega(V_0), \\ f(x), & \text{if } x \in \phi_\omega(V_0) \end{cases}$$

for  $|\omega| = k$  ( $k \geq 1$ ). Since  $F$  is compact and  $f$  is continuous on  $F$ , the piecewise constant function  $f_k$  converges to  $f$  pointwise on  $F$  as  $k \rightarrow \infty$ . If we can show that, for some  $c_0 > 0$  (e.g.  $c_0 = \sqrt{3}/2$ ),

$$(2.15) \quad 2^{(\alpha + \beta_p)m} \int_F \int_{|y-x| < c_0 2^{-m}} |f_{m+k}(y) - f_{m+k}(x)|^p d\mu(y) d\mu(x) \leq C \mathcal{E}(f)$$

for all integers  $m, k \geq 1$ , where  $C$  is independent of  $f$ , then (2.13) follows by letting  $k \rightarrow \infty$  in (2.15) and using Fatou's lemma, and (1.7). It remains to prove (2.15). For two words  $\omega$  and  $\tau$  with  $|\tau| = |\omega| = m$ , we denote by  $\tau \underset{m}{\sim} \omega$  if

$\phi_\tau(F) \cap \phi_\omega(F) \neq \emptyset$  (we allow that  $\tau = \omega$ ). Note that

$$\begin{aligned}
(2.16) \quad I_{m+k}(f) &:= \int_F \int_{|y-x| < c_0 2^{-m}} |f_{m+k}(y) - f_{m+k}(x)|^p d\mu(y) d\mu(x) \\
&\leq \sum_{|\omega|=m} \sum_{\substack{\tau \sim \omega \\ m}} \int_{\phi_\omega(F)} \int_{\phi_\tau(F)} |f_{m+k}(y) - f_{m+k}(x)|^p d\mu(y) d\mu(x) \\
&\leq \sum_{|\omega|=m} \sum_{\substack{\tau \sim \omega \\ m}} \int_{\phi_\omega(F)} \int_{\phi_\tau(F)} 2^{p-1} (|f_{m+k}(y) - f(x_{\omega,\tau})|^p \\
&\quad + |f(x_{\omega,\tau}) - f_{m+k}(x)|^p) d\mu(y) d\mu(x) \\
&\leq 8 \cdot 2^{p-1} \cdot 3^{-m} \sum_{|\omega|=m} \int_{\phi_\omega(F)} |f_{m+k}(x) - f(x_{\omega,\tau})|^p d\mu(x),
\end{aligned}$$

where we have used the fact that  $\mu(\phi_\omega(F)) = 3^{-m}$  and  $\#\{\tau : \tau \sim \omega\} \leq 4$  for  $|\omega| = m$ ,  $m \geq 1$ , and where  $x_{\omega,\tau}$  is some point in  $\phi_\omega(V_0)$  (in fact  $x_{\omega,\tau}$  is the unique intersection point of two sets  $\phi_\omega(V_0)$  and  $\phi_\tau(V_0)$ ). Noting that  $\mu(x) = 0$  for any single point  $x \in F$ , it follows from (2.14) that

$$\begin{aligned}
&\int_{\phi_\omega(F)} |f_{m+k}(x) - f(x_{\omega,\tau})|^p d\mu(x) \\
&= \sum_{|\tau|=k} \int_{\phi_{\omega \cdot \tau}(F)} \left| \frac{1}{3} \sum_{j=1}^3 f(\phi_{\omega \cdot \tau}(q_j)) - f(x_{\omega,\tau}) \right|^p d\mu(x) \\
&= 3^{-(m+k)} \sum_{|\tau|=k} \left| \frac{1}{3} \sum_{j=1}^3 (f(\phi_{\omega \cdot \tau}(q_j)) - f(x_{\omega,\tau})) \right|^p \\
&\leq 3^{-(m+k)-1} \sum_{j=1}^3 \sum_{|\tau|=k} |f(\phi_{\omega \cdot \tau}(q_j)) - f(x_{\omega,\tau})|^p
\end{aligned}$$

which combines with (2.16) to give that

$$(2.17) \quad I_{m+k}(f) \leq 2^{p+2} \cdot 3^{-(2m+k)-1} \sum_{j=1}^3 \sum_{|\omega|=m, x_{\omega,\tau} \in \phi_\omega(V_0)} \sum_{|\tau|=k} |f(\phi_{\omega \cdot \tau}(q_j)) - f(x_{\omega,\tau})|^p.$$

