

EXISTENCE AND MULTIPLICITY OF NORMALIZED SOLUTIONS FOR THE NONLINEAR CHERN–SIMONS–SCHRÖDINGER EQUATIONS

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Abstract. In this paper, we prove the existence and multiplicity results of solutions with prescribed L^2 -norm for a class of nonlinear Chern–Simons–Schrödinger equations in \mathbf{R}^2

$$-\Delta u - \lambda u + \kappa \left(\frac{h^2(|x|)}{|x|^2} + \int_{|x|}^{\infty} \frac{h(s)}{s} u^2(s) ds \right) u = f(u),$$

where $\lambda \in \mathbf{R}$, $\kappa > 0$, $f \in \mathcal{C}(\mathbf{R}, \mathbf{R})$ and

$$h(s) = \frac{1}{2} \int_0^s r u^2(r) dr.$$

To obtain such solutions, we look into critical points of the energy functional

$$E_{\kappa}(u) = \frac{1}{2} \int_{\mathbf{R}^2} |\nabla u|^2 + \frac{\kappa}{2} \int_{\mathbf{R}^2} \frac{|u|^2}{|x|^2} \left(\int_0^{|x|} r u^2(r) dr \right)^2 - \int_{\mathbf{R}^2} F(u)$$

constrained on the L^2 -spheres $S_r(c) = \{u \in H_r^1(\mathbf{R}^2) : \|u\|_2^2 = c\}$. Here, $c > 0$ and $F(s) := \int_0^s f(t) dt$. Under some mild assumptions on f , we show that critical points of E_{κ} unbounded from below on $S_r(c)$ exist for certain $c > 0$. In addition, we establish the existence of infinitely many critical points $\{u_n^{\kappa}\}$ of E_{κ} on $S_r(c)$ provided that f is odd. Finally, we regard κ as a parameter and present a convergence property of u_n^{κ} as $\kappa \searrow 0$. These results improve and generalize the existing ones in the literature.

1. Introduction

Jackiw and Pi in [13, 14] introduced a nonrelativistic model that the nonlinear Schrödinger dynamics is coupled with the Chern–Simons gauge terms as follows:

$$(1.1) \quad \begin{cases} iD_0\phi + (D_1D_1 + D_2D_2)\phi = -|\phi|^{p-2}\phi, \\ \partial_0A_1 - \partial_1A_0 = -\text{Im}(\bar{\phi}D_2\phi), \\ \partial_0A_2 - \partial_2A_0 = \text{Im}(\bar{\phi}D_1\phi), \\ \partial_1A_2 - \partial_2A_1 = -\frac{1}{2}|\phi|^2, \end{cases}$$

where i denotes the imaginary unit, $\partial_0 = \frac{\partial}{\partial t}$, $\partial_1 = \frac{\partial}{\partial x_1}$, $\partial_2 = \frac{\partial}{\partial x_2}$ for $(t, x_1, x_2) \in \mathbf{R}^{1+2}$, $\phi: \mathbf{R}^{1+2} \rightarrow \mathbf{C}$ is a complex scalar field, $A_{\mu}: \mathbf{R}^{1+2} \rightarrow \mathbf{R}$ is the gauge field and $D_{\mu} = \partial_{\mu} + iA_{\mu}$ is the covariant derivative for μ running over 0, 1, 2. The Chern–Simons gauge theory describes the nonrelativistic thermodynamic behavior of large number of particles in an electromagnetic field. This feature of the model is important for

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the study of the high temperature superconductor, Aharovnov–Bohm scattering and the fractional quantum Hall effect.

The system (1.1) is invariant under the following gauge transformation

$$\phi \mapsto \phi e^{i\chi}, \quad A_\mu \mapsto A_\mu - \partial_\mu \chi,$$

where $\chi: \mathbf{R}^{1+2} \rightarrow \mathbf{R}$ is an arbitrary \mathcal{C}^∞ function. Recently, the existence of stationary states for system (1.1) has been extensively investigated, see for example [8, 9, 10, 11, 12, 17, 15, 22, 23, 28, 29, 32]. In these references, the authors seek the solutions to (1.1) of the following form

$$(1.2) \quad \begin{aligned} \phi(t, x) &= u(|x|)e^{-i\lambda t}, & A_0(t, x) &= k(|x|), \\ A_1(t, x) &= \frac{x_2}{|x|^2}h(|x|), & A_2(t, x) &= -\frac{x_1}{|x|^2}h(|x|). \end{aligned}$$

If we insert the ansatz (1.2) into the system (1.1), then (1.1) is reduced to the following nonlocal elliptic equation:

$$(1.3) \quad -\Delta u - \lambda u + \left(\frac{h^2(|x|)}{|x|^2} + \int_{|x|}^\infty \frac{h(s)}{s} u^2(s) ds \right) u = |u|^{p-2}u, \quad x \in \mathbf{R}^2,$$

where $h(s) = \frac{1}{2} \int_0^s r u^2(r) dr$. For more details about (1.1)–(1.3), we refer the readers to [8, 9, 11, 22, 23, 25].

In the present paper, motivated by the fact that physicists often seek “normalized” solutions, we search for solutions with prescribed L^2 -norm of the problem (1.3) with a general nonlinearity:

$$(1.4) \quad -\Delta u - \lambda u + \kappa \left(\frac{h^2(|x|)}{|x|^2} + \int_{|x|}^\infty \frac{h(s)}{s} u^2(s) ds \right) u = f(u), \quad x \in \mathbf{R}^2,$$

where $\lambda \in \mathbf{R}$, $\kappa > 0$ and f verifies the following assumptions:

- (f₁) $f \in \mathcal{C}(\mathbf{R}, \mathbf{R})$ and $f(t) = o(|t|)$ as $t \rightarrow 0$;
- (f₂) there exists $p > 4$ such that $f(t)t \leq pF(t)$ for all $t \in \mathbf{R}$, where $F(t) := \int_0^t f(s) ds$;
- (f₃) $\lim_{|t| \rightarrow \infty} \frac{F(t)}{t^4} = \infty$;
- (f₄) the function $\frac{f(t)t - 2F(t)}{|t|^{3t}}$ is strictly increasing on $(-\infty, 0) \cup (0, \infty)$;
- (f₅) f is odd.

Under the above conditions, it is well known (see [3, 16]) that a solution of (1.4) with $\|u\|_2^2 = c$ can be obtained as a constrained critical point of the functional

$$(1.5) \quad E_\kappa(u) = \frac{1}{2} \int_{\mathbf{R}^2} |\nabla u|^2 + \frac{\kappa}{2} \int_{\mathbf{R}^2} \frac{|u|^2}{|x|^2} \left(\int_0^{|x|} \frac{r}{2} u^2(r) dr \right)^2 - \int_{\mathbf{R}^2} F(u)$$

on the constraint

$$S_r(c) = \{u \in H_r^1(\mathbf{R}^2) : \|u\|_2^2 = c, c > 0\}.$$

The frequency λ , in this situation, can not be fixed any more and it appears as a Lagrange parameter with respect to the constraint $S_r(c)$.

More recently, normalized solutions for elliptic equations have received much attention. See e.g. [1, 2, 3, 4, 5, 18, 19, 20, 21, 34]. Let us state some known results. In [18], Jeanjean considered the following nonlinear Schrödinger equation:

$$(1.6) \quad -\Delta u - \lambda u = f(u), \quad \lambda \in \mathbf{R}, \quad x \in \mathbf{R}^N,$$

where the following hypotheses on f are introduced:

- (H₁) $f: \mathbf{R} \rightarrow \mathbf{R}$ is continuous and odd;
- (H₂) there exist $\alpha, \beta \in \mathbf{R}$ satisfying $2 + \frac{4}{N} < \alpha \leq \beta < 2^*$ such that

$$(1.7) \quad 0 < \alpha F(s) \leq f(s)s \leq \beta F(s), \quad \forall s \in \mathbf{R} \setminus \{0\},$$

where $2^* = 2N/(N - 2)$ if $N \geq 3$ and $2^* = \infty$ if $N = 2$.

The condition $F(s) > 0$ in (H₂) is not stated in [18] but used implicitly. Then it is proved that (1.6) admits a couple of solutions $(u_c, \lambda_c) \in H_r^1(\mathbf{R}^N) \times \mathbf{R}^-$ with $\|u_c\|_2^2 = c$ for $N \geq 2$. Moreover, the author also indicated the bifurcation result associated with (1.6), that is,

$$\|\nabla u_c\|_2 \rightarrow \infty, \lambda_c \rightarrow -\infty \text{ as } c \rightarrow 0; \quad \|\nabla u_c\|_2 \rightarrow 0, \lambda_c \rightarrow 0 \text{ as } c \rightarrow \infty.$$

Later, if (H₁) and (H₂) are satisfied, Bartsch and De Valeriola [1] obtained the existence of infinitely many normalized solutions for (1.6).

In [3], Bellazzini et al. dealt with the following Schrödinger–Poisson equation:

$$(1.8) \quad -\Delta u - \lambda u + (|x|^{-1} * u^2)u - |u|^{q-2}u = 0, \quad x \in \mathbf{R}^3.$$

By using a mountain pass argument developed on

$$S(c) = \{u \in H^1(\mathbf{R}^3) : \|u\|_2^2 = c\}, \quad c > 0,$$

they established the existence of $(u_c, \lambda_c) \in S(c) \times \mathbf{R}^-$ a couple of solutions of (1.8) for $c > 0$ sufficiently small and $q \in (\frac{10}{3}, 6)$. For the case $q \in (2, \frac{10}{3}]$, we refer the readers to [4, 5, 19]. Afterwards, based on [1, 3], Luo [20] has demonstrated that when $q \in (\frac{10}{3}, 6)$, problem (1.8) admits an unbounded sequence of couples of solutions $(u_n, \lambda_n) \in \bar{S}_r(c) \times \mathbf{R}^-$ for each $n \in \mathbf{N}^+$, where $\bar{S}_r(c) = \{u \in H_r^1(\mathbf{R}^3) : \|u\|_2^2 = c\}$ for $c > 0$. Very recently, using the techniques introduced in [1, 20], Luo and Wang [21] established the existence of infinitely many couples of solutions $\{(u_n^b, \lambda_n)\} \subset \bar{S}_r(c) \times \mathbf{R}^-$ for the following Kirchoff type problem:

$$(1.9) \quad -\left(a + b \int_{\mathbf{R}^3} |\nabla u|^2 dx\right) \Delta u - \lambda u = |u|^{q-2}u, \quad x \in \mathbf{R}^3,$$

for each $n \in \mathbf{N}^+$ and $q \in (\frac{14}{3}, 6)$. Moreover, they also analyzed the asymptotic behavior of u_n^b as $b \rightarrow 0^+$.

