

# A growth estimate for the planar Mumford–Shah minimizers at a tip point: An alternative proof of David–Léger

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**Abstract.** Let  $\Omega \subset \mathbb{R}^2$  be a bounded domain and  $u \in SBV(\Omega)$  be a local minimizer of the Mumford–Shah problem in the plane, with  $0 \in \overline{S}_u$  being a tip point and  $B_1 \subset \Omega$ . Then there exist absolute constants  $C > 0$  and  $0 < r_0 < 1$  such that

$$|u(x) - u(0)| \leq Cr^{\frac{1}{2}} \quad \text{for any } x \in B_r \text{ and } 0 < r < r_0.$$

This estimate is a local version of the original one in David–Léger (2002, Proposition 10.17). Our result is based on a dichotomy and the John structure of  $\Omega \setminus \overline{S}_u$ , different from the one by David–Léger (2002) or Bonnet–David (2001, Lemma 21.3).

**Kasvuvarvio tason Mumfordin–Shahin minimoijille keikahduspisteessä:  
vaihtoehtoinen todistus Davidin ja Légerin tulokselle**

**Tiivistelmä.** Olkoon  $\Omega \subset \mathbb{R}^2$  rajallinen alue ja  $u \in SBV(\Omega)$  Mumfordin–Shahin taso-ongelman paikallinen minimoija, jolle  $0 \in \overline{S}_u$  on keikahduspiste ja  $B_1 \subset \Omega$ . Tällöin on olemassa absoluuttiset vakiot  $C > 0$  ja  $0 < r_0 < 1$ , joilla pätee epäyhtälö

$$|u(x) - u(0)| \leq Cr^{\frac{1}{2}} \quad \text{kaikilla } x \in B_r \text{ ja } 0 < r < r_0.$$

Tämä arvio on Davidin ja Légerin alkuperäisen tuloksen (2002, propositio 10.17) paikallinen versio. Tulos perustuu tiettyyn kahtiajakoon sekä joukon  $\Omega \setminus \overline{S}_u$  Johnin rakenteeseen, toisin kuin Davidin–Légerin (2002) ja Bonnet’n–Davidin (2001, lemma 21.3) vastaavat.

## 1. Introduction

The Mumford–Shah functional, introduced by Mumford and Shah in [21], is a well-known model in image processing. In their seminal paper [14], De Giorgi, Carriero, and Leaci established the existence of minimizers for a weaker formulation of the Mumford–Shah problem through direct methods, drawing on a lower semicontinuity result by De Giorgi and Ambrosio [13].

To be more specific, for any bounded domain  $\Omega \subset \mathbb{R}^n$ , it was introduced in [13] a subspace of  $BV(\Omega)$ , denoted by  $SBV(\Omega)$ , in which the functions only has jump discontinuities (see Section 2 for more details). Then for a function  $u \in SBV(\Omega)$ , its  $\lambda$ -Mumford–Shah energy on an open set  $\Omega \subseteq \mathbb{R}^n$  is defined by

$$MS_\lambda(u, \Omega) := \int_\Omega |Du|^2 dx + \lambda \mathcal{H}^{n-1}(S_u \cap \Omega),$$

where  $\lambda > 0$  and  $S_u \subset \Omega$  is the set of discontinuity points of  $u$ . A function  $u \in SBV_{\text{loc}}(\Omega)$  is a local  $\lambda$ -minimizer if for any  $x \in \Omega$  with  $B_r(x) \subset \Omega$ ,  $r > 0$  and every

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open set  $U \subset\subset \Omega \cap B_r(x)$ , we have  $MS_\lambda(u, U) < \infty$  together with

$$MS_\lambda(u, U) \leq MS_\lambda(v, U),$$

whenever  $\{u \neq v\} \subset\subset U$ .

The Euler–Lagrange equation [3, Theorem 7.35] for a local minimizer is that, for any  $\eta \in C_0^1(\Omega; \mathbb{R}^n)$ ,

$$(1.1) \quad \int_{\Omega \setminus \bar{S}_u} |Du|^2 \operatorname{div} \eta - 2 \langle \nabla u, \nabla u \cdot \nabla \eta \rangle dx + \lambda \int_{S_u} \operatorname{div}_\tau \eta d\mathcal{H}^{n-1} = 0,$$

where  $\operatorname{div}_\tau$  denotes the tangential divergence. This in particular implies that  $u$  is harmonic in  $\Omega \setminus \bar{S}_u$  and satisfies the zero Neumann boundary value condition on both sides of  $\bar{S}_u$ ; see [3, (7.42)]. Moreover the (weak) mean curvature of  $\bar{S}_u$  equals to the jump of the gradient  $[|Du|^2]^\pm$ , according to [3, Theorem 7.38].

Ambrosio, Fusco and Pallara proved in [4, 2] that, when  $\Omega \subset \mathbb{R}^n$  and  $u \in SBV(\Omega)$  is a local Mumford–Shah minimizer, there exists a subset  $\Sigma \subset \bar{S}_u$ , which is relatively closed and  $\mathcal{H}^{n-1}(\Sigma) = 0$ , such that the set  $\bar{S}_u \setminus \Sigma$  is the union of  $C^{1, \frac{1}{4}}$ -hypersurfaces. Moreover, both  $u$  and  $Du$  have a Hölder continuous extension to  $\bar{S}_u \setminus \Sigma$ . For more details, see also [3, Theorem 8.1], along with the survey [18], and [1, 17, 20] for more recent results.

