# BANACH SPACES WHOSE BOUNDED SETS ARE BOUNDING IN THE BIDUAL

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**Abstract.** We discuss necessary conditions for a Banach space to satisfy the property that its bounded sets are bounding in its bidual space. Apart from the classic case of  $c_0$ , we prove that, among others, the direct sum  $c_0(l_2^n)$  is another example of spaces having such property.

A subset B of a complex Banach space X is said to be *bounding* if every entire function defined on X is bounded on B. Such a set is also a limited set (see below) and, of course, every relatively compact set is bounding. If X is such that every bounding subset is relatively compact, then X is called a *Gelfand– Phillips* space and there is some literature devoted to the topic. Gelfand–Phillips spaces are characterized also as those whose sequences converging against entire functions are norm convergent [7]. B. Josefson [12] and T. Schlumprecht [15] found simultaneously and independently examples of complex Banach spaces containing limited non-bounding (hence non-relatively compact) sets.

By H(X) we denote the space of entire functions defined on X, that is, functions that are Fréchet differentiable at every point of X.  $H_b(X)$  is the subspace of all  $f \in H(X)$  such that f is bounded on bounded sets; these are the so-called entire functions of bounded type.

Bounding sets are related as well to the extension of holomorphic functions to a larger space in the following way: Let  $E \subset F$  be complex Banach spaces. Every bounded subset of E is bounding in F if, and only if, every  $f \in H(E)$  having a holomorphic continuation to F is of bounded type. In the particular instance of  $F = E^{**}$  it is known that every  $f \in H_b(E)$  has a holomorphic extension to  $E^{**}$ . Therefore, in this case the only holomorphic functions which may be extended to

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the bidual are those of bounded type if, and only if, every bounded set in E is bounding in  $E^{**}$ .

As far as we know there is essentially one known case  $(E = c_0)$  where the above mentioned (equivalent) conditions hold. This was proved among other results by B. Josefson in his 1978 paper [11]. Clearly, no reflexive infinite-dimensional Banach space satisfies those conditions and neither the conditions hold in general for quasi-reflexive Banach spaces, i.e., spaces of finite codimension in its bidual, the conditions may not hold: Consider, for instance, the Q-reflexive (see [1]) and quasi-reflexive Tsirelson–James space  $T_J^*$ . Its bidual space is separable and hence there the bounding sets are relatively compact (see [5, 4.26]). So the bounded sets in  $T_J^*$  are not bounding in  $(T_J^*)^{**}$ .

Our aim is to study this situation and to provide further examples of Banach spaces whose bounded sets are bounding in the bidual. So we consider the class  $\mathscr{F}$  of Banach spaces

$$\mathscr{F} = \{F : \text{every bounded set } B \subset F \text{ is a bounding set in } F^{**}\}.$$

We show that such a class  $\mathscr{F}$  is stable under products and quotients, but not under closed subspaces; however the closed subspaces of  $c_0$  do belong to the class. In the second part of the article we prove that  $c_0(l_2^n)$  the predual of C. Stegall's example of a Schur space whose dual lacks the Dunford–Pettis property [16] is a Banach space whose bounded sets are bounding in the bidual. Our argument does not rely on Josefson's paper and so provides another proof of the fact that  $c_0$  is in the class we are dealing with.

#### 1. Generalities

A subset *B* of a complex Banach space *X* is bounding if, and only if,  $\lim_{m} \|P_m\|_B^{1/m} = 0$  for all entire functions  $f = \sum_m P_m$ , where  $\sum_m P_m$  represents the Taylor series of  $f \in H(X)$  at the origin ([6, Lemma 4.50]). We will use this characterization in Section 2.

A subset A of a Banach space is called *limited* if weak\* null sequences in the dual space converge uniformly on A. The unit ball of an infinite-dimensional Banach space is not a limited set by the Josefson–Nissenzwieg theorem. An operator  $T: X \to Y$  is said to be limited if it maps the unit ball of X onto a limited set in Y. It turns out that T is limited if, and only if,  $T^*$  is weak\*-to-norm sequentially continuous. As we mentioned, each bounding set is a limited one, therefore if  $E \in \mathscr{F}$ , then the canonical inclusion  $E \to E^{**}$  is a limited operator.

