

# A note on summability in Banach spaces

JOSÉ RODRÍGUEZ

**Abstract.** Let  $Z$  and  $X$  be Banach spaces. Suppose that  $X$  is Asplund. Let  $\mathcal{M}$  be a bounded set of operators from  $Z$  to  $X$  with the following property: a bounded sequence  $(z_n)_{n \in \mathbb{N}}$  in  $Z$  is weakly null if, for each  $M \in \mathcal{M}$ , the sequence  $(M(z_n))_{n \in \mathbb{N}}$  is weakly null. Let  $(z_n)_{n \in \mathbb{N}}$  be a sequence in  $Z$  such that: (a) for each  $n \in \mathbb{N}$ , the set  $\{M(z_n) : M \in \mathcal{M}\}$  is relatively norm compact; (b) for each sequence  $(M_n)_{n \in \mathbb{N}}$  in  $\mathcal{M}$ , the series  $\sum_{n=1}^{\infty} M_n(z_n)$  is weakly unconditionally Cauchy. We prove that if  $T \in \mathcal{M}$  is Dunford–Pettis and  $\inf_{n \in \mathbb{N}} \|T(z_n)\| \|z_n\|^{-1} > 0$ , then the series  $\sum_{n=1}^{\infty} T(z_n)$  is absolutely convergent. As an application, we provide another proof of the fact that a countably additive vector measure taking values in an Asplund Banach space has finite variation whenever its integration operator is Dunford–Pettis.

## Huomio summautuvuudesta Banachin avaruudessa

**Tiivistelmä.** Olkoot  $Z$  ja  $X$  Banachin avaruuksia, ja olkoon  $X$  lisäksi Asplundin avaruus. Tarkastellaan avaruuden  $Z$  avaruuteen  $X$  kuvaavien operaattoreiden rajallista joukkoa  $\mathcal{M}$ , jolla on seuraava ominaisuus: avaruuden  $Z$  rajallinen jono  $(z_n)_{n \in \mathbb{N}}$  suppenee heikosti nolnaan, jos jokaista operaattoria  $M \in \mathcal{M}$  vastaava kuvajono  $(M(z_n))_{n \in \mathbb{N}}$  suppenee heikosti nolnaan. Olkoon  $(z_n)_{n \in \mathbb{N}}$  avaruuden  $Z$  sellainen jono, että: (a) kaikilla  $n \in \mathbb{N}$ , joukko  $\{M(z_n) : M \in \mathcal{M}\}$  on suhteellisesti normikomakti; ja (b) jokaista joukon  $\mathcal{M}$  jonoa  $(M_n)_{n \in \mathbb{N}}$  vastaava sarja  $\sum_{n=1}^{\infty} M_n(z_n)$  toteuttaa ehdoitta heikon Cauchyn ominaisuuden. Tässä työssä osoitetaan, että jos operaattorilla  $T \in \mathcal{M}$  on Dunfordin–Pettisin ominaisuus ja  $\inf_{n \in \mathbb{N}} \|T(z_n)\| \|z_n\|^{-1} > 0$ , niin sarja  $\sum_{n=1}^{\infty} T(z_n)$  on itseisesti suppeneva. Sovelluksena saadaan uusi todistus sille, että numeroituvasti summautuva vektorimitta, jonka arvojoukko on Asplundin–Banachin avaruus, on äärellisesti heilahteleva mikäli sitä vastaavalla integraalioperaattorilla on Dunfordin–Pettisin ominaisuus.

## 1. Introduction

Let  $X$  be a Banach space, let  $(\Omega, \Sigma)$  be a measurable space and let  $\nu : \Sigma \rightarrow X$  be a countably additive vector measure. A  $\Sigma$ -measurable function  $f : \Omega \rightarrow \mathbb{R}$  is said to be  $\nu$ -integrable if: (a)  $f$  is  $|x^* \nu|$ -integrable for all  $x^* \in X^*$ ; (b) for each  $A \in \Sigma$  there is  $\int_A f d\nu \in X$  such that  $x^* \left( \int_A f d\nu \right) = \int_A f d(x^* \nu)$  for all  $x^* \in X^*$ . By identifying  $\nu$ -a.e. equal functions, the set  $L_1(\nu)$  of all (equivalence classes of)  $\nu$ -integrable functions is a Banach lattice with the  $\nu$ -a.e. order and the norm

$$\|f\|_{L_1(\nu)} := \sup_{x^* \in B_{X^*}} \int_{\Omega} |f| d|x^* \nu|.$$

We refer to [14] for basic information on these spaces, which play a relevant role in Banach lattices and operator theory. The *integration operator* of  $\nu$  is the (norm one)

---

<https://doi.org/10.54330/afm.156613>

2020 Mathematics Subject Classification: Primary 46B15, 46G10.

Key words: Absolutely convergent series, Dunford–Pettis operator, vector measure, Schauder basis.

The research was supported by grants PID2021-122126NB-C32 (funded by MCIN/AEI/10.13039/501100011033 and “ERDF A way of making Europe”, EU) and 21955/PI/22 (funded by *Fundación Séneca – ACyT Región de Murcia*).

© 2025 The Finnish Mathematical Society

operator  $I_\nu: L_1(\nu) \rightarrow X$  defined by

$$I_\nu(f) := \int_{\Omega} f d\nu \quad \text{for all } f \in L_1(\nu).$$

Certain properties of  $I_\nu$  have strong consequences on the structure of  $L_1(\nu)$ . For instance,  $\nu$  has finite variation and the inclusion operator  $\iota_\nu: L_1(|\nu|) \rightarrow L_1(\nu)$  is a lattice-isomorphism in each of the following cases:

- (i)  $I_\nu$  is compact, [11, Theorem 1] (cf. [13, Theorem 2.2] and [3, Theorem 3.3]);
- (ii)  $I_\nu$  is absolutely  $p$ -summing for some  $1 \leq p < \infty$ , [12, Theorem 2.2];
- (iii)  $I_\nu$  is Dunford–Pettis and Asplund, [15, Theorem 3.3].