To the best knowledge of ours, little is known about the existence of normalized solutions of Chern–Simons–Schrödinger equations except for [8, 16, 33]. Set

$$(1.10) \quad e_q(c) := \inf_{u \in S_r(c)} I_q(u),$$

where the functional I_q is derived from (1.3) given by

$$I_q(u) = \frac{1}{2} \int_{\mathbf{R}^2} |\nabla u|^2 + \frac{1}{2} \int_{\mathbf{R}^2} \frac{|u|^2}{|x|^2} \left(\int_0^{|x|} \frac{r}{2} u^2(r) dr \right)^2 - \frac{1}{q} \int_{\mathbf{R}^2} |u|^q, \quad u \in H_r^1(\mathbf{R}^2).$$

It is standard that the minimizers of $e_q(c)$ are exactly critical points of I_q restricted to $S_r(c)$, and thus normalized solutions of (1.3). By scaling arguments, it is readily seen that $q = 4$ is L^2 -critical exponent for (1.10) in the sense that for any $c > 0$, $e_q(c) > -\infty$ if $q \in (2, 4)$ and $e_q(c) = -\infty$ if $q > 4$.

In [8], Byeon et al. proved that problem (1.10) admits a positive minimizer provided that $c > 0$ is sufficiently small whenever $q \in (3, 4)$ or $c > 0$ is arbitrary whenever $q \in (2, 3]$. If c and q satisfy the above assumptions, then Yuan [33] obtained infinitely many distinct pairs of solutions $(u_n, \lambda_n) \subset H_r^1(\mathbf{R}^2) \times \mathbf{R}^-$ of (1.3) for each $n \in \mathbf{N}^+$ via the argument of Krasnoselski genus (see [26]). Furthermore, motivated by [1], the

author also proved that for $q > 4$, (1.3) admits an unbounded sequence of couples of solutions $(u_n, \lambda_n) \subset H_r^1(\mathbf{R}^2) \times \mathbf{R}^-$ for $c \in (0, c_0)$ sufficient small.

In [16], Li and Luo considered problem (1.3) with $q \geq 4$. For $q = 4$, they showed a sufficient condition for the nonexistence of constraint critical points of I_q on $S_r(c)$ for certain $c > 0$ and obtained infinitely many minimizers of I_q on $S_r(8\pi)$. When $q > 4$, using a minimax procedure motivated by [1], the authors proved the multiplicity of normalized solutions for (1.3) for $c \in (0, \frac{4\pi}{\sqrt{p-3}})$. Compared with [33], Li and Luo presented a certain constant $c_0 = \frac{4\pi}{\sqrt{p-3}}$, which improved the result for the case $q > 4$ in [33]. Moreover, the existence of normalized solutions for (1.3) was also considered in [16]. To this end, they used the approach introduced in [24] to construct a suitable submanifold of $S_r(c)$, which is defined by a condition which is a combination of the related Nehari functional and Pohozaev identity, i.e.,

$$(1.11) \quad \tilde{V}(c) = \{u \in S_r(c) : Q(u) = 0\},$$

where

$$Q(u) = \int_{\mathbf{R}^2} |\nabla u|^2 + \int_{\mathbf{R}^2} \frac{|u|^2}{|x|^2} \left(\int_0^{|x|} \frac{r}{2} u^2(r) dr \right)^2 - \frac{q-2}{q} \int_{\mathbf{R}^2} |u|^q.$$

Motivated by all results mentioned previously, our contribution in this paper is to generalize the existence and the multiplicity result of normalized solutions for (1.3) in [16, 33] to (1.4). We emphasize that, at least in our knowledge, does not exist in the literature actually available results involving the existence of normalized solutions for (1.4) with general nonlinearities. To state our main results, we give some definitions and nations. Analogous to (1.11), set

$$V(c) = \{u \in S_r(c) : J_\kappa(u) = 0\}, \quad m(c) := \inf_{u \in V(c)} E_\kappa(u),$$

where

$$(1.12) \quad J_\kappa(u) = \int_{\mathbf{R}^2} |\nabla u|^2 + \kappa \int_{\mathbf{R}^2} \frac{|u|^2}{|x|^2} \left(\int_0^{|x|} \frac{r}{2} u^2(r) dr \right)^2 - \int_{\mathbf{R}^2} [f(u)u - 2F(u)].$$

In addition, we shall prove that E_κ has a MP geometry on $S_r(c)$ and $m(c) = \gamma(c)$ (see Lemma 2.6).

Definition 1.1. [3, Definition 1.1] Given $c > 0$, we say that $E_\kappa(u)$ has a MP geometry on $S_r(c)$, if there exists $K_c > 0$ such that

$$\gamma(c) = \inf_{g \in \Gamma_c} \max_{t \in [0,1]} E_\kappa(g(t)) > \max \left\{ \max_{g \in \Gamma_c} E_\kappa(g(0)), \max_{g \in \Gamma_c} E_\kappa(g(1)) \right\}$$

holds in the set $\Gamma(c) := \{g \in \mathcal{C}([0, 1], S_r(c)) : g(0) \in A_{K_c} \text{ and } E_\kappa(g(1)) < 0\}$, where

$$A_{K_c} = \{u \in S_r(c) : \|\nabla u\|_2^2 \leq K_c\}.$$

Our main results are as follows:

Theorem 1.1. Assume that (f_1) – (f_4) hold.

- (i) Then for any $c > 0$ and $\kappa > 0$, E_κ has a MP geometry on $S_r(c)$.
- (ii) Then there exists a certain $c_* > 0$ such that for any $c \in (0, c_*]$ and $\kappa > 0$, there exists a couple of solution $(u_c, \lambda_c) \in S_r(c) \times \mathbf{R}^-$ for (1.4) with $E_\kappa(u_c) = m(c)$ and u_c is nonnegative. In addition, $\|\nabla u_c\|_2 \rightarrow \infty$ and $\lambda_c \rightarrow -\infty$ as $c \rightarrow 0$.

Theorem 1.2. Assume that (f_1) – (f_5) hold.

- (i) Then for any $c \in (0, \frac{4\pi}{\sqrt{p-3}})$ and $\kappa \in (0, p - 3)$, problem (1.4) admits an unbounded sequence of couples of solutions $(u_n, \lambda_n) \in S_r(c) \times \mathbf{R}^-$ for each $n \in \mathbf{N}^+$.
- (ii) Then there exists $\kappa_0 > 0$ such that for any $\kappa \in (0, \kappa_0)$ and $c > 0$, problem (1.4) admits an unbounded sequence of couples of solutions $(u_n^\kappa, \lambda_n^\kappa) \in S_r(c) \times \mathbf{R}^-$ for each $n \in \mathbf{N}^+$.

Corollary 1.3. Assume that (f_1) – (f_5) hold. Then there exists an unbounded sequence of couples of solutions $\{(u_n, \lambda_n)\} \subset S_r(c) \times \mathbf{R}^-$ for the following equation:

$$(1.13) \quad -\Delta u - \lambda u = f(u), \quad \text{in } \mathbf{R}^2.$$

Motivated by Theorem 1.2 (ii) and Corollary 1.3, we attempt to investigate the convergence property of u_n^κ and λ_n^κ found in Theorem 1.2 (ii) as $\kappa \rightarrow 0$. Then we have the following theorem.

Theorem 1.4. Let $\{(u_n^\kappa, \lambda_n^\kappa)\} \subset S_r(c) \times \mathbf{R}^-$ be found in Theorem 1.2 (ii). Then for any sequence $\{\kappa_m\} \rightarrow 0^+$ as $m \rightarrow \infty$, there exists a subsequence of $\{\kappa_m\}$, still denoted by $\{\kappa_m\}$, such that for any $n \in \mathbf{N}^+$, $(u_n^{\kappa_m}, \lambda_n^{\kappa_m}) \rightarrow (u_n^0, \lambda_n^0)$ as $m \rightarrow \infty$, where $\{(u_n^0, \lambda_n^0)\} \subset S_r(c) \times \mathbf{R}^-$ is a sequence of couples of solutions for (1.13).

Remark 1.1. It is easy to check that the function

$$(1.14) \quad f(s) = \sum_{i=1}^m |s|^{p_i-2} s \quad \text{for } p_i > 4, \quad 1 \leq i \leq m,$$

satisfies (f_1) – (f_5) and $\kappa = 1 \in (0, p - 3)$ due to $p > 4$. Thus, the results of Theorem 1.1 (ii) and Theorem 1.2 (i) generalize and improve ones of Theorems 1.2–1.3 in [16] and Theorem 1.1 (3) in [33]. Moreover, the solutions obtained in Theorem 1.1 are mountain pass type and thus its Morse index is 1, which is not considered in [16, 33].

Remark 1.2. The strict monotonicity of the function $c \mapsto m(c)$ is essential for the proof of Theorem 1.1 (ii), as well as Theorem 1.2 in [16]. To prove the property, [16] gave the restriction that $c \in (0, (2p - 4)^{\frac{2-p}{2p-5}}]$ for $p > 4$. As described in Lemma 2.8, however, we obtained a larger range that $c \in (0, \frac{4\pi}{\sqrt{2p-4}}]$ for $p > 4$. In particular, due to the general nonlinearity f , it does not seem possible to deduce the strict monotonicity of $c \mapsto m(c)$. In addition, it is worth pointing out that in [16, Theorem 1.2] λ_c should be negative due to $c^* := (2p - 4)^{\frac{2-p}{2p-5}} < \frac{4\pi}{\sqrt{p-3}}$.

Remark 1.3. The idea of Theorem 1.4 comes from [17], which studied the existence and asymptotic behavior of least energy sign-changing solutions for (1.4) with $f(u) = |u|^{q-2}u$ ($q > 6$). But in [17] there is no information about the L^2 -norm of the solutions. Hence, Theorems 1.1–1.3 can be also regarded as a complement of the main results in [17]. In addition, the results of Theorem 1.2 (ii) and Theorem 1.4 are new, even for problem (1.3).