Nevertheless, much more is known about the planar case. In particular, in an earlier result [7], Bonnet proved that an isolated connected component of  $\bar{S}_u$  is a finite union of  $C^{1,1}$ -arcs. His result is based on the so-called Bonnet’s monotonicity formula, which is applicable when the discontinuity set in the plane is connected. Later, David [10] demonstrated a version of  $\epsilon$ -regularity for the minimizers, and many additional results regarding Mumford–Shah local minimizers in the plane were established by Bonnet and David in [8].

In particular, in the monograph [11, Theorem 69.29], David proved that if  $\bar{S}_u$  at a tip point  $x \in \bar{S}_u \setminus S_u$  with  $B(x, 2) =: B_2(x) \subset \Omega$ , is sufficiently close to a single radius in the Hausdorff distance within (which implies  $\bar{S}_u$  is connected in  $B_1(x)$  [11, Lemma 69.8]), then  $\bar{S}_u$  is locally  $C_{\text{loc}}^{1,1}$  in  $B_1(x)$ . Recently, this result was independently improved by [5] and [16], showing that one actually obtains  $C^{1,1}$  (and even  $C^{2,\alpha}$ )-regularity up to the end point of  $\bar{S}_u$ , again under the assumption that  $\bar{S}_u \cap B_2(x)$  is sufficiently close to a single radius in the Hausdorff distance.

The planar results mentioned above generally rely on the a priori assumption that  $\bar{S}_u$  is connected. In this paper, we examine the growth of  $u$  near a tip point *without* assuming the connectedness of the discontinuity set. This estimate serves as a localized version of the original estimate for global minimizers presented in [12, Proposition 10.17], via a completely different argument; readers may also refer to [15, Proposition 4.6.1].

**Theorem 1.1.** *Let  $\Omega \subset \mathbb{R}^2$  be a bounded domain,  $u \in SBV(\Omega)$  be a local minimizer for the Mumford–Shah problem, and  $0 \in \Omega$  be a tip point, i.e.  $0 \in \bar{S}_u \setminus S_u$ . Suppose that  $B_1 \subset \Omega$ . Then there exist absolute constants  $C > 0$  and  $0 < r_0 < 1$  so that, for any  $0 < r < r_0$ , one has*

$$|u(x) - u(0)| \leq Cr^{\frac{1}{2}} \quad \text{for any } x \in B_r.$$

Our estimate is not straightforward because  $u \in SBV(\Omega)$  might not satisfy a Poincaré-type inequality, even if we can estimate the growth of the  $L^2$ -norm of  $Du$  within every disk (see Lemma 2.1). The discontinuity of  $u$  poses a significant challenge.

It is worth noting that the harmonic conjugate  $v$  of  $u$  can be defined with Hölder continuity exponent  $\frac{1}{2}$ . This modulus of continuity follows directly from Lemma 2.1 as  $v \in W^{1,2}(\Omega)$ ; see e.g. [15, Proposition 4.5.1].

**Remark 1.2.** Some readers might compare our proof with the proof of Lemma 21.3 in [8]. However, it is important to note that they are not the same.

Firstly, our estimate is directly based on an Ahlfors regularity lemma (see Lemma 2.1 below), which provides an  $L^2$ -estimate of  $|Du|$ . In contrast, the proof of Lemma 21.3 in [8] relies on an  $L^\infty$ -estimate, as seen in equation [8, (21.1)].

Secondly, the proof of Bonnet–David assumes that  $x$  and  $0$  are in the same component of  $B \setminus \overline{S}_u$  (see [8, (21.2)]). However, this assumption is addressed in our work through Corollary 1.4 below.

More precisely, our Lemma 3.1 and Lemma 3.2 may share some similarities with the proof of [8, Lemma 21.3]. To some extent, Lemma 3.1 can be seen as an  $L^2$ -version of [8, (21.14), Lemma 21.3]. Similarly, the finiteness of  $N$  in Lemma 3.2 is related to the finiteness of the set  $E$  in the proof of [8, Lemma 21.3]. To handle the  $L^2$ -integral directly, one needs to use carrot John subdomains (or Boman chains). Therefore, we must demonstrate that one can cover  $B_r \setminus \overline{S}_u$  with a uniformly finite number of carrot John subdomains whose closures contain  $0$ , provided that  $r$  is smaller than some uniform constant  $r_0 > 0$ . This part serves as an application of a recent work in [23, Theorem 1.7]; it was somehow implicitly used in [8, Lemma 21.3].

The proof of the theorem relies on the dichotomy in Proposition 1.3, which offers a criterion for distinguishing between tip points and jump points. We will defer the proof of this proposition to Section 2.