Note that case (iii) generalizes both (i) and (ii) because weakly compact operators are Asplund. The proof of (iii) given in [15] (cf. [17, Section 3.3]) is based on the Davis–Figiel–Johnson–Pełczyński factorization procedure and the following result obtained in [3, Theorem 1.3]:

**Theorem 1.1.** *Let  $X$  be a Banach space, let  $(\Omega, \Sigma)$  be a measurable space and let  $\nu: \Sigma \rightarrow X$  be a countably additive vector measure. If  $I_\nu$  is Dunford–Pettis and  $X$  is Asplund, then  $\nu$  has finite variation.*

The particular case of Theorem 1.1 when  $X$  has an unconditional Schauder basis and no subspace isomorphic to  $\ell_1$  had been proved earlier in [12, Theorem 1.2]. The question of whether the statement of Theorem 1.1 holds for arbitrary Banach spaces not containing subspaces isomorphic to  $\ell_1$  seems to be still open.

In this note we elaborate an abstract framework that allows to provide a simpler proof of Theorem 1.1. The following concept will be important along this way. Given two Banach spaces  $Z$  and  $X$ , we denote by  $\mathcal{L}(Z, X)$  the Banach space of all operators from  $Z$  to  $X$ , equipped with the operator norm.

**Definition 1.2.** Let  $Z$  and  $X$  be Banach spaces. We say that a set  $\mathcal{M} \subseteq \mathcal{L}(Z, X)$  has the *Rainwater property* if the following holds: a bounded sequence  $(z_n)_{n \in \mathbb{N}}$  in  $Z$  is weakly null if, for each  $M \in \mathcal{M}$ , the sequence  $(M(z_n))_{n \in \mathbb{N}}$  is weakly null.

The Rainwater–Simons theorem (see, e.g., [6, Theorem 3.134]) states that, for an arbitrary Banach space  $Z$ , any James boundary of  $Z$  has the Rainwater property (with  $X = \mathbb{R}$ ). More generally, James boundaries are (I)-generating, [7, Theorem 2.3], and all (I)-generating sets have the Rainwater property, see [9].

The main result of this note is the following:

**Theorem 1.3.** *Let  $Z$  and  $X$  be Banach spaces. Suppose that  $X$  is Asplund. Let  $\mathcal{M}$  be a bounded subset of  $\mathcal{L}(Z, X)$  having the Rainwater property. Let  $(z_n)_{n \in \mathbb{N}}$  be a sequence in  $Z$  such that*

- (a) *for each  $n \in \mathbb{N}$ , the set  $\{M(z_n): M \in \mathcal{M}\}$  is relatively norm compact;*
- (b) *for each sequence  $(M_n)_{n \in \mathbb{N}}$  in  $\mathcal{M}$ , the series  $\sum_{n=1}^{\infty} M_n(z_n)$  is weakly unconditionally Cauchy.*

*Let  $T \in \mathcal{M}$  such that*

- (c)  *$T$  is Dunford–Pettis;*
- (d)  *$\inf_{n \in \mathbb{N}} \|T(z_n)\| \|z_n\|^{-1} > 0$ .*

*Then the series  $\sum_{n=1}^{\infty} T(z_n)$  is absolutely convergent.*

The paper is organized as follows. Section 2 is devoted to proving Theorem 1.3. In Section 3 we focus on the  $L_1$  space of a vector measure and we get Theorem 1.1 as an application of Theorem 1.3.

**Terminology.** All our Banach spaces are real. By an *operator* we mean a continuous linear map between Banach spaces. An operator is called *Dunford–Pettis* if it maps weakly null sequences to norm null ones. By a *subspace* of a Banach space we mean a closed linear subspace. Let  $Z$  be a Banach space. We denote its norm by  $\|\cdot\|_Z$  or simply  $\|\cdot\|$ . Given a set  $C \subseteq Z$ , we write  $\|C\| := \sup\{\|z\| : z \in C\}$ . The closed unit ball of  $Z$  is denoted by  $B_Z$ . The subspace of  $Z$  generated by a set  $H \subseteq Z$  is denoted by  $\overline{\text{span}}(H)$ . We write  $Z^*$  for the dual of  $Z$ . A set  $B \subseteq B_{Z^*}$  is said to be a *James boundary* of  $Z$  if for every  $z \in Z$  there is  $z^* \in B$  such that  $\|z\| = z^*(z)$ . The space  $Z$  is said to be *Asplund* if every separable subspace of  $Z$  has separable dual.

## 2. Main result

Let  $X$  be a Banach space with a Schauder basis  $(e_n)_{n \in \mathbb{N}}$ . For each  $k \in \mathbb{N}$ , we have an operator  $P_k : X \rightarrow X$  defined by  $P_k(x) := \sum_{n=1}^k e_n^*(x)e_n$  for all  $x \in X$ , where  $(e_n^*)_{n \in \mathbb{N}}$  is the sequence in  $X^*$  of biorthogonal functionals associated with  $(e_n)_{n \in \mathbb{N}}$ . The operators of this form are called the *partial sum operators* on  $X$  associated with  $(e_n)_{n \in \mathbb{N}}$ . They satisfy  $\sup_{k \in \mathbb{N}} \|P_k\| < \infty$ .

The following lemma uses some ideas of the proof of [17, Lemma 3.4].