Recall that a Banach space X has the property (P) of Pełczyński if for every  $K \subset X^*$  that is not weakly relatively compact, there exists a weakly Cauchy series  $\sum x_n$  in X such that  $\inf_n ||x_n||_K > 0$ . Every C(K) space has the (P) property (see [17, III.D.33]).

We refer to [5] for infinite-dimensional holomorphy background.

**Proposition 1.1.** If the canonical inclusion  $E \to E^{**}$  is a limited operator, then  $E^*$  is a Schur space. Conversely, if  $E^*$  is a Schur space and (i)  $E^{**}$  is a Grothendieck space or (ii) E has the (P) property, then the canonical embedding of E into  $E^{**}$  is limited.

Proof. If the canonical inclusion  $E \to E^{**}$  is a limited operator, then the natural projection  $\pi_{E^*}: E^{***} \to E^*$  is weak\*-to-norm sequentially continuous. Therefore the identity on  $E^*$  as a composition of the canonical embedding in  $E^{***}$  and the natural projection is weak-to-norm sequentially continuous, i.e.,  $E^*$  is a Schur space. For the converse statement (i), note that  $\pi_{E^*}: E^{***} \to E^*$  is weak-weak sequentially continuous, hence weak\*-to-norm sequentially continuous by the assumptions on  $E^*$  and  $E^{**}$ . And for the (ii) case,  $\pi_{E^*}: E^{***} \to E^*$  is weak\*-to-weak sequentially continuous by [10, Proposition 3.6, p. 131].  $\Box$ 

**Note.** If the canonical inclusion  $E \to E^{**}$  is a limited operator, then  $H_b(E) = H_{wu}(E)$ . This is so because then  $E^*$  is a Schur space, hence E has the Dunford–Pettis property and does not contain copies of  $l_1$ .

In the sequel, we aim at studying whether important classes of Banach spaces belong to the class  $\mathscr{F}$ .

Firstly, we observe that for no (isomorphic) infinite-dimensional dual space  $X^*$ , the canonical inclusion  $X^* \to X^{***}$  can be limited, since otherwise by composing it with the restriction to X operator,  $\varrho: X^{***} \to X^*$ , it would turn out that the identity on  $X^*$  would be a limited operator. Therefore, no dual space belongs to  $\mathscr{F}$ .

When does a C(K)-space belong to  $\mathscr{F}$ ? The above proposition shows that in this setting of Banach spaces of continuous functions the condition of  $E^*$  being a Schur space is equivalent to the bounded sets in E being bounding in the bidual: Indeed, if  $C(K)^*$  is a Schur space, then C(K) does not contain a copy of  $l_1$ , hence by [13] its bidual is isomorphic to  $l_{\infty}(I)$  for some set I. But then  $C(K)^{**}$ is a Grothendieck space, so the bounded sets in C(K) are limited in  $C(K)^{**}$  and furthermore bounding there because of [11, Theorem 1].

Since  $c_0 \in \mathscr{F}$  and it is an M-ideal in  $l^{\infty}$  one wonders whether there are any connections between  $\mathscr{F}$  and the class of Banach spaces E which are an M-ideal in  $E^{**}$ . We are to see that there is no a clear connection.

On one hand, for the Lorentz sequence space d(w, 1) ([10, p. 103]), its predual  $E = d_*(w, 1)$  is known to be an M-ideal in its bidual ([10, III 1.4]). However, the unit basis of d(w, 1) is weakly null, so d(w, 1) is not a Schur space. Hence, it turns out that the canonical inclusion  $E \to E^{**}$  is not a limited operator. Thus, not every bounded set in E is bounding in  $E^{**}$ . Another (simpler) example is the following: According to [10, III Examples 1.4(f) and (g)], for  $1 , the space <math>K(l_p)$  of compact linear operators of  $l_p$ , is an M-ideal in its bidual. However  $K(l_p)^*$  is not a Schur space since  $K(l_p)$  does not have the Dunford–Pettis property since it contains a complemented copy of the reflexive space  $l_p$ .