**Proposition 1.3.** *Let  $u \in SBV(\Omega)$  be a local minimizer in the plane, which is not locally constant, and  $x \in K := \overline{S}_u$ . We define for  $r > 0$  with  $B_r \subset \Omega$ ,*

$$\Phi_u(x, r) := \frac{r^{-1} \int_{B_r} |Du|^2 dx}{\inf_{c \in \mathbb{R}} \int_{B_r} |u - c|^2 dx}.$$

*Then  $x$  is a jump point if and only if*

$$(1.2) \quad \Phi_u(x) := \Phi_u(x, 0^+) := \limsup_{r \rightarrow 0^+} \frac{r^{-1} \int_{B_r} |Du|^2 dx}{\inf_{c \in \mathbb{R}} \int_{B_r} |u - c|^2 dx} \quad \text{is finite.}$$

*In other words,  $x$  is a tip point if and only if  $\Phi_u(x, 0^+) = +\infty$ .*

Note that  $\Phi_u(\cdot)$  takes values in  $\mathbb{R}^+ \cup \{+\infty\}$ , and  $\Phi_u(x, r)$  is continuous with respect to  $x$  for each fixed  $r > 0$ . In addition,

$$\Phi_u(x, 0^+) = \lim_{s \rightarrow 0} \sup_{0 < r < s} \Phi_u(x, r)$$

is the limit of a monotone non-increasing sequence as  $r \rightarrow 0$ . Thus  $\Phi_u(\cdot)$  is upper semicontinuous. Thus we conclude the following corollary from Proposition 1.3.

**Corollary 1.4.** *The limit of a sequence of tip points must be a tip point. Especially, when  $0$  is a tip point of a local minimizer  $u \in SBV(\Omega)$  and  $B_1 \subset \Omega$ , the compactness of Mumford–Shah problem yields that, there exists  $r_2 > 0$  such that*

$$\text{dist}(0, V) \geq r_2$$

*where  $V$  is a connected component of  $B_1 \setminus \overline{S}_u$  for which  $0 \notin \partial V$ . See Figure 1.*

We remark that, according to [15, Theorem 6.1.1] and Corollary 1.4, the only missing part towards Mumford–Shah conjecture (see e.g. [15, Conjecture 1.2.1]) is that, the set of tip points of  $u$  is discrete in  $\Omega$ .

In the last section, we prove a Morrey-type estimate in John domains, Lemma 3.1, and specifically, apply a version of [23, Theorem 1.7] to cover a neighborhood of the origin with uniformly finitely many John subdomains. These results finally give us the desired estimate.

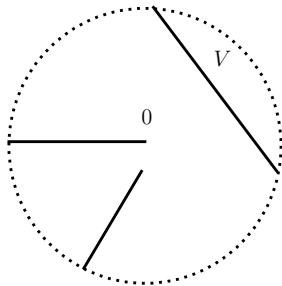


Figure 1. The black lines represents the discontinuity set  $K$  of  $u$ . Corollary 1.4 yields that the component  $V$  is uniformly away from 0.

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## 2. Preliminaries and Proof of Proposition 1.3

Let us fix some notation. We denote the  $k$ -dimensional Hausdorff measure by  $\mathcal{H}^k$ . For a given open set  $\Omega \subset \mathbb{R}^n$ , we denote by  $BV(\Omega)$  the space of functions of bounded variation in  $\Omega$ , whose weak (or distributional) gradient  $Du$  is a vector-valued Radon measure. We write  $D^a u$  for the absolutely continuous part of  $Du$  and  $D^s u$  for its singular part. The set of approximately continuous points of  $u$  is denoted by  $\mathcal{C}_u$ , each of which is a Lebesgue point of  $u$ . We write  $S_u = \Omega \setminus \mathcal{C}_u$  the Borel set of approximate discontinuity of  $u$ . Furthermore, for  $\mathcal{H}^{n-1}$ -almost every  $x \in S_u$ , there exists a direction  $\nu_u \in \mathbb{S}^{n-1}$  and two numbers  $u_{\pm} \in \mathbb{R}$  so that  $u_-(x) < u_+(x)$  and

$$\lim_{r \rightarrow 0} \int_{B_r^+(x, \nu_u(x))} |u(y) - u_+(x)| dy = 0,$$

together with

$$\lim_{r \rightarrow 0} \int_{B_r^-(x, \nu_u(x))} |u(y) - u_-(x)| dy = 0,$$

where

$$B_r^+(x, \nu_u(x)) = \{y \in B_r(x) : (y - x) \cdot \nu_u(x) > 0\},$$

and  $B_r^-$  is defined similarly. These points are called *jump points* of  $u$ . When

$$D^s u = (u_+ - u_-)\nu_u \mathcal{H}^{n-1}|_{S_u},$$

then  $u \in SBV(\Omega)$ , the space of *special functions of bounded variation*. When  $u \in SBV(\Omega)$  is a local minimizer, we usually denote by  $K$  the closure of its jump set  $\overline{S_u}$  unless explicitly stated otherwise. We say  $x$  is a *tip point* of  $u$  if  $x \in \overline{S_u} \setminus S_u$ , which is a Lebesgue point of  $u$ , particularly.

For ease of reference, we assume  $\lambda = 1$  in the Mumford–Shah energy throughout the paper, unless explicitly stated otherwise. Moreover, for the ease of readability, we suppress the subindex and write  $MS := MS_1$ . Suppose  $u \in SBV(B_r)$  is a local 1-minimizer with  $0 \in \overline{S}_u$ , then it follows from [3, Remark 7.13] that

$$u_r(x) = r^{-\frac{n-1}{n}} u(rx) \in SBV(B_1)$$

is also a local 1-minimizer in  $B_1$ . Moreover,

$$MS(u, B_r) = r^{n-1} MS(u_r, B_1).$$

For a (rectifiable) curve  $\gamma$ , we denote by  $\ell(\gamma)$  the Euclidean length of  $\gamma$ . When  $\gamma$  is an arc (i.e. an injective curve), for any pair of points  $x, y \in \gamma$ , denote by  $\gamma[x, y]$  a subarc joining them. For a measurable set  $A \subset \mathbb{R}^2$ , we write

$$\int_A u \, dx := \frac{1}{|A|} \int_A u \, dx.$$

Let us begin with the following result, called the Ahlfors regularity of the local minimizer, which holds for every point in  $K$ . It is clear that the density

$$r^{-1} \mathcal{H}^1(K \cap B_r)$$

is invariant under scaling. From this point forward, we focus exclusively on the planar case, specifically when  $n = 2$ .

