

# Constant sign and nodal solutions for resonant double phase problems

NIKOLAOS S. PAPAGEORGIOU, VICENȚIU D. RĂDULESCU  
and YITIAN WANG

**Abstract.** We consider a double phase Dirichlet problem with a reaction which asymptotically as  $x \rightarrow \pm\infty$  can be resonant with respect to the principle eigenvalue  $\hat{\lambda}_1 > 0$  of the Dirichlet weighted  $p$ -Laplacian. Using variational tools, together with truncation and comparison techniques and critical groups, we show that the problem has at least three bounded solutions which are ordered and we provide sign information for all of them (positive, negative and nodal).

## Resonoivan kaksivaiheongelman vakio- ja vaihtuvamerkkiset ratkaisut

**Tiivistelmä.** Tarkastelemme kaksivaiheista Dirichlet'n ongelmaa, jonka reaktiotermi voi resonoida painotetun Dirichlet'n  $p$ -Laplacen operaattorin pääominaisarvon  $\hat{\lambda}_1 > 0$  kanssa asymptoottisesti, kun  $x \rightarrow \pm\infty$ . Käyttämällä variaatiomenetelmiä yhdessä katkaisu- ja vertailutekniikoiden sekä kriittisten ryhmien kanssa osoitamme, että ongelmalla on ainakin kolme rajallista ratkaisua, joilla on keskinäinen suuruusjärjestys ja määrätty etumerkkiominaisuudet (positiivinen, negatiivinen ja vaihtuvamerkkinen).

## 1. Introduction

Let  $\Omega \subseteq \mathbb{R}^N$  be a bounded domain with a Lipschitz boundary  $\partial\Omega$ . We study the following double phase Dirichlet problem

$$(1) \quad \left\{ \begin{array}{l} -\Delta_p^a u - \Delta_q u = f(z, u(z)) \text{ in } \Omega, \\ u|_{\partial\Omega} = 0, \quad 1 < q < p < N. \end{array} \right\}$$

For  $a \in L^\infty(\Omega) \setminus \{0\}$  with  $a(z) \geq 0$  for a.a.  $z \in \Omega$  and  $1 < r < \infty$ , by  $\Delta_r^a$  we denote the weighted  $p$ -Laplace differential operator with weight  $a(\cdot)$  defined by

$$\Delta_r^a u = \operatorname{div}(a(z)|Du|^{r-2}Du).$$

If  $a \equiv 1$ , then we have the standard  $r$ -Laplace differential operator. Equation (1) is driven by the sum of two such operators with distinct exponents. So, the differential operator in (1) is not homogeneous. This operator is related to the so-called “double phase” integral functional

$$u \rightarrow \int_{\Omega} [a(z)|Du|^p + |Du|^q] dz.$$

The density of this functional is the integrand

$$\eta(z, t) = a(z)t^p + t^q \quad \text{for all } z \in \Omega, \text{ all } t \geq 0.$$

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Corresponding author: Yitian Wang.

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We do not assume that the weight  $a(\cdot)$  is bounded away from zero (that is, we do not require that  $0 < \text{ess inf}_\Omega a$ ). So, the density integrand  $\eta(z, \cdot)$  exhibits unbalanced growth

$$t^q \leq \eta(z, t) \leq \hat{c}(1 + t^p) \text{ for a.a. } z \in \Omega, \text{ all } t \geq 0, \text{ some } \hat{c} > 0.$$

Such functionals were first examined by Marcellini [13, 14] and Zhikov [24, 25] in the context of problems of the calculus of variations (including the Lavrentiev gap phenomenon) and of nonlinear elasticity theory.

Recently, the interest for these problems was revived and there have been efforts to develop a regularity theory. We refer to the works of Marcellini [15], Mingione–Rădulescu [16], Ragusa–Tachikawa [23] and the references therein. So far we have only local regularity results. A global regularity theory (that is, regularity up to the boundary), remains elusive. This removes from consideration many powerful tools which are available when dealing with balanced growth problems. So, the task of proving multiplicity theorems with sign information for all the solutions for double phase problems, is much more difficult.

In the past, most multiplicity results for double phase equations, assumed that the reaction is  $(p - 1)$ -superlinear. We mention the works of Deręgowska–Gasinski–Papageorgiou [2], Gasinski–Papageorgiou [7], Gasinski–Winkert [8], Liu–Dai [12], Papageorgiou–Vetro–Vetro [21], Papageorgiou–Zhang [22]. Recently, Papageorgiou–Rădulescu–Zhang [20] and Papageorgiou–Pudélko–Rădulescu [17], developed the spectral properties of the weighted  $p$ -Laplacian  $\Delta_p^a$  and proved multiplicity theorems for resonant problems. They prove the existence of two solutions but do not provide sign information for them.

Here using a combination of variational tools, with truncation and comparison techniques and critical groups, under resonance conditions on the reaction, we prove a multiplicity theorem producing three nontrivial bounded solutions, two of constant sign (positive and negative) and the third nodal (sign changing). It appears that our result here is the first multiplicity result for double phase equations with sign information for all the solutions.

## 2. Mathematical background and hypotheses

A first consequence of the unbalanced growth of  $\eta(z, \cdot)$  is that the standard Sobolev spaces do not provide an adequate framework to deal with problem (1). We need to use generalized Orlicz spaces. A comprehensive presentation of the theory of these spaces can be found in the book of Harjulehto and Hästö [10].

We introduce the conditions on the exponents and on the weight function.

Recall that  $C^{0,1}(\bar{\Omega})$  is the space of all Lipschitz continuous functions  $u: \Omega \rightarrow \mathbb{R}$ .

Also, by  $\mathcal{A}_p$  we denote the class of all  $p$ -Muckenhoupt weights (see Cruz Uribe–Fiorenza [1, p. 142] and Harjulehto–Hästó [10, p. 114]).

**H<sub>0</sub>:**  $a \in C^{0,1}(\bar{\Omega}) \cap \mathcal{A}_p$ ,  $a(z) > 0$  for all  $z \in \Omega$ ,  $1 < q < p < N$ ,  $2 \leq p$ ,  $\frac{p}{q} < 1 + \frac{1}{N}$ .

**Remark 2.1.** The hypothesis that  $a \in C^{0,1}(\bar{\Omega})$ , implies that the Poincaré inequality holds in the corresponding Orlicz–Sobolev space. The hypothesis that  $a \in \mathcal{A}_p$  permits the use of the spectral analysis for  $\Delta_p^a$  which was done in [17].

The last inequality in  $H_0$  is common in double phase Dirichlet problems and it says that the two exponents  $p, q$  can not be far apart. Also, it implies that  $p < q^* = \frac{Nq}{N-q}$  and this in turn leads to compact embeddings of some relevant spaces.

Recall that  $\eta(z, t)$  is the density of the double phase integral functional, that is,

$$\eta(z, t) = a(z)t^p + t^q \quad \text{for a.a. } z \in \Omega, \text{ all } t \geq 0.$$

Note that for all  $z \in \Omega$ ,  $\eta(z, \cdot)$  is uniformly convex.

Let  $L^0(\Omega)$  be the space of all measurable functions  $u: \Omega \rightarrow \mathbb{R}$ . As usual we identify two such functions which differ only on a Lebesgue-null set.

The generalized Orlicz–Lebesgue space  $L^\eta(\Omega)$  is defined by

$$L^\eta(\Omega) = \left\{ u \in L^0(\Omega) : \rho_\eta(u) = \int_\Omega \eta(z, |u|) \, dz < \infty \right\}.$$

The function  $\rho_\eta(\cdot)$  is the “modular function” corresponding to the density  $\eta$ . We equip  $L^\eta(\Omega)$  with the so-called “Luxemburg norm”  $\|\cdot\|_\eta$  defined by

$$\|u\|_\eta = \inf \left\{ \lambda > 0 : \rho_\eta\left(\frac{u}{\lambda}\right) \leq 1 \right\} \quad \text{for all } u \in L^\eta(\Omega).$$

With this norm  $L^\eta(\Omega)$  becomes a separable Banach space which is uniformly convex, thus reflexive. Using  $L^\eta(\Omega)$ , we can define the corresponding generalized Orlicz–Sobolev space  $W^{1,\eta}(\Omega)$  by

$$W^{1,\eta}(\Omega) = \{u \in L^\eta(\Omega) : |Du| \in L^\eta(\Omega)\}.$$

We equip this space with the norm  $\|\cdot\|_{1,\eta}$  defined by

$$\|u\|_{1,\eta} = \|u\|_\eta + \|Du\|_\eta \quad \text{for all } u \in W^{1,\eta}(\Omega),$$

where  $\|Du\|_\eta = \||Du|\|_\eta$ . Also we set

$$W_0^{1,\eta}(\Omega) = \overline{C_c^\infty(\Omega)}^{\|\cdot\|_{1,\eta}}.$$

As we already mentioned, since  $a \in C^{0,1}(\bar{\Omega})$ , on  $W_0^{1,\eta}(\Omega)$  the Poincaré inequality holds, namely we can find  $c = c(\Omega) > 0$  such that

$$\|u\|_\eta \leq c \|Du\|_\eta \quad \text{for all } u \in W_0^{1,\eta}(\Omega).$$

Therefore on  $W_0^{1,\eta}(\Omega)$ , we can use the equivalent norm

$$\|u\| = \|Du\|_\eta \quad \text{for all } u \in W_0^{1,\eta}(\Omega).$$

Both  $W^{1,\eta}(\Omega)$  and  $W_0^{1,\eta}(\Omega)$  are separable Banach spaces, which are uniformly convex (thus reflexive). We have some useful embeddings between these spaces.

**Proposition 1.** *The following results hold:*

- (a) let  $s \in [1, q]$ . Then  $L^\eta(\Omega) \hookrightarrow L^s(\Omega)$ ,  $W_0^{1,\eta}(\Omega) \hookrightarrow W_0^{1,s}(\Omega)$  continuously.
- (b)  $W_0^{1,\eta}(\Omega) \hookrightarrow L^s(\Omega)$  continuously for all  $s \in [1, q^*]$ .
- (c)  $W_0^{1,\eta}(\Omega) \hookrightarrow L^s(\Omega)$  compactly for all  $s \in [1, q^*)$ .