On the other hand, the condition of E being an M-ideal in  $E^{**}$  is not necessary for their bounded sets being bounding in  $E^{**}$ . The Bourgain–Delbaen Banach space Y—introduced in [2]—is shown there to satisfy  $Y^* \approx l_1$  and not to contain any copy of  $c_0$ . Thus  $Y^{**} \approx l_{\infty}$  is a Grothendieck space and Proposition 1.1 applies. Further, again by Josefson's result the limited sets in  $Y^{**}$  are bounding sets, so it turns out that the bounded sets in Y are bounding in  $Y^{**}$ . However, Y is not an M-ideal in its bidual, since if it were, then it would be isomorphic to  $c_0$  as a consequence of [10, III Theorem 3.11]. We also mention in passing that Yfails the (P) property.

**Corollary 1.2.** If the Banach space E is an M-ideal in its bidual, then the canonical inclusion  $E \to E^{**}$  is a limited operator if, and only if,  $E^*$  is a Schur space.

*Proof.* Since any Banach space which is an M-ideal in its bidual has the (P) property ([10, III Theorem 3.4]), it suffices to apply Proposition 1.1.  $\Box$ 

Is the class  $\mathscr{F}$  stable by closed subspaces? The Bourgain–Delbaen space Y also shows that  $\mathscr{F}$  is not stable by closed subspaces since, as Haydon [9] proved, Y contains a subspace isomorphic to some  $l_p$ , which clearly does not belong to  $\mathscr{F}$ .

Recall ([10, III Theorem 1.6]) that every subspace M of  $K(l_p)$  is also an M-ideal in its bidual and those subspaces which embed into  $c_0$  satisfy that  $M^*$  are Schur spaces ([14, p. 415]). Moreover, every closed subspace E of  $c_0$  is also an M-ideal in its bidual, has the Dunford–Pettis property and does not contain any copy of  $l_1$ , hence E has the (P) property and  $E^*$  is a Schur space. Therefore, the canonical inclusion  $E \to E^{**}$  is a limited operator. So the arising question is: Does every closed subspace of  $c_0$  have its bounded sets bounding in the bidual? Actually this question has a positive answer which reduces to Josefson's result that  $c_0 \in \mathscr{F}$ .

**Proposition 1.3.** The bounded sets in every closed subspace E of  $c_0$  are bounding in  $E^{**}$ .

*Proof.* To begin with, note that since E has the DP property and does not contain any copy of  $l_1$ ,  $H_b(E) = H_{wu}(E)$ , the space of entire functions on E which are weakly uniformly continuous on bounded sets. Moreover  $E^*$  is a separable space, hence by Corollary 12 in [3],  $H_{wu}(E) = H_{wsc}(E)$ , the space of weakly sequentially continuous entire functions on E.

Let f be entire on E with extension,  $\overline{f}$ , to  $E^{**}$ . If  $f \notin H_b(E)$ , f is not weakly sequentially continuous, thus there is a sequence  $(x_n) \subset E$  weakly convergent to  $a \in E$  such that  $(f(x_n))$  does not converge to f(a). By considering the function g(x) = f(x + a) we may assume that a = 0. Clearly,  $(x_n)$  cannot be a norm null sequence, hence by the Bessaga–Pełczyński selection principle (see [4, Chapter V, p. 46]) there is a subsequence of  $(x_n)$  which is a basic sequence equivalent to a block basic sequence taken with respect to the unit vector basis of  $c_0$ , and further such subsequence spans a Banach subspace X of E which is complemented in  $c_0$  along a projection p (see [8, Proposition 249]). There is no loss of generality assuming that the sequence  $(x_n)$  is such a subsequence. Now  $\bar{f}|_{X^{**}}$  is an extension to  $X^{**}$  of the function  $f|_X$ , and therefore,  $\bar{f}|_{X^{**}} \circ p^{**}$  is an extension of  $f|_X \circ p$  to  $l_\infty$ . Further, since  $c_0 \in \mathscr{F}$ , it follows that  $f|_X \circ p$  belongs to  $H_b(c_0)$ , so it has to be a weakly sequentially continuous function and this is prevented by the choice of  $(x_n) \subset X$ .  $\Box$ 

We end this section with another positive result.