**Lemma 2.1.** [18, Theorem 2.6], [9, Corollary 3.3] *Suppose that  $u \in SBV(\Omega)$  is a local minimizer and  $0 \in K$ . There exists a constant  $r_1 > 0$  so that for each  $0 < r < r_1$  and  $B_r \subset \Omega$ , we have*

$$\frac{1}{C} \leq r^{-1} \mathcal{H}^1(K \cap B_r) \leq C,$$

and

$$\int_{B_r} |Du|^2 \, dx \leq Cr.$$

We also record the following  $\epsilon$ -regularity of David, which provides a criterion to individuate tip points.

**Lemma 2.2.** [11, Proposition 60.1] *Suppose that  $u$  is a minimizer and  $0 \in K$ . There exists  $\epsilon_0 > 0$  and  $\eta > 0$  so that, whenever  $x \in K$  satisfies*

$$\int_{B_r(x)} |Du|^2 \, dx \leq \epsilon_0 r,$$

*then  $x$  is a jump point and  $K \cap B_{\eta r}(x)$  is a  $C^1$ -curve or a  $C^1$ -spider (see [11, Section 51 & 53] for the definitions).*

Now we are ready to show Proposition 1.3.

*Proof of Proposition 1.3.* Up to a translation, we may assume that  $x$  is the origin. If (1.2) holds, then for some  $c_0 > 0$  and any sequence  $r_k \rightarrow 0$  we have

$$\limsup_{k \rightarrow \infty} r_k^{-1} \int_{B_{r_k}} |Du|^2 \, dx \leq c_0 \limsup_{k \rightarrow \infty} \left( \inf_{c \in \mathbb{R}} \int_{B_{r_k}} |u - c|^2 \, dx \right).$$

Suppose that, on the contrary, 0 is a tip point, which is particularly a Lebesgue point of  $u$ . Then the right-hand side of the inequality above goes to 0 as  $k \rightarrow \infty$ . This

yields that

$$r^{-1} \int_{B_r} |Du|^2 dx$$

is small whenever  $r > 0$  is sufficiently small. However, Lemma 2.2 implies that 0 cannot be a tip point. This leads to a contradiction and thus 0 must be a jump point.

Now suppose that (1.2) fails, then by Lemma 2.1, there exists a sequence  $r_k \rightarrow 0$  so that for any  $M > 0$

$$\lim_{k \rightarrow \infty} \left( \inf_{c \in \mathbb{R}} \int_{B_{r_k}} |u - c|^2 dx \right) \leq M^{-1} \lim_{k \rightarrow \infty} r_k^{-1} \int_{B_{r_k}} |Du|^2 dx \leq CM^{-1}.$$

Thus the origin is a Lebesgue point of  $u$  as  $M \rightarrow \infty$  (and  $r_k \rightarrow 0$ ), which yields that 0 is a tip point.  $\square$

Let us also recall the definition of John domain.

**Definition 2.3.** For  $J \geq 1$ , a (bounded) domain  $\Omega \subset \mathbb{R}^n$  is said to be  $J$ -John provided that, there exists a distinguished point  $x_0 \in \Omega$  so that, for every  $x \in \Omega$ , there exists an arc  $\gamma \subset \Omega$  starting from  $x$ , ending at  $x_0$  and satisfying the following condition:

$$(2.1) \quad \ell(\gamma[x, y]) \leq J \operatorname{dist}(y, \partial\Omega) \quad \text{for any } y \in \gamma,$$

where  $\ell(\gamma[x, y])$  denotes the length of the subcurve of  $\gamma$  joining  $x$  to  $y$ . We usually call  $x_0$  the John center of  $\Omega$  and  $\gamma$  the John curve joining  $x_0$  and  $x$ .

Furthermore, let  $\gamma \subset \Omega$  be a curve joining  $x$  to  $x_0$ , and define the  $J$ -carrot with the vertex  $x$  and the core  $\gamma$  joining  $x$  to  $x_0$  as

$$\operatorname{car}(\gamma, J) := \bigcup \{B(y, \ell(\gamma[x, y])/J) : y \in \gamma \setminus \{x\}\}.$$

Then a (bounded) domain  $\Omega \subset \mathbb{R}^n$  is  $J$ -John with the center  $x_0 \in \Omega \cup \{\infty\}$ , if for each point  $x \in \Omega$ , there exists a curve  $\beta \subset \Omega$  joining  $x$  to  $x_0$  so that  $\operatorname{car}(\beta, J) \subset \Omega$ .

We record the following result, which says that  $\Omega \setminus K$  is locally John.