There is a close relation between the norm  $\|\cdot\|$  and the modular function  $\rho_\eta(\cdot)$ .

**Proposition 2.** *The following results hold:*

- (a)  $\|u\| = \lambda \Leftrightarrow \rho_\eta\left(\frac{Du}{\lambda}\right) = 1$ .
- (b)  $\|u\| < 1$  (resp.  $= 1, > 1$ )  $\Leftrightarrow \rho_\eta(Du) < 1$  (resp.  $= 1, > 1$ ).
- (c)  $\|u\| < 1 \Rightarrow \|u\|^p \leq \rho_\eta(Du) \leq \|u\|^q$ .
- (d)  $\|u\| > 1 \Rightarrow \|u\|^q \leq \rho_\eta(Du) \leq \|u\|^p$ .
- (e)  $\|u\| \rightarrow 0$  (resp.,  $\|u\| \rightarrow \infty$ )  $\Leftrightarrow \rho_\eta(Du) \rightarrow 0$  (resp.  $\rho_\eta(Du) \rightarrow \infty$ ).

We introduce the operator  $V: W_0^{1,\eta}(\Omega) \rightarrow W_0^{1,\eta}(\Omega)^*$  defined by

$$\langle V(u), h \rangle = \int_{\Omega} [a(z)|Du|^{p-2} + |Du|^{q-2}] (Du, Dh)_{\mathbb{R}^N} dz \quad \text{for all } u, h \in W_0^{1,\eta}(\Omega).$$

This operator has the following properties (see Liu–Dai [12]).

**Proposition 3.** *The operator  $V: W_0^{1,\eta}(\Omega) \rightarrow W_0^{1,\eta}(\Omega)^*$  is bounded (that is, maps bounded sets to bounded sets), continuous, strictly monotone (thus maximal monotone too) and of type  $(S)_+$ , that is, “if  $u_n \xrightarrow{w} u$  in  $W_0^{1,\eta}(\Omega)$  and  $\limsup_{n \rightarrow \infty} \langle V(u_n), u_n - u \rangle \leq 0$ , then  $u_n \rightarrow u$  in  $W_0^{1,\eta}(\Omega)$ ”.*

Let  $\eta_0(z, t) = a(z)t^p$ ,  $z \in \Omega$ ,  $t \geq 0$ . For this integrand we introduce the generalized Orlicz spaces  $L^{\eta_0}(\Omega)$  and  $W_0^{1,\eta_0}(\Omega)$ . We equip  $L^{\eta_0}(\Omega)$  with the Luxemburg norm

$$\|u\|_{\eta_0} = \inf \left\{ \lambda > 0: \rho_{\eta_0} \left( \frac{u}{\lambda} \right) \leq 1 \right\}$$

and  $W_0^{1,\eta_0}(\Omega)$  with the norm

$$\|u\|_{1,\eta_0} = \|u\|_{\eta_0} + \|Du\|_{\eta_0}.$$

These are separable reflexive (in fact uniformly convex) Banach spaces. From Papageorgiou–Rădulescu–Zhang [20] (Lemma 2.1), we know that

$$(2) \quad W_0^{1,\eta_0}(\Omega) \hookrightarrow L^{\eta_0}(\Omega) \quad \text{compactly.}$$

We consider the following nonlinear eigenvalue problem

$$(3) \quad \begin{cases} -\Delta_p^a u(z) = \hat{\lambda} \alpha(z) |u(z)|^{p-2} u(z) & \text{in } \Omega, \\ u|_{\partial\Omega} = 0. \end{cases}$$

Using (2), we can show that the eigenvalue problem (3) has a smallest eigenvalue  $\hat{\lambda}_1 > 0$ , which has the following variational characterization

$$(4) \quad \hat{\lambda}_1 = \inf \left\{ \frac{\rho_{\eta_0}(Du)}{\rho_{\eta_0}(u)} : u \in W_0^{1,\eta_0}(\Omega), u \neq 0 \right\},$$

where  $\rho_{\eta_0}(u) = \int_{\Omega} \eta_0(z, |u|) dz$ .

This eigenvalue is simple (that is, if  $\hat{u}, \hat{v}$ , are eigenfunctions corresponding to  $\hat{\lambda}_1 > 0$ , then  $\hat{u} = \vartheta \hat{v}$  for some  $\vartheta \in \mathbb{R} \setminus \{0\}$ ), isolated.

The infimum in (4) is realized on the corresponding one-dimensional eigenspace, the elements of which have fixed sign. By  $\hat{u}_1$  we denote the corresponding positive  $L^{\eta_0}(\Omega)$ -normalized eigenfunction (that is,  $\|\hat{u}_1\|_{\eta_0} = 1$ ). We have

$$\hat{u}_1 \in W_0^{1,\eta}(\Omega) \cap L^{\infty}(\Omega)$$

and for every  $K \subseteq \Omega$  compact, we have

$$0 < c_K \leq \hat{u}_1(z) \quad \text{for a.a. } z \in \Omega.$$

In the sequel for every  $u \in L^0(\Omega)$  with this property, we write  $0 \prec u$ . We mention that all higher eigenvalues of (3), have nodal eigenfunctions. For details, see [17].

If  $u \in L^0(\Omega)$ , then  $u^+ = \max\{u, 0\}$ ,  $u^- = \max\{-u, 0\}$ . We have  $u = u^+ - u^-$ ,  $|u| = u^+ + u^-$  and if  $u \in W_0^{1,\eta}(\Omega)$ , then  $u^{\pm} \in W_0^{1,\eta}(\Omega)$ . If  $h_1, h_2 \in L^0(\Omega)$ , then

$$[h_1, h_2] = \{u \in W_0^{1,\eta}(\Omega) : h_1(z) \leq u(z) \leq h_2(z) \text{ for a.a. } z \in \Omega\}.$$

Let  $X$  be a Banach space,  $\varphi \in C^1(X)$  and  $c \in \mathbb{R}$ . We introduce the following sets:

$$K_\varphi = \{u \in X : \varphi'(u) = 0\} \quad (\text{the critical set of } \varphi),$$

$$\varphi^c = \{u \in X : \varphi(u) \leq c\}.$$

We say that  $\varphi(\cdot)$  satisfies the “ $C$ -condition”, if every sequence  $\{u_n\}_{n \in \mathbb{N}} \subseteq X$  such that

$$\{\varphi(u_n)\}_{n \in \mathbb{N}} \subseteq \mathbb{R} \text{ is bounded,}$$

$$(1 + \|u_n\|_X) \varphi'(u_n) \rightarrow 0 \text{ in } X^* \text{ as } n \rightarrow \infty,$$

admits a strongly convergent subsequence.

This is a compactness condition on  $\varphi(\cdot)$ , which compensates for the fact that the ambient space  $X$  need not be locally compact (being in general infinite dimensional).

Let  $(Y_1, Y_2)$  be a topological pair such that  $Y_2 \subseteq Y_1 \subseteq X$ . For  $k \in \mathbb{N}_0$ , by  $H_k(Y_1, Y_2)$  we denote the  $k^{\text{th}}$ -relative singular homology group with integer coefficients. Let  $u \in K_\varphi$  be isolated and set  $c = \varphi(u)$ . Then the critical groups of  $\varphi(\cdot)$  at  $u$  are defined by

$$C_k(\varphi, u) = H_k(\varphi^c \cap \mathcal{U}, \varphi^c \cap \mathcal{U} \setminus \{u\}) \quad \text{for all } k \in \mathbb{N}_0,$$

with  $\mathcal{U}$  being an open neighborhood of  $u$  such that  $K_\varphi \cap \varphi^c \cap \mathcal{U} = \{u\}$ . The excision property of singular homology implies that this definition is independent of the choice of the isolating neighborhood  $\mathcal{U}$ .

Suppose that  $\varphi \in C^1(X)$  satisfies the  $C$ -condition and that  $-\infty < \inf \varphi(K_\varphi)$ . The critical groups of  $\varphi(\cdot)$  at infinity are defined by

$$C_k(\varphi, \infty) = H_k(X, \varphi^c) \quad \text{for all } k \in \mathbb{N}_0.$$

The second deformation theorem (see [19, p. 386]) implies that this definition is independent of the choice of the level  $c < \inf \varphi(K_\varphi)$ .

Suppose that  $K_\varphi$  is finite. We introduce the following series in  $t \in \mathbb{R}$ .

$$M(t, u) = \sum_{k \in \mathbb{N}_0} \text{rank} C_k(\varphi, u) t^k \quad \text{for all } u \in K_\varphi,$$

$$P(t, u) = \sum_{k \in \mathbb{N}_0} \text{rank} C_k(\varphi, \infty) t^k.$$

The “Morse relation” says that

$$(5) \quad \sum_{u \in K_\varphi} M(t, u) = P(t, \infty) + (1 + t)Q(t) \quad \text{for all } t \in \mathbb{R},$$

with  $Q(t) = \sum_{k \in \mathbb{N}_0} \beta_k t^k$  a formal series in  $t \in \mathbb{R}$  with nonnegative integer coefficients (see [19]).

We will use critical groups to overcome the difficulties we encounter due to the lack of a global regularity theory.