**Proposition 1.4.**  $\mathscr{F}$  is stable by products and quotients.

Proof. (a) Let  $E, F \in \mathscr{F}$ . Let  $f \in H(E^{**} \times F^{**})$  and consider a bounded set in  $E \times F$  which we may suppose to be  $A \times B$  with  $A \subset E$  and  $B \subset F$  both bounded sets. We check that the collection  $\{f(x, \cdot)\}_{x \in A} \subset H(F^{**})$  is  $\tau_0$  bounded: Indeed, for any compact subset K of  $F^{**}$ , the collection  $\{f(\cdot, y)\}_{y \in K} \subset (H(E^{**}), \tau_0)$  is bounded, hence is  $\tau_{\delta}$  bounded ([5, 2.44, 2.46]). In addition, since A is bounding in  $E^{**}$ ,  $||f||_A = \sup\{|f(x)| : x \in A\}$  defines a  $\tau_{\delta}$  continuous seminorm in  $H(E^{**})$ by [5, 4.18], so we have

$$\sup_{x \in A} \sup_{y \in K} |f(x, y)| = \sup_{y \in K} \sup_{x \in A} |f(x, y)| = \sup_{y \in K} ||f(\cdot, y)||_A < \infty$$

as we wanted. Now, since B is bounding in  $F^{**}$ , we have that  $\{f(x, \cdot)\}_{x \in A}$  is bounded for the  $\|\cdot\|_B$  seminorm, hence  $\{|f(x, y)|\}_{x \in A, y \in B}$  is bounded and so  $A \times B$  is shown to be bounding in  $E^{**} \times F^{**}$ .

(b) If F is a quotient of a Banach space  $E \in \mathscr{F}$ , then F belongs to  $\mathscr{F}$  as well: Let  $q: E \to F$  be the quotient mapping and  $f \in H(F)$  with a holomorphic extension g to  $F^{**}$ . Then  $g \circ q^{tt}$  is a holomorphic function in  $E^{**}$  which extends  $f \circ q$ . Therefore,  $f \circ q \in H_b(E)$  and since any bounded subset  $A \subset F$  is contained in q(B) for some bounded set B, it follows that f is bounded in A.  $\square$ 

## **2.** The example $c_0(l_2^n)$

In this section we consider  $X = c_0(l_2^n)$ .  $X^*$  enjoys the Schur property, yet its dual fails the Dunford–Pettis property, and it was the first example of a space with the Dunford–Pettis property whose dual lacks it, a fact discovered by C. Stegall [16]. Thus in view of Proposition 1.1 we found it suitable to explore whether X belongs to  $\mathscr{F}$ .

We now set some notation. Consider a partition of  ${\bf N}$  by defining for each  $n \in {\bf N}$  the interval

$$I_n = \left[\frac{n(n-1)}{2} + 1, \frac{n(n+1)}{2}\right] \cap \mathbf{N}.$$

Stegall's example is

$$X^* := \bigg\{ (x_i) \in l^{\infty} : \sum_{n=1}^{\infty} \bigg( \sum_{i \in I_n} |x_i|^2 \bigg)^{1/2} < \infty \bigg\}.$$

The canonical predual of  $X^*$  is the space

$$X := \left\{ (x_i) \in l^{\infty} : \lim_{k \to \infty} \sup_{n \ge k} \left( \sum_{i \in I_n} |x_i|^2 \right)^{1/2} = 0 \right\}.$$

Observe that X coincides with the closed linear span in  $X^{**}$  of the unit vectors  $\{e_j = (\delta_{nj})_{n \in \mathbb{N}}, j \in \mathbb{N}\}$ .

The dual of  $X^*$  can be represented by

$$X^{**} = \bigg\{ (x_i) \in l^{\infty} : \sup_{n \in \mathbf{N}} \bigg( \sum_{i \in I_n} |x_i|^2 \bigg)^{1/2} < \infty \bigg\}.$$

Let S be a subset of **N**. A sequence of complex numbers  $x = (x_i)$  is said to have support in S if  $x_i = 0$  for each  $i \notin S$ . If, moreover, x belongs to a sequence space E, then we write  $x \in E_S$ .