**Lemma 2.1.** *Let  $X$  be a Banach space with a Schauder basis and let  $(P_k)_{k \in \mathbb{N}}$  be the associated sequence of partial sum operators on  $X$ . Write  $\alpha := \sup_{k \in \mathbb{N}} \|P_k\|$ . Let  $(K_n)_{n \in \mathbb{N}}$  be a sequence of relatively norm compact subsets of  $X$  and let  $(x_n)_{n \in \mathbb{N}}$  be a sequence in  $X$  such that  $x_n \in K_n$  for all  $n \in \mathbb{N}$ . Suppose that*

- (a) *the series  $\sum_{n=1}^{\infty} x_n$  is not absolutely convergent;*
- (b)  *$\sum_{n=1}^{\infty} \|P_k(K_n)\| < \infty$  for every  $k \in \mathbb{N}$ .*

*Then there exist two strictly increasing sequences  $(k_j)_{j \in \mathbb{N}}$  and  $(l_j)_{j \in \mathbb{N}}$  in  $\mathbb{N}$  such that, if  $w_j \in \|x_{l_j}\|^{-1}K_{l_j}$  for all  $j \in \mathbb{N}$ , then*

- (i)  *$\|w_j - (P_{k_{j+1}} - P_{k_j})(w_j)\| \leq 2^{-j}$  for every  $j \in \mathbb{N}$ ;*
- (ii)  *$\|w_j - w_{j'}\| \geq \alpha^{-1}\|w_j\| - 2^{-j}$  whenever  $j' > j$ .*

*Proof.* We can assume without loss of generality that  $x_n \neq 0$  for all  $n \in \mathbb{N}$ . Write  $Q_k := \text{id}_X - P_k$  for all  $k \in \mathbb{N}$ , where  $\text{id}_X$  stands for the identity operator on  $X$ . Since  $\|Q_k\| \leq 1 + \alpha$  for all  $k \in \mathbb{N}$  and  $\|Q_k(x)\| \rightarrow 0$  as  $k \rightarrow \infty$  for every  $x \in X$ , the sequence of operators  $(Q_k)_{k \in \mathbb{N}}$  converges to 0 uniformly on each relatively norm compact subset of  $X$ .

We will construct by induction strictly increasing sequences  $(k_j)_{j \in \mathbb{N}}$  and  $(\tilde{l}_j)_{j \in \mathbb{N}}$  in  $\mathbb{N}$  in such a way that, for each  $j \in \mathbb{N}$ , we have

$$(c) \quad \left\| P_{k_j}(K_{\tilde{l}_{j+1}}) \right\| \leq \frac{\|x_{\tilde{l}_{j+1}}\|}{2^{j+1}} \quad \text{and} \quad (d) \quad \left\| Q_{k_j}(K_{\tilde{l}_j}) \right\| \leq \frac{\|x_{\tilde{l}_j}\|}{2^j}.$$

Set  $\tilde{l}_1 := 1$  and choose  $k_1 \in \mathbb{N}$  such that  $\|Q_{k_1}(K_1)\| \leq \frac{1}{2}\|x_1\|$ . Suppose that  $k_N, \tilde{l}_N \in \mathbb{N}$  are already chosen for some  $N \in \mathbb{N}$ . By (a) and (b), there is  $\tilde{l}_{N+1} \in \mathbb{N}$  with  $\tilde{l}_{N+1} > \tilde{l}_N$  such that

$$\left\| P_{k_N}(K_{\tilde{l}_{N+1}}) \right\| \leq \frac{\|x_{\tilde{l}_{N+1}}\|}{2^{N+1}}.$$

Now, we take  $k_{N+1} \in \mathbb{N}$  with  $k_{N+1} > k_N$  such that

$$\left\| Q_{k_{N+1}}(K_{\tilde{l}_{N+1}}) \right\| \leq \frac{\|x_{\tilde{l}_{N+1}}\|}{2^{N+1}}.$$

This finishes the construction of  $(k_j)_{j \in \mathbb{N}}$  and  $(\tilde{l}_j)_{j \in \mathbb{N}}$ .

Define  $l_j := \tilde{l}_{j+1}$  for all  $j \in \mathbb{N}$ . Take  $(z_j)_{j \in \mathbb{N}} \in \prod_{j \in \mathbb{N}} K_{l_j}$  and, for each  $j \in \mathbb{N}$ , define  $w_j := \|x_{l_j}\|^{-1} z_j$ . Then

$$\begin{aligned} \|w_j - (P_{k_{j+1}} - P_{k_j})(w_j)\| &= \|Q_{k_{j+1}}(w_j) + P_{k_j}(w_j)\| \\ &\leq \|Q_{k_{j+1}}(w_j)\| + \|P_{k_j}(w_j)\| \\ &\stackrel{(c)\&(d)}{\leq} \frac{1}{2^{j+1}} + \frac{1}{2^{j+1}} = \frac{1}{2^j} \end{aligned}$$

for every  $j \in \mathbb{N}$ . This proves (i).

To check property (ii), take  $j' > j$  in  $\mathbb{N}$ . Then

$$\begin{aligned} \|w_j - w_{j'}\| &\geq \alpha^{-1} \|P_{k_{j+1}}(w_j - w_{j'})\| \\ &= \alpha^{-1} \|w_j - Q_{k_{j+1}}(w_j) - P_{k_{j+1}}(w_{j'})\| \\ &\geq \alpha^{-1} \left( \|w_j\| - \|Q_{k_{j+1}}(w_j)\| - \|P_{k_{j+1}}(w_{j'})\| \right) \\ &= \alpha^{-1} \left( \|w_j\| - \|Q_{k_{j+1}}(w_j)\| - \|P_{k_{j+1}}(P_{k_{j'}}(w_{j'}))\| \right) \\ &\geq \alpha^{-1} \left( \|w_j\| - \|Q_{k_{j+1}}(w_j)\| - \alpha \|P_{k_{j'}}(w_{j'})\| \right) \\ &\stackrel{(\alpha \geq 1)}{\geq} \alpha^{-1} \|w_j\| - \|Q_{k_{j+1}}(w_j)\| - \|P_{k_{j'}}(w_{j'})\| \\ &\stackrel{(c)\&(d)}{\geq} \alpha^{-1} \|w_j\| - \frac{1}{2^{j+1}} - \frac{1}{2^{j'+1}} \geq \alpha^{-1} \|w_j\| - \frac{1}{2^j}. \end{aligned}$$

The proof is finished.  $\square$

**Corollary 2.2.** *Let  $X$  be a Banach space. Let  $(x_n)_{n \in \mathbb{N}}$  be a sequence in  $X$  such that  $\sum_{n=1}^{\infty} x_n$  is weakly unconditionally Cauchy and  $\{\|x_n\|^{-1} x_n : n \in \mathbb{N}, x_n \neq 0\}$  is relatively norm compact. Then  $\sum_{n=1}^{\infty} x_n$  is absolutely convergent.*