Obviously, the conditions (f_1) – (f_5) imply that the functional E_κ is no longer bounded from below on $S_r(c)$. Therefore, the minimization method on $S_r(c)$ used in [8] does not work. To prove Theorem 1.1, we construct a submanifold $V(c)$ of $S_r(c)$, on which E_κ is bounded from below and then we prove that the minimum of E_κ on $V(c)$ is attained. This approach is motivated by [16]. However, we have to overcome three main difficulties. Firstly, different from [16], it does not seem possible to prove the coercivity of E_κ on $V(c)$. Therefore, the first difficulty is to verify the

boundedness of the minimizing sequence $\{u_n\} \subset V(c)$ of E_κ . We use the assumption (H_2) in [18] to deal with such a difficulty. But the presence of the nonlocal term

$$(1.15) \quad \int_{\mathbf{R}^2} \frac{|u|^2}{|x|^2} \left(\int_0^{|x|} \frac{r}{2} u^2(r) dr \right)^2$$

in E_κ would require extra efforts to be treated. Secondly, because it is not assumed that f is differentiable, it is difficult to prove that $E_\kappa|_{V(c)}$ is a natural constraint of $E_\kappa|_{S_r(c)}$, which is not derived through the use of Lagrange multiplier theorem adopted in [16]. Instead, we use the quantitative deformation lemma on $S_r(c)$, which was introduced by [3]. Finally, although the workspace is $H_r^1(\mathbf{R}^2)$, the difficulty that the weak limit $\bar{u} \in H_r^1(\mathbf{R}^2)$ of $\{u_n\}$ does not necessarily lie in $V(c)$ still exists as observed in [16]. Because our minimization problem is constrained on a submanifold of $S_r(c)$ but not on that of $H_r^1(\mathbf{R}^2)$, it is more difficult to prove that $V(c)$ is weakly closed. To circumvent this obstacle, we prove the monotonicity of the function $c \mapsto m(c)$ and borrow some ideas from [27].

For Theorem 1.2, Since E_κ is unbounded from below on $S_r(c)$, the genus of the sublevel set

$$E_\kappa^d: \{u \in S_r(c): E_\kappa(u) \leq d\}$$

is always infinite. This shows that the classical argument based on the Krasnoselski genus seems not applicable to our case. To prove Theorem 1.2, we mainly follow the strategy of [1] to construct a special (PS) sequence at high energy level $\gamma_n(c)$ for each fixed $n \in \mathbf{N}^+$ and prove its boundedness and compactness. Compared with [1], we get rid of the condition $0 < \alpha F(s) \leq f(s)s$ in (H_2) , which seems essential to ensure the boundedness of the (PS) sequence in [1], as well as in [18]. Instead, we assume that $c \in (0, \frac{4\pi}{\sqrt{p-3}})$ and $\kappa \in (0, p-3)$ to prove the boundedness of (PS) sequence. In addition, the restriction that $c \in (0, \frac{4\pi}{\sqrt{p-3}})$ originates in the need to show that the associated Lagrange multiplier λ_c are strictly negative. This property is used to recover the compactness of (PS) sequence.

Remark 1.4. Let $N = 2$ in (H_2) . Obviously, (H_1) and (H_2) imply (f_1) – (f_3) , (f_5) . It is easy to check that (1.14) also satisfies (H_1) and (H_2) . However, the following functions

$$f(s) = 4s^3 \ln(1 + s^2) + \frac{2s^5}{1 + s^2}$$

and

$$f(s) = s^3 + |s|^{p-2}s, \quad p > 4,$$

satisfy (f_1) – (f_4) , but do not satisfy (H_2) .

The remainder of this paper is organized as follows. In Section 2, we give the proof of Theorem 1.1. Sections 3 is devoted to dealing with the proof of Theorems 1.2 and 1.4 and Corollary 1.3.

Notation. Throughout the article, we let $u^t(x) := tu(tx)$ for $t > 0$. Denote by $C, C_k, k = 1, 2, \dots$ various positive constants whose exact value is inessential. For $r > 0$ and $y \in \mathbf{R}^2$, we denote by $B_r(y)$ the open ball in \mathbf{R}^2 with center y and radius r . We denote by \rightarrow (\rightharpoonup) the strong (weak) convergence. We consider the Hilbert space $H^1(\mathbf{R}^2)$ with the norm

$$\|u\| = \left(\int_{\mathbf{R}^2} (|\nabla u|^2 + u^2) \right)^{\frac{1}{2}}.$$

$H_r^1(\mathbf{R}^2)$ denotes the set of the radially symmetric functions in $H^1(\mathbf{R}^2)$. Denote the standard norm of $L^p(\mathbf{R}^2)$ ($1 \leq p < \infty$) by $\|u\|_p$. Recall that a sequence $\{u_n\} \subset H^1(\mathbf{R}^2)$ is said to be a PS sequence for E if

$$E(u_n) \text{ is bounded and } E'(u_n) \rightarrow 0 \text{ as } n \rightarrow \infty.$$

We say E satisfies the PS condition if any PS sequence contains a convergent subsequence.

2. Proof of Theorem 1.1

In this section, without loss of generality, let $\kappa = 1$. For simplicity, denote by $E(u)$ and $J(u)$ the functionals $E_1(u)$ and $J_1(u)$, respectively. Let

$$A(u) := \int_{\mathbf{R}^2} \frac{|u|^2}{|x|^2} \left(\int_0^{|x|} \frac{r}{2} |u|^2 dr \right)^2.$$

From now on we assume that $(f_1) - (f_4)$ hold. Similar to the discussion of Proposition 2.3 and Lemma 3.2 in [8], we can get the following conclusions.

Lemma 2.1. $A \in \mathcal{C}^1(H_r^1(\mathbf{R}^2), \mathbf{R})$. Moreover, if $u_n \rightharpoonup u$ in $H_r^1(\mathbf{R}^2)$, as $n \rightarrow \infty$, then

$$\lim_{n \rightarrow \infty} A(u_n) = A(u), \quad \lim_{n \rightarrow \infty} \langle A'(u_n), u_n \rangle = \langle A'(u), u \rangle \quad \text{and} \quad \lim_{n \rightarrow \infty} \langle A'(u_n), \varphi \rangle = \langle A'(u), \varphi \rangle,$$

for any $\varphi \in E$.

Lemma 2.2. Let b, c and d be real constants and $u \in H_r^1(\mathbf{R}^2)$ be a weak solution of the equation:

$$\Delta u + bu + c \left(\frac{h^2(|x|)}{|x|^2} + \int_{|x|}^\infty \frac{h(s)}{s} u^2(s) ds \right) u + df(u) = 0, \quad x \in \mathbf{R}^2,$$

where $h(s) = \frac{1}{2} \int_0^s ru^2(r)dr$. Then there holds the following Pohozaev identity

$$b \int_{\mathbf{R}^2} |u|^2 + 2cA(u) + 2d \int_{\mathbf{R}^2} F(u) = 0.$$

To estimate the quantity $A(u)$, we present the following lemma.

Lemma 2.3. [16, Lemma 2.3] For $u \in H_r^1(\mathbf{R}^2)$, the following inequality holds

$$A(u) \leq \frac{1}{16\pi^2} \|u\|_2^4 \|\nabla u\|_2^2.$$

Now we give some preliminary lemmas to show some properties of $V(c)$.

Lemma 2.4. For each $u \in S_r(c)$,

$$(2.1) \quad E(u) \geq E(u^t) + \frac{1-t^2}{2} J(u), \quad \forall t \geq 0.$$

Proof. We first claim that the following inequality holds:

$$(2.2) \quad \frac{1-t^2}{2} f(\tau)\tau + (t^2-2)F(\tau) + \frac{1}{t^2} F(t\tau) \geq 0, \quad \forall t > 0, \tau \in \mathbf{R}.$$

Indeed, it is evident that (2.2) holds for $\tau = 0$. For $\tau \neq 0$, we denote

$$g(t) = \frac{1-t^2}{2} f(\tau)\tau + (t^2-2)F(\tau) + \frac{1}{t^2} F(t\tau), \quad \forall t > 0.$$

After direct calculations, we see that

$$g'(t) = t|\tau|^4 \left[\frac{f(t\tau)t\tau - 2F(t\tau)}{(t|\tau|)^4} - \frac{f(\tau)\tau - 2F(\tau)}{|\tau|^4} \right].$$

This relation and (f_4) mean that $g'(t) > 0$ for $t > 1$ and $g'(t) < 0$ for $0 < t < 1$, that is,

$$(2.3) \quad g(t) > g(1) = 0, \quad \text{for } t \neq 1.$$

Therefore, (2.2) follows. Note that

$$(2.4) \quad E(u^t) = \frac{t^2}{2} \int_{\mathbf{R}^2} |\nabla u|^2 + \frac{t^2}{2} A(u) - \frac{1}{t^2} \int_{\mathbf{R}^2} F(tu).$$

Then, from (2.2), (2.4) and (1.12), we get that

$$(2.5) \quad \begin{aligned} E(u) - E(u^t) &= \frac{1-t^2}{2} \int_{\mathbf{R}^2} |\nabla u|^2 + \frac{1-t^2}{2} A(u) + \int_{\mathbf{R}^2} \left(\frac{1}{t^2} F(tu) - F(u) \right) \\ &= \frac{1-t^2}{2} J(u) + \int_{\mathbf{R}^2} \left[\frac{1-t^2}{2} f(u)u + (t^2-2)F(u) + \frac{1}{t^2} F(tu) \right] \\ &\geq \frac{1-t^2}{2} J(u), \end{aligned}$$

and this proves (2.1). □

Lemma 2.5. *For each $u \in S_r(c)$, there exists a unique $\tilde{t} = t(u) > 0$ such that $u^{\tilde{t}} \in V(c)$. Moreover, $E(u^{\tilde{t}}) = \max_{t \geq 0} E(u^t)$.*

Proof. Consider a function $\Phi(t) := E(u^t)$ on $[0, \infty)$. By (f_1) – (f_3) and (2.4), it is easy to check that $\Phi(0) = 0$, $\Phi(t) > 0$ for $t > 0$ small and $\Phi(t) < 0$ for t large. Hence, $\max_{t \geq 0} \Phi(t)$ is achieved at $\tilde{t} = t(u) > 0$ and then $\Phi'(\tilde{t}) = 0$, that is,

$$\tilde{t}^2 \int_{\mathbf{R}^2} |\nabla u|^2 + \tilde{t}^2 A(u) - \frac{1}{\tilde{t}^2} \int_{\mathbf{R}^2} [f(\tilde{t}u)\tilde{t}u - 2F(\tilde{t}u)] = 0.$$

This shows that $J(u^{\tilde{t}}) = 0$ and $u^{\tilde{t}} \in V(c)$.