**Theorem 2.4.** [11, Proposition 68.16] *Let  $u \in SBV(\Omega)$  be a local minimizer and  $x \in \Omega \setminus K$ . Then there exists an absolute constant  $J \geq 1$  so that, for any*

$$0 < r \leq \frac{1}{2} \operatorname{dist}(x, \partial\Omega) =: r_3,$$

*one can find an arc  $\gamma \subset \Omega \setminus K$  starting from  $x$ , escaping  $B_r(x)$  (i.e. for a parametrization  $\gamma: [0, 1] \rightarrow \Omega$  with  $\gamma(0) = x$ , there exists  $t \in (0, 1)$  that  $\gamma((t, 1]) \cap B_r(x) = \emptyset$ ), and satisfying that, for any  $y \in \gamma$ ,*

$$\ell(\gamma[x, y]) \leq J \operatorname{dist}(y, K).$$

*In particular, there exists a ball with radius  $J^{-1}r$  contained in  $B_r(x) \cap (\Omega \setminus K)$ .*

### 3. Proof of Theorem 1.1

Let  $0 \in \Omega$  be a tip point of  $u$ , and assume that  $u(0) = 0$  up to an additive constant. Recall that tip points are Lebesgue points of  $u$ , i.e.

$$\lim_{r \rightarrow 0} \int_{B_r} |u| dx = 0.$$

Write  $K = \overline{S}_u$ . Recall the definition of  $J$ -carrot. Then Theorem 2.4 implies that, for every  $x \in \Omega \setminus K$ , one can find a  $J$ -carrot contained in  $\Omega \setminus K$  with core  $\gamma$  and vertex  $x$ , which escapes  $B_r(x)$ .

The carrot condition above is equivalent to the following  $M$ -Boman chain condition, quantitatively: There exists  $M \geq 1$  and a sequence of balls  $\{U_i\}_{i=0}^\infty$  converging to  $x$  so that,

- $U_0 = B_{\frac{\ell(\gamma)}{4J}}(x_0)$ ,
- $M^{-1} \text{diam}(U_{i+1}) \leq \text{diam}(U_i) \leq M \text{diam}(U_{i+1})$ ,
- There exists  $R_i \subset U_i \cap U_{i+1}$  so that  $U_i \cup U_{i+1} \subset MR_i$ ,
- $\sum_i \chi_{U_i} \leq M$ .

see e.g. [19, Theorem 9.3]. We record the following results for John domains.

**Lemma 3.1.** *Let  $C_0 \geq 4$ ,  $r > 0$  and  $U \subset \mathbb{R}^2$  be a (bounded)  $J'$ -John domain with center  $x_0 \in \partial B_{3r} \cap U$  such that  $0 \in U \subset B_{C_0 r}$ ,  $J' \geq 1$ . Moreover, assume that there exists  $C_1 > 0$  so that  $u \in W^{1,2}(U)$  satisfies*

$$(3.1) \quad \int_{B_s(z) \cap U} |Du|^2 dx \leq C_1 s$$

for any  $z \in U$  and  $0 < s < r$ . Then

$$|u(x) - u(y)| \leq C(C_0, C_1, J') r^{\frac{1}{2}}$$

for almost every pair of points  $x, y \in U \subset B_{5r}$ .

*Proof.* Fix  $x, y \in U$  which are Lebesgue points of  $u$ . Since carrot and Boman chain conditions are equivalent, we can find two sequences of balls  $\{U_i\}_{i=0}^{+\infty}$  and  $\{U_j\}_{j=0}^{-\infty}$  of  $M$ -Boman chains from  $x$  and  $y$  to  $x_0$ , respectively, where

$$M = M(J'), \quad U_0 = B_{c(C_0, J')r}(x_0), \quad U_i \rightarrow x \text{ as } i \rightarrow +\infty, \quad U_j \rightarrow y \text{ as } j \rightarrow -\infty.$$

Then by writing in telescoping sum and applying Poincaré inequality on  $u$ , we have

$$\begin{aligned} |u(x) - u(y)| &\leq \sum_{k=-\infty}^{+\infty} \left| \int_{U_k} u dx - \int_{U_{k+1}} u dx \right| \\ &\leq \sum_{k=-\infty}^{+\infty} \int_{U_k} \left| u - \int_{U_{k+1}} u \right| dx \\ &\leq C(J') \sum_{k=-\infty}^{+\infty} \text{diam}(U_k) \left( \int_{U_k \cup U_{k+1}} |Du|^2 dx \right)^{\frac{1}{2}}. \end{aligned}$$

As the assumption (3.1) gives

$$\int_{U_k \cup U_{k+1}} |Du|^2 dx \leq C(C_1, J) \text{diam}(U_k)^{-1},$$

it follows that

$$\begin{aligned} \sum_{k=-\infty}^{+\infty} \text{diam}(U_k) \left( \int_{U_k \cup U_{k+1}} |Du|^2 dx \right)^{\frac{1}{2}} &\leq C(C_1, J') \sum_{k=-\infty}^{+\infty} \text{diam}(U_k)^{\frac{1}{2}} \\ &\leq C(C_0, C_1, J') r^{\frac{1}{2}}, \end{aligned}$$

where we applied the fact that both  $\{\text{diam}(U_i)\}_{i=0}^{+\infty}$  and  $\{\text{diam}(U_j)\}_{j=0}^{-\infty}$  are geometric series in the last inequality. Then our lemma follows from the chain of inequalities.  $\square$

The following lemma is a local version of [23, Theorem 1.7] and we record its proof in Appendix A.