Let  $q_j$  and  $\tau := i_1 i_2 \cdots i_k$  be fixed, and set  $x_k = \phi_{\omega \cdot \tau}(q_j)$  and  $x_0 := x_{\omega,\tau} = \phi_\omega(q_0)$  for some  $q_0 \in V_0$ . We let  $x_l = \phi_{\omega \cdot i_1 i_2 \cdots i_l}(q_0)$ ,  $1 \leq l \leq k-1$ , and obtain a sequence of points  $\{x_l\}_{l=0}^k$  (some of points may be the same). Repeatedly using the



elementary inequality  $|a+b|^p \leq 2^{p-1}(|a|^p + |b|^p)$  for any  $a, b \in \mathbf{R}$  and  $1 \leq p < \infty$ , we see that

$$\begin{aligned} |f(\phi_{\omega \cdot \tau}(q_j)) - f(x_{\omega, \tau})|^p &= |f(x_k) - f(x_0)|^p \\ &\leq \sum_{l=1}^k 2^{(p-1)l} |f(x_l) - f(x_{l-1})|^p \\ &\leq \sum_{l=1}^k 2^{(p-1)l} \widehat{E}_{i_1, \dots, i_{l-1}}^{(\omega, p)}(f), \end{aligned}$$

where

$$\widehat{E}_{i_1, \dots, i_{l-1}}^{(\omega, p)}(f) = \sum_{i=1}^3 E_p(f \circ \phi_{\omega \cdot i_1 i_2 \dots i_{l-1} \cdot i}(q_1), f \circ \phi_{\omega \cdot i_1 i_2 \dots i_{l-1} \cdot i}(q_2), f \circ \phi_{\omega \cdot i_1 i_2 \dots i_{l-1} \cdot i}(q_3)).$$

Thus

$$\begin{aligned} \sum_{|\tau|=k} |f(\phi_{\omega \cdot \tau}(q_j)) - f(x_{\omega, \tau})|^p &\leq \sum_{i_1, i_2, \dots, i_k} \sum_{l=1}^k 2^{(p-1)l} \widehat{E}_{i_1, \dots, i_{l-1}}^{(\omega, p)}(f) \\ (2.18) \qquad \qquad \qquad &= \sum_{l=1}^k 2^{(p-1)l} \cdot 3^{k-(l-1)} \sum_{i_1, \dots, i_{l-1}} \widehat{E}_{i_1, \dots, i_{l-1}}^{(\omega, p)}(f). \end{aligned}$$

Observe that

$$\begin{aligned} \sum_{|\omega|=m} \sum_{i_1, \dots, i_{l-1}} \widehat{E}_{i_1, \dots, i_{l-1}}^{(\omega, p)}(f) &= \sum_{|\omega|=m+l} E_p(f(\phi_{\omega}(q_1)), f(\phi_{\omega}(q_2)), f(\phi_{\omega}(q_3))) \\ (2.19) \qquad \qquad \qquad &= E_p^{(m+l)}(f) \\ &\leq c_5 r_p^{m+l} \mathcal{E}_{m+l}(f) \\ &\leq c_5 r_p^{m+l} \mathcal{E}(f) \end{aligned}$$

by using (2.8) and the monotonicity of  $\mathcal{E}_m(f)$  in  $m$ . Combining (2.17), (2.18) and (2.19), we have that

$$\begin{aligned} I_{m+k}(f) &\leq C 3^{-2m} \sum_{l=1}^k 2^{(p-1)l} \cdot 3^{-l} r_p^{m+l} \mathcal{E}(f) \\ &\leq C r_p^m \cdot 3^{-2m} \mathcal{E}(f) \sum_{l=1}^{\infty} 2^{(p-1)l} 3^{-l} r_p^l \\ &\leq C r_p^m \cdot 3^{-2m} \mathcal{E}(f) = C 2^{-m(\alpha+\beta_p)} \mathcal{E}(f), \end{aligned}$$

where we have used the fact that

$$\sum_{l=1}^{\infty} 2^{(p-1)l} 3^{-l} r_p^l < \infty$$

since  $2^{p-1} 3^{-1} r_p < 1$  by virtue of (2.5). Therefore, (2.15) follows.

We next show that

$$(2.20) \quad \mathcal{E}(f) \leq CW_{\beta_p/p, p}(f)^p$$

for all  $f \in \text{Lip}(\beta_p/p, p, \infty)(\mu)$ . By (2.8), it is sufficient to show that

$$(2.21) \quad \mathcal{E}_p^{(m)}(f) := r_p^{-m} \sum_{|\omega|=m} \sum_{u, v \in \phi_\omega(V_0)} |f(u) - f(v)|^p \leq CW_{\beta_p/p, p}(f)^p$$

for all  $f \in \text{Lip}(\beta_p/p, p, \infty)(\mu)$  and all  $m \geq 1$ . Let  $f \in \text{Lip}(\beta_p/p, p, \infty)(\mu)$ . By Remark 2 above we see that  $f$  is continuous on  $F$ . Noting that

$$|f(u) - f(v)|^p \leq 2^{p-1} (|f(u) - f(x)|^p + |f(x) - f(v)|^p),$$

we have that

$$|f(u) - f(v)|^p \leq \frac{2^{p-1}}{\mu(\phi_\omega(F))} \int_{\phi_\omega(F)} (|f(u) - f(x)|^p + |f(x) - f(v)|^p) d\mu(x).$$