*Proof.* The subspace  $\overline{\text{span}}(\{x_n : n \in \mathbb{N}\}) \subseteq X$  is separable, so it embeds isometrically into the Banach space  $C([0, 1])$ . Hence, we can assume without loss of generality that  $X = C([0, 1])$ . Since this space has a Schauder basis, the conclusion follows from Lemma 2.1(ii) by taking  $K_n := \{x_n\}$  for all  $n \in \mathbb{N}$ . Indeed, if  $(P_k)_{k \in \mathbb{N}}$  is the sequence of partial sum operators on  $C([0, 1])$  associated with a given Schauder basis, then for each  $k \in \mathbb{N}$  the series  $\sum_{n=1}^{\infty} P_k(x_n)$  is absolutely convergent, because it is weakly unconditionally Cauchy and  $P_k(X)$  is finite-dimensional.  $\square$

Let  $X$  be a Banach space with a Schauder basis  $(e_n)_{n \in \mathbb{N}}$ . By a *block sequence with respect to  $(e_n)_{n \in \mathbb{N}}$*  we mean a sequence  $(x_j)_{j \in \mathbb{N}}$  in  $X$  for which there exist a sequence  $(a_n)_{n \in \mathbb{N}}$  in  $\mathbb{R}$  and a sequence  $(I_j)_{j \in \mathbb{N}}$  of non-empty finite subsets of  $\mathbb{N}$  such that  $\max(I_j) < \min(I_{j+1})$  and  $x_j = \sum_{n \in I_j} a_n e_n$  for all  $j \in \mathbb{N}$ . Recall that the Schauder basis  $(e_n)_{n \in \mathbb{N}}$  is said to be *shrinking* if its sequence of biorthogonal functionals  $(e_n^*)_{n \in \mathbb{N}}$  satisfies  $X^* = \overline{\text{span}}(\{e_n^* : n \in \mathbb{N}\})$ .

We can now prove our main result.

*Proof of Theorem 1.3.* Clearly, we can suppose that  $\|M\| \leq 1$  for every  $M \in \mathcal{M}$ .

Let us consider the subspace  $Z_0 := \overline{\text{span}}(\{z_n : n \in \mathbb{N}\}) \subseteq Z$ . The set of restrictions  $\{M|_{Z_0} : M \in \mathcal{M}\} \subseteq B_{\mathcal{L}(Z_0, X)}$  has the Rainwater property and fulfills conditions (a) and (b). Obviously, the restriction  $T|_{Z_0}$  also satisfies conditions (c) and (d). The

subspace

$$X_0 := \overline{\text{span}} \left( \bigcup_{n \in \mathbb{N}} \{M(z_n) : M \in \mathcal{M}\} \right) \subseteq X$$

is separable (thanks to (a)) and we have  $M(Z_0) \subseteq X_0$  for every  $M \in \mathcal{M}$ . Since  $X$  is Asplund and  $X_0$  is separable,  $X_0^*$  is separable. Therefore, we can assume without loss of generality that  $X^*$  is separable.

A result of Zippin [18] (cf. [5, Chapter 5]) states that every Banach space with separable dual embeds isomorphically into a Banach space with a shrinking Schauder basis. Therefore, we can assume further that  $X$  has a shrinking Schauder basis, say  $(e_n)_{n \in \mathbb{N}}$ . Let  $(P_k)_{k \in \mathbb{N}}$  be the sequence of partial sum operators on  $X$  associated with  $(e_n)_{n \in \mathbb{N}}$ .

For each  $n \in \mathbb{N}$ , write  $x_n := T(z_n) \in X$  and consider the relatively norm compact set

$$K_n := \{M(z_n) : M \in \mathcal{M}\} \subseteq X.$$

Observe that for each  $k \in \mathbb{N}$  we have  $\sum_{n=1}^{\infty} \|P_k(K_n)\| < \infty$ . Indeed, for every  $n \in \mathbb{N}$  we choose  $M_n \in \mathcal{M}$  such that

$$(2.1) \quad \|P_k(K_n)\| \leq \|P_k(M_n(z_n))\| + \frac{1}{2^n}.$$

Since  $\sum_{n=1}^{\infty} M_n(z_n)$  is weakly unconditionally Cauchy (by condition (b)) and  $P_k(X)$  is finite-dimensional, the series  $\sum_{n=1}^{\infty} P_k(M_n(z_n))$  is absolutely convergent and so inequality (2.1) yields  $\sum_{n=1}^{\infty} \|P_k(K_n)\| < \infty$ , as claimed.

Suppose, by contradiction, that  $\sum_{n=1}^{\infty} x_n$  is not absolutely convergent and apply Lemma 2.1. Let  $(k_j)_{j \in \mathbb{N}}$  and  $(l_j)_{j \in \mathbb{N}}$  be as in Lemma 2.1. Define

$$R_j := P_{k_{j+1}} - P_{k_j} \in \mathcal{L}(X, X) \quad \text{and} \quad u_j := \|x_{l_j}\|^{-1} z_{l_j} \in Z \quad \text{for all } j \in \mathbb{N}.$$

Write  $\beta := \inf_{n \in \mathbb{N}} \|T(z_n)\| \|z_n\|^{-1} > 0$ . Fix  $M \in \mathcal{M}$  and define

$$w_j^M := M(u_j) = \|x_{l_j}\|^{-1} M(z_{l_j}) \in \|x_{l_j}\|^{-1} K_{l_j} \quad \text{for all } j \in \mathbb{N}.$$

Note that  $\|u_j\| \leq \beta^{-1}$  and so  $\|w_j^M\| \leq \|M\| \beta^{-1} \leq \beta^{-1}$  for all  $j \in \mathbb{N}$ . Observe that  $(R_j(w_j^M))_{j \in \mathbb{N}}$  is a block sequence with respect to  $(e_n)_{n \in \mathbb{N}}$  which is bounded, because the sequence  $(w_j^M)_{j \in \mathbb{N}}$  is bounded and  $\|R_j\| \leq 2 \sup_{k \in \mathbb{N}} \|P_k\| < \infty$  for all  $j \in \mathbb{N}$ . Since  $(e_n)_{n \in \mathbb{N}}$  is shrinking, we deduce that  $(R_j(w_j^M))_{j \in \mathbb{N}}$  is weakly null (see, e.g., [1, Proposition 3.2.7]). Since

$$\|w_j^M - R_j(w_j^M)\| \leq \frac{1}{2^j} \quad \text{for all } j \in \mathbb{N}$$

(by part (i) of Lemma 2.1), we conclude that  $(w_j^M)_{j \in \mathbb{N}}$  is weakly null as well.