**Lemma 3.2.** *Suppose that  $0 \in \overline{S}_u \subset \mathbb{R}^2$  is a tip point. Then for  $r_3 > 0$  defined in Theorem 2.4 and any  $0 < r < r_3/9$ , there exist  $C = C(J) > 0$ ,  $N = N(J) \in \mathbb{N}$  and  $J' = J'(J) > 0$  so that, we can cover  $B_r \setminus \overline{S}_u$  by (the closure of) at most  $N$ -finitely many  $J'$ -John domains  $W_{j,r} \subset B_{Cr} \setminus \overline{S}_u$ ,  $1 \leq j \leq N$ .*

*In particular, there exists a constant  $C_2 = C_2(J) > 0$  such that, for every point  $x \in W_{j,r}$ , one can find a rectifiable curve  $\beta_x \subset W_{j,r}$ , which joins  $x$  to a point  $w_{j,r} \in \partial B_{3r} \cap W_{j,r}$ , as the core of a  $J'$ -carrot satisfying*

$$\ell(\beta_x) \leq C_2 r.$$

This lemma together with Lemma 3.1 and Lemma 3.2 implies the following theorem.

*Proof of Theorem 1.1.* Observe that Theorem 2.4 together with Lemma 3.2 implies that, for any  $0 < r < r_3/9$ , we can cover  $B_r \setminus \overline{S}_u$  by at most  $N$ -finitely many (nonempty)  $J'$ -John domains  $W_{j,r}$ .

Now by recalling that  $u(0) = 0$  and the  $L^2$ -estimate on the gradient from Lemma 2.1, we employ Lemma 3.1 to  $u$  on each of the John domains  $W_{j,r}$  to conclude that, for  $0 < r < r_0 := \min\{r_1, r_2, r_3/9\}$ ,

$$|u(x) - u(0)| = |u(x)| \leq Cr^{\frac{1}{2}} \quad \text{for any } x \in W_{j,r}.$$

Moreover, Corollary 1.4 yields that, for any  $0 < r < r_0 \leq r_2$ , one has  $0 \in \partial W_{j,r}$  for every  $W_{j,r}$ . This yields our desired estimate as the number of John domains is at most  $N$ .  $\square$

## Appendix A. Proof of Lemma 3.2

To start with, we record the following proposition. While this technique has been commonly employed in previous manuscripts, such as [22], it has not been explicitly formulated, to the best of our knowledge, in the context of our present work.

**Proposition A.1.** *Let  $J \geq 1$ . Assume that  $\gamma \subset \mathbb{R}^2$  is a locally rectifiable curve joining  $x$  to  $y$ , where  $x, y \in \mathbb{R}^2$ . Then  $\text{car}(\gamma, J)$  is a  $J$ -carrot John domain. To be more specific, for any  $z \in \text{car}(\gamma, J)$ , we can find a rectifiable curve  $\gamma_z$  joining  $z$  to  $y$ , such that for some  $\eta \in \gamma$ , we have*

$$\gamma[\eta, y] = \gamma_z[\eta, y]$$

and for each  $a \in \gamma[\eta, y]$ ,

$$(A.1) \quad \ell(\gamma_z[z, a]) \leq \ell(\gamma[x, a]), \quad \text{car}(\gamma_z, J) \subset \text{car}(\gamma, J).$$

*Proof.* For any  $z \in \text{car}(\gamma, J)$ , the definition of  $\text{car}(\gamma, J)$  yields a ball

$$B(\eta, \ell(\gamma[x, \eta])/J) \subset \text{car}(\gamma, J)$$

for some points  $\eta \in \gamma \setminus \{x\}$  so that  $z \in B(\eta, \ell(\gamma[x, \eta])/J)$ .

Let  $L_{z,\eta}$  be the line segment joining  $z$  to  $\eta$  and then  $\gamma_z := L_{z,\eta} \cup \gamma[\eta, y]$  is locally rectifiable curve joining  $z$  to  $y$ . When  $a \in L_{z,\eta}$ ,

$$(A.2) \quad \ell(\gamma_z[z, a]) \leq d(a, \partial B(\eta, \ell(\gamma[x, \eta])/J)) \leq \ell(\gamma[x, \eta])/J.$$



When  $a \in \gamma[\eta, y]$ , by applying (A.2) with  $a = \eta$  there, we have

$$\begin{aligned} \ell(\gamma_z[z, a]) &\leq \ell(\gamma_z[z, \eta]) + \ell(\gamma_z[\eta, a]) \leq \frac{\ell(\gamma[x, \eta])}{J} + \ell(\gamma[\eta, a]) \\ &\leq \ell(\gamma[x, \eta]) + \ell(\gamma[\eta, a]) = \ell(\gamma[x, a]). \end{aligned}$$

To conclude, we obtain that

$$\ell(\gamma_z[z, a]) \leq \ell(\gamma[x, a]),$$

which is the first formula of (A.1). The second one follows directly from our construction of  $\text{car}(\gamma_z, J)$  and  $\text{car}(\gamma, J)$ , and we conclude the lemma.  $\square$

**A.1. A decomposition  $V_{j,r}$  of  $B_r \setminus K$ .** Recall that  $K = \overline{S}_u$ . Now for any  $x \in B_r \setminus K$  with  $B_r \subset \Omega$ ,  $0 < r < r_0/9$ , we choose an escaping (John) curve  $\gamma_x \subset \Omega \setminus K$  from  $x$  with  $\text{car}(\gamma, J) \subset B_r \setminus K$ . Although there could be many choices of curves for  $x \in \Omega \setminus K$ , we just choose one of them. Let  $\Gamma = \{\gamma_x\}_{x \in B_r \setminus K}$  be the collection of these chosen curves. In what follows, for any points  $x \in B_r \setminus K$ ,  $\gamma_x$  always refers to this particular choice of escaping curve.