Next we prove that \tilde{t} is unique for any $u \in S_r(c)$. Let $t_1, t_2 > 0$ be such that $u^{t_1}, u^{t_2} \in V(c)$ and $t_2 = at_1$. Then $J(u^{t_1}) = J(u^{t_2}) = 0$. From (2.5), one has

$$E(u^{t_1}) = E(u^{t_2}) + \int_{\mathbf{R}^2} \left[\frac{1-a^2}{2} f(u)u + (a^2-2)F(u) + \frac{1}{a^2} F(au) \right]$$

and

$$E(u^{t_2}) = E(u^{t_1}) + \int_{\mathbf{R}^2} \left[\frac{1-a^{-2}}{2} f(u)u + (a^{-2}-2)F(u) + a^2 F(a^{-1}u) \right],$$

which together with (2.3) imply that $a = 1$, i.e., $t_1 = t_2$. In addition, it is readily checked that $E(u^{\tilde{t}}) = \max_{t \geq 0} E(u^t)$. □

Thanks to Lemma 2.5, we get that

$$(2.6) \quad m(c) = \inf_{u \in S_r(c)} \max_{t \geq 0} E(u^t) > 0.$$

Let $t \rightarrow 0$ in (2.2), then we get from (f_1) and (2.3) that

$$(2.7) \quad f(\tau)\tau - 4F(\tau) > 0, \quad \forall \tau \in \mathbf{R} \setminus \{0\}.$$

By (f_2) and (2.7), one has

$$(2.8) \quad 4F(t) < f(t)t \leq pF(t), \quad \forall t \in \mathbf{R} \setminus \{0\},$$

which implies that for all $t \in \mathbf{R}$,

$$(2.9) \quad \begin{cases} |s|^p F(t) \leq F(ts) \leq |s|^4 F(t), & \text{if } |s| \leq 1; \\ |s|^4 F(t) \leq F(ts) \leq |s|^p F(t), & \text{if } |s| \geq 1; \end{cases}$$

and $F(t) > 0$ for $t \neq 0$. In particular, taking $t = 1$ in (2.9), we deduce from (f_1) that for any $\varepsilon > 0$, there exists $C_\varepsilon > 0$ such that

$$(2.10) \quad |F(s)| \leq \varepsilon |s|^2 + C_\varepsilon |s|^p \quad \text{for all } s \in \mathbf{R}.$$

In what follows we shall need the following Gagliardo–Nirenberg type result (see [30]): let $q \geq 2$ and $u \in H_r^1(\mathbf{R}^2)$, then

$$(2.11) \quad \|u\|_q^q \leq C(q) \|\nabla u\|_2^{q-2} \|u\|_2^2$$

with equality holds for $u = W_q$, where $C(q) = \frac{q}{2} \|W_q\|_2^{2-q}$ and, up to translations, W_q is the unique ground state solution of

$$-\frac{q-2}{2} \Delta W + W = |W|^{q-2} W, \quad x \in \mathbf{R}^2.$$

Lemma 2.6. *For $c > 0$, E has a MP geometry on $S_r(c)$. Moreover, $m(c) = \gamma(c)$, where $\gamma(c)$ is defined in Definition 1.1.*

Proof. It follows from (2.7) that for any $u \in S_r(c)$,

$$(2.12) \quad E(u) - \frac{1}{2} J(u) = \frac{1}{2} \int_{\mathbf{R}^2} [f(u)u - 4F(u)] > 0.$$

We next show that there exist $0 < k_1 < k_2$ such that

$$(2.13) \quad 0 < \alpha_{k_1} := \sup_{y \in A_{k_1}} E(u) < \nu_{k_2} := \inf_{u \in \partial A_{k_2}} E(u),$$

where A_k is introduced in Definition 1.1. Note that by (2.9), (2.11) and Lemma 2.3, we see that

$$(2.14) \quad \begin{aligned} |E(u)| &\leq \frac{1}{2} \|\nabla u\|_2^2 + \frac{1}{2} A(u) + F(1) (\|u\|_4^4 + \|u\|_p^p) \\ &\leq \frac{1}{2} \|\nabla u\|_2^2 + C_1 \|\nabla u\|_2^2 + C_2 \|\nabla u\|_2^2 + C_3 \|\nabla u\|_2^{p-2}. \end{aligned}$$

In particular, $\alpha_{k_1} \rightarrow 0^+$ as $k_1 \rightarrow 0^+$. On the other hand, from (2.10), it follows that

$$(2.15) \quad \begin{aligned} E(u) &= \frac{1}{2} \|\nabla u\|_2^2 + \frac{1}{2} A(u) - \int_{\mathbf{R}^2} F(u) \geq \frac{1}{2} \|\nabla u\|_2^2 - \varepsilon \|u\|_2^2 - C_\varepsilon \|u\|_p^p \\ &\geq \frac{1}{2} \|\nabla u\|_2^2 - \varepsilon c - C_2 \|\nabla u\|_2^{p-2}. \end{aligned}$$

Thus, since $p > 4$ and ε is arbitrary, we have $\nu_{k_2} \geq \frac{1}{8} k_2$ for $k_2 > 0$ small. These two observations imply that (2.13) holds. We now fix $0 < k_1 < k_2$ as in (2.13). From (f_3) and (2.4), it is readily checked that

$$(2.16) \quad \|\nabla u^t\| \rightarrow \infty \quad \text{and} \quad E(u^t) \rightarrow -\infty \quad \text{as } t \rightarrow \infty.$$

Thus $\Gamma_c \neq \emptyset$. Then from the definition of $\gamma(c)$, we get that $\gamma(c) \geq \nu_{k_2} > 0$.

For any $u \in V(c)$, from $\|\nabla u^t\|_2^2 = t^2 \|\nabla u\|_2^2$ and (2.16), we deduce that there exist $t_1 > 0$ small and $t_2 > 0$ large such that $u^{t_1} \in A_{k_1}$ and $E(u^{t_2}) < 0$. So, if we define

$$(2.17) \quad g(\tau) = u^{(1-\tau)t_1 + \tau t_2}, \quad \text{for } \tau \in [0, 1],$$

then we obtain a path in Γ_c . Using (2.6),

$$\gamma(c) \leq \max_{\tau \in [0,1]} E(g(\tau)) \leq \max_{\tau \geq 0} E(u^\tau) = E(u)$$

and thus $\gamma(c) \leq m(c)$. On the other hand, from (f_2) , (1.12) and (2.10), it follows that

$$\begin{aligned} (2.18) \quad J(u) &\geq \|\nabla u\|_2^2 + A(u) - (p-2) \int_{\mathbf{R}^2} F(u) \\ &\geq \|\nabla u\|_2^2 - \varepsilon(p-2)\|u\|_2^2 - C_\varepsilon \|u\|_p^p \\ &\geq \|\nabla u\|_2^2 - \varepsilon(p-2)c - C_2 \|\nabla u\|_2^{p-2}. \end{aligned}$$

The fact that $p > 4$ and ε is arbitrary ensures that $J(u) > 0$ for $\|\nabla u\|_2^2 < k_1$. Then (2.12) implies that $J(g([0, 1])) \cap V(c) \neq \emptyset$ for any $g \in \Gamma(c)$. Hence $\gamma(c) \geq m(c)$. The proof is completed. \square

Lemma 2.7. *For $c > 0$, E is bounded from below on $V(c)$. Moreover for any $u \in V(c)$, there exists a constant $\rho > 0$ such that $\|\nabla u\|_2^2 \geq \rho$.*

Proof. For any $u \in V(c)$, it follows from Lemma 2.6 that that $m(c) = \gamma(c) \geq \nu_{k_2} > 0$. This together with (2.14) implies that $\|\nabla u\|_2^2 \geq \rho$. \square

Lemma 2.8. *For any $c \in (0, \frac{4\pi}{\sqrt{2p-4}}]$, the function $c \mapsto m(c)$ is non-increasing, where p is given in (f_2) .*

Proof. For any $0 < c_1 < c_2 \leq \frac{4\pi}{\sqrt{2p-4}}$ and $p > 4$, from Lemma 2.5 and (2.4), it follows that there exists $\{u_n\} \subset V(c_1)$ such that

$$E(u_n) = \max_{t \geq 0} E(u_n^t) \leq m(c_1) + \frac{1}{n}.$$

We first claim that for any $0 < c_1 < c_2 \leq \frac{4\pi}{\sqrt{2p-4}}$ and $p > 4$, then

$$c_2^{\frac{1}{p-2}}(c_2^2 - c_1^2) \leq 16\pi^2(c_2^{\frac{1}{p-2}} - c_1^{\frac{1}{p-2}}).$$

Indeed, it is sufficient to prove that

$$c_2^2 \leq 16\pi^2 \frac{1 - a^{1/(2-p)}}{1 - a^{-2}}, \quad \text{where } a := \frac{c_2}{c_1} > 1.$$

Set the function $f(x) = \frac{1-x}{1-x^{2p-4}}$, $x \in (0, 1)$. After direct calculations, we see that

$$\begin{aligned} f'(x) &= \frac{1}{(1-x^{2p-4})^2} [(5-2p)x^{2p-4} + (2p-4)x^{2p-5} - 1] := \frac{1}{(1-x^{2p-4})^2} g(x); \\ g'(x) &= (2p-5)(2p-4)x^{2p-6}(1-x). \end{aligned}$$

From the expression of $g'(x)$ and $p > 4$, we know that $g(x) \leq g(1) = 0$ and thus $f'(x) < 0$ for $x \in (0, 1)$. Then we have that

$$f(x) \geq \lim_{x \rightarrow 1^-} \frac{1-x}{1-x^{2p-4}} = \frac{1}{2p-4}, \quad \forall x \in (0, 1).$$