As  $M \in \mathcal{M}$  is arbitrary, the Rainwater property of  $\mathcal{M}$  implies that the sequence  $(u_j)_{j \in \mathbb{N}}$  is weakly null in  $Z$ . This is a contradiction, because  $T$  is Dunford–Pettis and  $\|T(u_j)\| = 1$  for every  $j \in \mathbb{N}$ .  $\square$

A sequence  $(x_n)_{n \in \mathbb{N}}$  in a Banach space is said to be an  $\ell_1$ -sequence if it is bounded and there is a constant  $c > 0$  such that

$$\left\| \sum_{n=1}^N a_n x_n \right\| \geq c \sum_{n=1}^N |a_n|$$

for every  $N \in \mathbb{N}$  and for all  $a_1, \dots, a_N \in \mathbb{R}$ . That is,  $(x_n)_{n \in \mathbb{N}}$  is an  $\ell_1$ -sequence if and only if it is a basic sequence which is equivalent to the usual Schauder basis of  $\ell_1$  (see, e.g., [1, Section 1.3]).

**Corollary 2.3.** *Let  $Z$  and  $X$  be Banach spaces. Suppose that  $X$  is Asplund. Let  $\mathcal{M}$  be a bounded subset of  $\mathcal{L}(Z, X)$  having the Rainwater property. Let  $(e_n)_{n \in \mathbb{N}}$  be a seminormalized basic sequence in  $Z$  such that*

- (a) *for each  $n \in \mathbb{N}$ , the set  $\{M(e_n) : M \in \mathcal{M}\}$  is relatively norm compact;*
- (b) *for each sequence  $(a_n)_{n \in \mathbb{N}}$  in  $\mathbb{R}$  such that the series  $\sum_{n=1}^{\infty} a_n e_n$  is convergent and for each sequence  $(M_n)_{n \in \mathbb{N}}$  in  $\mathcal{M}$ , the series  $\sum_{n=1}^{\infty} a_n M_n(e_n)$  is weakly unconditionally Cauchy.*

*Suppose that there is  $T \in \mathcal{M}$  such that*

- (c)  *$T$  is Dunford–Pettis;*
- (d)  $\inf_{n \in \mathbb{N}} \|T(e_n)\| \|e_n\|^{-1} > 0$ .

*Then  $(e_n)_{n \in \mathbb{N}}$  is an  $\ell_1$ -sequence.*

*Proof.* Let  $(a_n)_{n \in \mathbb{N}}$  be a sequence in  $\mathbb{R}$ . Then  $\sum_{n=1}^{\infty} |a_n| \|e_n\| < \infty$  if (and only if) the series  $\sum_{n=1}^{\infty} a_n e_n$  is convergent. To check this, we can assume without loss of generality that  $a_n \neq 0$  for all  $n \in \mathbb{N}$ . Now, Theorem 1.3 (applied to  $z_n := a_n e_n$ ) ensures that if  $\sum_{n=1}^{\infty} a_n e_n$  is convergent, then we have  $\sum_{n=1}^{\infty} |a_n| \|T(e_n)\| < \infty$  and so  $\sum_{n=1}^{\infty} |a_n| \|e_n\| < \infty$  (by (d)). This shows that  $(\|e_n\|^{-1} e_n)_{n \in \mathbb{N}}$  is an  $\ell_1$ -sequence. Since  $(e_n)_{n \in \mathbb{N}}$  is seminormalized, it is an  $\ell_1$ -sequence as well.  $\square$

We finish this section with a few remarks on sets of operators having the Rainwater property and some examples. The first one is an immediate consequence of the aforementioned Rainwater–Simons theorem (see, e.g., [6, Theorem 3.134]).

**Corollary 2.4.** *Let  $Z$  and  $X$  be Banach spaces and let  $\mathcal{M} \subseteq B_{\mathcal{L}(Z, X)}$ . The following statements are equivalent and imply that  $\mathcal{M}$  has the Rainwater property:*

- (i) *the set  $\bigcup_{M \in \mathcal{M}} M^*(B_{X^*}) \subseteq B_{Z^*}$  is a James boundary of  $Z$ ;*
- (ii) *for every  $z \in Z$  there is  $M \in \mathcal{M}$  such that  $\|z\| = \|M(z)\|$ .*

**Definition 2.5.** Let  $Z$  and  $X$  be Banach spaces. We say that a set  $\mathcal{M} \subseteq B_{\mathcal{L}(Z, X)}$  has the *James boundary property* if it satisfies conditions (i)–(ii) of Corollary 2.4.

**Example 2.6.** Let  $X$  be a Banach space and let  $E$  be a Banach space with a normalized 1-unconditional Schauder basis  $(e_n)_{n \in \mathbb{N}}$ . Let  $Z$  be the  $E$ -sum of countably many copies of  $X$ , that is,  $Z$  is the Banach space of all sequences  $(x_n)_{n \in \mathbb{N}}$  in  $X$  such that the series  $\sum_{n=1}^{\infty} \|x_n\| e_n$  converges in  $E$ , equipped with the norm

$$\|(x_n)_{n \in \mathbb{N}}\|_Z := \left\| \sum_{n=1}^{\infty} \|x_n\| e_n \right\|_E.$$

Let  $\mathcal{M} \subseteq B_{\mathcal{L}(Z, X)}$  be the set of all coordinate projections.