To do this, We will need the notion of  $L^\infty(\Omega)$ -locally Lipschitz integrand. We say that  $g: \Omega \times \mathbb{R} \rightarrow \mathbb{R}$  is an  $L^\infty(\Omega)$ -locally Lipschitz integrand, if

- for all  $x \in \mathbb{R}$ ,  $z \rightarrow g(z, x)$  is measurable;
- for a.a.  $z \in \Omega$  and all compact  $K \subseteq \mathbb{R}$ , there exists  $g_K \in L^\infty(\Omega)$  such that

$$|g(z, x) - g(z, y)| \leq g_K(z)|x - y| \quad \text{for a.a. } z \in \Omega \text{ all } x, y \in K.$$

The hypotheses on the reaction  $f(z, x)$  are the following:

**H<sub>1</sub>**:  $f: \Omega \times \mathbb{R} \rightarrow \mathbb{R}$  is an  $L^\infty$ -locally Lipschitz integrand such that for a.a.  $z \in \Omega$ ,  $f(z, 0) = 0$ ,  $f(z, x)x \geq 0$  for all  $x \in \mathbb{R}$  and

- (i)  $|f(z, x)| \leq \hat{a}(z)(1 + |x|^{p-1})$  for a.a.  $z \in \Omega$ , all  $x \in \mathbb{R}$ , with  $\hat{a} \in L^\infty(\Omega)$ ;
- (ii)  $F(z, x) = \int_0^x f(z, s)ds$ , then  $\lim_{x \rightarrow \pm\infty} \frac{pF(z, x)}{a(z)|x|^p} \leq \hat{\lambda}_1$  uniformly for a.a.  $z \in \Omega$ ;
- (iii) there exists  $\beta_0 > 0$  such that

$$-\beta_0 \leq f(z, x)x - pF(z, x) \text{ for a.a. } z \in \Omega, \text{ all } x \in \mathbb{R};$$

- (iv) there exist  $\delta > 0$  and  $\tau \in (1, q)$  such that

$$c_0|x|^\tau \leq f(z, x)x \leq \tau F(z, x)$$

for a.a.  $z \in \Omega$ , all  $|x| \leq \delta$ , some  $c_0 > 0$ .

**Remark 2.2.** Hypothesis  $H_1$  (ii) implies that we also have

$$\limsup_{x \rightarrow \pm\infty} \frac{f(z, x)}{a(z)|x|^{p-2}x} \leq \hat{\lambda}_1 \text{ uniformly for a.a. } z \in \Omega$$

So, our hypotheses cover the resonant case. Hypothesis  $H_1$  (iv) implies the presence of a local concave term near zero.

Let  $\varphi: W_0^{1,\eta}(\Omega) \rightarrow \mathbb{R}$  be the energy functional for problem (1) defined by

$$\varphi(u) = \frac{1}{p}\rho_{\eta_0}(Du) + \frac{1}{q}\|Du\|_q^q - \int_\Omega F(z, u) dz \text{ for all } u \in W_0^{1,\eta}(\Omega).$$

Evidently  $\varphi \in C^1(W_0^{1,\eta}(\Omega))$ .

Also in order to produce solutions of constant sign, we consider the positive and negative truncations of  $\varphi(\cdot)$ , namely the  $C^1$ -functionals  $\varphi_\pm: W_0^{1,\eta}(\Omega) \rightarrow \mathbb{R}$  defined by

$$\varphi_\pm(u) = \frac{1}{p}\rho_{\eta_0}(Du) + \frac{1}{q}\|Du\|_q^q - \int_\Omega F(z, \pm u^\pm) dz \text{ for all } u \in W_0^{1,\eta}(\Omega).$$

### 3. Solutions of constant sign

In this section we produce two bounded constant sign solutions (positive and negative) using the direct method of the calculus of variations.

**Proposition 4.** *If hypotheses  $H_0, H_1$  hold, then the functionals  $\varphi_\pm, \varphi$  are coercive.*

*Proof.* We do the proof for  $\varphi_+(\cdot)$ , the proofs for  $\varphi_-(\cdot), \varphi(\cdot)$  being similar.

We argue by contradiction. So, suppose we can find  $\{u_n\}_{n \in \mathbb{N}} \subseteq W_0^{1,\eta}(\Omega)$  such that

$$(6) \quad \|u_n\| \rightarrow \infty \text{ as } n \rightarrow \infty \text{ and } \varphi_+(u_n) \leq c_1 \text{ for some } c_1 > 0, \text{ all } n \in \mathbb{N}.$$

From the inequality in (6), we see that if  $\{u_n^+\}_{n \in \mathbb{N}} \subseteq W_0^{1,\eta}(\Omega)$  is bounded, then so is  $\{u_n^-\}_{n \in \mathbb{N}} \subseteq W_0^{1,\eta}(\Omega)$  and then  $\{u_n\}_{n \in \mathbb{N}} \subseteq W_0^{1,\eta}(\Omega)$  is bounded, contradicting (6). Therefore we may assume that

$$(7) \quad \|u_n^+\| \rightarrow \infty \text{ as } n \rightarrow \infty.$$

First suppose that  $\{u_n^+\}_{n \in \mathbb{N}} \subseteq W_0^{1,\eta_0}(\Omega)$  is bounded (recall that  $W_0^{1,\eta}(\Omega) \hookrightarrow W_0^{1,\eta_0}(\Omega)$  continuously). Hypotheses  $H_1$  (i), (ii) imply that we can find  $c_2 > 0$  such

that

$$(8) \quad F(z, x) \leq \frac{1}{p}(\hat{\lambda}_1 + 1)a(z)|x|^p + c_2 \quad \text{for a.a. } z \in \Omega, \text{ all } x \in \mathbb{R}$$

from (6), we have

$$\begin{aligned} & \frac{1}{p}\rho_\eta(Du_n^+) + \frac{1}{q}\|Du_n^+\|_q^q \leq c_1 + \int_\Omega F(z, u_n^+) \, dz, \\ \Rightarrow & \frac{1}{p}\left[\rho_{\eta_0}(Du_n^+) - \hat{\lambda}_1\rho_{\eta_0}(u_n^+)\right] + \frac{1}{q}\|Du_n^+\|_q^q \leq c_3 + \frac{1}{p}\rho_{\eta_0}(u_n^+) \end{aligned}$$

for some  $c_3 > 0$ , all  $n \in \mathbb{N}$  (see [8]),

$$\Rightarrow \frac{1}{q}\|Du_n^+\|_q^q \leq c_4 \text{ for some } c_4 > 0, \text{ all } n \in \mathbb{N}$$

(see (4) and recall that we have assumed that  $\{u_n^+\}_{n \in \mathbb{N}} \subseteq W_0^{1,\eta_0}(\Omega)$  is bounded),

$$\Rightarrow \{u_n^+\}_{n \in \mathbb{N}} \subseteq W_0^{1,q}(\Omega) \text{ is bounded,}$$

$$\Rightarrow \{u_n^+\}_{n \in \mathbb{N}} \subseteq W_0^{1,\eta}(\Omega) \text{ is bounded.}$$

But this contradicts (7). Therefore we may assume that

$$(9) \quad \|u_n^+\|_{1,\eta_0} \rightarrow \infty \text{ as } n \rightarrow \infty.$$

Let  $y_n = \frac{u_n^+}{\|u_n^+\|_{1,\eta_0}}$  for all  $n \in \mathbb{N}$ . We have

$$\|y_n\|_{1,\eta_0} = 1, \quad y_0 \geq 0 \text{ for all } n \in \mathbb{N}.$$

Recall that  $W_0^{1,\eta_0}(\Omega) \hookrightarrow L^{\eta_0}(\Omega)$  compactly (see [20]). Since  $W_0^{1,\eta_0}(\Omega)$  is a separable, reflexive Banach space, we may assume that

$$(10) \quad y_n \xrightarrow{w} y \text{ in } W_0^{1,\eta_0}(\Omega), \quad y_n \rightarrow y \text{ in } L^{\eta_0}(\Omega) \text{ as } n \rightarrow \infty.$$

From (6) we have

$$(11) \quad \begin{aligned} & \frac{1}{p}\rho_{\eta_0}(Du_n^+) + \frac{1}{q}\|Du_n^+\|_q^q - \int_\Omega F(z, u_n^+) \, dz \leq c_1 \text{ for all } n \in \mathbb{N}, \\ \Rightarrow & \frac{1}{p}\rho_{\eta_0}(Dy_n) + \frac{1}{q}\frac{\|Dy_n\|_q^q}{\|u_n^+\|_{1,\eta_0}^{p-q}} - \int_\Omega \frac{F(z, u_n^+)}{\|u_n^+\|_{1,\eta_0}^p} \leq \frac{c_1}{\|u_n^+\|_{1,\eta_0}^p} \text{ for all } n \in \mathbb{N}. \end{aligned}$$

**Claim.**  $-\beta_0 \leq \hat{\lambda}_1 a(z)v^p - pF(z, v)$  for a.a.  $z \in \Omega$ , all  $v \geq 0$ .

For  $x > 0$ , we have

$$\begin{aligned} \frac{d}{dx} \left( \frac{F(z, x)}{x^p} \right) &= \frac{f(z, x)x^p - px^{p-1}F(z, x)}{x^{2p}} = \frac{f(z, x)x - pF(z, x)}{x^{p+1}} \\ &\geq -\frac{\beta_0}{x^{p+1}} \quad \text{for a.a. } z \in \Omega \text{ (see hypothesis } H_1 \text{ (iii)).} \end{aligned}$$

Integrating this inequality, we obtain

$$\frac{F(z, x)}{x^p} - \frac{F(z, v)}{v^p} \geq \frac{\beta_0}{p} \left[ \frac{1}{x^p} - \frac{1}{v^p} \right] \text{ for a.a. } z \in \Omega, \text{ all } x \geq v > 0.$$

Passing to the limit as  $x \rightarrow +\infty$  and using hypothesis  $H_1$  (ii), we obtain

$$\begin{aligned} \frac{\hat{\lambda}_1 a(z)}{p} - \frac{F(z, v)}{v^p} &\geq -\frac{\beta_0}{pv^p}, \\ \Rightarrow \hat{\lambda}_1 \alpha(z) v^p - pF(z, v) &\geq -\beta_0 \quad \text{for a.a. } z \in \Omega, \text{ all } v \geq 0. \end{aligned}$$

This proves the Claim.