This proves the assertion. Then it is easy to check that for $n \in \mathbf{N}^+$,

$$\frac{c_1^2}{16\pi^2} \left(a^2 - a^{\frac{1}{p-2}} \right) \|\nabla u_n\|_2^2 \leq \left(a^{\frac{1}{p-2}} - 1 \right) \|\nabla u\|_2^2,$$

which together with Lemma 2.3 shows that

$$(2.19) \quad \|\nabla u_n\|_2^2 + a^2 A(u_n) \leq a^{\frac{1}{p-2}} (\|\nabla u_n\|_2^2 + A(u_n)), \quad \forall n \in \mathbf{N}^+.$$

Let $v_n = u_n(a^{-\frac{1}{2}}x)$, then $\|v_n\|_2^2 = c_2$. Note that (f_2) implies that $\frac{F(\tau)}{|\tau|^{p-1}\tau}$ is nonincreasing in $\mathbf{R} \setminus \{0\}$. Therefore, for any $\tau \in \mathbf{R} \setminus \{0\}$,

$$\begin{aligned}
 (2.20) \quad & 0 \leq \left(\frac{F(\tau)}{|\tau|^p} - \frac{F(a^{\frac{1}{2(p-2)}}\tau)}{(a^{\frac{1}{2(p-2)}}|\tau|)^p} \right) |\tau|^p \\
 & = F(\tau) - a^{-\frac{p}{2(p-2)}} F\left(a^{\frac{1}{2(p-2)}}\tau\right) \leq aF(\tau) - a^{\frac{p-4}{2(p-2)}} F\left(a^{\frac{1}{2(p-2)}}\tau\right) \\
 & = aF(\tau) - a^{-\frac{1}{(p-2)}} F\left(a^{\frac{1}{2(p-2)}}\tau\right) - a^{-\frac{1}{(p-2)}}(a^{\frac{p-2}{2(p-2)}} - 1)F\left(a^{\frac{1}{2(p-2)}}\tau\right) \\
 & < aF(\tau) - a^{-\frac{1}{(p-2)}} F\left(a^{\frac{1}{2(p-2)}}\tau\right),
 \end{aligned}$$

since $a > 1$ and $p > 4$. In virtue of Lemma 2.5, there exists $t_n > 0$ such that $v_n^{t_n} \in V(c_2)$. Then by (2.19) and (2.20), we see that

$$\begin{aligned}
 m(c_2) & \leq E(v_n^{t_n}) = \frac{t_n^2}{2} (\|\nabla u_n\|_2^2 + a^2 A(u_n)) - \frac{a}{t_n^2} \int_{\mathbf{R}^2} F(t_n u_n) \\
 & \leq \frac{1}{2} t_n^2 a^{\frac{1}{(p-2)}} (\|\nabla u_n\|_2^2 + A(u_n)) - \frac{a}{t_n^2} \int_{\mathbf{R}^2} F(t_n u_n) \\
 & \leq E(u_n^{a^{\frac{1}{2(p-2)}} t_n}) + \frac{a^{-\frac{1}{(p-2)}}}{t_n^2} \int_{\mathbf{R}^2} F\left(a^{\frac{1}{2(p-2)}} t_n u_n\right) - \frac{a}{t_n^2} \int_{\mathbf{R}^2} F(t_n u_n) \\
 & < m(c_1) + \frac{1}{n},
 \end{aligned}$$

which shows that $m(c_2) \leq m(c_1)$ by letting $n \rightarrow \infty$. □

Lemma 2.9. *There exists $c_* > 0$ such that for any $c \in (0, c_*]$, $m(c)$ is achieved.*

Proof. It follows from Lemma 2.7 that $m(c) \geq \nu_{k_2} > 0$. Take

$$(2.21) \quad c_* := \min \left\{ \frac{4\pi}{\sqrt{2p-4}}, (8C(4)F(1))^{-1}, (C(p)F(1))^{-1} 8^{\frac{2-p}{2}} (m(c))^{\frac{4-p}{2}} \right\},$$

where $C(q)$ and p is given in (2.11) and (f_2) , respectively. Let $\{u_n\} \subset V(c)$ be such that $E(u_n) \rightarrow m(c)$. Now we show that $\{\|\nabla u_n\|_2\}$ is bounded. Suppose arguing by contradiction $\|\nabla u_n\|_2 \rightarrow \infty$. Let $t_n = \frac{\sqrt{8m(c)}}{\|\nabla u_n\|_2}$. Then from (2.1), (2.4), (2.9), (2.11) and $J(u_n) = 0$, we deduce

$$\begin{aligned}
 (2.22) \quad & m(c) + o(1) = E(u_n) \geq E((u_n)^{t_n}) \\
 & = \frac{t_n^2}{2} \|\nabla u_n\|_2^2 + \frac{t_n^2}{2} A(u_n) - \frac{1}{t_n^2} \int_{\mathbf{R}^2} F(t_n u_n) \\
 & \geq \frac{t_n^2}{2} \|\nabla u_n\|_2^2 - F(1) \int_{\mathbf{R}^2} (t_n^2 |u_n|^4 + t_n^{p-2} |u_n|^p) \\
 & \geq \frac{t_n^2}{2} \|\nabla u_n\|_2^2 - cF(1)C(4)t_n^2 \|\nabla u_n\|_2^2 + cF(1)C(p)t_n^{p-2} \|\nabla u_n\|_2^{p-2} \\
 & \geq 4m(c) - cF(1) (8m(c)C(4) + C(p)(8m(c))^{(p-2)/2}) \geq 2m(c),
 \end{aligned}$$

which contradicts $m(c) > 0$. Thus, $\{\|\nabla u_n\|_2\}$ is bounded and then $\{u_n\}$ is also bounded in $H_r^1(\mathbf{R}^2)$. Then, there exists $u \neq 0$ such that, taking a subsequence if

necessary,

$$\begin{cases} u_n \rightharpoonup u & \text{in } H_r^1(\mathbf{R}^2), \\ u_n \rightarrow u & \text{in } L^q(\mathbf{R}^2), \\ u_n \rightarrow u & \text{a.e. in } \mathbf{R}^2, \end{cases}$$

for $q \in (2, \infty)$, and thus by (2.7) and (2.10), we have

$$(2.23) \quad \int_{\mathbf{R}^2} f(u_n)u_n \rightarrow f(u)u \quad \text{and} \quad \int_{\mathbf{R}^2} F(u_n) \rightarrow F(u).$$

Otherwise, $u = 0$. Then it follows from (1.12) and (2.23) that $\|\nabla u_n\|_2 \rightarrow 0$ and $A(u_n) \rightarrow 0$, which and (2.23) imply $E(u_n) \rightarrow 0$, that is, $m(c) = 0$. This is a contradiction. Next we suppose that $\|u\|_2^2 = \bar{c} \in (0, c]$. Then from (1.12), (2.23), Lemma 2.1 and weak lower semi-continuity of norm, we get

$$(2.24) \quad J(u) \leq \liminf_{n \rightarrow \infty} J(u_n) = 0.$$

In virtue of Lemma 2.5, there exists $t_u > 0$ such that $u^{t_u} \in V(\bar{c})$. By (2.1), (2.12), (2.23)–(2.24) and Lemma 2.8, one has

$$(2.25) \quad \begin{aligned} m(\bar{c}) \geq m(c) &= \lim_{n \rightarrow \infty} \left(E(u_n) - \frac{1}{2}J(u_n) \right) \\ &= \frac{1}{2} \int_{\mathbf{R}^2} (f(u)u - 4F(u)) = E(u) - \frac{1}{2}J(u) \geq E(u^{t_u}) - \frac{t_u^2}{2}J(u) \\ &\geq m(\bar{c}) - \frac{t_u^2}{2}J(u). \end{aligned}$$

This shows that $J(u) = 0$ and $m(c) = m(\bar{c})$. To this end, we only show that $\bar{c} = c$. Arguing indirectly, suppose that $\bar{c} < c$. Let $v_n := u_n - u$, then by the Brezis–Lieb Lemma [31, Lemma 1.32],

$$\|v_n\|_2^2 = c - \bar{c} + o_n(1).$$

We may assume that for large n , there exist $t_n > 0$ and $\beta_n \geq \frac{c-\bar{c}}{2}$ such that $v_n^{t_n} \in V(\beta_n)$. Using the previous argument in (2.25), for large n , one has

$$\begin{aligned} o_n(1) &= E(v_n) - \frac{1}{2}J(v_n) \geq E(v_n^{t_n}) - \frac{t_n^2}{2}J(v_n) \\ &\geq m(\beta_n) + o_n(1) \geq m(c) + o_n(1). \end{aligned}$$

This is a contradiction and then the proof is complete. □

The above lemma shows that the set

$$M(c) := \left\{ u_c \in V(c) : E(u_c) = \inf_{u \in V(c)} E(u) \right\}$$

is not empty.

Lemma 2.10. *For each $u_c \in M(c)$, there exists a $\lambda_c \in \mathbf{R}$ such that $(u_c, \lambda_c) \in H_r^1(\mathbf{R}^2) \times \mathbf{R}$ solves (1.4).*

Proof. From Lagrange multiplier theorem, to prove the lemma, it suffices to show that any $u_c \in M(c)$ is a critical point of $E|_{S_r(c)}$. The idea of the proof comes from Lemma 6.1 in [3]. We give a detailed proof here for readers’ convenience.

Let $u_c \in M(c)$ and suppose, by contradiction, that $E'|_{S_r(c)}(u_c) \neq 0$. Then by the continuity of E' , there exist $\delta > 0$ and $\varrho > 0$ such that

$$v \in B_{u_c}(3\delta) \Rightarrow \|E'|_{S_r(c)}(v)\|_{H_r^{-1}(\mathbf{R}^2)} \geq \varrho,$$

where $B_{u_c}(\delta) := \{v \in S_r(c) : \|v - u_c\| \leq \delta\}$.

Let $\varepsilon := \{\frac{m(c)}{4}, \frac{\rho\delta}{8}\}$, then [3, Lemma 6.1] yields a deformation $\eta \in C([0, 1] \times S_r(c), S_r(c))$ such that

- (i) $\eta(1, v) = v$ if $E(u) < m(c) - 2\varepsilon$ or $E(u) > m(c) + 2\varepsilon$;
- (ii) $\eta(1, E^{m(c)+\varepsilon} \cap B_{u_c}(\delta)) \subset E^{m(c)-\varepsilon}$, where $E^d := \{u \in S_r(c) : E(u) \leq d\}$;
- (iii) $E(\eta(1, v)) \leq E(v)$, $\forall v \in S_r(c)$.