It follows from (2.21) that

$$(2.22) \quad \mathcal{E}_p^{(m)}(f) \leq 6 \cdot 2^{p-1} r_p^{-m} \sum_{|\omega|=m} \sum_{u \in \phi_\omega(V_0)} \frac{1}{\mu(\phi_\omega(F))} \int_{\phi_\omega(F)} |f(u) - f(x)|^p d\mu(x).$$

Now let  $x \in \phi_\omega(F)$  and  $u \in \phi_\omega(V_0)$  be fixed. There exists a point  $p_0 \in V_0$  such that  $u = \phi_\omega(p_0)$ . We take  $i_0$  such that  $\phi_{i_0}(p_0) = p_0$ . Set

$$S_0 = \phi_\omega(F), \quad S_1 = \phi_{\omega \cdot \underbrace{i_0 \cdot i_0 \cdots i_0}_{k \text{ times}}}(F), \quad S_2 = \phi_{\omega \cdot \underbrace{i_0 \cdot i_0 \cdots i_0}_{2k \text{ times}}}(F), \dots,$$

where  $k$  is an integer to be determined below. It is easy to see that  $u \in S_j$  for each  $j \geq 0$ , and the sequence of the sets  $\{S_j\}$  shrinks to the single point  $u$ . For each  $x := x_{\omega, \tau} \in S_0$ ,  $x_j \in S_j$  and each  $l \geq 1$ ,

$$\begin{aligned} |f(u) - f(x)|^p &\leq 2^{p-1} (|f(u) - f(x_l)|^p + |f(x_l) - f(x_{\omega, \tau})|^p) \\ &\leq 2^{p-1} |f(u) - f(x_l)|^p + \sum_{j=1}^l 2^{(p-1)(j+1)} |f(x_j) - f(x_{j-1})|^p. \end{aligned}$$

Integrating the above inequality with respect to each  $x_j \in S_j$  ( $0 \leq j \leq l$ ) and then dividing by  $\mu(S_0)\mu(S_1)\cdots\mu(S_l)$ , we obtain that

$$(2.23) \quad \begin{aligned} & \frac{1}{\mu(\phi_\omega(F))} \int_{\phi_\omega(F)} |f(u) - f(x)|^p d\mu(x) \leq \frac{2^{p-1}}{\mu(S_l)} \int_{S_l} |f(u) - f(x_l)|^p d\mu(x_l) \\ & + \sum_{j=1}^l 2^{(p-1)(j+1)} \frac{1}{\mu(S_{j-1})\mu(S_j)} \\ & \quad \times \int_{S_{j-1}} \int_{S_j} |f(x_j) - f(x_{j-1})|^p d\mu(x_j) d\mu(x_{j-1}). \end{aligned}$$

Noting that  $\mu(S_j) = 3^{-(m+kj)}$  for each  $j \geq 0$  and

$$\begin{aligned} & \int_{S_{j-1}} \int_{S_j} |f(x_j) - f(x_{j-1})|^p d\mu(x_j) d\mu(x_{j-1}) \\ & \leq \int_{S_0} \int_{|\xi-\eta| \leq 2^{-(m+(j-1)k)}} |f(\xi) - f(\eta)|^p d\mu(\xi) d\mu(\eta), \end{aligned}$$

we have from (2.22) and (2.23) that

$$(2.24) \quad \begin{aligned} \mathcal{E}_p^{(m)}(f) & \leq 6 \cdot 2^{p-1} r_p^{-m} \sum_{|\omega|=m} \sum_{u \in \phi_\omega(V_0)} \left\{ \frac{2^{p-1}}{\mu(S_l)} \int_{S_l} |f(u) - f(x_l)|^p d\mu(x_l) \right. \\ & + \sum_{j=1}^l 2^{(p-1)(j+1)} 3^{(2m+(2j-1)k)} \\ & \quad \left. \times \int_{\phi_\omega(F)} \int_{|\xi-\eta| \leq 2^{-(m+(j-1)k)}} |f(\xi) - f(\eta)|^p d\mu(\xi) d\mu(\eta) \right\}. \end{aligned}$$