The above Claim implies that

$$(12) \quad -F(z, x) \geq -\frac{1}{p}\beta_0 - \frac{1}{p}\hat{\lambda}_1 \alpha(z)x^p \quad \text{for a.a. } z \in \Omega, \text{ all } x \geq 0.$$

We use (12) in (11) and have

$$\frac{1}{p} \left( \rho_{\eta_0}(Dy_n) - \hat{\lambda}_1 \rho_{\eta_0}(y_n) \right) \leq \varepsilon_n \quad \text{for all } n \in \mathbb{N}, \text{ with } \varepsilon_n \rightarrow 0^+ \text{ as } n \rightarrow \infty.$$

If we pass to the limit as  $n \rightarrow \infty$  and use (10), we obtain

$$\rho_{\eta_0}(Dy) \leq \hat{\lambda}_1 \rho_{\eta_0}(y).$$

Note that the modular function  $\rho_{\eta_0}(\cdot)$  is continuous, convex, thus weakly lower semi-continuous on  $W_0^{1, \eta_0}(\Omega)$ . From (4) it follows that

$$\begin{aligned} \rho_{\eta_0}(Dy) &= \hat{\lambda}_1 \rho_{\eta_0}(y), \\ \Rightarrow y = \hat{u}_1 \succ 0 \quad \text{or } y &= 0 \quad (\text{recall that } y \geq 0). \end{aligned}$$

If  $y = 0$ , then

$$\begin{aligned} \rho_{\eta_0}(Dy_n), \rho_{\eta_0}(y_n) &\rightarrow 0, \\ \Rightarrow y_n &\rightarrow 0 \text{ in } W_0^{1, \eta_0}(\Omega) \text{ as } n \rightarrow \infty, \end{aligned}$$

which contradicts the fact that  $\|y_n\|_{1, \eta_0} = 1$  for all  $n \in \mathbb{N}$ ,

If  $y = \hat{u}_1$ , then since  $\hat{u}_1 \succ 0$ , we infer that

$$(13) \quad u_n^+(z) \rightarrow +\infty \quad \text{for a.a. } z \in \Omega \text{ as } n \rightarrow \infty.$$

From (6) we have

$$\begin{aligned} \rho_{\eta_0}(Du_n^+) + \frac{p}{q} \|Du_n^+\|_q^q - \int_{\Omega} pF(z, u_n^+) dz &\leq pc_1 \quad \text{for all } n \in \mathbb{N}, \\ \Rightarrow \int_{\Omega} \left[ \hat{\lambda}_1 a(z) (u_n^+)^p - pF(z, u_n^+) \right] dz &+ \frac{p}{q} \|Du_n^+\|_q^q \leq pc_1 \quad (\text{see the Claim}). \end{aligned}$$

If  $\hat{\lambda}_1(q) > 0$  denotes the principal eigenvalue of  $(-\Delta_q, W_0^{1, q}(\Omega))$  and since  $\hat{\lambda}_1(q) \|v\|_q^q \leq \|Dv\|_q^q$  for all  $v \in W_0^{1, q}(\Omega)$  (see Gasinski–Papageorgiou [5]), we have

$$(14) \quad \frac{p}{q} \hat{\lambda}_1(q) \int_{\Omega} (u_n^+)^q dz \leq c_5 \quad \text{for all } n \in \mathbb{N}, \text{ some } c_5 > 0 \quad (\text{see the Claim}).$$

Using (13), (14) and Fatou's lemma, we reach a contradiction.

Therefore  $\{u_n^+\}_{n \in \mathbb{N}} \subseteq W_0^{1, \eta_0}(\Omega)$  is bounded, which we have seen earlier that it implies that  $\{u_n^+\} \subseteq W^{1, q}(\Omega)$  is bounded, hence  $\{u_n^+\}_{n \in \mathbb{N}} \subseteq W_0^{1, \eta}(\Omega)$  is bounded, contradicting (7). We conclude that  $\varphi_+(\cdot)$  is coercive.

Similarly we show that  $\varphi_-(\cdot)$  and  $\varphi(\cdot)$  are coercive.  $\square$

Now we can produce two constant sign solutions.

**Proposition 5.** *If hypotheses  $H_0, H_1$  hold, then problem (1) has at least two constant sign solutions*

$$u_0, v_0 \in W_0^{1,\eta}(\Omega) \cap L^\infty(\Omega),$$

$$v_0 \prec 0 \prec u_0.$$

*Proof.* By Proposition 4,  $\varphi_+(\cdot)$  is coercive. Also using Proposition 1 and the sequential weak lower semicontinuity of the modular and norm functions, we see that  $\varphi_+(\cdot)$  is sequentially weakly lower semicontinuous. So, by the Weierstrass–Tonelli theorem, we can find  $u_0 \in W_0^{1,\eta}(\Omega)$  such that

$$(15) \quad \varphi_+(u_0) = \inf \{ \varphi_+(u) : u \in W_0^{1,\eta}(\Omega) \}.$$

Let  $u \in C_0^1(\bar{\Omega})$  with  $u(z) > 0$  for all  $z \in \Omega$ . We can find  $t \in (0, 1)$  small such that

$$(16) \quad 0 \leq tu(z) \leq \delta \text{ for all } z \in \bar{\Omega},$$

with  $\delta > 0$  as postulated by hypothesis  $H_1$  (iv). We have

$$\varphi_t(tu) \leq \frac{t^p}{p} \rho_{\eta_0}(Du) + \frac{t^q}{q} \|Du\|_q^q - \frac{c_0}{\tau} t^\tau \|u\|_\tau^\tau \quad (\text{see hypothesis } H_1(\text{iv})).$$

Since  $1 < \tau < q < p$ , choosing  $t \in (0, 1)$  even smaller if necessary, we obtain

$$\begin{aligned} \varphi_+(tu) &< 0, \\ \Rightarrow \varphi_+(u_0) &< 0 = \varphi_+(0) \quad (\text{see(15)}), \\ \Rightarrow u_0 &\neq 0. \end{aligned}$$

From (15) we have

$$\begin{aligned} \langle \varphi'_+(u_0), h \rangle &= 0 \text{ for all } h \in W_0^{1,\eta}(\Omega), \\ \Rightarrow \langle V(u_0), h \rangle &= \int_\Omega f(z, u_0^+) h \, dz \text{ for all } h \in W_0^{1,\eta}(\Omega). \end{aligned}$$

Choosing  $h = -u_0^- \in W_0^{1,\eta}(\Omega)$ , we obtain

$$\begin{aligned} \rho_\eta(Du_0^-) &= 0, \\ \Rightarrow u_0 &\geq 0, u_0 \neq 0 \quad (\text{see Proposition 2}). \end{aligned}$$

Therefore  $u_0 \in W_0^{1,\eta}(\Omega)$  is a positive solution. From Gasinski–Winkert [8] (Theorem 3.1), we have  $u_0 \in W_0^{1,\eta}(\Omega) \cap L^\infty(\Omega)$ , In addition Proposition 2.4 of Papageorgiou–Vetro–Vetro [21] implies that  $0 \prec u_0$ .

Similarly, working with  $\varphi_-(\cdot)$ , we generate a negative solution  $v_0 \in W_0^{1,\eta}(\Omega) \cap L^\infty(\Omega)$  with  $v_0 \prec 0$ . □

In fact, we can have extremal constant sign solutions that is, a smallest positive solution and a biggest negative solution. We will need these extremal solutions in order to produce a nodal one. To this end, motivated by hypothesis  $H_1$  (iv), we consider the following auxiliary double phase problem

$$(Au) \quad \begin{cases} -\Delta_p^q u(z) - \Delta_q u(z) = c_0 |u(z)|^{\tau-2} u(z) & \text{in } \Omega, \\ u|_{\partial\Omega} = 0, & 1 < \tau < q < p. \end{cases}$$

**Proposition 6.** *If hypotheses  $H_0$  hold, then problem (Au) has a unique positive solution  $\bar{u} \in W_0^{1,\eta}(\Omega) \cap L^\infty(\Omega)$ ,  $0 \prec \bar{u}$  and since problem (Au) is odd  $\bar{v} = -\bar{u} \prec 0$  is the unique solution of (Au).*

*Proof.* Let  $\sigma: W_0^{1,\eta}(\Omega) \rightarrow \mathbb{R}$  be the  $C^1$ -functional defined by

$$\sigma(u) = \frac{1}{p}\rho_{\eta_0}(Du) + \frac{1}{q}\|Du\|_q^q - \frac{c_0}{\tau}\|u^+\|_\tau^\tau \quad \text{for all } u \in W_0^{1,\eta}(\Omega).$$

Evidently,  $\sigma(\cdot)$  is coercive (since  $\tau < q < p$ ) and sequentially weakly lower semicontinuous. So, we can find  $\bar{u} \in W_0^{1,\eta}(\Omega)$  such that

$$(17) \quad \sigma(\bar{u}) = \inf \{ \sigma(u) : u \in W_0^{1,\eta}(\Omega) \}$$

If  $u \in W_0^{1,\eta}(\Omega) \setminus \{0\}$ ,  $u(z) \geq 0$  for a.a.  $z \in \Omega$  and  $t \in (0, 1)$ , then

$$\sigma(tu) \leq \frac{t^q}{q}\rho_\eta(Du) - \frac{c_0 t^\tau}{\tau}\|u\|_\tau^\tau.$$

Since  $\tau < q$ , by choosing  $t \in (0, 1)$  even smaller if necessary, we have

$$\begin{aligned} \sigma(tu) &< 0, \\ \Rightarrow \sigma(\bar{u}) &< 0 = \sigma(0) \quad (\text{see (17)}), \\ \Rightarrow \bar{u} &\neq 0. \end{aligned}$$

From (17) we have

$$\begin{aligned} \langle \sigma'(\bar{u}), h \rangle &= 0 \quad \text{for all } h \in W_0^{1,\eta}(\Omega), \\ \Rightarrow \langle V(\bar{u}), h \rangle &= c_0 \int_\Omega (\bar{u}^+)^{\tau-1} h \, dz \quad \text{for all } h \in W_0^{1,\eta}(\Omega). \end{aligned}$$

Using  $h = -\bar{u}^- \in W_0^{1,\eta}(\Omega)$ , we obtain

$$\begin{aligned} \rho_\eta(D\bar{u}^-) &= 0, \\ \Rightarrow \bar{u} &\geq 0, \quad \bar{u} \neq 0. \end{aligned}$$

So,  $\bar{u}$  is a positive solution of  $(Au)$  and as before, we have  $\bar{u} \in W_0^{1,\eta}(\Omega) \cap L^\infty(\Omega)$ ,  $0 \prec \bar{u}$ .