Now let $g \in \Gamma(c)$ be the path constructed in (2.17) by choosing $u = u_c \in V(c)$. By the proof of Lemma 2.6, we can assume without restriction that $\sup_{u \in A_{K_c}} E(u) < \gamma(c)/2$. This and (i) show that $\eta(1, g(\tau)) \in \Gamma(c)$. Note that since $E(g(\tau)) \leq E(u_c) = m(c) = \gamma(c)$ for all $\tau \in [0, 1]$, one of the following three cases must occur:

- (1) If $g(\tau) \in S_r(c) \setminus B_{u_c}(\delta)$, then by (iii) and Lemma 2.2,

$$E(\eta(1, g(\tau))) \leq E(g(\tau)) < E(u_c) = \gamma(c).$$

- (2) If $g(\tau) \in E^{\gamma(c)-\varepsilon}$, then using (iii),

$$E(\eta(1, g(\tau))) \leq E(g(\tau)) \leq \gamma(c) - \varepsilon.$$

- (3) If $g(\tau) \in E^{-1}([m(c) - \varepsilon, m(c) + \varepsilon]) \cap B_{u_c}(\delta)$, then by (ii),

$$E(\eta(1, g(\tau))) \leq \gamma(c) - \varepsilon.$$

Thus we have that

$$(2.26) \quad \max_{\tau \in [0, 1]} E(\eta(1, g(\tau))) < \gamma(c),$$

which contradicts the definition of $\gamma(c)$. □

Lemma 2.11. *If $u_c \in H^1(\mathbf{R}^2)$ is a weak solution of (1.4), then $J(u_c) = 0$. Furthermore, if $\lambda \geq 0$, then the only solution of (1.4) fulfilling $\|u_c\|_2^2 < \frac{4\pi}{\sqrt{p-3}}$ is null function.*

Proof. It follows from Lemma 2.2 that the following Pohozaev identity holds for $u_c \in H^1(\mathbf{R}^2)$ weak solution of (1.4):

$$(2.27) \quad \lambda \int_{\mathbf{R}^2} |u_c|^2 - 2A(u_c) + 2 \int_{\mathbf{R}^2} F(u_c) = 0.$$

By multiplying (1.4) by u_c and integrating, we derive a second identity

$$(2.28) \quad \|\nabla u_c\|_2^2 - \lambda \int_{\mathbf{R}^2} |u_c|^2 + 3A(u_c) - \int_{\mathbf{R}^2} f(u_c)u_c = 0.$$

Thus we have immediately

$$\|\nabla u_c\|_2^2 + A(u_c) - \int_{\mathbf{R}^2} (f(u_c)u_c - 2F(u_c)) = 0,$$

that is, $J(u_c) = 0$. Then by Lemma 2.3, (2.27) and (2.28), we have

$$(2.29) \quad \begin{aligned} \lambda \|u_c\|^2 &= \frac{2p-6}{p-2}A(u_c) - \frac{2}{p-2}\|\nabla u_c\|_2^2 + \frac{2}{p-2} \int_{\mathbf{R}^2} [f(u_c)u_c - pF(u_c)] \\ &\leq \frac{2p-6}{p-2}A(u_c) - \frac{2}{p-2}\|\nabla u_c\|_2^2 \\ &\leq \left(\frac{p-3}{8\pi^2(p-2)}\|u_c\|^2 - \frac{2}{p-2} \right) \|\nabla u_c\|_2^2 \leq 0, \end{aligned}$$

if $\|u_c\|^2 < \frac{4\pi}{\sqrt{p-3}}$. Thus $u_c = 0$. □

Lemma 2.12. *For any $\lambda > 0$ and $\kappa > 0$, there exists no positive solution to (1.4) in $H_r^1(\mathbf{R}^2)$.*

Proof. By (2.8), we have $f(\tau)\tau > 0$ for $\tau \neq 0$. Then the rest of proof is similar to [16, Lemma 2.9] or [8, Proposition 4.2]. So we omit it. \square

Proof of Theorem 1.1. Point (i) follows from Lemma 2.6.

By Lemmas 2.5, 2.7 and 2.9, it is enough to show that for any $0 < c \leq c_*$, $E|_{V(c)}$ attains its minimum at u_c , where c_* is given by (2.21). Since $c_* \leq \frac{4\pi}{\sqrt{2p-4}} < \frac{4\pi}{\sqrt{p-3}}$ for $p > 4$, the first part of point (ii) follows from Lemmas 2.10-2.12. To end this, by $J(u_c) = 0$, (2.8), (2.10) and (2.11) yields

$$\begin{aligned} \|\nabla u_c\|_2^2 &\leq \int_{\mathbf{R}^2} [f(u_c)u_c - 2F(u_c)] \leq \varepsilon\|u_c\|_2^2 + C_\varepsilon\|u_c\|_p^p \\ &\leq \frac{\rho_1}{2} + C_1\|u_c\|_p^p \leq \frac{\rho}{2} + C_2\|\nabla u_c\|_2^{p-2}c, \end{aligned}$$

where ρ is given in Lemma 2.7. Thus,

$$(2.30) \quad \|\nabla u_c\|_2^2 \leq 2C_2\|\nabla u_c\|_2^{p-2}c.$$

Thanks to $p > 4$, (2.30) tells us that $\|\nabla u_c\|_2 \rightarrow \infty$ as $c \rightarrow 0^+$. Moreover, we deduce from (2.29) that

$$\lambda_c \leq \frac{1}{c} \left(\frac{p-3}{8\pi^2(p-2)}c - \frac{2}{p-2} \right) \|\nabla u_c\|_2^2 \leq -\frac{1}{c(p-2)}\|\nabla u_c\|_2^2 \rightarrow -\infty,$$

as $c \rightarrow 0^+$. Thus the proof is completed. \square

3. Proof of the multiplicity results

In this section, we shall prove Theorems 1.2–1.4. From now on, we assume that (f_1) – (f_5) hold. Let $X = H_r^1(\mathbf{R}^2)$ and $\{V_n\} \subset X$ be a strictly increasing sequence of finite-dimensional linear subspaces such that $\bigcup_n V_n$ is dense in X . In addition, we denote the orthogonal space of V_n in X and the dual space of X by V_n^\perp and X^* , respectively.

Lemma 3.1. [1, Lemma 2.1] *For $q > 2$ there holds:*

$$\mu_n(q) := \inf_{u \in V_{n-1}^\perp} \frac{\int_{\mathbf{R}^2} (|\nabla u|^2 + |u|^2)}{(\int_{\mathbf{R}^2} |u|^q)^{2/q}} = \inf_{u \in V_{n-1}^\perp} \frac{\|u\|^2}{\|u\|_q^2} \rightarrow \infty, \quad \text{as } n \rightarrow \infty.$$

Now for $c > 0$ fixed and for each $n \in \mathbf{N}^+$, we define

$$\varrho_n := L^{-\frac{2}{p-2}}\mu_n(p)^{\frac{p}{p-2}} \quad \text{with } L = \max_{x>0} \frac{(x^2 + c)^{p/2}}{x^p + c^{p/2}}$$

and

$$(3.1) \quad B_n := \{u \in V_{n-1}^\perp \cap S_r(c) : \|\nabla u\|_2^2 = \varrho_n\}.$$

Then we have:

Lemma 3.2. $b_n := \inf_{u \in B_n} E_\kappa(u) \rightarrow \infty$ as $n \rightarrow \infty$. *In particular, we can assume that $b_n \geq 1$ for any $n \in \mathbf{N}^+$ without any restriction.*

Proof. For any $u \in B_n$, using (2.10), we get that

$$\begin{aligned}
 E_\kappa(u) &= \frac{1}{2} \|\nabla u\|_2^2 + \frac{\kappa}{2} A(u_n) - \int_{\mathbf{R}^2} F(u) \geq \frac{1}{2} \|\nabla u\|_2^2 - C_1 c - \frac{1}{p} \|u\|_p^p \\
 &\geq \frac{1}{2} \|\nabla u\|_2^2 - C_1 c - \frac{1}{p\mu_n(p)^{p/2}} (\|\nabla u\|_2^2 + c)^{\frac{p}{2}} \\
 (3.2) \quad &\geq \frac{1}{2} \|\nabla u\|_2^2 - C_1 c - \frac{L}{p\mu_n(p)^{p/2}} (\|\nabla u\|_2^p + c^{\frac{p}{2}}) \\
 &= \left(\frac{1}{2} - \frac{1}{p}\right) \varrho_n - C_1 c - \frac{L}{p\mu_n(p)^{p/2}} c^{\frac{p}{2}}.
 \end{aligned}$$

Combining this estimate and Lemma 3.1, we deduce from $p > 4$ that $b_n \rightarrow \infty$ as $n \rightarrow \infty$. \square

Next we follow the arguments in [1] to set up a min-max scheme. Define a map $H: X \times \mathbf{R} \rightarrow X$ by $H(u, s) = e^s u(e^s x)$ and a \mathcal{C}^1 functional $\tilde{E}_\kappa: X \times \mathbf{R} \rightarrow X$ given by

$$\tilde{E}_\kappa(u, s) = \frac{e^{2s}}{2} \int_{\mathbf{R}^2} |\nabla u|^2 + \frac{e^{2s}}{2} A(u) - e^{-2s} \int_{\mathbf{R}^2} F(e^s u).$$

It is clear that $\tilde{E}_\kappa(u, s) = E_\kappa(H(u, s))$ and for all $s \in \mathbf{R}$, $H(u, s) \in S_r(c)$ if $u \in S_r(c)$. We know from Lemmas 2.3 and 3.1 that

$$(3.3) \quad \begin{cases} \|\nabla H(u, s)\|_2 \rightarrow 0, & \tilde{E}_\kappa(u, s) \rightarrow 0, & \text{as } s \rightarrow -\infty; \\ \|\nabla H(u, s)\|_2 \rightarrow \infty, & \tilde{E}_\kappa(u, s) \rightarrow -\infty, & \text{as } s \rightarrow \infty. \end{cases}$$