Letting  $l \rightarrow \infty$ , we have that the first term on the right-hand side in (2.24) tends to zero since  $f$  is continuous and

$$\frac{1}{\mu(S_l)} \int_{S_l} |f(u) - f(x_l)|^p d\mu(x_l) \rightarrow 0 \text{ as } l \rightarrow \infty,$$

and the second term is less than

$$(2.25) \quad \begin{aligned} & C r_p^{-m} \sum_{j=1}^{\infty} 2^{(p-1)(j+1)} 3^{(2m+(2j-1)k)} \int_F \int_{|\xi-\eta| \leq c_0 2^{-(m+jk)}} |f(\xi) - f(\eta)|^p d\mu(\xi) d\mu(\eta) \\ & \leq C 3^{2m} r_p^{-m} \sum_{j=1}^{\infty} 2^{(p-1)j} 3^{2jk} 2^{-(m+jk)(\alpha+\beta_p)} W_{\beta_p/p,p}(f)^p \\ & = C 3^{2m} r_p^{-m} W_{\beta_p/p,p}(f)^p \sum_{j=1}^{\infty} 2^{(p-1)j} 3^{2jk} (3^{-2} r_p)^{m+jk} \\ & = C W_{\beta_p/p,p}(f)^p \sum_{j=1}^{\infty} 2^{(p-1)j} r_p^{jk}, \end{aligned}$$

since  $2^{-(\alpha+\beta_p)} = r_p \cdot 3^{-2}$ . Since  $r_p < 1$ , we take  $k$  so large that  $r_p^k < 2^{-(p-1)}$ , and so

$$\sum_{j=1}^{\infty} 2^{(p-1)j} r_p^{jk} < \infty.$$

Therefore,

$$\mathcal{E}_p^{(m)}(f) \leq CW_{\beta_p/p, p}(f)^p$$

for all  $f \in \text{Lip}(\beta_p/p, p, \infty)(\mu)$  and all  $m \geq 1$ , proving (2.21). The other statement is obvious.  $\square$

**Corollary 2.1.** *Let  $\beta_p = \log_2(3r_p^{-1})$  as above. Then the space  $\text{Lip}(\bar{\beta}/p, p, \infty)(\mu)$  defined on the Sierpinski gasket in  $\mathbf{R}^2$  contains only constant functions if  $\bar{\beta} > \beta_p$ .*

*Proof.* By (2.24), (2.25), we see that

$$\mathcal{E}_p^{(m)}(f) \leq CW_{\bar{\beta}/p, p}(f)^p 2^{-m(\bar{\beta}-\beta_p)}$$

for all  $m \geq 1$  and all  $f \in \text{Lip}(\bar{\beta}/p, p, \infty)(\mu)$ . Thus we have that

$$\mathcal{E}(f) = \lim_{m \rightarrow \infty} \mathcal{E}_m(f) \leq C \lim_{m \rightarrow \infty} \mathcal{E}_p^{(m)}(f) = 0,$$

giving that  $f = \text{const}$ .  $\square$

**Theorem 2.2.** *Let  $F$  be the Sierpinski gasket in  $\mathbf{R}^2$  and  $\mu$  be the  $\alpha$ -dimensional Hausdorff measure on  $F$ , where  $\alpha = \log_2 3$ . Let  $1 < p < \infty$ . Then there exists some  $r_p \in [2^{1-p}, 3 \cdot 2^{-p})$  such that the Hajlasz–Sobolev space  $M_\sigma^p(\mu)$  is dense in  $C(F)$  for all  $\sigma < p^{-1} \log_2(3r_p^{-1})$ ; in particular, the space  $M_\sigma^p(\mu)$  is non-trivial if  $1 < \sigma < \log_4 5$  when  $p = 2$ . Moreover,  $M_\sigma^p(\mu)$  is trivial if  $\sigma \geq 1 + 1/p$ .*

*Proof.* By Theorem 2.1, the space  $\text{Lip}(\sigma, p, \infty)(\mu)$  is dense in  $C(F)$  if

$$0 < \sigma \leq \frac{\beta_p}{p} = p^{-1} \log_2(3r_p^{-1}).$$

Since  $\mu$  is  $\alpha$ -regular, we see from Proposition 1.1 and (2.5) that there exists some  $r_p \in [2^{1-p}, 3 \cdot 2^{-p})$  such that  $M_\sigma^p(\mu)$  is dense in  $C(F)$  if  $0 < \sigma < p^{-1} \log_2(3r_p^{-1})$ . By Corollary 2.1, the space  $\text{Lip}(\sigma, p, \infty)(\mu)$  is trivial if  $\sigma \geq 1 + 1/p > \beta_p/p$  (due to  $r_p \geq 2^{1-p}$ ). Thus the fact that  $M_\sigma^p \subset \text{Lip}(\sigma, p, \infty)$  implies that  $M_\sigma^p(\mu)$  is also trivial if  $\sigma \geq 1 + 1/p$ .  $\square$

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