Suppose  $\bar{v}$  is another positive solution of  $(Au)$ . Again we have  $\bar{v} \in W_0^{1,\eta}(\Omega) \cap L^\infty(\Omega)$ ,  $0 \prec \bar{v}$ . Then we introduce the integral functional  $j: L^1(\Omega) \rightarrow \bar{\mathbb{R}} = \mathbb{R} \cup \{+\infty\}$  defined by

$$j(u) = \begin{cases} \frac{1}{p}\rho_{\eta_0}(Du^{1/q}) + \frac{1}{q}\|Du^{1/q}\|_q^q & \text{if } u \geq 0, u^{1/q} \in W_0^{1,\eta}(\Omega), \\ +\infty & \text{otherwise.} \end{cases}$$

We set  $\text{dom } j = \{u \in L^1(\Omega) : j(u) < \infty\}$  (the effective domain of  $j(\cdot)$ ). As in Papageorgiou–Rădulescu [18], using Lemma 1 of Diaz–Saa [3], we have that  $j(\cdot)$  is convex.

For  $\varepsilon > 0$ , we set

$$\bar{u}_\varepsilon = \bar{u} + \varepsilon \quad \text{and} \quad \bar{v}_\varepsilon = \bar{v} + \varepsilon.$$

Then  $\bar{u}_\varepsilon, \bar{v}_\varepsilon \in \text{int}L^\infty(\Omega)_+$  with  $L^\infty(\Omega)_+ = \{y \in L^\infty(\Omega) : y(z) \geq 0 \text{ for a.a. } z \in \Omega\}$ .

So, using Proposition 4.1.22 of Papageorgiou–Rădulescu–Repovš [19, p. 274], we have that

$$(18) \quad \frac{\bar{u}_\varepsilon}{\bar{v}_\varepsilon} \in L^\infty(\Omega) \quad \text{and} \quad \frac{\bar{v}_\varepsilon}{\bar{u}_\varepsilon} \in L^\infty(\Omega).$$

Let  $h = \bar{u}_\varepsilon^q - \bar{v}_\varepsilon^q \in W_0^{1,\eta}(\Omega) \cap L^\infty(\Omega)$ . On account of (18) for  $t \in (0, 1)$  small we have

$$\bar{u}_\varepsilon + th \in \text{dom } j \quad \text{and} \quad \bar{v}_\varepsilon + th \in \text{dom } j.$$

So, exploiting the convexity of  $j(\cdot)$ , we can compute the directional derivatives of  $j(\cdot)$  at  $\bar{u}_\varepsilon$  and at  $\bar{v}_\varepsilon$  in the direction  $h$ . A direct computation gives

$$j'(\bar{u}_\varepsilon^q)(h) = \frac{1}{q} \int_\Omega \frac{-\Delta_p^a \bar{u} - \Delta_q \bar{u}}{\bar{u}_\varepsilon^{q-1}} h \, dz = \frac{c_0}{q} \int_\Omega \frac{\bar{u}^{\tau-1}}{\bar{u}_\varepsilon^{q-1}} h \, dz,$$

$$j'(\bar{v}_\varepsilon^q)(h) = \frac{1}{q} \int_\Omega \frac{-\Delta_p^a \bar{v} - \Delta_q \bar{v}}{\bar{v}_\varepsilon^{q-1}} h \, dz = \frac{c_0}{q} \int_\Omega \frac{\bar{v}^{\tau-1}}{\bar{v}_\varepsilon^{q-1}} h \, dz.$$

The convexity of the integral functional  $j(\cdot)$  implies the monotonicity of the directional derivative  $j'(\cdot)$ . So, we have

$$0 \leq \frac{c_0}{q} \int_\Omega \left( \frac{\bar{u}^{\tau-1}}{\bar{u}_\varepsilon^{q-1}} - \frac{\bar{v}^{\tau-1}}{\bar{v}_\varepsilon^{q-1}} \right) (\bar{u}_\varepsilon^q - \bar{v}_\varepsilon^q) \, dz.$$

For  $\varepsilon \in (0, 1]$ , note that

$$\left( \frac{\bar{u}^{\tau-1}}{\bar{u}_\varepsilon^{q-1}} - \frac{\bar{v}^{\tau-1}}{\bar{v}_\varepsilon^{q-1}} \right) (\bar{u}_\varepsilon^q - \bar{v}_\varepsilon^q) \leq \bar{u}_1^\tau + \bar{v}_1^\tau \in L^\infty(\Omega).$$

So, by Fatou’s lemma, we have

$$0 \leq \frac{c_0}{q} \limsup_{\varepsilon \rightarrow 0^+} \int_\Omega \left( \frac{\bar{u}^{\tau-1}}{\bar{u}_\varepsilon^{q-1}} - \frac{\bar{v}^{\tau-1}}{\bar{v}_\varepsilon^{q-1}} \right) (\bar{u}_\varepsilon^q - \bar{v}_\varepsilon^q) \, dz$$

$$\leq \frac{c_0}{q} \int_n \left( \frac{1}{\bar{u}^{q-\tau}} - \frac{1}{\bar{v}^{q-\tau}} \right) (\bar{u}^q - \bar{v}^q) \, dz \leq 0,$$

$$\Rightarrow \bar{u} = \bar{v}.$$

This proves the uniqueness of the positive solution of  $(Au)$ . Since the equation is odd,  $\bar{v} = -\bar{u} \in W_0^{1,\eta}(\Omega) \cap L^\infty(\Omega)$ ,  $\bar{v} \prec 0$  is the unique negative solution of  $(Au)$ .  $\square$

We introduce the following two sets

$$S_+ = \{\text{positive solutions of (1)}\},$$

$$S_- = \{\text{negative solutions of (1)}\}.$$

From Proposition 5 and its proof, we have

$$\phi \neq S_+ \subseteq W_0^{1,\eta}(\Omega) \cap L^\infty(\Omega), 0 \prec u \text{ for all } u \in S_+,$$

$$\phi \neq S_- \subseteq W_0^{1,\eta}(\Omega) \cap L^\infty(\Omega), v \prec 0 \text{ for all } u \in S_-.$$

The set  $S_+$  is downward directed (that is, if  $u_1, u_2 \in S_+$ , then there is  $u \in S_+$  such that  $u \leq u_1, u \leq u_2$ ), while  $S_-$  is upward directed (that is, if  $v_1, v_2 \in S_-$ , then there is  $v \in S_-$  such that  $v_1 \leq v, v_2 \leq v$ , see Filippakis–Papageorgiou [4]). We prove the existence of extremal elements in these two sets.

**Proposition 7.** *If hypotheses  $H_0, H_1$  hold, then there exist  $u_* \in S_+$  and  $v_* \in S_-$  such that*

$$u_* \leq u \text{ for all } u \in S_+,$$

$$v \leq v_* \text{ for all } v \in S_-.$$

*Proof.* As we already mentioned,  $S_+$  is downward directed. So, using Theorem 5.109 of Hu–Papageorgiou [11, p. 308], we can find a decreasing sequence  $\{u_n\}_{n \in \mathbb{N}} \subseteq S_+$  such that

$$\inf S_+ = \inf_{n \in \mathbb{N}} u_n.$$

We have

$$(19) \quad \langle V(u_n), h \rangle = \int_{\Omega} f(z, u_n) h \, dz \quad \text{for all } h \in W_0^{1,\eta}(\Omega), \text{ all } n \in \mathbb{N},$$

$$(20) \quad 0 \leq u_n \leq u_1.$$

In (19) we use the test function  $h = u_n \in W_0^{1,\eta}(\Omega)$ . From (20) and hypothesis  $H_1$  (i), we infer that

$$\begin{aligned} \rho_{\eta}(Du_n) &\leq c_6 \quad \text{for some } c_6 > 0, \text{ all } n \in \mathbb{N}, \\ \Rightarrow \{u_n\}_{n \in \mathbb{N}} &\subseteq W_0^{1,\eta}(\Omega) \text{ is bounded.} \end{aligned}$$

We may assume that

$$(21) \quad u_n \xrightarrow{w} u_* \text{ in } W_0^{1,\eta}(\Omega), \quad u_n \rightarrow u_* \text{ in } L^p(\Omega) \text{ as } n \rightarrow \infty \quad (\text{see Proposition 1}).$$

In (19) we use  $h = u_n - u_* \in W_0^{1,\eta}(\Omega)$ , pass to the limit as  $n \rightarrow \infty$  and use (21). We obtain

$$(22) \quad \begin{aligned} \lim_{n \rightarrow \infty} \langle V(u_n), u_n - u_* \rangle &= 0, \\ \Rightarrow u_n &\rightarrow u_* \text{ in } W_0^{1,\eta}(\Omega) \text{ as } n \rightarrow \infty \quad (\text{see Proposition 3}). \end{aligned}$$

From hypothesis  $H_1$  (i) and (20), we have

$$0 \leq f(z, u_n(z)) \leq \hat{a}(z) (1 + u_1(z)^{p-1}) = \xi(z) \in L^{\infty}(\Omega) \quad \text{for a.a. } z \in \Omega, \text{ all } n \in \mathbb{N}$$

Hence via Moser's iteration process (see Guedda–Veron [9, Proposition 1.3]), we have

$$(23) \quad \|u_n\| \leq O(\|u_n\|).$$

So, if  $u_* = 0$ , from (22) and (23), we have

$$u_n \rightarrow 0 \text{ in } L^{\infty}(\Omega) \text{ as } n \rightarrow \infty.$$

Therefore, we can find  $n_0 \in \mathbb{N}$  such that

$$(24) \quad 0 \leq u_n(z) \leq \delta \quad \text{for a.a. } z \in \Omega, \text{ all } n \geq n_0$$