- (i) If  $E^*$  is separable, then  $\mathcal{M}$  has the Rainwater property (see, e.g., [16, Lemma 3.22]).
- (ii) If  $E = c_0$ , then  $\mathcal{M}$  has the James boundary property.
- (iii) If  $E = \ell_p$  for some  $1 < p < \infty$ , then  $\mathcal{M}$  has the Rainwater property but fails to have the James boundary property (unless  $X = \{0\}$ ). Indeed, bear in mind that  $c_0$  does not contain subspaces isomorphic to  $\ell_p$  (see, e.g., [1, Corollary 2.1.6]).

It is natural to wonder when a single operator has the Rainwater property. An obvious necessary condition is that such an operator must be injective. In fact:

**Remark 2.7.** Let  $Z$  and  $X$  be Banach spaces and let  $\mathcal{M} \subseteq \mathcal{L}(Z, X)$  be a set having the Rainwater property. Then  $\bigcap_{M \in \mathcal{M}} \ker M = \{0\}$ . Indeed, if  $z \in \bigcap_{M \in \mathcal{M}} \ker M$ ,

then the Rainwater property of  $\mathcal{M}$  implies that the constant sequence  $(z, z, \dots)$  is weakly null in  $Z$ , which is equivalent to saying that  $z = 0$ .

Let  $Z$  and  $X$  be Banach spaces. An operator  $T: Z \rightarrow X$  is called *tauberian* if its second adjoint satisfies  $(T^{**})^{-1}(X) \subseteq Z$ . This is equivalent to saying that a bounded set  $C \subseteq Z$  is relatively weakly compact if (and only if)  $T(C)$  is relatively weakly compact (see, e.g., [8, Corollary 2.2.5]). As a consequence, we have:

**Remark 2.8.** Let  $Z$  and  $X$  be Banach spaces and let  $T \in \mathcal{L}(Z, X)$  be injective.

- (a) If  $T$  is tauberian, then  $\{T\}$  has the Rainwater property.
- (b) If  $\{T\}$  has the Rainwater property and  $Z$  is weakly sequentially complete, then  $T$  is tauberian.

In part (b) of the previous remark, the additional assumption on  $Z$  cannot be dropped in general:

**Example 2.9.** Let  $T: c_0 \rightarrow \ell_1$  be the injective operator defined by

$$T((a_n)_{n \in \mathbb{N}}) := (2^{-n} a_n)_{n \in \mathbb{N}} \quad \text{for all } (a_n)_{n \in \mathbb{N}} \in c_0.$$

Then  $\{T\}$  has the Rainwater property, but  $T$  is not tauberian. Indeed, any tauberian operator maps the closed unit ball of the domain space to a closed set (see, e.g., [8, Theorem 2.1.7]). However,  $T(B_{c_0})$  is not closed. For instance, it is easy to check that  $x = (2^{-n})_{n \in \mathbb{N}} \in \ell_1$  satisfies  $x \in \overline{T(B_{c_0})} \setminus T(B_{c_0})$ .

The previous example is a particular case of a more general construction:

**Proposition 2.10.** Let  $Z$  and  $X$  be Banach spaces and let  $\mathcal{M} \subseteq \mathcal{L}(Z, X)$  be a countable set having the Rainwater property. Let  $E$  be a Banach space with a normalized 1-unconditional Schauder basis  $(e_n)_{n \in \mathbb{N}}$  and let  $Y$  be the  $E$ -sum of countably many copies of  $X$ . Then there is an injective operator  $T: Z \rightarrow Y$  such that  $\{T\}$  has the Rainwater property.

*Proof.* Enumerate  $\mathcal{M} = \{M_n: n \in \mathbb{N}\}$ . If we multiply each  $M_n$  by a non-zero constant, the resulting set also has the Rainwater property. So, we can assume that the series  $\sum_{n=1}^{\infty} \|M_n\| e_n$  converges  $E$ . Now, the map  $T: Z \rightarrow Y$  defined by  $T(z) := (M_n(z))_{n \in \mathbb{N}}$  for all  $z \in Z$  satisfies the requirements.  $\square$

### 3. Application to the $L_1$ space of a vector measure

Let  $X$  be a Banach space, let  $(\Omega, \Sigma)$  be a measurable space and let  $\nu: \Sigma \rightarrow X$  be a countably additive vector measure. The variation and semivariation of  $\nu$  are denoted by  $|\nu|$  and  $\|\nu\|$ , respectively. Given  $x^* \in X^*$ , we denote by  $x^* \nu: \Sigma \rightarrow \mathbb{R}$  the composition of  $\nu$  with  $x^*$  and we denote by  $|x^* \nu|$  its variation. We say that  $A \in \Sigma$  is  $\nu$ -null if  $\|\nu\|(A) = 0$  or, equivalently,  $\nu(B) = 0$  for every  $B \in \Sigma$  contained in  $A$ . The subset of  $\Sigma$  consisting of all  $\nu$ -null sets is denoted by  $\mathcal{N}(\nu)$ .

Every  $\nu$ -essentially bounded  $\Sigma$ -measurable function  $f: \Omega \rightarrow \mathbb{R}$  is  $\nu$ -integrable. By identifying  $\nu$ -a.e. equal functions, the set  $L_\infty(\nu)$  of all (equivalence classes of)  $\nu$ -essentially bounded  $\Sigma$ -measurable functions is a Banach lattice with the  $\nu$ -a.e. order and the  $\nu$ -essential supremum norm  $\|\cdot\|_{L_\infty(\nu)}$ . For each  $g \in L_\infty(\nu)$ , we denote by  $M_g: L_1(\nu) \rightarrow X$  the operator defined by

$$M_g(f) := \int_{\Omega} f g d\nu \quad \text{for all } f \in L_1(\nu),$$

which satisfies  $\|M_g\| \leq \|g\|_{L_\infty(\nu)}$ . It is known that

$$(3.1) \quad \|f\|_{L_1(\nu)} = \sup_{g \in B_{L_\infty(\nu)}} \|M_g(f)\| \quad \text{for all } f \in L_1(\nu)$$

(see, e.g., [14, Proposition 3.31]).