B(E) denotes the closed unit ball of E whatever the normed space E be. For  $f: E \to \mathbf{C}$  we denote  $||f||_S = \sup_{x \in B(E_S)} |f(x)|$ .

Let  $(p_i)_{i \in \mathbf{N}}$  be a strictly increasing sequence of natural numbers. For each  $i \in \mathbf{N}$ , let  $\alpha_{p_i+1}, \ldots, \alpha_{p_{i+1}}$  be scalars at least one of which is nonzero, and let  $u_i = \sum_{j=p_i+1}^{p_{i+1}} \alpha_j e_j$  be a block sequence taken from  $(e_i)_{i \in \mathbf{N}}$ . The sequence  $(u_i)_{i \in \mathbf{N}}$  is called *totally disjoint* with respect to  $(I_n)_{n \in \mathbf{N}}$  whenever  $p_i \in I_{n_i}, p_{i+1} \in I_{n'_i}$  and  $n_i \neq n'_i$ .

We remark that a block sequence  $(u_i)_{i \in \mathbb{N}}$  may be written as  $u_i = \sum_{j \in U_i} \alpha_j e_j$ , where  $U_i = \bigcup_{j \in F_i} I_j$  and  $F_i$  is a finite subset of  $\mathbb{N}$ . Further, if  $p_i \in I_s$ ,  $p_{i+1} \in I_r$ such that  $I_r \cap I_s = \emptyset$  we can choose the subsets  $F_i$  such that  $\max F_i < \min F_{i+1}$ and in this case  $(u_i)_{i \in \mathbb{N}}$  is a totally disjoint sequence.

In the sequel we consider a sequence  $(U_n)_{n \in \mathbb{N}}$  of subsets of  $\mathbb{N}$  such that for each n

- (i)  $U_n = \bigcup_{j \in F_n} I_j$ , where  $F_n$  is a finite subset of **N** and
- (ii)  $\max F_n < \min F_{n+1}$ .

Condition (ii) implies  $U_n \cap U_m = \emptyset$  for each  $m \neq n$ .

It is not difficult to show that if the sequence  $(u_i)_{i \in \mathbf{N}}$ ,  $u_i = \sum_{j \in U_i} \alpha_j e_j$ , is totally disjoint and  $\sup_{i \in \mathbf{N}} ||u_i|| \leq C$  for some positive constant C, then the partial sums of the series  $\sum \theta_i u_i$  are bounded by  $C \cdot \sup_i |\theta_i|$  and so the formal series  $\sum \theta_i u_i$  defines an element in  $X^{**}$ .

To prove the next theorem we will make use of the following result which extends a similar one from Dineen ([6, p. 299]) valid for  $l_{\infty}$ .

**Lemma 2.1.** Let Q be a continuous polynomial in  $X^{**}$  such that Q(0) = 0. Let  $N' \subset \mathbf{N}$  be an infinite set. Then for each  $\varepsilon > 0$  there is an infinite subset  $S \subset N'$  such that

$$\|Q\|_{\cup_{n\in S}U_n}<\varepsilon.$$

*Proof.* First we consider a homogeneous polynomial P. Suppose that there is an  $\varepsilon > 0$  such that for each infinite subset  $S \subset N'$  we have

$$||P||_{\bigcup_{n\in S}U_n} > \varepsilon.$$

Let  $(S_i)_i$  be a disjoint partition of N' into an infinite number of infinite sets. Then

$$||P||_{\bigcup_{n\in S_i}U_n} > \varepsilon \quad \text{for all } i \in \mathbf{N}.$$

Thus, given  $i \in \mathbf{N}$  there is an  $x_i$  in  $X_{\bigcup_{n \in S_i} U_n}^{**}$  with  $||x_i|| \le 1$  such that  $|P(x_i)| > \varepsilon$ . Now, by Lemma 1.9 of [6] we have that

$$\sup_{|\theta_i|=1} \left| P\left(\sum_{i=1}^l \theta_i x_i\right) \right|^2 \ge \sum_{i=1}^l |P(x_i)|^2 > l\varepsilon^2.$$