Note that for  $0 < r < r_0/9$ , we have  $B_r \cap K \neq \emptyset$  as  $0 \in K$ . Our first step is to decompose  $B_r \setminus K$  into finitely many subsets  $V_{j,r}$  so that, there exists a collection  $\mathcal{B}_{j,r}$  of at most  $C(J)$ -many balls, whose center is on  $\partial B_{3r}$  and whose radius is at least  $J^{-1}r$ , satisfying that, for any  $x \in V_{j,r}$ , we can find a ball  $B \in \mathcal{B}_{j,r}$  with

$$\gamma_x \cap B \neq \emptyset.$$

To this end, observe that, according to Theorem 2.4, for each  $x \in B_r \setminus K$  and  $\gamma_x \in \Gamma$ , there exists a point

$$(A.3) \quad x_r \in \gamma_x \cap \partial B_{3r}$$

so that

$$(A.4) \quad 2r \leq \ell(\gamma[x, x_r]) \leq J \text{dist}(x_r, K).$$

Consider the collection of closed balls

$$(A.5) \quad \{\overline{B}_x\}_{x \in B_r \setminus K} := \left\{ \overline{B} \left( x_r, \frac{\text{dist}(x_r, K)}{2} \right) \right\}_{x \in B_r \setminus K}.$$

Then thanks to (A.4) and  $0 \in K$ , we obtain that

$$(A.6) \quad \frac{r}{J} \leq \frac{\text{dist}(x_r, K)}{2} \leq \frac{3}{2}r,$$

and hence  $B_x \cap B_r = \emptyset$ .

We next let

$$A_r := \bigcup_{x \in \overline{B}_r \setminus K} \{x_r\}$$

be the collection of the centers of  $B_x$ 's. By Besicovitch's covering theorem, there exists a subcollection  $\{\overline{B}_i\}_{i \in \mathbb{N}}$  of  $\{\overline{B}_x\}_{x \in B_r \setminus K}$  consisting of at most countably many balls, such that

$$(A.7) \quad \chi_{A_r}(z) \leq \sum_{B_i} \chi_{\overline{B}_i}(z) \leq C, \quad \forall z \in B_{5r} \setminus K.$$

Recall that by (A.6)

$$B_i \subset B_{5r} \setminus \overline{B}_r$$

and  $|B_i| \geq c(J)r^n$ . Thus we have at most  $C(J)$ -many elements in  $\{\overline{B_i}\}$  by (A.7). As a result, the union of balls

$$\bigcup_i \overline{B_i}$$

has at most  $N = N(J)$  components  $U_{j,r}$  for  $j \in \{1, \dots, N_r\}$  and  $N_r \leq N$ . By defining  $U_{j,r}$  to be empty for  $j > N_r$ , we may assume that there exists exactly  $N$  components  $U_{j,r}$ , and each  $U_{j,r}$  contains at most  $\hat{N} = \hat{N}(J)$  balls. We write  $\mathcal{B}_{j,r}$  as the collection of balls  $B_i$  contained in each component  $U_{j,r}$ .

Now it follows from our construction, for any  $x \in B_r \setminus K$ , there exists some  $1 \leq j \leq N_r$  so that,  $x_r \in \gamma_x$  is covered by a ball in  $\mathcal{B}_{j,r}$ . Thus, by defining

$$(A.8) \quad V_{j,r} := \{x \in B_r \setminus K : x_r \in D \text{ for some } D \in \mathcal{B}_{j,r}\},$$

we obtain the desired decomposition of  $B_r \setminus K$ . The set  $V_{j,r}$  is defined to be empty if  $U_{j,r}$  is empty.

The following lemma is a version of [23, Proposition 3.2].

**Proposition A.2.** *For  $1 \leq j \leq N$  with  $N = N(J)$  defined above, the set  $W_{j,r}$  is either empty (if  $V_{j,r}$  is empty), or for any fixed  $y \in V_{j,r}$  together with the escaping point  $y_r \in \partial B_{3r}$ , the set*

$$(A.9) \quad W_{j,r} := \text{car}(\gamma_y[y, y_r], J) \cup \bigcup_{x \in V_{j,r}} \text{car}(\beta_x, J').$$

is a  $J'$ -John domain with John center  $y_r$ , where  $J' = J'(J) > 0$  and  $\beta_x$  is a rectifiable curve joining  $x$  to  $y_r$  satisfying  $\gamma_x[x, x_r] \subset \beta_x$ ; recall that  $\gamma_x$  is the escaping curve starting from  $x$ .

Moreover, there exists a constant  $C_3 = C_3(J) \geq 4$  so that, the curve  $\beta_x$  joining  $x \in W_{j,r}$  to  $y_r$  is the core of a  $J'$ -carrot satisfying

$$\ell(\beta_x) \leq C_3 r$$

and

$$(A.10) \quad V_{j,r} \subset W_{j,r}, \quad \text{car}(\beta_x, J') \subset W_{j,r} \subset (\Omega \setminus K) \cap B_{2C_3 r}.$$

*Proof.* Suppose that  $V_{j,r}$  is non-empty, and fix a point  $y \in V_{j,r}$ . Then the corresponding escaping point  $y_r \in \gamma_y \cap \partial B_{3r}$  is covered by some ball  $D_1 \in \mathcal{B}_{j,r}$  according to (A.7). Then we can join  $y_r$  to the center  $\hat{x}_1$  of  $D_1$  by the line segment  $L_{y_r, \hat{x}_1} \subset D_1$ .