The following lemma can be found in [12, Lemma 3.3] and [2, Corollary 4.2]. Note that part (ii) follows at once from part (i) and (3.1). It is worth pointing out that in (ii) the set  $B_{L_\infty(\nu)}$  can be replaced by its extreme points, that is, the subset  $\{\chi_A - \chi_{\Omega \setminus A} : A \in \Sigma\}$ , see [4, Corollary 2.4].

**Lemma 3.1.** *Let  $X$  be a Banach space, let  $(\Omega, \Sigma)$  be a measurable space and let  $\nu : \Sigma \rightarrow X$  be a countably additive vector measure such that the set  $\{\nu(A) : A \in \Sigma\}$  is relatively norm compact. Then:*

- (i) *for each  $f \in L_1(\nu)$ , the set  $\{M_g(f) : g \in B_{L_\infty(\nu)}\}$  is norm compact;*
- (ii) *the set  $\{M_g : g \in B_{L_\infty(\nu)}\}$  has the James boundary property.*

*In particular,  $\{M_g : g \in B_{L_\infty(\nu)}\}$  has the Rainwater property.*

**Lemma 3.2.** *Let  $X$  be a Banach space, let  $(\Omega, \Sigma)$  be a measurable space and let  $\nu : \Sigma \rightarrow X$  be a countably additive vector measure. Let  $(f_n)_{n \in \mathbb{N}}$  be sequence of pairwise disjoint non-zero elements of  $L_1(\nu)$ . Then  $(f_n)_{n \in \mathbb{N}}$  is a 1-unconditional basic sequence in  $L_1(\nu)$ .*

*Proof.* It suffices to check that

$$(3.2) \quad \left\| \sum_{k=1}^n a_k f_k \right\|_{L_1(\nu)} \leq \left\| \sum_{k=1}^m b_k f_k \right\|_{L_1(\nu)}$$

for all sequences  $(a_k)_{k \in \mathbb{N}}$  and  $(b_k)_{k \in \mathbb{N}}$  in  $\mathbb{R}$  such that  $|a_k| \leq |b_k|$  for every  $k \in \mathbb{N}$  and for all  $n \leq m$  in  $\mathbb{N}$  (see, e.g., [1, Propositions 1.1.9 and 3.1.3]). Fix  $x^* \in B_{X^*}$ . Since the  $f_k$ 's are pairwise disjoint, we have

$$\begin{aligned} \int_{\Omega} \left| \sum_{k=1}^n a_k f_k \right| d|x^* \nu| &= \sum_{k=1}^n |a_k| \int_{\Omega} |f_k| d|x^* \nu| \leq \sum_{k=1}^m |b_k| \int_{\Omega} |f_k| d|x^* \nu| \\ &= \int_{\Omega} \left| \sum_{k=1}^m b_k f_k \right| d|x^* \nu| \leq \left\| \sum_{k=1}^m b_k f_k \right\|_{L_1(\nu)}. \end{aligned}$$

By taking the supremum when  $x^*$  runs over all  $B_{X^*}$ , we get (3.2).  $\square$

We can now prove Theorem 1.1 by using Corollary 2.3.

*Proof of Theorem 1.1.* It suffices to show that  $\sum_{n=1}^{\infty} \|\nu(C_n)\| < \infty$  for every sequence  $(C_n)_{n \in \mathbb{N}}$  of pairwise disjoint elements of  $\Sigma \setminus \mathcal{N}(\nu)$  (see, e.g., [10, Corollary 2]).

Fix  $\rho > 2$  and  $n \in \mathbb{N}$ . We can take  $A_n \in \Sigma \setminus \mathcal{N}(\nu)$  such that  $A_n \subseteq C_n$  and  $\rho \|\nu(A_n)\| \geq \|\nu\|(C_n)$ . Define  $f_n := \|\nu\|(C_n)^{-1} \chi_{A_n} \in L_1(\nu)$  and note that

$$(3.3) \quad \frac{1}{\rho} \leq \frac{\|\nu(A_n)\|}{\|\nu\|(C_n)} \leq \|f_n\|_{L_1(\nu)} = \frac{\|\nu\|(A_n)}{\|\nu\|(C_n)} \leq 1.$$

Hence,  $(f_n)_{n \in \mathbb{N}}$  is a seminormalized 1-unconditional basic sequence in  $L_1(\nu)$  (apply Lemma 3.2).

We will show that  $(f_n)_{n \in \mathbb{N}}$  is an  $\ell_1$ -sequence via Corollary 2.3 applied to the operator  $T := M_{\chi_{\Omega}} = I_{\nu}$  and the family  $\mathcal{M} := \{M_g : g \in B_{L_\infty(\nu)}\}$ . Since  $I_{\nu}$  is Dunford–Pettis, the set  $\{\nu(A) : A \in \Sigma\}$  is relatively norm compact (see [2, Theorem 5.8], cf. [17, Proposition 2.6]). Hence,  $\mathcal{M}$  has the Rainwater property and



condition (a) of Corollary 2.3 holds (apply Lemma 3.1). Condition (d) holds because

$$\|I_\nu(f_n)\|_X \|f_n\|_{L_1(\nu)}^{-1} = \frac{\|\nu(A_n)\|}{\|\nu\|(A_n)} \stackrel{(3.3)}{\geq} \frac{\|\nu(A_n)\|}{\|\nu\|(C_n)} \geq \frac{1}{\rho} \quad \text{for all } n \in \mathbb{N}.$$