(here  $\delta > 0$  is as in hypothesis  $H_1$  (iv)). We fix  $n \geq n_0$  and introduce the Carathéodory function  $k_+(z, x)$  defined by

$$(25) \quad k_+(z, x) = \begin{cases} c_0(x^+)^{\tau-1} & \text{if } x \leq u_n(z), \\ c_0 u_n(z)^{\tau-1} & \text{if } u_n(z) < x. \end{cases}$$

We set  $K_+(z, x) = \int_0^x k_+(z, s) \, ds$  and consider the  $C^1$ -functional  $\psi_+ : W_0^{1,\eta}(\Omega) \mapsto \mathbb{R}$  defined by

$$\psi_+(u) = \frac{1}{p} \rho_{\eta_0}(Du) + \frac{1}{q} \|Du\|_q^q - \int_{\Omega} K_+(z, u) \, dz \quad \text{for all } u \in W_0^{1,\eta}(\Omega).$$

It is clear from (25) that  $\psi_+(\cdot)$  is coercive. Also, it is sequentially weakly lower semicontinuous. So, by the Weierstrass–Tonelli theorem, we can find  $\tilde{u} \in W_0^{1,\eta}(\Omega)$  such that

$$(26) \quad \psi_+(\tilde{u}) = \inf\{\psi_+(u) : u \in W_0^{1,\eta}(\Omega)\}.$$

Let  $u \in C_0^1(\bar{\Omega})$  with  $u(z) > 0$  for all  $z \in \Omega$ . For  $t > 0$ , we have

$$\begin{aligned} \psi_+(tu) &= \frac{t^p}{p} \rho_{\eta_0}(Du) + \frac{t^q}{q} \|Du\|_q^q - \int_{\Omega} K_+(z, tu) \, dz \\ &= \frac{t^p}{p} \rho_{\eta_0}(Du) + \frac{t^q}{q} \|Du\|_q^q - \int_{\{tu \leq u_n\}} \frac{c_0 t^\tau}{\tau} u^\tau \, dz \\ &\quad - \int_{\{u_n < tu\}} \left( \frac{c_0 u_n^\tau}{\tau} + c_0 u_n^{\tau-1} (tu - u_n) \right) \, dz \\ &\leq \frac{t^p}{p} \rho_{\eta_0}(Du) + \frac{t^q}{q} \|Du\|_q^q - \frac{c_0 t^\tau}{\tau} \int_{\{tu \leq u_n\}} u^\tau \, dz \\ &= \frac{t^p}{p} \rho_{\eta_0}(Du) + \frac{t^q}{q} \|Du\|_q^q - \frac{c_0 t^\tau}{\tau} \int_{\Omega} u^\tau \, dz + \frac{c_0 t^\tau}{\tau} \int_{\{u_n < tu\}} u^\tau \, dz \end{aligned}$$

Note that if  $|\cdot|_N$  denotes the Lebesgue measure on  $\mathbb{R}^N$ , then since  $0 < u_n$ , we have  $|\{u_n < tu\}|_N \rightarrow 0$  as  $t \rightarrow 0^+$ . Then

$$\begin{aligned} \frac{\psi_+(tu)}{t^\tau} &\leq \frac{t^{p-\tau}}{\tau} \rho_{\eta_0}(Du) + \frac{t^{q-\tau}}{q} \|Du\|_q^q - \frac{c_0}{\tau} \int_{\Omega} u^\tau \, dz + \frac{c_0}{\tau} \int_{\{u_n < tu\}} u^\tau \, dz \\ \Rightarrow \limsup_{t \rightarrow 0^+} \frac{\psi_+(tu)}{t^\tau} &< 0, \\ \Rightarrow \psi_+(tu) &< 0 \text{ for } t \in (0, 1) \text{ small,} \\ \Rightarrow \psi_+(\tilde{u}) &< 0 = \psi_+(0) \text{ (see (26))} \\ \Rightarrow \tilde{u} &\neq 0. \end{aligned}$$

From (26) we have

$$\begin{aligned} (27) \quad \langle \psi'_+(\tilde{u}), h \rangle &= 0 \text{ for all } h \in W_0^{1,\eta}(\Omega), \\ \Rightarrow \langle V(\tilde{u}), h \rangle &= \int_{\Omega} k_+(z, \tilde{u}) h \, dz \text{ for all } h \in W_0^{1,\eta}(\Omega). \end{aligned}$$

In (27) first we choose the test function  $h = -\tilde{u}^- \in W_0^{1,\eta}(\Omega)$  and obtain

$$\begin{aligned} \rho_\eta(D\tilde{u}^-) &= 0, \\ \Rightarrow \tilde{u} &\geq 0. \end{aligned}$$

Also, in (27), we choose  $h = (\tilde{u} - u_n)^+ \in W_0^{1,\eta}(\Omega)$ . Then

$$\begin{aligned} &\langle V(\tilde{u}), (\tilde{u} - u_n)^+ \rangle \\ &= \int_{\Omega} c_0 u_n^{\tau-1} (\tilde{u} - u_n)^+ \, dz \text{ (see (25))} \\ &\leq \int_{\Omega} f(z, u_n(z)) (\tilde{u} - u_n)^+ \, dz \text{ (see (24) and hypothesis } H_1 \text{ (iv))} \\ &= \langle V(u_n), (\tilde{u} - u_n) \rangle \text{ (since } u_n \in S_+) \\ \Rightarrow \tilde{u} &\leq u_n \text{ (see Proposition 3).} \end{aligned}$$

So, we have proved that

$$(28) \quad \tilde{u} \in [0, u_n], \quad \tilde{u} \neq 0.$$

From (28), (25) and (27), we infer that  $\tilde{u}$  is a positive solution of  $(Au)$ . Using Proposition 6, we infer that

$$\begin{aligned} \tilde{u} &= \bar{u}, \\ \Rightarrow \bar{u} &\leq u_n \text{ for all } n \geq n_0, \end{aligned}$$

which contradicts the fact that  $u_n \rightarrow 0$  in  $W_0^{1,\eta}(\Omega)$  as  $n \rightarrow \infty$  (see (22) and recall that we have assumed  $u_* = 0$ ). Therefore  $u_* \neq 0$  and from (19) and (22), in the limit as  $n \rightarrow \infty$ , we obtain

$$\begin{aligned} \langle V(u_*), h \rangle &= \int_{\Omega} f(z, u_*) h \, dz \quad \text{for all } h \in W_0^{1,\eta}(\Omega), \\ u &\leq u_*. \end{aligned}$$

Therefore  $u_* \in S_+$ ,  $u_* = \inf S_+$ .

Similarly, using the fact that  $S_-$  is upward directed and  $\bar{v} = -\bar{u}$ , we produce  $v_* \in S_-$  such that  $v_* = \sup S_-$ .  $\square$

Consider the order interval

$$[v_*, u_*] = \{u \in W_0^{1,\eta}(\Omega) : v_*(z) \leq u(z) \leq u_*(z) \text{ for a.a. } z \in \Omega\}.$$

If we can find a nontrivial solution of (1) in this order interval which is distinct from  $v_*$ ,  $u_*$ , then such a solution is necessarily nodal. So, our goal is to produce such a solution. This is done in the next section.

#### 4. Nodal solution

In this section we produce a nodal solution following the strategy outlined at the end of the previous section. To focus on the order interval  $[v_*, u_*]$ , we introduce the following truncation of the reaction  $f(z, \cdot)$

$$(29) \quad l(z, x) = \begin{cases} f(z, v_*(z)) & \text{if } x < v_*(z), \\ f(z, x) & \text{if } v_*(z) \leq x \leq u_*(z), \\ f(z, u_*(z)) & \text{if } u_*(z) < x. \end{cases}$$

This is a Carathéodory function. We also introduce the positive and negative truncations of  $f(z, \cdot)$ , namely the Carathéodory functions

$$(30) \quad l_{\pm}(z, x) = l(z, \pm x^{\pm}).$$

We set

$$L(z, x) = \int_0^x l(z, s) \, ds, \quad L_{\pm}(z, x) = \int_0^x l_{\pm}(z, s) \, ds$$

and consider the  $C^1$ -functionals  $\gamma, \gamma_{\pm}: W_0^{1,\eta}(\Omega) \rightarrow \mathbb{R}$  defined by

$$\begin{aligned} \gamma(u) &= \frac{1}{p} \rho_{\eta_0}(Du) + \frac{1}{q} \|Du\|_q^q - \int_{\Omega} L(z, u) \, dz, \\ \gamma_{\pm}(u) &= \frac{1}{p} \rho_{\eta_0}(Du) + \frac{1}{q} \|Du\|_q^q - \int_{\Omega} L_{\pm}(z, u) \, dz \end{aligned}$$

for all  $u \in W_0^{1,\eta}(\Omega)$ .

From (29), (30) and the extremality of  $u_*$  and  $v_*$ , we have:

**Proposition 8.** *If hypotheses  $H_0, H_1$  hold, then*

$$K_{\gamma} \subseteq [v_*, u_*], \quad K_{\gamma_+} = \{0, u_*\}, \quad K_{\gamma_-} = \{0, v_*\}.$$

Also hypothesis  $H_1$  (iv) and Proposition 3.7 of Papageorgiou–Rădulescu [18], imply the following result.

**Proposition 9.** *If hypotheses  $H_0, H_1$  hold, then*

$$C_k(\varphi, 0) = 0 \text{ for all } k \in \mathbb{N}_0.$$

Using this proposition and the  $C^1$ -continuity property of critical groups (see Theorem 5.126 of Gasinski–Papageorgiou [6, p. 836]), we can compute the critical groups  $C_k(\gamma, 0)$  for all  $k \in \mathbb{N}_0$ .