Thus by virtue of the fact V_n is finite dimensional, for each $n \in \mathbf{N}^+$, there exists a $s_n > 0$ such that

$$(3.4) \quad \bar{g}_n: [0, 1] \times (S_r(c) \cap V_n) \rightarrow S_r(c), \quad \bar{g}_n(t, u) = H(u, (2t - 1)s_n)$$

satisfies

$$(3.5) \quad \begin{cases} \|\nabla \bar{g}_n(0, u)\|_2 < \varrho_n, & \|\nabla \bar{g}_n(1, u)\|_2 > \varrho_n; \\ E_\kappa(\bar{g}_n(0, u)) < b_n, & E_\kappa(\bar{g}_n(1, u)) < b_n. \end{cases}$$

Now we define

$$(3.6) \quad \Gamma_n := \{g: [0, 1] \times (S_r(c) \cap V_n) \rightarrow S_r(c) \mid g \text{ is continuous, odd in } u \text{ and such that } \forall u: g(0, u) = \bar{g}_n(0, u), g(1, u) = \bar{g}_n(1, u)\}.$$

Clearly $\bar{g}_n \in \Gamma_n$. Using the linking property (see [1, Lemma 2.3]), we have immediately the following intersection result:

Lemma 3.3. *For each $n \in \mathbf{N}^+$,*

$$(3.7) \quad \gamma_n^\kappa(c) := \inf_{g \in \Gamma_n} \max_{t \in [0, 1], u \in S_r(c) \cap V_n} E_\kappa(g(t, u)) \geq b_n.$$

Next we will show that $\{\gamma_n^\kappa(c)\}$ is indeed a sequence of critical values of E_κ on $S_r(c)$. To do that, we first show that there exists a bounded (PS) sequence at each level $\gamma_n^\kappa(c)$. We fix an arbitrary $n \in \mathbf{N}^+$ from now on. To this end, we adopt the approach developed by [18], already applied in [1, 16]. Set

$$\tilde{\gamma}_n^\kappa(c) = \inf_{\tilde{g} \in \tilde{\Gamma}_n} \max_{t \in [0, 1], u \in S_r(c) \cap V_n} \tilde{E}_\kappa(\tilde{g}(t, u)),$$

where

$$\tilde{\Gamma}_n := \{ \tilde{g} : [0, 1] \times (S_r(c) \cap V_n) \rightarrow S_r(c) \times \mathbf{R} \mid \tilde{g} \text{ is continuous, odd in } u \text{ and such that } H \circ \tilde{g} \in \Gamma_n \}.$$

Clearly, for any $g \in \Gamma_n$, $\tilde{g} := (g, 0) \in \tilde{\Gamma}_n$. From the fact that the maps

$$\varphi : \Gamma_n \rightarrow \tilde{\Gamma}_n, \quad g \mapsto \varphi(g) := (g, 0) \quad \text{and} \quad \psi : \tilde{\Gamma}_n \rightarrow \Gamma_n, \quad \tilde{g} \mapsto \psi(\tilde{g}) := H \circ \tilde{g}$$

satisfy

$$\tilde{E}_\kappa(\varphi(g)) = E_\kappa(g) \quad \text{and} \quad E_\kappa(\psi(\tilde{g})) = \tilde{E}_\kappa(\tilde{g}),$$

we get that $\tilde{\gamma}_n^\kappa(c) = \gamma_n^\kappa(c)$. Following [3] or [31, page 86], the tangent space at a point $(u, s) \in S_r(c) \times \mathbf{R}$ is defined as $\tilde{T}_{(u,s)} = \{(v, t) \in Y : \int_{\mathbf{R}^2} uv = 0\}$, where $Y := X \times \mathbf{R}$ is equipped with the scalar product $((u, s), (v, t))_Y = \int_{\mathbf{R}^2} (\nabla u \nabla v + uv + st)$ and the corresponding norm defined by $\|(u, s)\|_Y = (\|u\|^2 + s^2)^{1/2}$. Then the norm of the derivative of $\tilde{E}_\kappa|_{S_r(c) \times \mathbf{R}}$ at (u, s) is defined by

$$\|(\tilde{E}_\kappa|_{S_r(c) \times \mathbf{R}})'(u, s)\|_* = \sup_{(u,s) \in \tilde{T}_{(u,s)}, \|(u,s)\|_Y=1} |\langle E'_\kappa(u, s), (v, t) \rangle|.$$

Lemma 3.4. *For any fixed $c > 0$ and $n \in \mathbf{N}^+$, there exists a sequence $\{v_d\} \subset S_r(c)$ such that as $d \rightarrow \infty$,*

$$(3.8) \quad \begin{cases} E_\kappa(v_d) \rightarrow \gamma_n^\kappa(c), \\ \|E'_\kappa|_{S_r(c)}(v_d)\|_* \rightarrow 0, \\ J_\kappa(v_d) \rightarrow 0. \end{cases}$$

Proof. We borrow some elements of Lemma 2.4 in [18]. Noting the definition of $\gamma_n^\kappa(c)$, we have that for each $d \in \mathbf{N}^+$, there exists $g_d \in \Gamma_n$ such that

$$\max_{t \in [0,1], u \in S_r(c) \cap V_n} E_\kappa(g_d(t, u)) \leq \gamma_n^\kappa(c) + \frac{1}{d}.$$

Set $\tilde{g}_d = (g_d, 0)$, then $\tilde{g}_d \in \tilde{\Gamma}_n$ and

$$\max_{t \in [0,1], u \in S_r(c) \cap V_n} \tilde{E}_\kappa(\tilde{g}_d(t, u)) \leq \tilde{\gamma}_n^\kappa(c) + \frac{1}{d},$$

since $\tilde{\gamma}_n^\kappa(c) = \gamma_n^\kappa(c)$. Similar to Proposition 2.2 in [18], we obtain a sequence $\{(u_d, s_d)\} \subset S_r(c) \times \mathbf{R}$ satisfying that

$$(3.9) \quad \tilde{E}_\kappa(u_d, s_d) \rightarrow \gamma_n^\kappa(c), \quad \|(\tilde{E}_\kappa|_{S_r(c) \times \mathbf{R}})'(u_d, s_d)\|_* \rightarrow 0$$

and

$$(3.10) \quad \min_{t \in [0,1], u \in S_r(c) \cap V_n} \|(u_d, s_d) - \tilde{g}_d(t, u)\|_Y \rightarrow 0.$$

For each $d \in \mathbf{N}^+$, let $v_d = H(u_d, s_d)$. Then $v_d \in S_r(c)$ and from (3.9), we have that

$$(3.11) \quad E_\kappa(v_d) \rightarrow \gamma_n^\kappa(c) \quad \text{as } d \rightarrow \infty.$$

For any $(w, t) \in Y$, we see that

$$\begin{aligned}
 & \langle \tilde{E}'_\kappa(u_d, s_d), (w, t) \rangle \\
 &= te^{2s_d} \|\nabla u_d\|_2^2 + e^{2s_d} \int_{\mathbf{R}^2} \nabla u_d \nabla w + \kappa e^{2s_d} \int_{\mathbf{R}^2} \frac{u_d w}{|x|^2} \left(\int_0^{|x|} \frac{r}{2} u_d^2(r) dr \right)^2 \\
 (3.12) \quad &+ \kappa e^{2s_d} \int_{\mathbf{R}^2} \frac{|u_d|^2}{|x|^2} \left(\int_0^{|x|} \frac{r}{2} u_d^2(r) dr \right) \left(\int_0^{|x|} r u_d(r) w(r) dr \right) + t \kappa e^{2s_d} A(u_d) \\
 &- te^{-2s_d} \int_{\mathbf{R}^2} [f(e^{s_d} u_d) e^{s_d} u_d - 2F(e^{s_d} u_d)] - e^{-2s_d} \int_{\mathbf{R}^2} f(e^{s_d} u_d) e^{s_d} w \\
 &= \langle E'_b(v_d), H(w, s_d) \rangle + J_\kappa(v_d)t.
 \end{aligned}$$

Let $(w, t) = (0, 1) \in \tilde{T}_{(u_d, s_d)}$, then (3.9) and (3.12) imply that

$$(3.13) \quad J_\kappa(v_d) \rightarrow 0.$$

For any $w \in T_{v_d}$, if we take $t = 0$ in (3.12), then we get that

$$(3.14) \quad \langle \tilde{E}'_\kappa(u_d, s_d), (H(w, -s_d), 0) \rangle = \langle E'_\kappa(v_d), w \rangle,$$

where $T_{v_d} = \{w \in X : \int_{\mathbf{R}^2} w v_d = 0\}$. Moreover, since $\int_{\mathbf{R}^2} w v_d = \int_{\mathbf{R}^2} u_d H(w, -s_d)$, we obtain that $w \in T_{v_d} \Leftrightarrow (H(w, -s_d), 0) \in \tilde{T}_{(u_d, s_d)}$. To verify that $\|E'_\kappa|_{S_r(c)}(v_d)\|_* \rightarrow 0$, it suffices to show that $\{(H(w, -s_d), 0)\}$ is uniformly bounded in Y for n large, which is insured by the fact that

$$|s_d| = |s_d - 0| \leq \min_{t \in [0, 1], u \in S_r(c) \cap V_n} \|(u_d, s_d) - (g_d(t, u), 0)\|_Y \leq 1$$

for d large enough. This ends the proof. □

Proposition 3.5. *Let $c \in (0, \frac{4\pi}{\sqrt{p-3}})$, $\kappa \in (0, p - 3)$ and $\{u_d^n\} \subset S_r(c)$ be a sequence satisfying (3.8). Then $\{u_d^n\} \subset S_r(c)$ is bounded. Moreover, there exist $\{\lambda_d^n\} \subset \mathbf{R}$ and $u_n \in X$, such that, up to subsequence, as $d \rightarrow \infty$,*

- (i) $u_d^n \rightharpoonup u_n \neq 0$ in X ;
- (ii) $\lambda_d^n \rightarrow \lambda_n$ in \mathbf{R} ;
- (iii) $E'_\kappa(u_d^n) - \lambda_d^n u_d^n \rightarrow 0$ in X^* .
- (iv) $E'_\kappa(u_n) - \lambda_n u_n = 0$ in X^* ;

In addition, if $\lambda_n < 0$, then we have $u_d \rightarrow u_n$ in X as $d \rightarrow \infty$. In particular, $\|u_n\|_2^2 = c$ and $E_\kappa(u_n) = \gamma_n^\kappa(c)$.