To check condition (b), let  $(a_n)_{n \in \mathbb{N}}$  be a sequence in  $\mathbb{R}$  such that  $\sum_{n=1}^{\infty} a_n f_n$  is convergent in  $L_1(\nu)$  and let  $(g_n)_{n \in \mathbb{N}}$  be a sequence in  $B_{L_\infty(\nu)}$ . Since the  $A_n$ 's are pairwise disjoint, we can find  $g \in B_{L_\infty(\nu)}$  such that  $g|_{A_n} = g_n|_{A_n}$  for every  $n \in \mathbb{N}$ . Since  $(f_n)_{n \in \mathbb{N}}$  is an unconditional basic sequence,  $\sum_{n=1}^{\infty} a_n f_n$  is unconditionally convergent. Then the series

$$\sum_{n=1}^{\infty} a_n M_{g_n}(f_n) = \sum_{n=1}^{\infty} a_n \int_{\Omega} f_n g_n d\nu = \sum_{n=1}^{\infty} a_n \int_{\Omega} f_n g d\nu = \sum_{n=1}^{\infty} M_g(a_n f_n)$$

is unconditionally convergent in  $X$  (because  $M_g$  is an operator) and, therefore, it is weakly unconditionally Cauchy. So, condition (b) of Corollary 2.3 holds too. From that result it follows that  $(f_n)_{n \in \mathbb{N}}$  is an  $\ell_1$ -sequence.

Let  $c > 0$  such that

$$\sum_{n=1}^N |a_n| \leq c \left\| \sum_{n=1}^N a_n f_n \right\|_{L_1(\nu)}$$

for every  $N \in \mathbb{N}$  and for all  $a_1, \dots, a_N \in \mathbb{R}$ . The previous inequality applied to  $a_n := \|\nu\|(C_n)$  yields

$$\begin{aligned} \sum_{n=1}^N \|\nu(C_n)\| &\leq \sum_{n=1}^N \|\nu\|(C_n) \leq c \left\| \sum_{n=1}^N \chi_{A_n} \right\|_{L_1(\nu)} = c \left\| \chi_{\bigcup_{n=1}^N A_n} \right\|_{L_1(\nu)} \\ &= c \|\nu\| \left( \bigcup_{n=1}^N A_n \right) \leq c \|\nu\|(\Omega) \end{aligned}$$

for every  $N \in \mathbb{N}$ . It follows that  $\sum_{n=1}^{\infty} \|\nu(C_n)\| \leq c \|\nu\|(\Omega) < \infty$ , as required.  $\square$

## References

- [1] ALBIAC, F., and N. J. KALTON: Topics in Banach space theory. - Grad. Texts in Math. 233, Springer, New York, 2006.
- [2] CALABUIG, J. M., S. LAJARA, J. RODRÍGUEZ, and E. A. SÁNCHEZ-PÉREZ: Compactness in  $L^1$  of a vector measure. - Studia Math. 225:3, 2014, 259–282.
- [3] CALABUIG, J. M., J. RODRÍGUEZ, and E. A. SÁNCHEZ-PÉREZ: On completely continuous integration operators of a vector measure. - J. Convex Anal. 21:3, 2014, 811–818.
- [4] CALABUIG, J. M., J. RODRÍGUEZ, and E. A. SÁNCHEZ-PÉREZ: Summability in  $L^1$  of a vector measure. - Math. Nachr. 290:4, 2017, 507–519.
- [5] DODOS, P.: Banach spaces and descriptive set theory: selected topics. - Lecture Notes in Math. 1993, Springer-Verlag, Berlin, 2010.
- [6] FABIAN, M., P. HABALA, P. HÁJEK, V. MONTESINOS, and V. ZIZLER: Banach space theory. The basis for linear and nonlinear analysis. - CMS Books Math., Springer, New York, 2011.
- [7] FONF, V. P., and J. LINDENSTRAUSS: Boundaries and generation of convex sets. - Israel J. Math. 136, 2003, 157–172.
- [8] GONZÁLEZ, M., and A. MARTÍNEZ-ABEJÓN: Tauberian operators. - Oper. Theory Adv. Appl. 194, Birkhäuser Verlag, Basel, 2010.
- [9] NYGAARD, O.: A remark on Rainwater's theorem. - Ann. Math. Inform. 32, 2005, 125–127.

- [10] NYGAARD, O., and M. PÖLDVERE: Families of vector measures of uniformly bounded variation. - Arch. Math. (Basel) 88:1, 2007, 57–61.
- [11] OKADA, S., W. J. RICKER, and L. RODRÍGUEZ-PIAZZA: Compactness of the integration operator associated with a vector measure. - Studia Math. 150:2, 2002, 133–149.
- [12] OKADA, S., W. J. RICKER, and L. RODRÍGUEZ-PIAZZA: Operator ideal properties of vector measures with finite variation. - Studia Math. 205:3, 2011, 215–249.
- [13] OKADA, S., W. J. RICKER, and L. RODRÍGUEZ-PIAZZA: Operator ideal properties of the integration map of a vector measure. - Indag. Math. (N.S.) 25:2, 2014, 315–340.
- [14] OKADA, S., W. J. RICKER, and E. A. SÁNCHEZ PÉREZ: Optimal domain and integral extension of operators. Acting in function spaces. - Oper. Theory Adv. Appl. 180, Birkhäuser Verlag, Basel, 2008.
- [15] RODRÍGUEZ, J.: Factorization of vector measures and their integration operators. - Colloq. Math. 144:1, 2016, 115–125.
- [16] RODRÍGUEZ, J.:  $\epsilon$ -weakly precompact sets in Banach spaces. - Studia Math. 262:3, 2022, 327–360.
- [17] RODRÍGUEZ, J.: Dunford–Pettis type properties in  $L_1$  of a vector measure. - Rev. R. Acad. Cienc. Exactas Fís. Nat. Ser. A Math. RACSAM 118:3, 2024, paper no. 136.
- [18] ZIPPIN, M.: Banach spaces with separable duals. - Trans. Amer. Math. Soc. 310:1, 1988, 371–379.

Received 9 May 2024 • Accepted 28 January 2025 • Published online 30 January 2025

José Rodríguez  
Universidad de Castilla-La Mancha  
Dpto. de Matemáticas  
E.T.S. de Ingenieros Industriales de Albacete  
02071 Albacete, Spain  
jose.rodriguezruiz@uclm.es