Since the sequence  $(x_i)$  has pairwise disjoint support, it follows that for  $|\theta_i| = 1, i = 1, ..., l$  and any  $l \in \mathbf{N}$ , the combination  $\sum_{n=1}^{l} \theta_i x_i \in B(X^{**})$ . So, for all  $l \in \mathbf{N}$  we have

$$||P||^2 \ge \sup_{|\theta_i|=1} \left| P\left(\sum_{i=1}^l \theta_i x_i\right) \right|^2 \ge \sum_{i=1}^l |P(x_i)|^2 > l\varepsilon^2.$$

This leads to a contradiction when we let  $l \to \infty$ .

Finally, let Q be an arbitrary continuous polynomial with Q(0) = 0. Then there are homogeneous continuous polynomials  $P_j$  such that  $Q = \sum_{j=0}^{m} P_j$  and, by the above, we may find inductively infinite subsets  $S_j \subset S_{j-1} \subset N', j = 1, \ldots, m$ such that  $\|P_j\|_{\cup_{n \in S_j} U_n} < \varepsilon/m$ . Consequently,  $\|Q\|_{\cup_{n \in S_m} U_n} < \varepsilon$ .

**Theorem 2.2.** Let  $(u_i)_{i \in \mathbb{N}}$  be a totally disjoint sequence in  $B(X^{**})$  given by  $u_i = \sum_{j \in U_i} \alpha_j e_j$  for each *i*. Then  $A = \{u_i : i \in \mathbb{N}\}$  is a bounding set in  $X^{**}$ .

*Proof.* Suppose the set A is not bounding in  $X^{**}$ . Then there exists an entire function  $f = \sum_{m} P_m \in H(X^{**})$  such that f is not bounded in A. By Lemma 4.50 in [6] this implies the existence of  $\delta > 0$  and strictly increasing subsequences  $(m_n) \subset \mathbf{N}$ ,  $(\gamma_n) \subset \mathbf{N}$  such that

$$|P_{\gamma_n}(u_{m_n})|^{1/\gamma_n} > \delta$$
 for all  $n \in \mathbf{N}$ .

We will show that this inequality cannot hold by using an inductive argument.

Given the polynomial  $y \in X^{**} \mapsto P_{\gamma_1}(u_{m_1}+y) - P_{\gamma_1}(u_{m_1})$ , Lemma 2.1 shows that there is an infinite subset  $S_1 \subset S_0 := \{m_n : n \in \mathbf{N}\}$  such that

$$|P_{\gamma_1}(u_{m_1} + y) - P_{\gamma_1}(u_{m_1})| \le \left(\frac{\delta}{\gamma_1!}\right)^{\gamma_1} \text{ for all } y \in B(X_{\bigcup_{n \in S_1} U_n}^{**}).$$

Since  $S_1$  is an infinite subset of  $S_0$  there is  $m_j \in S_1$  with j > 1. Without loss of generality we may suppose that j = 2. Thus  $u_{m_2} \in B(X_{\bigcup_{n \in S_1} U_n}^{**})$ . Put  $\theta_1 = 1$ . Now, by Lemma 1.9(b) in [6], there is  $\theta_2 \in \mathbf{C}$  with  $|\theta_2| = 1$  such that

$$|P_{\gamma_2}(\theta_1 u_{m_1} + \theta_2 u_{m_2})|^2 \ge |P_{\gamma_2}(\theta_1 u_{m_1})|^2 + |P_{\gamma_2}(u_{m_2})|^2 \ge \delta^{2\gamma_2}$$