Now for any  $x \in V_{j,r}$ , we claim that there exists a rectifiable curve  $\beta_x \subset \Omega \setminus K$  as the core of a  $J'$ -carrot joining  $x$  to  $y_r$ , such that  $\gamma_x[x, x_r] \subset \beta_x$  and

$$(A.11) \quad \text{car}(\beta_x, J') \subset \Omega \setminus K.$$

Indeed, the escaping point  $x_r \in \partial B_{3r} \cap D_2$  is also covered by another ball  $D_2 \in \mathcal{B}_{j,r}$  as  $x \in V_{j,r}$ . Moreover, we can also joint  $x_r$  to the center  $\hat{x}_2$  of  $D_2$  by the line segment  $L_{x_r, \hat{x}_2} \subset D_2$ .

Recall that  $U_{j,r}$  is connected and consists of at most  $\hat{N}$ -many balls from  $\mathcal{B}_{j,r}$ . This implies that  $\hat{x}_1$  and  $\hat{x}_2$  can be joined by a union of at most  $\hat{N}$ -many line segments with the endpoints being the centers of balls in  $\mathcal{B}_{j,r}$ . Therefore, combining with  $L_{y_r, \hat{x}_1}$  and  $L_{x_r, \hat{x}_2}$ , we can join  $x_r$  to  $y_r$  by a polyline  $\gamma_{x_r, y_r}$ .

We show that

$$\beta_x := \gamma_x[x, x_r] \cup \gamma_{x_r, y_r}$$

is the desired John curve. To this end, we estimate the length of  $\beta_x$  and the distance  $\text{dist}(\eta, K)$  for any  $\eta \in \beta_x$ , respectively.

We start with the estimate on the length of  $\beta_x$ . Thanks to (A.6), for any pair of intersecting balls  $D, D' \in \mathcal{B}_{j,r}$ , the line segments  $L$  joining the center of  $D$  with radius  $s$  to the center of  $D'$  with radius  $s'$  satisfies

$$(A.12) \quad L \subset D \cup D' \quad \text{and} \quad \ell(L) \leq s + s' \leq 4r.$$

In particular, (A.6) together with the facts that  $L_{x_r, \hat{x}_2} \subset D_2$  and that  $L_{y_r, \hat{x}_1} \subset D_1$  also yields  $\ell(L_{x_r, \hat{x}_2}) \leq 2r$ ,  $\ell(L_{y_r, \hat{x}_1}) \leq 2r$ . Therefore employing (A.12) and (A.4), the construction of  $\beta_x$  tells

$$(A.13) \quad \begin{aligned} \ell(\beta_x) &\leq \ell(\gamma_x[x, x_r]) + \ell(\gamma_{x_r, y_r}) \\ &\leq J \text{dist}(x_r, K) + \ell(L_{x_r, \hat{x}_2}) + \ell(L_{y_r, \hat{x}_1}) + 4\hat{N}r \\ &\leq C(J)r =: C_3r; \end{aligned}$$

we may assume that  $C_3 \geq 4$ . This gives the first part of the proposition.

Towards (A.10), for any  $\eta \in \beta_x$  we need to estimate the distance  $\text{dist}(\eta, K)$  from above. First of all, note that when  $\eta \in \gamma_{x_r, y_r}$ , there exists some ball  $D_\eta \in \mathcal{B}_{j,r}$  containing  $\eta$ . Then combining (A.4), (A.5) and (A.6), we get

$$(A.14) \quad \text{dist}(\eta, K) \geq \text{dist}(D_\eta, K) \geq \frac{r}{J}.$$

Let

$$(A.15) \quad J' := C_3J.$$

Then combining (A.4), (A.13) and (A.14), we conclude

$$\ell(\beta_x[x, \eta]) \leq \ell(\beta_x) \leq C_3r \leq J' \text{dist}(\eta, K) \quad \text{when } \eta \in \gamma_{x_r, y_r}.$$

On the other hand, when  $\eta \in \gamma_x[x, x_r]$ , since our construction yields  $\beta_x[x, \eta] = \gamma_x[x, \eta]$ , which is particularly contained in a John curve, it follows that

$$\ell(\beta_x[x, \eta]) \leq J \text{dist}(\eta, K) \leq J' \text{dist}(\eta, K).$$

This implies (A.11). Moreover by Proposition A.1, every point  $w \in \text{car}(\beta_x, J')$  also can be joined to  $y_r$  by a rectifiable curve  $\hat{\gamma}_w$  satisfying

$$\ell(\hat{\gamma}_w) \leq \ell(\beta_x) \quad \text{and} \quad \text{car}(\hat{\gamma}_w, J') \subset \text{car}(\beta_x, J').$$

Hence, by employing (A.11), the arbitrariness of  $x$  gives the second formula in (A.10). The first formula in (A.10) holds due to  $x \in \text{Cl}(\text{car}(\beta_x, J'))$ , the closure of the carrot, for any  $x \in V_{j,r}$ .  $\square$

Now Lemma 3.2 follows immediately from Proposition A.2, where  $w_{j,r}$  is chosen to be  $y_r$  in the Proposition A.2.

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