**Proposition 10.** *If hypotheses  $H_0, H_1$  hold, then*

$$C_k(\gamma, 0) = 0 \text{ for all } k \in \mathbb{N}_0.$$

*Proof.* For all  $u \in W_0^{1,\eta}(\Omega)$ , we have

$$\begin{aligned} |\gamma(u) - \varphi(u)| &\leq \int_{\Omega} |L(z, u) - F(z, u)| \, dz \\ &= \int_{\{u \leq v_*\}} |F(z, v_*) + (u - v_*)f(z, v_*) - F(z, u)| \, dz \\ &\quad + \int_{\{u_* < u\}} |F(z, u_*) + (u - u_*)f(z, u_*) - F(z, u)| \, dz \quad (\text{see (29)}) \\ (31) \quad &\leq \int_{\{u < v_*\}} |F(z, u) - F(z, v_*)| \, dz + \int_{\{u < v_*\}} |u - v_*| |f(z, v_*)| \, dz \\ &\quad + \int_{\{u_* < u\}} |F(z, u) - F(z, u_*)| \, dz + \int_{\{u_* < u\}} (u - u_*) f(z, u_*) \, dz \\ &\leq c_7 \left[ \int_{\{u < v_*\}} |u| \, dz + \int_{\{u_* < u\}} u \, dz \right] \quad \text{for some } c_7 > 0 \\ &\leq c_8 \|u\| \quad \text{for some } c_8 > 0. \end{aligned}$$

Also for all  $u, h \in W_0^{1,\eta}(\Omega)$ , we have

$$\begin{aligned} &|\langle \gamma'(u) - \varphi'(u), h \rangle| \\ &\leq \int_{\{u < v_*\}} |\gamma(z, u) - f(z, u)| |h| \, dz + \int_{\{u_* < u\}} |f(z, u) - \gamma(z, u)| |h| \, dz \\ (32) \quad &\leq \int_{\{u < v_*\}} |f(z, v_*) - f(z, u)| |h| \, dz + \int_{\{u_* < u\}} |f(z, u) - f(z, u_*)| |h| \, dz \\ &\leq c_9 \left[ \int_{\{u < v_*\}} |u - v_*| |h| \, dz + \int_{\{u_* < u\}} |u - u_*| |h| \, dz \right] \quad \text{for some } c_9 > 0 \\ &\leq c_{10} \|u\| \|h\| \quad \text{for some } c_{10} > 0, \\ \Rightarrow \quad &\|\gamma'(u) - \varphi'(u)\|_* \leq c_{10} \|u\|. \end{aligned}$$

From (31) and (32), we see that given  $\varepsilon > 0$ , we can find  $\hat{\delta} \in (0, 1)$  such that

$$(33) \quad \|\gamma - \varphi\|_{C^1(\bar{B}_{\hat{\delta}})} \leq \varepsilon \quad \text{with } \bar{B}_{\hat{\delta}} = \left\{ u \in W_0^{1,\eta}(\Omega) : \|u\| \leq \hat{\delta} \right\}.$$

From Proposition 4 we know that  $\varphi(\cdot)$  is coercive.

Also from (29) it is clear that  $\gamma(\cdot)$  is coercive.

Therefore by [19, Proposition 5.1.15, p. 369], both functionals  $\varphi$  and  $\gamma$  satisfy the  $C$ -condition. Then the  $C^1$ -continuity property of critical groups (see Theorem 5.126

of Gasinski–Papageorgiou [6, p. 836]) implies that

$$\begin{aligned} C_k(\gamma, 0) &= C_k(\varphi, 0) \text{ for all } k \in \mathbb{N}_0, \\ \Rightarrow C_k(\gamma, 0) &= 0 \text{ for all } k \in \mathbb{N}_0 \text{ (see Proposition 9)}. \end{aligned}$$

The proof is now complete.  $\square$

We know that  $u_*, v_* \in K_\gamma$  (see (29)) and we assume that  $K_\gamma$  is finite or otherwise on account of Proposition 8, we already have a whole sequence of distinct bounded nodal solutions and so we are done.

**Proposition 11.** *If hypotheses  $H_0, H_1$  hold, then  $C_k(\gamma, u_*) = C_k(\gamma_+, u_*)$  and  $C_k(\gamma, v_*) = C_k(\gamma_-, v_*)$  for all  $k \in \mathbb{N}_0$ .*

*Proof.* From (29) and (30) we see that  $L(z, u_*) = L_+(z, u_*)$  for every  $u \in W_0^{1,\eta}(\Omega)$  we have

$$\begin{aligned} (34) \quad |\gamma(u) - \gamma_+(u)| &\leq \int_{\Omega} |L(z, u) - L_+(z, u)| \, dz \\ &\leq \int_{\Omega} |L(z, u) - L(z, u_*)| \, dz + \int_{\Omega} |L_+(z, u_*) - L_+(z, u)| \, dz. \end{aligned}$$

We estimate the two integrals in the right-hand side of (34). We have

$$\begin{aligned} (35) \quad &\int_{\Omega} |L(z, u) - L(z, u_*)| \, dz \\ &= \int_{\{u < v_*\}} |F(z, v_*) + (u - v_*)f(z, v_*) - F(z, u_*)| \, dz \\ &\quad + \int_{\{v_* \leq u \leq u_*\}} |F(z, u) - F(z, u_*)| \, dz \\ &\quad + \int_{\{u_* < u\}} (u - u_*)f(z, u_*) \, dz \quad (\text{see (29)}). \end{aligned}$$

By  $I_1$ , we denote the first integral in the right-hand side of (35). Then

$$\begin{aligned} (36) \quad I_1 &\leq \int_{\{u < v_*\}} |F(z, v_*) - F(z, u_*)| \, dz + \int_{\{u < v_*\}} (v_* - u) |f(z, v_*)| \, dz \\ &\leq \int_{\{u < v_*\}} g_K(z) (u_* - v_*) \, dz + \int_{\{u < v_*\}} (u_* - u) |f(z, v_*)| \, dz \\ &\quad \text{with } K = [-\rho, \rho], \rho = \max\{\|u_*\|, \|v_*\|\} \\ &\leq \int_{\{u < v_*\}} g_K(z) (u_* - u) \, dz + \int_{\{u < v_*\}} (u_* - u) |f(z, v_*)| \, dz \\ &\leq c_{11} \|u - u_*\| \quad \text{for some } c_{11} > 0. \end{aligned}$$

By  $I_2$ , we denote the second integral in the right-hand side of (35).

Evidently,  $F(z, \cdot)$  is  $L^\infty$ -locally Lipschitz and so

$$(37) \quad I_2 \leq c_{12} \|u - u_*\| \quad \text{for some } c_{12} > 0.$$

Finally, let  $I_3$  denote the integral in the right hand side of (35). Since  $f(\cdot, u_*(\cdot)) \in L^\infty(\Omega)$  (see hypothesis  $H_1$  (i)), we have

$$(38) \quad I_3 \leq c_{13} \|u - u_*\| \quad \text{for some } c_{13} > 0.$$

Using (36), (37) and (38), we have

$$I_1 + I_2 + I_3 \leq c_{14} \|u - u_*\| \quad \text{for some } c_{14} > 0.$$

So, given  $\varepsilon > 0$ , we can find  $\rho_0 > 0$  such that for all  $u \in \bar{B}_\rho(u_*)$ ;  $\rho \in (0, \rho_0]$  we have

$$(39) \quad \int_\Omega |L(z, u) - L(z, u_*)| \, dz \leq \frac{\varepsilon}{4} \quad (\text{see (35)}).$$

Next, we estimate the second integral in the right hand side of (34). We have

$$\begin{aligned} & \int_\Omega |L_+(z, u_*) - L_+(z, u)| \, dz \\ &= \int_{\{u < 0\}} L_+(z, u_*) \, dz + \int_{\{0 \leq u \leq u_*\}} |F(z, u) - F(z, u_*)| \, dz \\ & \quad + \int_{\{u_* < u\}} (u - u_*) f(z, u_*) \, dz \quad \text{see (29), (30)} \\ & \leq \int_{\{u < 0\}} F(z, u_*) \, dz + c_{15} \|u - u_*\| \quad \text{for some } c_{15} > 0. \end{aligned}$$

For  $u \in \bar{B}_\rho(u_*)$ , we have  $|\{u < 0\}|_N \rightarrow 0$  as  $\rho \rightarrow 0^+$  (recall that  $0 \prec u_*$ ). Therefore for  $\rho \in (0, 1)$  small, we have

$$(40) \quad \int_\Omega |L_+(z, u) - L_+(z, u_*)| \, dz \leq \frac{\varepsilon}{4} \quad \text{for all } u \in \bar{B}_\rho(u_*).$$

We return to (34) and use (39), (40). We obtain that for  $\rho \in (0, 1)$  small

$$(41) \quad |\gamma(u) - \gamma_+(u)| \leq \frac{\varepsilon}{2} \quad \text{for all } u \in \bar{B}_\rho(u_*).$$

We estimate the corresponding derivatives. So, for all  $u, h \in W_0^{1,\eta}(\Omega)$ , we have

$$(42) \quad \begin{aligned} & |\langle \gamma'(u) - \gamma'_+(u), h \rangle| \leq \int_\Omega |l(z, u) - l_+(z, u)| |h| \, dz \\ & \leq \int_\Omega |l(z, u) - l(z, u_*)| |h| \, dz + \int_\Omega |l_+(z, u_*) - l_+(z, u)| |h| \, dz, \end{aligned}$$

since  $l(z, u_*) = l_+(z, u_*)$ , see (28),(29).

We have

$$(43) \quad \begin{aligned} & \int_\Omega |l(z, u) - l(z, u_*)| |h| \, dz \\ &= \int_{\{u < v_*\}} |f(z, v_*) - f(z, u_*)| |h| \, dz + \int_{\{v_* < u \leq u_*\}} |f(z, u) - f(z, u_*)| |h| \, dz \\ & \leq \int_\Omega c_{16} |u - u_*| |h| \, dz \quad \text{for some } c_{16} > 0 \end{aligned}$$

(note that  $0 \leq u_* - v_* \leq u_* - u$  on  $\{u < v_*\}$ ).