The next step is to consider the polynomial  $y \in X^{**} \mapsto P_{\gamma_2}(\theta_1 u_{m_1} + \theta_2 u_{m_2} + y) - P_{\gamma_2}(\theta_1 u_{m_1} + \theta_2 u_{m_2})$ . Again Lemma 2.1 shows that there is an infinite subset  $S_2 \subset S_1$ , such that

$$|P_{\gamma_2}(\theta_1 u_{m_1} + \theta_2 u_{m_2} + y) - P_{\gamma_2}(\theta_1 u_{m_1} + \theta_2 u_{m_2})| \le \left(\frac{\delta}{\gamma_2!}\right)^{\gamma_2}$$

for all  $y \in B(X_{\bigcup_{n \in S_2} U_n}^{**})$ . Hence for all  $y \in B(X_{\bigcup_{n \in S_2} U_n}^{**})$ ,

$$|P_{\gamma_2}(\theta_1 u_{m_1} + \theta_2 u_{m_2} + y)| \ge |P_{\gamma_2}(\theta_1 u_{m_1} + \theta_2 u_{m_2})| - \left(\frac{\delta}{\gamma_2!}\right)^{\gamma_2}$$
$$\ge \delta^{\gamma_2} - \left(\frac{\delta}{\gamma_2!}\right)^{\gamma_2} \ge \left(\frac{\delta}{2}\right)^{\gamma_2}.$$

Arguing by induction we obtain a decreasing sequence  $(S_j)$  of infinite subsets of **N**, and a sequence  $(\theta)_i$ ,  $\theta_1 = 1$ , in the unit sphere of **C** such that

$$\left| P_{\gamma_j} \left( \sum_{k=1}^j \theta_k u_{m_k} + y \right) \right| \ge \left( \frac{\delta}{2} \right)^{\gamma_j} \quad \text{for all } y \in B(X_{\cup_{n \in S_j} U_n}^{**}).$$

We set  $a = (a_l) \in X^{**}$  defined by  $a_l = \theta_k \alpha_l e_l$  if  $l \in U_{m_k}$  for some  $k \in \mathbf{N}$ , and  $a_l = 0$  otherwise. That is, a is the formal series  $\sum_{k=1}^{\infty} \theta_k u_{m_k}$ .

Since the sets  $U_k$  are disjoint, the vectors  $u_k$  have disjoint supports, so  $a \in B(X^{**})$  and also  $a - \sum_{k=1}^{j} \theta_k u_{m_k} \in B(X^{**}_{\cup_{n \in S_j} U_n})$ . Then from the latter inequality we conclude

$$|P_{\gamma_j}(a)|^{1/\gamma_j} = \left| P_{\gamma_j} \left( \sum_{k=1}^j \theta_k u_{m_k} + \left( a - \sum_{k=1}^j \theta_k u_{m_k} \right) \right) \right|^{1/\gamma_j} \ge \frac{\delta}{2}.$$

Since  $\{a\}$  is a compact set in  $X^{**}$ , it follows that  $\lim_{j\to\infty} |P_{\gamma_j}(a)|^{1/\gamma_j} = 0$ . A contradiction.  $\Box$ 

To prove the next theorem we need the following lemma.

**Lemma 2.3.** Let  $(u_i)_{i \in \mathbb{N}}$  be a totally disjoint bounded sequence given by  $u_i = \sum_{j=p_i+1}^{p_{i+1}} \alpha_j e_j$  for each  $i \in \mathbb{N}$ . Then there exists a projection  $Q: X \to \operatorname{span}[u_i]$ .

Proof. Let  $(u'_i)_{i \in \mathbf{N}}$  be a sequence in  $X^*$  such that  $||u'_i|| = 1, u'_i u_i = 1$  for

each  $i \in \mathbf{N}$  and  $u'_i(e_j) = 0$  for  $j \notin \{p_i + 1, \dots, p_{i+1}\}$ . If we define  $Q(x) = \sum_{i=1}^{\infty} u'_i(x)u_i$  for each  $x = (x_j) \in X$ , since  $u'_i(x) = u'_i(\sum_{j=p_i+1}^{p_{i+1}} x_j e_j)$ , we have that

$$|u_i'(x)| \le \left\| \sum_{j=p_i+1}^{p_{i+1}} x_j e_j \right\| \le 2\Lambda \left\| \sum_{i=1}^{\infty} \sum_{j=p_i+1}^{p_{i+1}} x_j e_j \right\| \le 2\Lambda \|x\|,$$

where  $\Lambda$  is the basis constant for  $(e_n)_{n \in \mathbb{N}}$ . Then

$$\left\|\sum_{i=1}^{\infty} u_i'(x)u_i\right\| = \left\|\sum_{i=1}^{\infty} u_i'\left(\sum_{j=p_i+1}^{p_{i+1}} x_j e_j\right)u_i\right\| \le \sup_i \left|u_i'\left(\sum_{j=p_i+1}^{p_{i+1}} x_j e_j\right)\right| \le 2\Lambda \|x\|.$$