Proof. By the second relation of (3.8), we obtain

$$(3.15) \quad \langle E'_\kappa(u_d^n), u_d^n \rangle = \|\nabla u_d^n\|_2^2 + 3\kappa A(u_d^n) - \int_{\mathbf{R}^2} f(u_d^n) u_d^n = o(\|u_d^n\|).$$

From Lemma 2.3, (2.7), (3.8) and (3.15), we conclude

$$\begin{aligned}
 (3.16) \quad \gamma_n^\kappa(c) + o(1) &= \frac{1}{2} \|\nabla u_d^n\|_2^2 + \frac{\kappa}{2} A(u_d^n) - \int_{\mathbf{R}^2} F(u_d^n) \\
 &\geq \frac{1}{2} \|\nabla u_d^n\|_2^2 + \frac{\kappa}{2} A(u_d^n) - \frac{1}{4} (\|\nabla u_d^n\| + 3\kappa A(u_d^n)) + o(\|u_d^n\|) \\
 &= \frac{1}{4} (\|\nabla u_d^n\|_2^2 - \kappa A(u_d^n)) + o(\|u_d^n\|) \\
 &\geq \frac{1}{4} \left(1 - \frac{\kappa C^2}{16\pi^2} \right) \|\nabla u_d^n\|_2^2 + o(\|u_d^n\|).
 \end{aligned}$$

Thanks to $c \in (0, \frac{4\pi}{\sqrt{p-3}})$ and $\kappa \in (0, p-3)$, (3.16) shows that $\{u_d^n\} \subset S_r(c)$ is bounded. Similar to the proof of Lemma 2.9, we know that there exists $u_n \neq 0$ such that, taking a subsequence if necessary, as $d \rightarrow \infty$,

$$(3.17) \quad \begin{cases} u_d^n \rightharpoonup u_n & \text{in } X, \\ u_d^n \rightarrow u_n & \text{in } L^q(\mathbf{R}^2), \\ u_d^n \rightarrow u_n & \text{a.e. in } \mathbf{R}^2, \end{cases}$$

for $q \in (2, \infty)$. Thus point (i) holds and

$$(3.18) \quad \int_{\mathbf{R}^2} f(u_d^n)u_d^n \rightarrow f(u_n)u_n \quad \text{and} \quad \int_{\mathbf{R}^2} F(u_d^n) \rightarrow F(u_n).$$

In addition, the proofs of points (ii)–(iv) are similar to that in [16, Proposition 2.26]. Using (ii)–(iv), we obtain that

$$(3.19) \quad \langle E'_\kappa(u_d^n) - \lambda_d^n u_d^n, u_d^n - u_n \rangle = o(1) \quad \text{and} \quad \langle E'_\kappa(u_n) - \lambda_n u_n, u_d^n - u_n \rangle = o(1).$$

if $\lambda_n < 0$, then we deduce from (3.18), (3.19) and Lemma 2.2 that

$$\|\nabla u_d\|_2 \rightarrow \|\nabla u_n\|_2 \quad \text{and} \quad \|u_d\|_2 \rightarrow \|u_n\|_2, \quad \text{as } d \rightarrow \infty.$$

The proof is completed. □

Remark 3.1. In the above proof, (3.16) also shows that if $\kappa > 0$ is sufficient small, then $\{u_d^n\} \subset S_r(c)$ is bounded and thus the the conclusions (i)–(iv) of Proposition 3.5 still hold.

Proof of Theorem 1.2. From Lemmas 2.11 and 3.4 and Proposition 3.5, it follows that for $c \in (0, \frac{4\pi}{\sqrt{p-3}})$, $\kappa \in (0, p-3)$ and each $n \in \mathbf{N}^+$, there exists a couple of solutions $(u_n, \lambda_n) \in S_r(c) \times \mathbf{R}^-$ for (1.4) with $E_\kappa(u_n) = \gamma_n^\kappa(c)$. By Lemmas 3.2 and 3.3, we deduce that $\gamma_n(c) \rightarrow \infty$ as $n \rightarrow \infty$ and the sequences of solutions $\{(u_n, \lambda_n)\}$ is unbounded. Thus (i) is proved.

To prove (ii), we only need to show that if $(u_n, \lambda_n) \in H_r^1(\mathbf{R}^2) \times \mathbf{R}$ solves (1.4), then $\lambda_n < 0$ provided that $\kappa > 0$ is sufficient small. In fact, similar to (2.29), we get that

$$\lambda_n c \leq \frac{p-3}{8\pi^2(p-2)} \left(\kappa c - \frac{16\pi^2}{p-3} \right) \|\nabla u_c\|_2^2 < 0,$$

for $\kappa > 0$ small enough. This ends the proof. □

Remark 3.2. In the proof of Theorem 1.2 (ii), $\kappa = 0$ is allowed. Therefore, we can prove Corollary 1.3.

Proof of Theorem 1.4. For $\kappa > 0$ sufficiently small, let $\{(u_n^\kappa, \lambda_n^\kappa)\} \subset S_r(c) \times \mathbf{R}^-$ be obtained in Theorem 1.3 (ii). We declare that for any sequence $\{\kappa_m\} \rightarrow 0^+$, $\{u_n^{\kappa_m}\}$ is bounded in X . Indeed, it follows from $\dim V_n < \infty$ that for each $n \in \mathbf{N}^+$,

$$\gamma_n^\kappa(c) := \inf_{g \in \Gamma_n} \max_{t \in [0,1], u \in S_r(c) \cap V_n} E_\kappa(g(t, u)) \leq \inf_{g \in \Gamma_n} \max_{t \in [0,1], u \in S_r(c) \cap V_n} E_1(g(t, u)) < \infty.$$

Since the sequence of $\{(u_n^{\kappa_m}, \lambda_n^{\kappa_m})\}_{m \in \mathbf{N}} \subset S_r(c) \times \mathbf{R}^-$ solves (1.4), we get that $\{u_n^{\kappa_m}\} \subset V(c)$ and

$$(3.20) \quad \lambda_n^{\kappa_m} = \frac{1}{c} \left[\|\nabla u_n^{\kappa_m}\|_2^2 + 3\kappa_m A(u_n^{\kappa_m}) - \int_{\mathbf{R}^2} f(u_n^{\kappa_m})u_n^{\kappa_m} \right].$$

Similar to Remark 3.1, we can obtain $\{u_n^{\kappa_m}\}$ is bounded in X . Furthermore, by (2.9)–(2.11) and Lemmas 2.1 and 2.3, it is easy to see that $\{\lambda_n^{\kappa_m}\}$ is bounded in \mathbf{R} .

Then there exist a subsequence of $\{\kappa_m\}$, still denoted by $\{\kappa_m\}$, $u_n^0 \in X$ and $\lambda_n^0 \leq 0$ such that as $m \rightarrow \infty$, $\lambda_n^{\kappa_m} \rightarrow \lambda_n^0$ and

$$(3.21) \quad \begin{cases} u_n^{\kappa_m} \rightharpoonup u_n^0 & \text{in } X; \\ u_n^{\kappa_m} \rightarrow u_n^0 & \text{in } L^q(\mathbf{R}^2), \quad 2 < q < 6; \\ u_n^{\kappa_m} \rightarrow u_n^0 & \text{a.e. in } \mathbf{R}^2. \end{cases}$$

It is trivial that for each $n \in \mathbf{N}^+$, (u_n^0, λ_n^0) is a couple of solutions of (1.13), i.e.,

$$\int_{\mathbf{R}^2} \nabla u_n^0 \nabla v - \lambda_n^0 \int_{\mathbf{R}^2} u_n^0 v = \int_{\mathbf{R}^2} f(u_n^0) v, \quad \forall v \in X.$$

Then we have

$$(3.22) \quad \int_{\mathbf{R}^2} \nabla u_n^0 \nabla (u_n^{\kappa_m} - u_n^0) - \lambda_n^0 \int_{\mathbf{R}^2} u_n^0 (u_n^{\kappa_m} - u_n^0) = \int_{\mathbf{R}^2} f(u_n^0) (u_n^{\kappa_m} - u_n^0).$$

Since $\{(u_n^\kappa, \lambda_n^\kappa)\}$ is a sequence of couples of solutions for (1.4) with $\kappa = \kappa_m$ and $\{u_n^{\kappa_m}\}$ is bounded in X , using $\lambda_n^{\kappa_m} \xrightarrow{m} \lambda_n^0$ and Lemma 2.3, we get that

$$(3.23) \quad \int_{\mathbf{R}^2} \nabla u_n^{\kappa_m} \nabla (u_n^{\kappa_m} - u_n^0) - \lambda_n^0 \int_{\mathbf{R}^2} u_n^{\kappa_m} (u_n^{\kappa_m} - u_n^0) = \int_{\mathbf{R}^2} f(u_n^{\kappa_m}) (u_n^{\kappa_m} - u_n^0) + o(1).$$

(3.21)–(3.23) imply that

$$(3.24) \quad \|\nabla(u_n^{\kappa_m} - u_n^0)\|_2^2 - \lambda_n^0 \|u_n^{\kappa_m} - u_n^0\|_2^2 = o(1).$$

At this point, using $\lambda_n^0 \leq 0$ we get $\|\nabla(u_n^{\kappa_m} - u_n^0)\|_2 \rightarrow 0$. Moreover, if $\lambda_n^0 = 0$, then $(u_n^0, 0)$ is a couple of solutions of (1.13). Thus it is readily checked that $\int_{\mathbf{R}^2} F(u_n^0) = 0$ and $\int_{\mathbf{R}^2} f(u_n^0) u_n^0 = \|\nabla u_n^0\|_2^2 = 0$. Then by Lemma 3.3, as $m \rightarrow \infty$,

$$1 \leq b_n \leq \gamma_n^{\kappa_m}(c) = E_{\kappa_m}(u_n^{\kappa_m}) \rightarrow 0.$$

This contradiction means $\lambda_n^0 < 0$. From (3.24), we deduce that $\|u_n^{\kappa_m} - u_n^0\|_2 \xrightarrow{m} 0$. Hence the sequence of $\{(u_n^0, \lambda_n^0)\} \subset S_r(c) \times \mathbf{R}^-$ solves (1.13). \square

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