From hypotheses  $H_0$ , we have

$$2 \leq p < q^*$$

and so  $(q^*)' < 2 < q^*$  (recall that if  $s \in (1, 2)$ , then  $s' \in (2, \infty)$  satisfies  $\frac{1}{s} + \frac{1}{s'} = 1$ ). From Proposition 1, we have

$$u - u_* \in L^{(q^*)'}(\Omega), \quad h \in L^{q^*}(\Omega).$$

So, from (43) and Hölder’s inequality, we obtain

$$\begin{aligned} \int_{\Omega} |l(z, u) - l(z, u_*)| |h| \, dz &\leq c_{17} \|u - u_*\|_{(q^*)'} \|h\|_{q^*} \quad \text{for some } c_{17} > 0 \\ &\leq c_{18} \|u - u_*\| \|h\| \quad \text{for some } c_{18} > 0 \end{aligned}$$

(see Proposition 1).

Therefore given  $\varepsilon > 0$ , for  $\rho > 0$  small we have

$$(44) \quad \int_{\Omega} |l(z, u) - l(z, u_*)| |h| \, dz \leq \frac{\varepsilon}{4} \|h\| \quad \text{for all } u \in \bar{B}_{\rho}(u_*).$$

Also we have

$$\begin{aligned} &\int_{\Omega} |l_+(z, u_*) - l_+(z, u)| |h| \, dz \\ &= \int_{\{u < v_*\}} f(z, u_*) |h| \, dz + \int_{\{v_* \leq u \leq u_*\}} |f(z, u_*) - f(z, u)| |h| \, dz \\ &\leq c_{19} \left[ \int_{\{u < v_*\}} |h| \, dz + \int_{\{v_* \leq u \leq u_*\}} |u - u_*| |h| \, dz \right] \quad \text{for some } c_{19} > 0 \\ &\leq c_{20} \left[ |\{u < v_*\}|_N + \|u - u_*\|_{(q^*)'} \right] \|h\|_{q^*} \quad \text{for some } c_{20} > 0 \\ &\quad \text{(as before using Hölder’s inequality)} \\ &\leq c_{21} [|\{u < v_*\}|_N + \|u - u_*\|] \|h\| \quad \text{for some } c_{21} > 0. \end{aligned}$$

If  $u \in \bar{B}_{\rho}(u_*)$ , then  $|\{u < v_*\}|_N \rightarrow 0$  as  $\rho \rightarrow 0^+$ . Therefore, for  $\rho \in (0, 1)$  small, we have

$$(45) \quad \int_{\Omega} |l_+(z, u) - l_+(z, u_*)| |h| \, dz \leq \frac{\varepsilon}{4} \|h\|.$$

We return to (42) and use (44), (45) and obtain

$$(46) \quad \begin{aligned} &|\langle \gamma'(u) - \gamma'_+(u), h \rangle| \leq \frac{\varepsilon}{2} \|h\|, \\ \Rightarrow &\|\gamma'(u) - \gamma'_+(u)\|_* \leq \frac{\varepsilon}{2} \quad \text{for all } u \in \bar{B}_{\rho}(u_*). \end{aligned}$$

From (41) and (46) it follows that for  $\rho \in (0, 1)$  small

$$\|\gamma - \gamma_+\|_{C^1(\bar{B}_{\rho}(u_k))} \leq \varepsilon.$$

The functionals  $\gamma, \gamma_+$  are coercive and so they satisfy the  $C$ -condition. Using the  $C^1$ -continuity property of critical groups, we have

$$C_k(\gamma, u_*) = C_k(\gamma_+, u_*) \quad \text{for all } k \in \mathbb{N}_0.$$

Similarly we show that

$$C_k(\gamma, v_*) = C_k(\gamma, v_*) \quad \text{for all } k \in \mathbb{N}_0.$$

The proof is now complete. □

Now we are ready to produce a nodal solution.

**Proposition 12.** *If hypotheses  $H_0, H_1$  hold, then problem (1) has a nodal solution*

$$\hat{y} \in [v_*, u_*].$$

*Proof.* We know that  $\gamma_+(\cdot)$  is coercive (see (29), (30)). Also it is sequentially weakly lower semicontinuous.

So, by the Weierstrass–Tonelli theorem we can find  $\tilde{u}_* \in W_0^{1,\eta}(\Omega)$  such that

$$(47) \quad \gamma_+(\tilde{u}_*) = \inf \{ \gamma_+(u) : u \in W_0^{1,\eta}(\Omega) \}$$

Let  $u \in C_0^1(\bar{\Omega}) \setminus \{0\}$ ,  $u(z) \geq 0$  for all  $z \in \bar{\Omega}$ . For  $t \in (0, 1)$  small we have  $0 \leq tu(z) \leq \delta$  for all  $z \in \bar{\Omega}$  (with  $\delta > 0$  as postulated by hypothesis  $H_1$  (iv)). We have

$$\begin{aligned} \gamma_+(tu) &= \frac{t^p}{p} \rho_{\eta_0}(Du) + \frac{t^q}{q} \|Du\|_q^q - \int_{\Omega} L_+(z, tu) \, dz \\ &= \frac{t^p}{p} \rho_{\eta_0}(Du) + \frac{t^q}{q} \|Du\|_q^q - \int_{\{tu \leq u_*\}} F(z, tu) \, dz - \int_{\{u_* < tu\}} L_+(z, tu) \, dz \\ &\leq \frac{t^q}{q} \rho_{\eta}(Du) - \frac{c_0 t^\tau}{\tau} \int_{\{tu \leq u_*\}} u^\tau \, dz \\ &\quad (\text{since } L_+ \geq 0 \text{ and using hypothesis } H_1 \text{ (iv)}) \\ &= \frac{t^q}{q} \rho_{\eta}(Du) - \frac{c_0 t^\tau}{\tau} \|u\|_\tau^\tau + \frac{c_0 t^\tau}{\tau} \int_{\{u_* < tu\}} u^\tau \, dz. \end{aligned}$$

Since  $|\{u_* < tu\}|_N \rightarrow 0$  as  $t \rightarrow 0^+$  (recall that  $0 \prec u_*$ ) and  $1 < \tau < q$ , we see that for  $t \in (0, 1)$  small

$$(48) \quad \begin{aligned} &\gamma_+(tu) < 0, \\ &\Rightarrow \gamma_+(\tilde{u}_*) < 0 = \gamma_+(0) \quad (\text{see (47)}), \\ &\Rightarrow \tilde{u}_* \neq 0. \end{aligned}$$

From (47) and Proposition 8, we have

$$(49) \quad \begin{aligned} &\tilde{u}_* \in \{0, u_*\}, \\ &\Rightarrow \tilde{u}_* = u_* \quad (\text{see (42)}), \\ &\Rightarrow C_k(\gamma_+, u_*) = \delta_{k,0} \mathbb{Z} \quad \text{for all } k \in \mathbb{N}_0, \\ &\Rightarrow C_k(\gamma, u_*) = \delta_{k,0} \mathbb{Z} \quad \text{for all } k \in \mathbb{N}_0 \quad (\text{see Proposition 11}). \end{aligned}$$

Similarly working with  $\gamma_-(\cdot)$ , we show that

$$(50) \quad C_k(\gamma, v_*) = \delta_{k,0} \mathbb{Z} \quad \text{for all } k \in \mathbb{N}_0.$$

From Proposition 10, we have

$$(51) \quad C_k(\gamma, 0) = 0 \quad \text{for all } k \in \mathbb{N}_0.$$

The functional  $\gamma(\cdot)$  is coercive. So, [19, Proposition 6.2.24] implies that

$$(52) \quad C_k(\gamma, \infty) = \delta_{k,0} \mathbb{Z} \quad \text{for all } k \in \mathbb{N}_0.$$

Suppose that  $K_\gamma = \{0, u_*, v_*\}$ . From (49), (50), (51), (52) and using the Morse relation with  $t = -1$  (see (5)), we have

$$2(-1)^0 = (-1)^0,$$

a contradiction. So, there exists

$$\begin{aligned} &\hat{y} \in K_\gamma \setminus \{0, u_*, v_*\}, \\ &\Rightarrow \hat{y} \in [v_*, u_*] \quad (\text{see Proposition 8}) \end{aligned}$$

and so  $\hat{y}$  is a nodal solution of (1). □

Therefore we can state the following multiplicity theorem for problem (1). We produce three nontrivial bounded solutions, all with sign information and ordered.

**Theorem 4.1.** *If hypotheses  $H_0, H_1$  hold, then problem (1) has at least three nontrivial solutions*

$$\begin{aligned} u_0 &\in W_0^{1,\eta}(\Omega) \cap L^\infty(\Omega), 0 < u_0, \\ v_0 &\in W_0^{1,\eta}(\Omega) \cap L^\infty(\Omega), v_0 < 0, \\ y_0 &\in [v_0, u_0] \text{ nodal.} \end{aligned}$$

**Remark 4.1.** Our multiplicity result here extends the corresponding results in [17, 20], where the authors produce two solutions with no sign information.

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Nikolaos S. Papageorgiou  
National Technical University  
Department of Mathematics  
Zografou Campus, Athens 15780, Greece  
and University of Craiova  
Department of Mathematics  
200585 Craiova, Romania  
npapg@math.ntua.gr

Yitian Wang  
Harbin Engineering University  
College of Mathematical Sciences  
150001, People’s Republic of China  
and University of Craiova  
Department of Mathematics  
Craiova, 200585, Romania  
wangyitian@hrbeu.edu.cn

Vicențiu D. Rădulescu  
AGH University of Kraków, Faculty of Applied Mathematics  
al. Mickiewicza 30, 30-059 Kraków, Poland  
and Brno University of Technology, Faculty of Electrical Engineering and Communication  
Technická 3058/10, Brno 61600, Czech Republic  
and University of Craiova, Department of Mathematics  
200585 Craiova, Romania  
and Simion Stoilow Institute of Mathematics of the Romanian Academy  
010702 Bucharest, Romania  
and Zhejiang Normal University, School of Mathematics  
Jinhua, Zhejiang 321004, China  
radulescu@inf.ucv.ro