So Q is a continuous projection.  $\square$ 

**Theorem 2.4.** Every bounded subset of X is bounding in  $X^{**}$ .

*Proof.* Notice that  $X^*$  is separable. So, by a result of [3], it is sufficient to prove that every weakly compact subset of X is bounding in  $X^{**}$ . So by Eberlein's theorem, it suffices to show that every weakly convergent sequence  $(x_i) \subset X$  is a bounding set in  $X^{**}$ . We may assume that  $(x_i)$  is weakly null since bounding sets are also bounding after translation.

Suppose that  $(x_i)$  is not a bounding subset in  $X^{**}$ . Then, there is an entire function  $f = \sum_{n \in \mathbf{N}} P_n \in H(X^{**})$  which is unbounded on the set  $\{x_i : i \in \mathbf{N}\}$ . Thus, by Lemma 4.50 in [6] there exist a subsequence of  $(x_i)$  (which we are going to denote in the same way), a subsequence  $(P_{\gamma_i})$ , and  $\delta > 0$  such that

$$|P_{\gamma_i}(x_i)|^{1/\gamma_i} > \delta.$$

Since  $(x_i)$  is a weakly null non null sequence, we find by the Bessaga-Pełczynski selection principle, a subsequence  $(x_{k_i})$  equivalent to a basic block sequence taken from  $(e_i)$ . That is, there is a strictly increasing sequence  $(p_i) \subset \mathbf{N}$ such that  $(x_{k_i}) \approx (u_i)$ , where  $u_i = \sum_{j=p_i+1}^{p_{i+1}} \alpha_j e_j$  for  $i \in \mathbf{N}$ . Since  $(p_i)$  is strictly increasing we may assume, passing to subsequences if necessary, that  $(u_i)$  is totally disjoint, and henceforth a bounding set in  $X^{**}$ .

Since  $(x_{k_i})$  is equivalent to  $(u_i)$ , there exists an isomorphism T from  $[u_i, i \in$ **N**] onto  $[x_{k_i}, i \in \mathbf{N}]$  such that  $T(u_i) = x_{k_i}$  for each  $i \in \mathbf{N}$ . Let  $T^{**}: [u_i, i \in \mathbf{N}]^{**} \longrightarrow [x_{k_i}, i \in \mathbf{N}]^{**}$  be the double transpose of T and let  $Q^{**}: X^{**} \longrightarrow \mathbf{N}$  $[u_i, i \in \mathbf{N}]^{**}$  be the double transpose of the projection defined in Lemma 2.3. Since  $f \in H(X^{**})$  we have that  $f \circ T^{**} \circ Q^{**} \in H(X^{**})$  and  $f \circ T^{**} \circ Q^{**}|_{[u_i]}$ coincides with  $f \circ T \circ Q$ .

Finally, since  $\{u_i : i \in \mathbf{N}\}$  is a bounding subset in  $X^{**}$  by Theorem 2.2, we get that  $\lim_{i} |P_{\gamma_{k_i}} \circ T^{**} \circ Q^{**}(u_i)|^{1/\gamma_{k_i}} = 0$  for each  $i \in \mathbf{N}$ , but  $\lim_{i} |P_{\gamma_{k_i}} \circ T^{**} \circ Q^{**}(u_i)|^{1/\gamma_{k_i}} = \lim_{i} |P_{\gamma_{k_i}} \circ T \circ Q(u_i)|^{1/\gamma_{k_i}} = \lim_{i} |P_{\gamma_{k_i}}(x_{k_i})|^{1/\gamma_{k_i}}$ . So,  $\lim_{i} |P_{\gamma_{k_i}}(x_{k_i})|^{1/\gamma_{k_i}} = 0.$  This contradicts our assumption and completes the proof. □

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